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CASE No. 21-16528

UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

ARMIDA RUELAS; DE'ANDRE EUGENE COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON; LOUIS NUNEZ-ROMERO; SCOTT ABBEY, AND ALL OTHERS SIMILARLY SITUATED

Plaintiffs and Appellees,

v.

COUNTY OF ALAMEDA; SHERIFF GREGORY J. AHERN; ARAMARK CORRECTIONAL SERVICES, LLC

Defendants and Appellants.

Appeal From The United States District Court, Northern District of California, Case No. 4:19-cv-07637-JST, Hon. Jon S. Tigar

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CASE No. 21-16528

UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

ARMIDA RUELAS; DE'ANDRE EUGENE COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON: LOUIS NUNEZ-ROMERO; SCOTT ABBEY, AND ALL OTHERS SIMILARLY SITUATED

Plaintiffs and Appellees,

v.

COUNTY OF ALAMEDA; SHERIFF GREGORY J. AHERN; ARAMARK CORRECTIONAL SERVICES, LLC

Defendants and Appellants.

Appeal From The United States District Court, Northern District of California, Case No. 4:19-cv-07637-JST, Hon. Jon S. Tigar

EXCERPTS OF RECORD - VOLUME 1 OF 3

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UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

OAKLAND DIVISION

ARMIDA RUELAS; DE'ANDRE EUGENE COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON; LUIS NUNEZ-ROMERO; SCOTT ABBEY; and all others similarly situated,

Plaintiffs.

v.

COUNTY OF ALAMEDA; GREGORY J. AHERN, SHERIFF; ARAMARK CORRECTIONAL SERVICES, LLC; and DOES 1 through 10,

Defendants.

Civil Case No.: 4:19-CV-07637 JST

[PROPOSED] ORDER GRANTING DEFENDANTS' ADMINISTRATIVE MOTION FOR CLARIFICATION AND CORRECTION PURSUANT TO LOCAL RULE 7-11

Having considered the Administrative Motion for Clarification and Correction filed by Defendants Aramark Correctional Services, LLC, County of Alameda, and Gregory J. Ahern, Sheriff (collectively, "Defendants"), the papers filed in support of and the opposition, if any, thereto, and all records on file with the Court in this case, and good cause appearing therefore, IT IS HEREBY ORDERED that Defendants' Motion for Correction is GRANTED. The Court clarifies that all Defendants moved for an order certifying an interlocutory appeal, and that the Court's Order Granting Motion for Leave to Bring an Interlocutory Appeal (Dkt. 87) applies to all Defendants.

[PROPOSED] ORDER GRANTING DEFENDANTS' MOTION FOR CORRECTION

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IT IS SO ORDERED.

DATED: June 25, 2021

HON. JON S. TIGAR UNITED STATES DISTRICT JUDGE

[PROPOSED] ORDER GRANTING DEFENDANTS' MOTION FOR CORRECTION

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

ARMIDA RUELAS, et al.,
Plaintiffs,

v.

COUNTY OF ALAMEDA, et al.,

Defendants.

Case No. 19-cv-07637-JST

AMENDED ORDER GRANTING IN PART AND DENYING IN PART DEFENDANTS' MOTIONS TO DISMISS

Re: ECF Nos. 51, 52

Before the Court are motions to dismiss the first amended complaint filed by Defendants Alameda County and Sheriff Gregory J. Ahern ("County Defendants"), ECF No. 51, and Defendant Aramark Correctional Services, LLC ("Aramark"), ECF No. 52. The Court will deny the motions in part and grant them in part.

I. BACKGROUND

A. Factual History¹

Plaintiffs Armida Ruelas, De'Andre Eugene Cox, Bert Davis, Katrish Jones, Joseph Mebrahtu, Dahryl Reynolds, Monica Mason, Luis Nunez-Romero, and Scott Abbey are or were "pre-trial detainees, detainees facing deportation, [and] federal detainees" confined in Alameda County's Santa Rita Jail. First Amended Complaint ("FAC"), ECF No. 48 ¶ 1. Plaintiffs are or were performing "industrial food preparation services and cleaning" for Aramark pursuant to a contract between Aramark and Alameda County. *Id.* "Aramark is a private, for-profit company that sells food prepared by prisoners to third parties" outside of Alameda County. *Id.* This

¹ For purposes of these motions, the Court accepts as true the factual allegations of Plaintiffs' first amended complaint. *Smith v. City of Oakland*, No. 19-cv-05398-JST, __ F. Supp. 3d __, 2020 WL 2517857, at *2 (N.D. Cal. Apr. 2, 2020).

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contract was made possible by California Proposition 139, which allows private companies to hire county jail inmates. *Id.* ¶ 18. Alameda County contracted with Aramark "as early as July 1, 2015." *Id.* ¶ 22.

Plaintiffs allege that Aramark's contract with Alameda County allows Aramark "to employ persons imprisoned in Santa Rita Jail without compensating them." *Id.* Under the contract, "[p]risoners prepare and package food" in Santa Rita's kitchen "and clean and sanitize the kitchen" after preparation has finished. *Id.* ¶ 23. Plaintiffs allege that "Aramark employees manage the kitchen operation and observe the Sheriff's deputies' supervision of the prisoner-employees." *Id.* Employees of Aramark "supervise the quality and amount of work that prisoners accomplish" and "supervise prisoner-employee conduct and report misconduct to the deputies for discipline." *Id.* ¶ 24. Further, Aramark "establishes quotas for prisoners that dictate how much work prisoners must complete before their shift ends" and "determines from its quotas how many prisoner-employees are required to work and how many shifts are required." *Id.* ¶ 25.

Plaintiffs allege that County Defendants may "remove [prisoner-employees'] eligibility to work in the jail and subject them to disciplinary action" if Sheriff's deputies are "displeased with the quality or quantity of the work performed or the conduct of a prisoner-employee." *Id.* ¶ 26. Plaintiffs allege that "if Aramark is displeased with a prisoner-employee, it can tell the County that the prisoner-employee may not return to work for Aramark." *Id.* County Defendants and Aramark "arranged to divide the work day so that male prisoners are assigned to longer, daytime shifts, and female prisoners are assigned to shorter, nighttime shifts." *Id.* ¶ 27. Plaintiffs allege County Defendants "determine which prisoners are eligible to work and place them in worker housing units," and Aramark "assigns prisoner-employees to their specific tasks." *Id.* ¶ 28. Plaintiffs allege that "Sheriff's deputies threaten plaintiffs and other prisoner-employees of Aramark that if they refuse to work, they will receive lengthier jail sentences or be sent to solitary confinement, where they would be confined to a small cell for 22 to 24 hours a day." *Id.* ¶ 31. The deputies "also threaten to terminate prisoners' employment if they need to take a sick day or are injured." *Id.* Plaintiffs allege that such threats are sometimes made "in the presence of Aramark employees," *id.* ¶ 32, and that Aramark employees threaten "to report [prisoner-

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employees] to the Sheriff's deputies for punishment if they attempt to leave work early due to illness or injury," id. ¶ 33.

In late October 2019, male prisoner workers, including those working for Aramark, staged a worker strike at Santa Rita "to advocate for improved conditions at the jail[.]" *Id.* ¶ 37. Plaintiffs allege that in response, Sheriff's deputies forced female prisoners, including Plaintiffs Ruelas and Mason, to cover the men's shifts "so that Aramark could meet their quotas[.]" *Id.* Deputies allegedly threatened these women by telling them they would "not be provided meals unless they worked." *Id.*

B. Procedural History

Plaintiffs filed the original complaint on November 20, 2019 on behalf of themselves, a class of individuals incarcerated in Santa Rita Jail who perform or performed services for Aramark, ECF No. 1, Complaint ¶ 41, and three subclasses: (1) a "Pretrial Detainee Subclass," represented by Ruelas, Davis, and Mason and comprising pretrial detainees who perform or performed services for Aramark while incarcerated at Santa Rita jail, *id.* ¶ 43; (2) the "Women Prisoner Subclass," represented by Ruelas, Jones, and Mason and comprising women who perform or performed services for Aramark while incarcerated at Santa Rita Jail, *id.* ¶ 44; and (3) the "Immigration Detainee Subclass," represented by Nunez-Romero and comprising all detainees awaiting immigration proceedings who perform or performed services for Aramark while incarcerated at Santa Rita Jail, *id.* ¶ 45. Plaintiffs brought ten claims, including claims under the Thirteenth Amendment, the Trafficking Victims Protection Act ("TVPA"), the Fourteenth Amendment, the California Labor Code, California's Unfair Competition Law ("UCL"), and California's Bane Act. *Id.* ¶¶ 67-108.

County Defendants moved to dismiss Plaintiffs' complaint on December 13, 2019. ECF No. 13. This Court took County Defendants' motion under submission without a hearing. ECF No. 36. Aramark moved to dismiss the complaint on January 17, 2020. ECF No. 23. The Court held a hearing on March 4, 2020. ECF No. 37.

On June 26, 2020, this Court granted in part and denied in part County Defendants and Aramark's motions to dismiss. ECF No. 46. The Court dismissed Plaintiffs' (1) TVPA claim

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against Aramark; (2) Labor Code claim for failure to pay wages, but only as it pertained to convicted plaintiffs; (3) Labor Code claims against County Defendants for failure to pay minimum wage and overtime, but only as they pertained to convicted Plaintiffs; (4) Labor Code claims against Aramark for failure to pay minimum wage and overtime; (5) Equal Pay Act claim; (6) Bane Act claim, but only against Aramark; and (7) Plaintiffs Mebrahtu, Mason, and Nunez-Romero's Labor Code and Bane Act claims against County Defendants. *Id.* at 25. All dismissals were with leave to amend except for the Labor Code claim for failure to pay convicted Plaintiffs wages as well as Mebrahtu, Mason, and Nunez-Romero's claims. *Id.*

On July 10, 2020, Plaintiffs filed the FAC. ECF No. 48. Plaintiffs add a new plaintiff, Scott Abbey, *id.* ¶ 1, and reassert nine of the ten claims from the original complaint, *see id.* ¶ 74-110. Plaintiffs add Jones, Reynolds, Cox, Mebrahtu, and Abbey as representatives of the pretrial detainee subclass. *Id.* ¶ 51. Plaintiffs no longer bring claims under California's Equal Pay Act., *cf. id.*, or seek to represent convicted jail inmates, *see id.* ¶ 50.

On August 14, 2020, County Defendants and Aramark filed the instant motions to dismiss. ECF Nos. 51, 52. Plaintiffs opposed both motions and County Defendants and Aramark replied. ECF Nos. 53, 54, 56, 57. The Court held a hearing on October 21, 2020.

II. JURISDICTION

As Plaintiffs make claims under 42 U.S.C. § 1983 and 18 U.S.C. § 1589, this Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1331. The Court has supplemental jurisdiction over Plaintiffs' state law claims under 28 U.S.C. § 1367.

III. LEGAL STANDARD

A complaint must contain "a short and plain statement of the claim showing that the pleader is entitled to relief," in order to "give the defendant fair notice of what the . . . claim is and the grounds upon which it rests." Fed. R. Civ. P. 8(a)(2); *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 555 (2007) (citation omitted). "To survive a motion to dismiss, a complaint must contain sufficient factual matter, accepted as true, to 'state a claim to relief that is plausible on its face." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (quoting *Twombly*, 550 U.S. at 570). "A claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw the

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reasonable inference that the defendant is liable for the misconduct alleged." *Id.* "Dismissal under Rule 12(b)(6) is appropriate only where the complaint lacks a cognizable legal theory or sufficient facts to support a cognizable legal theory." *Mendiondo v. Centinela Hosp. Med. Ctr.*, 521 F.3d 1097, 1104 (9th Cir. 2008). The Court must "accept all factual allegations in the complaint as true and construe the pleadings in the light most favorable to the nonmoving party." *Knievel v. ESPN*, 393 F.3d 1068, 1072 (9th Cir. 2005).

IV. DISCUSSION

County Defendants argue that Plaintiffs fail to state any claims under the California Labor Code, the TVPA, or 42 U.S.C. § 1983. They additionally argue that Plaintiffs Mebrahtu, Mason, and Nunez-Romero's claims are barred because this Court previously dismissed their claims without leave to amend, and that Plaintiff Abbey fails to state any claims against County Defendants. Aramark argues that Plaintiffs fail to state a claim under the TVPA, the California Labor Code, the UCL, or the Bane Act.

A. TVPA Claims

The pretrial and immigration detainee subclasses bring a claim under the TVPA against both County Defendants and Aramark. FAC \P 77-79. Plaintiffs allege that they "were and continue to be coerced to work without compensation under threat of physical punishment and restraint." *Id.* \P 78.

Subsection (a) of the TVPA imposes liability on primary offenders, or "[w]hoever knowingly provides or obtains the labor or services of a person" by one or a combination of the following four means:

- (1) by means of force, threats of force, physical restraint, or threats of physical restraint to that person or another person;
- (2) by means of serious harm or threats of serious harm to that person or another person;
- (3) by means of the abuse or threatened abuse of law or legal process; or
- (4) by means of any scheme, plan, or pattern intended to cause the person to believe that, if that person did not perform such labor or services, that person or another person would suffer serious harm or physical restraint[.]

18 U.S.C. § 1589(a)(1)-(4). Subsection (b) imposes liability on venture offenders, or any entity

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that "knowingly benefits, financially or by receiving anything of value, from participation in a venture which has engaged in" conduct prohibited by Subsection (a) where that entity knew or acted with "reckless disregard of the fact that the venture has engaged in" the prohibited conduct. *Id.* § 1589(b). Section 1595(a) authorizes civil remedies for violations of Section 1589. *Id.* § 1595(a).

Plaintiffs argue that County Defendants are primary offenders of the TVPA, ECF No. 53 at 13, and that Aramark is liable as both a primary and a venture offender, ECF No. 54 at 10-14. County Defendants move to dismiss the claim on the ground that the TVPA does not apply to public entities. ECF No. 51 at 21. Aramark moves to dismiss Plaintiffs' TVPA claim on the grounds that Plaintiffs do not have standing to sue Aramark, ECF No. 52 at 15, and that Plaintiffs failed to state a claim for either primary or venture liability under the TVPA, *id.* at 16.

1. Standing to Sue Aramark

Aramark argues that Plaintiffs lack standing to pursue a TVPA claim against Aramark because "not one Plaintiff alleges that he or she was personally threatened by or in the presence of any Aramark employee." ECF No. 52 at 14. Aramark relies on *O'Shea v. Littleton*, 414 U.S. 488 (1974), for support. There, the Supreme Court held that general allegations were insufficient to support standing because "[n]one of the named plaintiffs is identified as himself having suffered any injury in the manner specified." *O'Shea*, 414 U.S. at 495. Aramark asserts that no named Plaintiff personally claims to have been "threatened by or in the presence of an Aramark employee, much less that he or she involuntarily worked in the kitchen because of such threats." ECF No. 52 at 15. Accordingly, Aramark concludes, "Plaintiffs have failed to allege actual, particularized injury against Aramark." *Id*.

Plaintiffs argue that they have standing to bring their TVPA claim because, contrary to Aramark's assertions, each plaintiff need not "allege that he or she was personally threatened by or in the presence of an Aramark employee." ECF No. 54 at 9. Plaintiffs contend that their injury – "being trafficked through forced labor – is direct and particularized." *Id.* at 10.

Article III standing requires that a "plaintiff must have (1) suffered an injury in fact, (2) that is fairly traceable to the challenged conduct of the defendant, and (3) that is likely to be

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redressed by a favorable judicial decision." *Spokeo, Inc. v. Robins*, 136 S. Ct. 1540, 1547 (2016). "To establish injury in fact, a plaintiff must show that he or she suffered an invasion of a legally protected interest that is concrete and particularized and actual or imminent, not conjectural or hypothetical." *Id.* at 1548 (internal quotation marks and citations omitted). "The party invoking federal jurisdiction bears the burden of establishing these elements." *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 560 (1992). Accordingly, "each element must be supported . . . with the manner and degree of evidence required at the successive stages of litigation." *Id.*

Plaintiffs have standing to sue Aramark. Contrary to Aramark's argument, Plaintiffs need not plead that each of them "was *personally* threatened by or in the presence of an Aramark employee" to plead a particularized injury traceable to Aramark. *See* ECF No. 52 at 14 (emphasis in original). Rather, Plaintiffs need only show that they have "suffered an injury in fact," "traceable to the challenged conduct" and "likely to be redressed by a favorable judicial decision." *Spokeo*, 136 S. Ct. at 1547. Unlike *O'Shea*, where the Supreme Court found that "the claim against petitioners allege[d] injury only in the most general terms," 414 U.S. at 495, Plaintiffs allege that Aramark and County Defendants forced them to work without compensation, even when they were sick or injured, through threats of discipline that included solitary confinement. ECF No. 54 at 10; *see* FAC ¶¶ 31-33. Plaintiffs' injury is traceable to Aramark because Plaintiffs allege that Aramark coerced them into forced labor by threatening to report Plaintiffs to Sheriff's deputies who could place them in solitary confinement, FAC ¶ 31. Plaintiffs' injury is redressable by a favorable judicial decision if Aramark can be held liable as offenders under the TVPA. *See Lujan*, 504 U.S. at 560-61. The Court finds this sufficient to hold that Plaintiffs have standing. *See ids.; see also Spokeo*, 136 S. Ct. at 1547.

2. Primary Offender Liability

a. County Defendants

County Defendants assert that they cannot be held liable as primary offenders because governmental entities cannot be held liable under the TVPA. ECF No. 51 at 21. County Defendants rely on *Nuñag-Tanedo v. E. Baton Rouge Parish Sch. Bd.*, 2011 WL 13153190 (C.D. Cal. May 12, 2011), to support their argument. *Nuñag-Tanedo* held that "neither the term

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'perpetrator' nor the term 'whoever' extend to governmental entities under the TVPA." 2011 WL 13153190 at *12. Aramark also argues that County Defendants are not liable as primary offenders, and cites an Eleventh Circuit opinion, *Barrientos v. CoreCivic, Inc.*, 951 F.3d 1269 (11th Cir. 2020), for additional support. In *Barrientos*, the Eleventh Circuit read the TVPA by reference to the Dictionary Act, which defines "whoever" to "include corporations, companies, associations, firms, partnerships, societies, and joint stock companies, as well as individuals." 951 F.3d at 1277. Aramark contends that because both *Nuñag-Tanedo* and *Barrientos* interpreted the TVPA by reference to the Dictionary Act, this Court must also do so. ECF No. 57 at 11.

Plaintiffs respond that, as *Nuñag-Tanedo* acknowledged, there is no "hard and fast rule" excluding a sovereign from the definition of "person," and that *Nuñag-Tanedo*'s reading of the TVPA as excluding governmental entities runs counter to Supreme Court precedent. ECF No. 53 at 13. For support, Plaintiffs first cite *Int'l Primate Prot. League v. Adm'rs of Tulane Educ. Fund*, 500 U.S. 72, 83 (1991), in which the Supreme Court wrote, "[O]ur conventional reading of person may . . . be disregarded if the purpose, the subject matter, the context, the legislative history, or the executive interpretation of the statute indicate an intent, by the use of the term, to bring state or nation within the scope of the law." 500 U.S. at 83 (internal quotation marks, alterations, and citation omitted). Plaintiffs also cite *Monell v. Dep't of Soc. Servs. of City of New* York, 436 U.S. 658 (1978), in which the Supreme Court held that a municipal corporation falls under the "usual meaning of the word person," 436 U.S. at 688 (internal quotation marks omitted). ECF No. 53 at 13. Plaintiffs contend further that following *Nuñag-Tanedo* would "render the Supreme Court's instruction in *Int'l Primate Prot. League* meaningless" because it "would foreclose courts' future inquiry into whether the definition of person included the sovereign." *Id.* at 14.

Plaintiffs also point out that the TVPA was enacted to "implement the Thirteenth Amendment against slavery or involuntary servitude." *Id.* As such, given that counties may decidedly be liable for violations of the Thirteenth Amendment, they must also be liable under the statute that carries out its protections. *Id.* Finally, Plaintiffs argue that the TVPA's legislative history "demonstrates the legislature's wide-ranging contempt for trafficking," and "indicates that the legislature intended the TVPA to be enforceable against anyone – including municipalities –

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that violate its provisions." Id.

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The Court is persuaded by Plaintiffs' argument that finding municipalities immune from liability under the TVPA would contradict Supreme Court precedent. The Supreme Court instructed in *Int'l Primate Prot. League* to disregard the usual reading of "person" if "the purpose, the subject matter, the context, the legislative history, or the executive interpretation of the statute indicate an intent to bring state or nation within the scope of the law." 500 U.S. at 83 (internal quotation marks, alterations, and citation omitted). County Defendants' argument that municipalities do not fall within the TVPA's definition of "person" or "whoever" does not comport with this instruction given the purpose and context of the TVPA.

The Court first notes that the TVPA was enacted to implement the Thirteenth Amendment. See United States v. Toviave, 761 F.3d 623, 629 (6th Cir. 2014). The legislative history of the TVPA demonstrates Congress's contempt for human trafficking, and intent to bring all traffickers within the TVPA's ambit. ECF No. 53 at 14; H.R. Conf. Rep. 106-939, at 5-6 (2000) (deeming trafficking "an evil requiring concerted and vigorous action" and "involving grave violations of human rights"). Furthermore, Congress did not explicitly exclude municipalities from liability under the TVPA. See Monell v. Dep't of Soc. Servs. of City of New York, 436 U.S. at 688 (holding that a municipal corporation falls under the "usual meaning of the word person" (internal quotation marks omitted)). Defendants offer the Court no reason why Congress would have excluded the sovereign from liability, without doing so explicitly, in the context of a law that was intended to give "the highest priority to investigation and prosecution of trafficking offenses." H.R. Rep. 106-487(I), at 27 (1999).² And, as Plaintiffs rightly point out, it would be incongruous that a county could be liable for violations under the Thirteenth Amendment, Christie v. Iopa, 176 F.3d 1231, 1234 (9th Cir. 1999), yet be excluded from liability under the statute that implements that amendment's protections. Accordingly, the Court concludes that the context of the TVPA indicates an intent by Congress to bring the sovereign within the scope of the law. For these

² Barrientos v. CoreCivic, Inc. does not change the Court's analysis. In Barrientos, the Eleventh Circuit held that a for-profit government contractor could be held liable under the TVPA. See Barrientos, 951 F.3d at 1279-80. It did not address whether liability could lie against a governmental entity. See id.

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reasons, the Court finds that Plaintiffs may sue County Defendants as primary offenders under the TVPA, and denies County Defendants' motion to dismiss Plaintiffs' TVPA claim.

b. Aramark

Aramark argues that it cannot be liable as a primary offender because it "does not operate the Santa Rita Jail and therefore lacks the power to coerce inmates into the kitchen." ECF No. 52 at 8. Aramark asserts that because it does not have the authority to punish Plaintiffs, Plaintiffs cannot maintain a "claim of primary liability under Section 1589(a)." *Id.* at 16. Aramark notes that "Plaintiffs' sole new allegation for primary offender liability is that unspecified Aramark employees 'coerce' unspecified inmates 'by threatening to report them to Sheriff's deputies for punishment" for attempting to leave work early. *Id.* at 15 (citing FAC ¶ 33). Aramark contends that this allegation is conclusory and insufficient given that Aramark does not have the authority to punish Plaintiffs.

Plaintiffs respond that Aramark "perpetrates trafficking in violation of the TVPRA by forcing plaintiffs' labor under the threat that Aramark will report plaintiffs to Sheriff's deputies for punishment." ECF No. 54 at 10. For support, Plaintiffs cite *Owino v. CoreCivic, Inc.*, 2018 WL 2194644, at *24 (S.D. Cal. May 14, 2018), which held that plaintiffs alleging they were threatened with solitary confinement had stated a claim against defendants. Plaintiffs further contend that "[i]t is not necessary that the perpetrator have the means to impose the discipline," but rather, "[t]he threat to turn plaintiffs over to an authority for discipline that is an abuse of the law is sufficient." ECF No. 54 at 11. Plaintiffs rely on *Copeland v. C.A.A.I.R.*, 2019 WL 4307125, at *8 (N.D. Okla. Sept. 11, 2019), for this proposition.

The FAC alleges that "Aramark employees . . . coerce plaintiffs and other prisoner-employees to work by threatening to report them to the Sheriff's deputies for punishment if they attempt to leave work early due to illness or injury." FAC ¶ 33. The Court previously noted that unlike the defendants in *Owino*, Aramark does not operate the Santa Rita Jail, and thus, Aramark does not have the authority to punish or coerce Plaintiffs directly. ECF No. 46 at 7. However, Plaintiffs' new allegation that Aramark employees threaten to report Plaintiffs to Sheriff's deputies for punishment for attempting to leave work early when they are sick or injured, ECF No. 54 at

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10, is sufficient to show that Aramark could be a primary offender under the TVPA. Even though Aramark does not technically have the authority to place prisoner-employees in solitary confinement, Plaintiffs sufficiently allege that Aramark obtains Plaintiffs' labor by threats of physical restraint. That the physical restraint would be imposed by the County rather than Aramark does not change the analysis. Aramark employees' threats to report Plaintiffs to coerce them to work carry the same effect as if Sheriff's deputies made the threats; no matter who makes the threats, they lead to Plaintiffs providing labor for fear that they will be placed in solitary confinement. 18 U.S.C. § 1589(a)(1), (a)(4); *see Owino*, 2018 WL 2194644 at *24; *see also Lesnik v. Eisenmann SE*, 374 F. Supp. 3d 923, 952 (N.D. Cal. 2019) (threatened harm under the TVPA must be "serious enough to compel a reasonable person to perform labor to avoid the harm") (citation omitted).

Plaintiffs also rely on *Copeland*, which held that plaintiffs had alleged a TVPA claim because defendants' threats of incarceration "constitute[d] threatened abuse of law or legal process." 2019 WL 4307125 at *8. Here, Plaintiffs' allegation is similar in that Aramark threatens to report prisoner-employees to Sheriff's deputies – who have the power to put them in solitary confinement or impose lengthier sentences – for punishment if they refuse to work. FAC ¶ 31. Plaintiffs sufficiently allege that Aramark abused the legal process. The purpose of longer jail sentences and solitary confinement is not to force pretrial detainees to provide labor. Yet, Aramark threatened inmates that they could face punishments – which Plaintiffs understood to include solitary confinement and lengthier sentences – if they refused to work. 18 U.S.C. § 1589(a)(3); see Copeland, 2019 WL 4307125 at *8 ("Abuse or threatened abuse of law or legal process is defined as the use or threatened use of the law or legal process in any manner or for any purpose for which the law was not designed, in order to exert pressure on another person to cause that person to take some action or refrain from taking some action." (citing 22 U.S.C. § 7102(1)) (internal quotation marks omitted)). Accordingly, the Court finds that Plaintiffs have alleged primary offender liability under the TVPA against Aramark.

3. Venture Offender Liability

In addition to Aramark's primary offender liability, the Court finds that Plaintiffs have also

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sufficiently alleged that Aramark is a venture offender under the TVPA. *See Bistline v. Parker*, 918 F.3d 849, 871 (10th Cir. 2019).

Aramark argues that Plaintiffs cannot state a claim for venture liability under the TVPA because Section 1589(b) requires a primary offender and Plaintiffs have not stated a cognizable claim that County Defendants are primary offenders. ECF No. 52 at 16. Aramark further argues that Plaintiffs fail to allege the knowledge or reckless disregard required to state a venture liability claim under the TVPA. *Id.* Relying on *Noble v. Weinstein*, 335 F. Supp. 3d 504, 523-24 (S.D.N.Y. 2018), Aramark contends that liability under the TVPA "cannot be established by association alone," and that "specific conduct that furthered the forced labor venture" must be alleged. *Id.* at 16-17 (alterations omitted). Aramark notes that although the FAC alleges that Aramark employees "made or observed threats made by deputies, Plaintiffs do not identify a single Aramark employee by name or function who allegedly made or observed such threats, nor the substance of any such threats." *Id.* at 17. Without more, Aramark argues, such assertions "are entitled to no weight." *Id.* (citing *Iqbal*, 556 U.S. at 678).

Plaintiffs assert that Aramark is liable even absent an "overt act in furtherance of the venture." ECF No. 54 at 12. Plaintiffs cite a recent decision holding that a plaintiff seeking a civil remedy under Section 1595(a) "is not required to allege an overt act in furtherance of a . . . trafficking venture in order to sufficiently plead her section 1595 civil liability claim." *J.C. v. Choice Hotels Int'l, Inc.*, No. 20-cv-00155-WHO, 2020 WL 3035794, at *1, n.1 (N.D. Cal. Jun. 5, 2020). Plaintiffs assert that they "may bring their claim against Aramark without bringing their claim against the venture partner." ECF No. 54 at 12.

As explained above, the Court holds that County Defendants are liable as primary offenders under the TVPA. *See supra* IV.A.2.a. Thus, the only question left to resolve at this stage is whether Plaintiffs have pleaded sufficient facts to state that Aramark is liable as a venture offender. The Court finds that they have. Under the TVPA, "[w]hoever knowingly benefits, financially . . . from participation in a venture which has engaged in the providing or obtaining of labor or services by any means described in subsection (a), knowing or in reckless disregard of the fact that the venture has engaged in the providing or obtaining of labor or services by any of such

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means, shall be punished "18 U.S.C. § 1589(b). Plaintiffs allege that Aramark receives a financial benefit in the form of an "economic windfall as a result of the uncompensated labor of prisoners confined in Santa Rita Jail." *Id.* ¶ 38. And Aramark is involved with scheduling work days for prisoner employees and assigning them to their specific tasks. *See* FAC ¶ 27-28. Plaintiffs also allege that Aramark employees "observe the Sheriff's deputies' supervision of the prisoner-employees, including threats of force," and that Aramark employees themselves threaten to report employees to Sheriff's deputies for punishment. *Id.* ¶ 23, 32, 33. These facts are sufficient to plead that Aramark knowingly benefited financially from its participation in the venture with County Defendants. Aramark's observation of the threats demonstrates that it knew or should have known of County Defendants' threats of force, yet continued to participate in the venture and receive a financial benefit notwithstanding. *See Lesnik*, 374 F. Supp. 3d at 953 ("[G]iven [defendant's] direct involvement in every aspect of the events at issue, the Court finds that [defendant] knew or should have known of [primary offender's] treatment of its employees."). Accordingly, Aramark's motion to dismiss Plaintiffs' TVPA claim is denied.

B. Labor Code Claims

Plaintiffs make three California Labor Code claims: (1) failure to pay wages, Cal. Lab. Code §§ 201, 202, 218; (2) failure to pay minimum wage, *id.* § 1194; and (3) failure to pay overtime premium wages, *id.* FAC ¶¶ 91-101. Plaintiffs argue that they are entitled to wages under California Proposition 139, which Plaintiffs state, "mandated that counties 'operate and implement the program . . . by rules and regulations prescribed by . . . local ordinance." ECF No. 53 at 7 (quoting Cal. Const. art. XIV, § 5). Plaintiffs further assert that the Labor Code, rather than the Penal Code, controls Plaintiffs' claims. ECF No. 53 at 9; ECF No. 54 at 17-18. The Court concludes that although Proposition 139 does not support Plaintiffs' claim for wages, Plaintiffs have pleaded sufficient facts to state claims against County Defendants and Aramark under Labor Code Section 1194.

1. Proposition 139

Proposition 139 allowed for-profit entities to contract with state prisons and county jails for the purpose of using inmate labor. Proposition 139 authorized joint employment ventures with

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for-profit entities by amending the California Constitution to create prison work programs, which county jail programs implement through local ordinances. ECF No. 24-2 at 2; Cal. Const. art. 14, § 5.

County Defendants assert that Proposition 139 left individual municipalities to determine how to compensate inmates held and performing work in county jails, if at all. ECF No. 51 at 14. Thus, County Defendants conclude, Proposition 139 does not entitle Plaintiffs to wages because Alameda County has not adopted an ordinance or provision in its administrative code requiring wages be paid to county jail inmates performing work pursuant to contracts with private companies. Id. at 15. Aramark similarly argues that Proposition 139 "forecloses the wage claims of non-convicted jail inmates" because, as Aramark reads the proposition, "Plaintiffs' prayer is properly directed to the Alameda County Board of Supervisors – not to the federal court." ECF No. 52 at 20.

Plaintiffs assert that Proposition 139 mandated County Defendants to enact a local ordinance regarding the compensation of prisoner-employees before contracting with Aramark to provide inmate labor. Id. at 7-8. Consequently, Plaintiffs argue that County Defendants "eschewed the directive of the California Constitution" when it entered into a contract with Aramark "without a local ordinance." ECF No. 53 at 8.

Plaintiffs argue further that "in the absence of a local ordinance regulating the program," County Defendants and Aramark are bound by their contract to pay inmate workers wages. ECF No. 53 at 8; see ECF No. 55-3.³ The contract provides in pertinent part that

> [p]ursuant to Labor Code Sections 1770 et seq., [Aramark] shall pay to person performing labor in and about Work provided for in Contract not less than the general prevailing rate of per diem wages for work of a similar character in the locality in which the Work is performed, and not less than the general prevailing rate of per diem wages for legal holiday and overtime work in said locality

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³ Plaintiffs filed an unopposed request for judicial notice of the contract between Aramark and County Defendants, ECF No. 55-3 at 2, which the Court grants because the contract is a matter of public record. See Revn's Pasta Bella, LLC v. Visa USA, Inc., 442 F.3d 742, 746 n.6 (9th Cir. 2006).

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ECF No. 55-3 at 10-11. Because County Defendants and Aramark's contract contains a clause binding Aramark to pay for work performed, Plaintiffs argue that the Court should construe this contract as a "local ordinance" pursuant to Article 14.

The Court does not find the contract between Aramark and County Defendants to be a "local ordinance" under Article 14. Nowhere in Proposition 139 or the California Constitution does it state that a contract can function as an ordinance in the absence of a local ordinance governing county jail programs, and Plaintiffs cite no authority to support the position that it can.⁴ In addition, County Defendants were not mandated by Proposition 139 to enact a local ordinance before entering a joint employment venture with Aramark. Plaintiffs cite no authority to support their theory that such an ordinance is required, and the Court declines to read such a mandate into Proposition 139. The Court therefore concludes that Proposition 139 does not provide Plaintiffs with a right to compensation.

However, the Court also concludes that Proposition 139 does not preclude wage claims under the Labor Code in all circumstances. Aramark argues that Proposition 139 gave local governments the exclusive authority to determine wages for people incarcerated in county jails – including pretrial detainees. Defendants reason that Plaintiffs therefore can be forced to work for Aramark without wages because no local ordinance prohibits this arrangement. But the Court does not interpret Proposition 139 to require that conclusion or to preclude application of the Labor Code to Plaintiffs.

Proposition 139 makes no mention of pretrial detainees. It also does not address wages for incarcerated individuals who reside in a jail that is not governed by a local ordinance prescribing or prohibiting conditions for joint venture contracts between jails and private companies.

Proposition 139 therefore does not address the circumstances at hand. If anything, the text of Proposition 139 – and specifically its requirements that (1) individuals incarcerated in state prisons working for a private company be paid and (2) inmate labor not replace non-incarcerated

⁴ Unlike *Vasquez v. State of California*, 105 Cal. App. 4th 849 (2003), on which Plaintiffs rely, Plaintiffs do not bring claims to compel County Defendants or Aramark to pay prevailing wages under their contract. The Court therefore does not consider whether Defendants have fulfilled

their prevailing wage obligations under the contract.

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individuals on strike – supports a finding that the voters intended non-convicted individuals incarcerated in county jails working for private companies be paid for their labor. *See* Cal. Penal Code § 2717.8.

Proposition 139 provides individuals incarcerated in state prison working for private companies – who have been convicted of crimes, unlike the detainees at issue here – default wages comparable to "non-inmate employees in similar work." Cal. Penal Code § 2717.8. Although these wages are subject to certain deductions, those deductions could "not, in the aggregate, exceed 80 percent of gross wages." *Id.* Proposition 139 also amended the California Constitution to prohibit jails or prisons from entering a contract with an employer that would "initiate employment by inmates in the same job classification as non-inmate employees of the same employer who are on strike." Cal. Const. Art. 14 § 5(b). The text of Proposition 139 therefore reflects a careful balance of interests, including the interest of protecting jobs that would otherwise go to non-incarcerated individuals. It is clear in context that the purpose of Proposition 139's wage provision is not only to benefit incarcerated individuals, but also to ensure that private corporations could not replace a non-incarcerated workforce with free labor from the county jail. The balance of interests reflected in Proposition 139 is inconsistent with an arrangement that authorizes incarcerated individuals – including non-convicted detainees – to be forced to work for a private company without wages, and it certainly does not require that result.

2. California Penal Code

County Defendants advance three arguments for why Plaintiffs' claims for unpaid wages lie in the Penal Code as opposed to the Labor Code. ECF No. 51 at 15-18. First, County Defendants contend that the Labor Code does not apply in light of the "comprehensive statutory scheme" governing inmate conditions, as laid out in the California Penal Code. ECF No. 51 at 15. County Defendants argue that "[n]either the California Constitution nor Title 4 of the Penal Code provides any rights for inmates of county jails to receive wages for the work performed while incarcerated." *Id.* at 16. For support, County Defendants direct the Court to the legislative context of the Penal Code. *See id.* Specifically, County Defendants argue that the California legislature has "addressed the availability of compensation [for] county jail inmates involved in work

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programs through the use of credits and reductions in sentences . . . for satisfactorily performing labor as required by the Sheriff' in Section 4019(b) of the Penal Code. *Id.* Section 4019(b) states, in pertinent part, that

"for each four-day period in which a prisoner is confined in or committed to a facility as specified in this section, one day shall be deducted from the prisoner's period of confinement unless it appears by the record that the prisoner has refused to satisfactorily perform labor as assigned by the sheriff, chief of police, or superintendent of an industrial farm or road camp."

Cal. Penal Code § 4019(b). County Defendants assert that by enacting the Penal Code, the legislature has addressed the issue of compensation for all inmates and has decided not to provide wages. ECF No. 51 at 24.

Second, County Defendants assert that the Labor Code addresses inmates solely in the context of workers' compensation, ECF No. 51 at 17 (citing Cal. Lab. Code § 3370, et seq.), and that the Labor Code "otherwise conflicts with . . . the Penal Code." *Id.* at 17-18. For example, County Defendants point out that the Penal Code allows state prison inmates to be paid below the minimum wage and classifies paid inmate work as a privilege, not a right. *Id.* County Defendants read this to mean that "the Labor Code applies exclusively to non-incarcerated persons, and the Penal Code applies exclusively to incarcerated persons, except in the sole context of workers' compensation laws." *Id.* at 18. County Defendants argue further that the same scheme applies to detainees awaiting immigration proceedings because Section 4005(a) of the Penal Code states that "[t]he sheriff shall receive, and keep in the county jail, any prisoner committed thereto by process or order issued under the authority of the United States" Cal. Penal Code § 4005(a). *Id.*

Aramark similarly contends that the "Penal Code does not authorize non-convicted county jail inmates to recover under the Labor Code." ECF No. 52 at 21. Aramark argues that the Court's prior observation that relevant portions of the Penal Code are inconsistent with the Labor Code applies "not only to convicted inmates – but to all Santa Rita Jail inmates, including the non-convicted inmates" *Id.* Because the legislature made no distinction between convicted and non-convicted inmates when enacting the Penal Code's provisions pertaining to county jails, Aramark argues, the Penal Code necessarily applies to all inmates, convicted or not. *Id.* at 22.

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Finally, County Defendants, as well as Aramark, assert that prisoners are not considered employees under federal law. County Defendants primarily cite *Hale v. Arizona*, 993 F.2d 1387 (9th Cir. 1993), in support of this argument, *id.* at 19-20, which held that there was no employer-employee relationship between state prisoners and the state under the economic realities test of the Federal Labor Standards Act ("FLSA"). 993 F.2d at 1395. Aramark similarly argues that this case is analogous to cases decided under the FLSA insofar as "[Plaintiffs'] liberty is lawfully constrained during their incarceration and they are not able to participate freely in the labor market, but their standard of living is provided for"; thus, pretrial detainees cannot be considered employees under the Labor Code. *Id.* at 22-23.

Plaintiffs respond that the Penal Code does not control and is not adverse to their Labor Code claims and that Plaintiffs may not be held under conditions that violate the Constitution. ECF No. 53 at 9 (citing ECF No. 46 at 20); ECF No. 54 at 17. Plaintiffs assert that pretrial detainees cannot be forced to perform uncompensated work under threat, and therefore, "any labor referenced in the Penal Code as it applies to pretrial detainees cannot mean forced uncompensated labor for a private company." ECF No. 53 at 9. Plaintiffs further argue that nothing precludes them from the protections of the Labor Code and that requiring they be paid for work performed for a private contractor "does not offend any provision of the Penal Code or Labor Code." ECF No. 53 at 10.

In opposing Aramark, Plaintiffs argue that "[i]f for no other reason than their contract demands it, Aramark must pay pretrial detainees." ECF No. 54 at 17. Plaintiffs also ask the Court to disregard cases that construe the FLSA to exclude pretrial detainees because this case is not governed by federal labor law, but California labor law. *Id.* (citing *Owino v. CoreCivic, Inc.*, 2018 WL 2194644, at *24 (S.D. Cal. May 14, 2018)). In reply, Aramark argues that *Owino* is inapposite because the plaintiffs were civil immigration detainees "subject not to the California Penal Code, but to ICE regulations stating that detainees could only perform basic housekeeping tasks." ECF No. 57 at 16. Aramark argues that a better comparison is to *Villarreal v. Woodham*, 113 F.3d 202 (11th Cir. 1997). In *Villarreal*, the court held that pretrial detainees were not entitled to wages under the FLSA because correctional facilities provide pretrial detainees with

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"everyday needs such as food, shelter, and clothing." 113 F.3d at 206-07. Aramark does not ask the Court to apply the FLSA's economic realities test, but rather, to apply the same reasoning as *Villarreal* in finding that Plaintiffs are not employees. ECF No. 57 at 16.

Defendants advance unpersuasive arguments for why the Penal Code and the Labor Code are mutually exclusive. Contrary to County Defendants' argument, nothing in the statutory scheme governing the conditions of inmates indicates that the Labor Code excludes Plaintiffs, nor that the Penal Code governs Plaintiffs. For example, insofar as the Labor Code addresses inmates, it only discusses state prison inmates, without reference to county jail inmates. See, e.g., Cal. Lab. Code §§ 3370, 6304.2. As this Court noted in its previous order, the Penal Code presumes that the Labor Code does not apply to convicted state prison inmates unless specifically indicated. ECF No. 46 at 19; see Cal. Penal Code § 2811 ("[I]n no event shall [state prisoner compensation] exceed one-half the minimum wage provided in Section 1182 of the Labor Code, except as otherwise provided in this code.") (emphasis added). However, while the Penal Code explicitly addresses employment and wages of state prisoners, both in relation to the minimum wage, see, e.g., id., and in the context of incarcerated individuals working for a private company through a joint venture program, see id. § 2717.8, the Penal Code does not address such matters for pretrial detainees confined in county jails, see id. § 4000, et seq. Similarly, although the Penal Code authorizes "the board of supervisors or city council" to require "[a]ll persons confined in the county jail . . . under a final judgment of imprisonment rendered in a criminal action or proceeding . . . to perform labor on the public works or ways in the county or city," there is no similar provision regarding non-convicted incarcerated persons. Id. § 4017. The Court reads these omissions to imply that the California legislature did not intend to exclude non-convicted detainees working for a private corporation from the Labor Code's protections.

Cases involving claims under the FLSA do not determine the outcome here because, as Plaintiffs note, this case is governed by California labor law rather than federal labor law. *See Owino*, 2018 WL 2194644, at *24 ("The defect in Defendant's argument is that California's employment definition is explicitly different from FLSA's economic reality test. The California Supreme Court cannot be much clearer when it said '[i]n no sense is the IWC's definition of the

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term 'employ' based on federal law."") (citations omitted). Furthermore, though this case involves a "custodial relationship" similar to the relationship described in *Villarreal*, 113 F.3d at 206, there are important distinctions. In *Villarreal*, the court noted that the FLSA did not apply because "the labor produce[d] goods and services utilized by the prison." 113 F.3d at 207 (citation omitted). Here, Aramark, a private party, sells the goods that inmates produce to third parties outside of Alameda County. FAC ¶ 1. Thus, it cannot be said that the workers have been "taken out of the national economy" as they were in *Villarreal*. See 113 F.3d at 207 (quoting *Danneskjold v. Hausrath*, 82 F.3d 37, 42-43 (2d Cir. 1996)). The Court therefore declines to hold that this case is analogous to FLSA cases.

The fact that Plaintiffs were working for the benefit of Aramark, rather than to provide goods and services utilized by the prison or "on the public works or ways in the county," also supports the Court's conclusion that the Penal Code does not foreclose Plaintiffs' Labor Code claims. See Cal. Penal Code § 4017 ("persons confined in the county jail . . . under a final judgment of imprisonment rendered in a criminal action . . . may be required by an order of the board of supervisors or city council to perform labor on the public works or ways in the county" (emphasis added)). And as Aramark acknowledges in its motion, "[w]ith respect to the payment of wages . . . Proposition 139 only amended . . . the Penal Code relating to state prisons," rather than county jails. ECF No. 51 at 14. As discussed above, when the voters saw fit to allow joint venture programs between state prisons and private companies, it was with the understanding that incarcerated individuals would receive wages comparable to non-incarcerated employees of the private company, subject to certain deductions by the prison. See Cal. Penal Code § 2717.8. As a reflection of that mandate from the voters, the Penal Code distinguishes wages earned by incarcerated individuals working for a private company from wages earned by incarcerated individuals working for the Prison Industry Authority. Compare id. with id. §§ 2700, 2811. The

The other cases that County Defendants and Aramark cite are similar to *Villarreal* insofar as plaintiffs there were taken out of the national economy. In *Tourscher v. McCullough*, 184 F.3d 236, 243 (3d Cir. 1999), the economic reality was such that plaintiff's labor "did not compete with private employers." In *Hale*, where the labor was pursuant to mandated state prison work programs, the court held that the plaintiff's labor "belonged to the institution." 993 F.2d at 1395.

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Penal Code was not similarly amended as to county jails because Proposition 139 left wages to be determined by local ordinance. The Penal Code therefore does not give any guidance regarding the wages owed to non-convicted detainees working for a private company in a county jail and cannot be read to preclude this population from the protections of the Labor Code.

In the absence of regulation from the Penal Code or any relevant local ordinance, the Court concludes that Plaintiffs – non-convicted detainees working for a private company that sells the goods produced by Plaintiffs to third parties outside of Alameda County – are entitled to the protections of the Labor Code.

3. Failure to Pay Wages (Labor Code Sections 201 and 202)⁶

The Court now considers whether Plaintiffs allege claims under Sections 201 and 202 of the Labor Code. The Court will deny Plaintiffs' Section 201 and 202 claims for failure to state a claim.

Aramark argues that Plaintiffs do not allege facts sufficient to demonstrate that they are entitled to wages under Sections 201 and 202 because those sections are "facially inapplicable." ECF No. 52 at 26. Aramark asserts that "Sections 201 and 202 require that employers pay

⁶ In its prior order, the Court denied the Defendants' motions to dismiss Plaintiffs' claim for failure to pay wages as made by non-convicted Plaintiffs because "Defendants ma[d]e no arguments as to why, despite [the Thirteenth Amendment prohibition on involuntary servitude], pretrial detainees are not entitled to wages or Labor Code protections." ECF No. 46. County Defendants now argue that pretrial detainees are not entitled to wages under either the Labor Code or the Thirteenth Amendment. ECF No. 51 at 25. Aramark also argues that the Thirteenth Amendment does not establish a right to wages, and that the Labor Code was not enacted to implement the Thirteenth Amendment. ECF No. 52 at 21. The Court recognizes that Plaintiffs allege both forced labor claims (under the TVPA and the Thirteenth Amendment) and uncompensated labor claims (under the Labor Code), and that Plaintiffs argue that unpaid wages is an appropriate remedy under the Thirteenth Amendment. The Court addresses Parties' arguments regarding Plaintiffs' Thirteenth Amendment claim below, see infra IV.C.1, but need not address the Thirteenth Amendment in the context of Plaintiffs' Labor Code claims. The Court also need not reach Plaintiffs' prayer for relief – which is a remedy, not a claim – at this stage. Fed. R. Civ. P. 12(b)(6); see Mecum v. Wells Fargo Bank, N.A., No. C15-1302JLR, 2016 WL 1047435, at *5 (W.D. Wash, Mar. 9, 2016) ("Because a prayer for relief is a remedy and not a claim, a Rule 12(b)(6) motion to dismiss for failure to state a claim is not a proper vehicle to challenge the requested relief."); Monaco v. Liberty Life Assur. Co., No. C06-07021 MJJ, 2007 WL 420139, at *6 (N.D. Cal. Feb. 6, 2007) (holding that "a complaint is not subject to a motion to dismiss for failure to state a claim under Rule 12(b)(6) because the prayer seeks relief that is not recoverable as a matter of law" (emphasis omitted)).

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employees within a certain amount of time upon separation of employment," and since Plaintiffs do not allege that they resigned or were terminated from the kitchen, the claims should be dismissed. *Id.* Aramark and Plaintiffs both rely on *Ambriz v. Coca Cola Co.*, No. 13-cv-03539-JST, 2013 WL 5947010, at *7 (N.D. Cal. Nov. 5, 2013), which denied a defendant's motion to dismiss plaintiffs' failure to pay final wages claim. Aramark asks the Court to follow *Ambriz* insofar as some form of termination or resignation must have occurred for Plaintiffs to have valid Section 201 and 202 claims. ECF No. 52 at 26 (citing *Ambriz*, 2013 WL 5947010, at *7). Plaintiffs, on the other hand, read *Ambriz* to support the proposition that whether Plaintiffs were terminated or resigned is "immaterial" because "the time to pay plaintiffs unpaid earned wages has passed under any . . . circumstance." ECF No. 54 at 23.

The Court concludes that Plaintiffs have not pleaded sufficient facts to state a claim under either Section 201 or Section 202. Plaintiffs do not allege that they resigned or were terminated, or when. The approximate dates of employment listed in the FAC, *see* FAC ¶¶ 45, 47, 48, without more, are insufficient to show resignation or termination under Sections 201 and 202. Plaintiffs have not alleged any facts regarding how or why their employment ended, and the Court cannot conclude whether a plaintiff resigned or was terminated merely from a date. The Court also observes that the notion that an inmate could "resign" is incompatible with Plaintiffs' allegations that inmates were forced to work. The Court therefore dismisses Plaintiff's Section 201 and 202 failure to pay wages claim with leave to amend. *Doe v. United States*, 58 F.3d 494, 497 (9th Cir. 1995) ("a district court should grant leave to amend . . . unless it determines that the pleading could not possibly be cured by the allegation of other facts") (citation omitted).

4. Failure to Pay Minimum and Overtime Wages (Section 1194 Claims)

Aramark contends that even if Plaintiffs can avail themselves of the Labor Code, Plaintiffs have failed to state any Labor Code claims against Aramark because Plaintiffs fail to allege an employment relationship with Aramark, ECF No. 52 at 24. In *Martinez*, the California Supreme Court held that the Industrial Welfare Commission's ("IWC") wage orders define an "employer" as a person who "directly or indirectly, or through an agent or any other person, employs or exercises control over the wages, hours, or working conditions of any person." 49 Cal. 4th at 52,

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71, 109 (quoting Wage Order No. 14) (emphasis omitted). Pursuant to the IWC, "employ" is defined to mean "to engage, suffer, or permit to work." *Id.* at 57 (quoting Wage Order No. 14). "To employ, then, under the IWC's definition, has three alternative definitions. It means: (a) to exercise control over the wages, hours or working conditions, *or* (b) to suffer or permit to work, *or* (c) to engage, thereby creating a common law employment relationship." *Id.* at 64. "Any of the three is sufficient to create an employment relationship." *Ochoa v. McDonald's Corp.*, 133 F. Supp. 3d 1228, 1233 (N.D. Cal. 2015). "While [the] plaintiff is not required to conclusively establish that defendants [a]re her joint employers at the pleading stage, [the] plaintiff must at least allege some facts in support of this legal conclusion." *Johnson v. Serenity Transp., Inc.*, 141 F. Supp. 3d 974, 988 (N.D. Cal. 2015) (quoting *Hibbs-Rines v. Seagate Techs., LLC*, No. 08-cv-05430-SI, 2009 WL 513496, at *5 (N.D. Cal. Mar. 2, 2009)).

The Court finds that Plaintiffs have alleged an employment relationship with Aramark under the first *Martinez* prong. Under that prong, "control over 'any one of the three aspects – wages, hours, or working conditions – is sufficient to impute employer liability under California wage and hour law." *Haralson v. United Airlines, Inc.*, 224 F. Supp. 3d 928, 939 (N.D. Cal. 2016) (quoting *Torries v. Air to Ground Servs., Inc.*, 300 F.R.D. 386, 395 (C.D. Cal. 2014)). "Supervision of the work, in the specific sense of exercising control over how services are performed, is properly viewed as one of the 'working conditions' mentioned in the wage order." *Martinez*, 49 Cal. 4th at 76. However, a "single conclusory allegation . . . that [the plaintiff] was supervised and/or managed by [defendant] employers" is not sufficient to support an inference of control. *Haralson*, 224 F. Supp. 3d at 939-40.

Plaintiffs allege the following: "Aramark employees and County of Alameda Sheriff's deputies both supervise prisoner-employees to make sure they do not violate safety rules. Aramark employees supervise the quality and amount of work that prisoners accomplish. Aramark employees also supervise prisoner-employee conduct and report misconduct to the deputies for discipline." FAC ¶ 24. Plaintiffs further allege that "Aramark establishes quotas for prisoners that dictate how much work prisoners must complete before their shift ends." *Id.* ¶ 25. Plaintiffs also allege that "if Aramark is displeased with a prisoner-employee, it can tell the

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County that the prisoner-employee may not return to work for Aramark." *Id.* ¶ 26. In its previous order, the Court found that Plaintiffs' threadbare allegation that Aramark supervised Plaintiffs was insufficient to allege any control by Aramark over their work conditions. ECF No. 46 at 21. However, Plaintiffs' new allegations demonstrate that Aramark dictates the length of prisoner-employees' shifts, and that Aramark can effectively terminate them if Aramark is dissatisfied with them. Plaintiffs thus allege sufficient facts to show that Aramark exercises some control over Plaintiffs' working conditions. *See Johnson v. Serenity Transportation, Inc.*, No. 15-cv-02004-JSC, 2016 WL 270952, at *16 (N.D. Cal. Jan. 22, 2016) (holding that defendant's removal of truck drivers from their work route effectively removed the drivers from employment, sufficient to show that defendant exercised control over the drivers' working conditions). Therefore, the allegations support a finding of an employment relationship under the first prong of *Martinez*. Accordingly, the Court denies Aramark's motion to dismiss Plaintiffs' Section 1194 claims for failure to pay minimum wage and overtime.

The Court need not consider whether Plaintiffs have asserted an employment relationship with County Defendants under the first prong of *Martinez* because, as the Court held in its previous order, Plaintiffs' allegations support a finding of an employment relationship under *Martinez*'s second prong. *See* ECF No. 46 at 23. Under *Martinez*'s second prong – to suffer or permit to work – "the basis of liability is defendant's knowledge of and *failure to prevent* the work from occurring." 49 Cal. 4th at 70 (emphasis in original). In *Martinez*, defendants did not have the power to prevent the plaintiffs from working because a third party "had the exclusive power to hire and fire [the] workers, to set their wages and hours, and to tell them when and where to report to work." *Id.* As this Court explained in its prior order, every aspect of Plaintiffs' lives was or is controlled by the County, the Sheriff, and their agents. ECF No. 46 at 23. Plaintiffs allege that Sheriff's deputies force them to work and "threaten to terminate prisoners' employment if they need to take a sick day or are injured." FAC ¶ 31. This is sufficient to demonstrate that the County Defendants suffer or permit the Plaintiffs to work. Thus, Plaintiffs have sufficiently alleged that they were employed by County Defendants for the purposes of Section 1194.

Finally, County Defendants assert that the Section 1194 claim for unpaid overtime fails

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because state law regulations "exempt employees of the State or any political subdivision thereof, including any city, county, or special district from the overtime requirements of the Labor Code." ECF No. 51 at 18 (citing 8 Cal. Code of Regulations § 11010). Plaintiffs make no argument to the contrary. Because County Defendants are exempt from the state overtime laws, 8 Cal. Code of Regulations § 11010, their motion to dismiss Plaintiffs' Section 1194 claim for failure to pay overtime wages is granted. Dismissal is without leave to amend because amendment would be futile. *See Salameh v. Tarsadia Hotel*, 726 F.3d 1124, 1133 (9th Cir. 2013).

For the foregoing reasons, Plaintiffs have alleged an employment relationship with all Defendants. Therefore, the Court denies Aramark's motion to dismiss Plaintiffs' Section 1194 claims for failure to pay minimum wage and overtime wages. The Court denies County Defendants' motion to dismiss Plaintiffs' Section 1194 claim for failure to pay minimum wage, but grants County Defendants' motion to dismiss Plaintiffs' Section 1194 claim for failure to pay overtime wages because state entities are exempt from state overtime laws.

C. Claims Under 42 U.S.C. § 1983

County Defendants submit that Plaintiffs' claims under Section 1983 fail because they are premised on the fact that Plaintiffs are entitled to compensation for work performed in Santa Rita Jail, which, County Defendants argue, they are not. ECF No. 51 at 23.

42 U.S.C. § 1983 provides:

Every person who, under color of any statute, ordinance, regulation, custom, or usage, . . . subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress

To state a claim under Section 1983, a plaintiff must allege two elements: (1) that a right secured by the Constitution or laws of the United States was violated; and (2) that the violation was committed by a person acting under the color of state law. *West v. Atkins*, 487 U.S. 42, 48 (1988). Liability under Section 1983 "arises only upon a showing of personal participation by the defendant." *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989) (citations omitted). Respondent superior liability does not lie in Section 1983; a supervisor is only liable under Section 1983 "if

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the supervisor participated in or directed the violations, or knew of the violations and failed to act to prevent them." *Id.*

1. Thirteenth Amendment Claim

The Thirteenth Amendment provides: "Neither slavery nor involuntary servitude, except as punishment for crime whereof the party shall have been duly convicted, shall exist within the United States, or any place subject to their jurisdiction." U.S. Const. amend. XIII, § 1. Plaintiffs who have not been convicted of crimes are protected by the Thirteenth Amendment's prohibition against involuntary servitude. *See McGarry v. Pallito*, 687 F.3d 505, 511 (2d Cir. 2012).

County Defendants contend that Plaintiffs' Thirteenth Amendment claim fails because they have no right to compensation for work performed in Santa Rita Jail. ECF No. 51 at 23-24. County Defendants argue that all Plaintiffs – pretrial detainees and immigration detainees included – "are governed by Title 4 of the California Penal Code" *Id.* at 24. County Defendants conclude that because Plaintiffs cannot be compensated for their labor, they are, "like convicted criminals," not entitled to the Thirteenth Amendment's protection from involuntary servitude. *Id.* at 25.

Plaintiffs respond that Section 1983 authorizes damages against County Defendants for violating Plaintiffs' Thirteenth Amendment rights. ECF No. 53 at 15. Plaintiffs argue that they may seek compensatory, nominal, and punitive damages in the form of wages under the Thirteenth Amendment because "[w]ages are suitable compensatory damages for forced labor," and "[d]efendants cite no contrary case law." *Id*.

As the Court noted above, the issue of remedies for any violation of the Thirteenth Amendment is not before the Court at this stage of the proceedings. *See supra* IV.B.3 n.6. At this stage, the Court need only resolve whether Plaintiffs have sufficiently pleaded a Thirteenth Amendment claim against County Defendants under Section 1983.

Plaintiffs allege that County Defendants force them to work before they have been convicted or while awaiting immigration proceedings. FAC ¶¶ 51, 53, 75, 76. Rather than refute this allegation, County Defendants argue that Plaintiffs have not stated a Thirteenth Amendment claim because they are not entitled to payment for their labor and the Thirteenth Amendment

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therefore does not apply. ECF No. 51 at 23-24. As the Court noted, claims of unpaid labor are distinct from claims of forced labor. See supra IV.B.3 n.6. In support of their forced labor claims, Plaintiffs allege that County Defendants forced them to work under the threat of punishment, including lengthier sentences and solitary confinement. This allegation is sufficient to plead that County Defendants have violated Plaintiffs' Thirteenth Amendment rights, and Plaintiffs therefore satisfy the first element of a Section 1983 claim. See Atkins, 487 U.S. at 48. Plaintiffs have also sufficiently pleaded that the persons who violated their rights were acting under color of state law, as County Defendants are governmental entities who were acting under state law as the administrators of Santa Rita Jail. Id. As such, County Defendants' motion to dismiss Plaintiffs' Thirteenth Amendment claim is denied.⁷

2. **Fourteenth Amendment Claims**

The Fourteenth Amendment provides that "[n]o State shall . . . deprive any person of life, liberty or property, without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws." U.S. Const. amend. XIV, § 1.

a. **Equal Protection Claim**

The women prisoner subclass alleges a violation of the Fourteenth Amendment's equal protection clause against County Defendants. FAC ¶ 80-84. County Defendants move to dismiss on the ground that the FAC does not allege that the denial of out of cell time caused any plaintiff any injury.8 ECF No. 51 at 25. According to County Defendants, "the generic statement that out of cell time is crucial for the physical and mental health of prisoners is wholly insufficient to state

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⁷ Plaintiffs have not brought a Thirteenth Amendment claim against Aramark. Nonetheless, Plaintiffs argue that Aramark violated the Thirteenth Amendment and that "the gravamen of [Plaintiffs'] complaint puts Aramark on notice that they . . . have violated plaintiffs' right to be free from forced labor." ECF No. 54 at 17. Plaintiffs request leave by the Court to add a Section 1983 claim against Aramark for violating the Thirteenth Amendment. Id. The Court grants Plaintiffs' request. See Topadzhikyan v. Glendale Police Dep't, 2010 WL 2740163, at *3 n.1 (C.D. Cal. May 21, 2010) (plaintiff was permitted to add new claims where the court granted leave to amend without limitation in its dismissal order).

⁸ County Defendants also argue that the women prisoner subclass was treated "exactly the same as the male inmates," ECF No. 51 at 25, insofar as all of them received no compensation. This point is irrelevant to Plaintiffs' equal protection claim, which is based on the difference in out of cell time.

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a claim for injury" under Section 1983. *Id.* County Defendants further argue that Plaintiffs have alleged no facts suggesting that "the Sheriff personally deprived any Plaintiff of any out of cell time." *Id.* at 26.

To prevail on an equal protection claim, plaintiffs "must allege facts plausibly showing that the defendants acted with an intent or purpose to discriminate against them based upon membership in a protected class." *Hartmann v. Cal. Dep't of Corr. & Rehab.*, 707 F.3d 1114, 1123 (9th Cir. 2013). Supervisory liability exists under Section 1983 where the supervisor is either personally involved in the constitutional deprivation or there is a sufficient causal connection between the supervisor's wrongful conduct and the constitutional violation. *Rodriguez v. Cnty. of Los Angeles*, 891 F.3d 776, 798 (9th Cir. 2018).

County Defendants misunderstand the question, which is not whether the denial of out-of-cell time *caused* the Women Subclass injury but *whether the denial itself was the injury*. "When the government erects a barrier that makes it more difficult for members of one group to obtain a benefit than it is for members of another group . . . [t]he 'injury in fact' in an equal protection case . . . is the denial of equal treatment resulting from the imposition of the barrier[.]" *Ne. Fla.*Chapter of Associated Gen. Contractors of Am. v. City of Jacksonville, Fla., 508 U.S. 656, 666, (1993). Similarly here, the injury for equal protection purposes is not the effect of the loss of out-of-cell time, but rather the fact that such time has been taken away in the first place on the basis of gender. See Coal. for Econ. Equity v. Wilson, 122 F.3d 692, 704 (9th Cir. 1997), as amended on denial of reh'g and reh'g en banc (Aug. 21, 1997), as amended (Aug. 26, 1997) ("Where a state denies someone a job, an education, or a seat on the bus because of her race or gender, the injury to that individual is clear.") The Court finds that Plaintiffs have stated an injury insofar as the County Defendants' practices deprive women prisoners of equal time outside their cells on the basis of gender, and out of cell time positively affects their physical and mental health. See FAC ¶

⁹ In any event, it cannot seriously be argued that out-of-cell time is not valuable to prisoners. *See, e.g., Ashker v. Newsom,* 968 F.3d 939, 942 (9th Cir. 2020) (class member prisoners alleging violation of agreement to provide out-of-cell time); *Salvador Venegas v. Stan Sniff,* No. 5:18-cv-02293-JLS(SHK), 2020 WL 6723353, at *8 (C.D. Cal. Sept. 8, 2020) (ordering defendant county to provide jail inmate with a specific amount of out-of-cell time per week).

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Plaintiffs have also alleged sufficient facts to show that the Sheriff personally participated in the arrangement that treats women unequally. *See* FAC ¶¶ 27, 37, 52, 81, 84. For example, Plaintiffs specifically allege that Sheriff Ahern, along with other Defendants, "divide the work day so that male prisoners are assigned to longer, daytime shifts, and female prisoners are assigned to shorter, nighttime shifts." FAC ¶ 27. The Court finds that this allegation is sufficient to plead that Sheriff Ahern personally participated in the constitutional violation. *See Rodriguez*, 891 F.3d at 798. Accordingly, County Defendants' motion to dismiss the women prisoner sub-class's equal protection claim is denied.

b. Procedural Due Process Claim

"[S]ome kind of hearing is required" under the Fourteenth Amendment before the state may deprive a person of his or her property. *Parratt v. Taylor*, 451 U.S. 527, 540 (1981), *overruled in part on other grounds*, *Daniels v. Williams*, 474 U.S. 327, 330-31 (1986).

Plaintiffs allege that County Defendants failed to provide them due process by denying them wages without a meaningful opportunity to be heard beforehand. FAC ¶¶ 36, 85-90. County Defendants move to dismiss on the ground that Plaintiffs have no protected property interest in wages for work performed in the Santa Rita jail kitchen. ECF No. 51 at 27. County Defendants rely on *Voris v. Lampert*, 7 Cal. 5th 1141 (2019) to support their argument.

Plaintiffs contend that inmates "working for private companies whose wages are governed by statute, in this case the California Labor Code, are entitled to due process before being denied wages for work that they have performed." ECF No. 53 at 16. Plaintiffs cite *Piatt v. MacDougall*, 773 F.2d 1032 (9th Cir. 1985) for support and argue that *Voris* is inapplicable. *Id.* at 17.

The Court holds that *Piatt v. MacDougall* controls. *Piatt* held that a state prisoner could not be denied compensation without being afforded due process where an Arizona statute authorized compensation for work done in prisons and "his work was done as part of a contract with a private entity." *Piatt*, 773 F.2d at 1036. The Court has found that Plaintiffs have sufficiently alleged that County Defendants denied them wages in violation of the Labor Code. *See supra* IV.B.4 (denying motion to dismiss failure to pay minimum wage claim). Like *Piatt*,

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Plaintiffs have alleged a right to compensation, and that right to compensation cannot be denied without due process of law. 773 F.2d at 1036. But Plaintiffs contend that County Defendants provided them with no hearing before denying them wages. FAC ¶ 36. Therefore, Plaintiffs sufficiently allege that County Defendants denied Plaintiffs due process of law by denying them pay without providing an opportunity to be heard.

Voris is inapposite. In Voris, a terminated employee brought a common law conversion action against his former employer to recover unpaid wages. 7 Cal. 5th at 1149. The California Supreme Court rejected the employee's attempt to use the common law tort of conversion to recover unpaid wages "[i]n light of the extensive remedies that already exist to combat wage nonpayment in California." Id. at 1162. County Defendants read Voris to stand for the proposition that there is no valid property interest in unpaid wages. ECF No. 51 at 27. However, that was not Voris's holding. It held only that the tort of conversion cannot be used to recover unpaid wages. Cf. 7 Cal. 5th at 1149.

The motion to dismiss Plaintiffs' Section 1983 claim for failing to provide Plaintiffs due process is denied.

D. Individual Plaintiffs' Claims

County Defendants contend that the FAC fails to state any claim for relief for Plaintiffs Mebrahtu, Mason, or Nunez-Romero because the Court previously dismissed their claims without leave to amend. ECF No. 51 at 28. Plaintiffs submit that Mebrahtu, Mason, and Nunez-Romero amended their claims against County Defendants "such that they state federal claims only "
ECF No. 53 at 17. In its previous order, the Court dismissed Mebrahtu's state law claims against County Defendants because he did not sufficiently allege that he suffered an injury within one year of filing the class claim, ECF No. 46 at 13, and Mason and Nunez-Romero's state law claims for failure to comply with the Government Claims Act, *id.* at 14. This has no effect on Mebrahtu, Mason, and Nunez-Romero's federal claims. Additionally, the Court has held that Plaintiffs have alleged sufficient facts to state TVPA and Section 1983 claims against County Defendants. *See supra* IV.A.2.a, IV.C. The Court will not dismiss Plaintiffs Mebrahtu, Mason, and Nunez-Romero's federal claims.

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County Defendants also argue that Plaintiff Abbey fails to state any claims against County Defendants because the FAC does not contain specific facts pertaining to Abbey nor any claim for relief that he asserts. ECF No. 51 at 28-29. Plaintiffs plead that Abbey worked in the Santa Rita Jail kitchen and include dates on which he worked. FAC ¶¶ 13, 48. Plaintiffs contend that, "[l]ike all plaintiffs and the putative class, [Abbey] was forced to work without compensation and under threat of solitary confinement." ECF No. 53 at 17. Plaintiffs included Abbey as one of the representative plaintiffs for the pretrial detainee subclass. FAC ¶ 51. Finally, Plaintiffs use the term "Plaintiffs" to refer to all named plaintiffs, including Abbey, in allegations against County Defendants. *See*, *e.g.*, *id.* ¶ 31. From these facts, the Court concludes that Abbey's claims against County Defendants are properly pleaded. The Court likewise declines to dismiss Abbey's claims.

E. UCL Claim

Aramark moves to dismiss Plaintiffs' UCL claim on the ground that Plaintiffs have not stated any claim under other laws or statutes that could tether a UCL claim. ECF No. 52 at 26-27; see Willner v. Manpower, Inc., 35 F. Supp. 3d 1116, 1132 (N.D. Cal. 2014) ("An act is unlawful under the UCL if it violates another law."). As discussed above, Plaintiffs have sufficiently alleged a TVPA claim and Labor Code claims against Aramark. Because "virtually any state, federal or local law can serve as the predicate for an action under [the UCL]," Davis v. HSBC Bank Nev., N.A., 691 F.3d 1152, 1168 (9th Cir. 2012), the Court concludes that Plaintiffs have stated a UCL claim and denies Aramark's motion to dismiss this claim.

F. Bane Act Claim¹⁰

Lastly, Aramark moves to dismiss Plaintiffs' Bane Act claim for failure to state a claim. ECF No. 52 at 27. Aramark contends that Plaintiffs have not alleged either of the required elements for a Bane Act claim. *Id.* The necessary elements for a Bane Act claim are "(1) intentional interference or attempted interference with a state or federal constitutional or legal right, and (2) the interference or attempted interference was by threats, intimidation or coercion." *Lawrence v. City and Cnty. of San Francisco*, 258 F. Supp. 3d 977, 994-95 (N.D. Cal. 2017)

¹⁰ County Defendants do not move to dismiss Plaintiffs' Bane Act claim. The Court analyzes solely whether Plaintiffs state a claim under the Bane Act against Aramark.

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(citing *Allen v. City of Sacramento*, 234 Cal. App. 4th 41, 67 (2015)); *see also* Cal. Civ. Code § 52.1(b)-(c). The right at issue must be constitutional or statutory. *Venegas v. Cnty. of Los Angeles*, 32 Cal. 4th 820, 843 (2004).

Plaintiffs have met the first element by alleging that Aramark intentionally interfered with Plaintiffs' right to minimum and overtime wages under California Labor Code Section 1194.

The test under the second element is "whether a reasonable person, standing in the shoes of the plaintiff, would have been intimidated by the actions of the defendants and have perceived a threat of violence." *Richardson v. City of Antioch*, 722 F. Supp. 2d 1133, 1147 (N.D. Cal. 2010). Aramark argues that Plaintiffs fail to meet the second element because "speech alone is insufficient to support a Bane Act claim, 'except upon a showing that the speech itself threatens violence against a specific person or a group [of] persons." ECF No. 52 at 27 (citing Cal. Civ. Code § 52.1(k)). Plaintiffs respond that Aramark's threats to turn Plaintiffs over to the Sheriff's deputies for discipline are sufficient to meet the second element. ECF No. 54 at 24.

Under the *Richardson* test, the second element of the Bane Act is satisfied if a reasonable person "would have been intimidated by the actions of the defendants and have perceived a threat of violence." 722 F. Supp. 2d at 1147. While threats of solitary confinement would meet this test, *see Owino*, 2018 WL 2193644, at *11, Plaintiffs do not allege that Aramark employees make these threats. *Cf.* FAC ¶ 31. Instead, Plaintiffs allege that Aramark employees threaten to turn Plaintiffs over to be disciplined by Sheriff's deputies for misconduct, *id.* ¶ 24, or attempting to leave work early due to injury or illness, *id.* ¶ 33. Nonetheless, the Court finds that Plaintiffs have sufficiently alleged that Aramark coerces Plaintiffs into working without compensation, interfering with their right to minimum and overtime wages. It matters not whether Aramark has the actual authority to place prisoners in solitary confinement, only whether a reasonable person, "standing in the shoes of the plaintiff," would have perceived a threat. *Richardson*, 722 F. Supp. 2d at 1147. The Court finds that a reasonable person standing in Plaintiffs' place would have been intimidated by Aramark's threats to report Plaintiffs for discipline by Sheriff's deputies, who Plaintiffs believed would place them in solitary confinement if they refused to work. Accordingly,

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Aramark's motion to dismiss the Bane Act claim is denied. 11

CONCLUSION

For the foregoing reasons, County Defendants and Aramark's motions to dismiss are granted in part and denied in part. The Court dismisses Plaintiffs' (1) Labor Code Section 201 and 202 claims for failure to pay wages with leave to amend and (2) Labor Code Section 1194 claim against County Defendants for failure to pay overtime without leave to amend. The motions are denied in all other respects.

IT IS SO ORDERED.

Dated: June 24, 2021



Northern District of California United States District Court

¹¹ Because the Court finds that Plaintiffs have met the second element on the Bane Act under Richardson, the Court will not address Plaintiffs' theory that Aramark aided and abetted County Defendants. See ECF No. 54 at 24.

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

ARMIDA RUELAS, et al.,
Plaintiffs,

v.

COUNTY OF ALAMEDA, et al., Defendants.

Case No. 19-cv-07637-JST

ORDER GRANTING MOTION FOR LEAVE TO BRING AN INTERLOCUTORY APPEAL

Re: ECF No. 70

Before the Court is Defendant Aramark Correctional Services, LLC's ("Aramark") motion for an order certifying for immediate interlocutory appeal one legal issue decided in this Court's order granting in part and denying in part Defendants' motions to dismiss. ECF No. 70. The Court grants the motion and clarifies the statement of the issue to be decided.

In a simultaneously-filed order, the Court modifies the order granting in part and denying in part Defendants' motions to dismiss to more thoroughly explain the Court's reasoning on the issue certified for interlocutory appeal.

I. BACKGROUND

Plaintiffs Armida Ruelas, De'Andre Eugene Cox, Bert Davis, Katrish Jones, Joseph Mebrahtu, Dahryl Reynolds, Monica Mason, Luis Nunez-Romero, and Scott Abbey are or were "pre-trial detainees[and] detainees facing deportation" confined in Alameda County's Santa Rita Jail. First Amended Complaint ("FAC"), ECF No. 48 ¶ 1. The facts of this case are discussed in greater detail in the Court's order granting in part and denying in part Defendants' motion to dismiss the FAC, ECF No. 66, and are summarized briefly here. Plaintiffs are or were performing "industrial food preparation services and cleaning" for Aramark pursuant to a contract between Aramark and Alameda County. FAC ¶ 1. "Aramark is a private, for-profit company that sells

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food prepared by prisoners to third parties" outside of Alameda County. *Id.* This contract was made possible by California Proposition 139, which allows private companies to hire county jail inmates. *Id.* ¶ 18. Alameda County contracted with Aramark "as early as July 1, 2015." *Id.* ¶ 22.

Plaintiffs allege that Aramark's contract with Alameda County allows Aramark "to employ persons imprisoned in Santa Rita Jail without compensating them." Id. Under the contract, "[p]risoners prepare and package food" in Santa Rita's kitchen "and clean and sanitize the kitchen" after preparation has finished. Id. ¶ 23. Employees of Aramark "supervise the quality and amount of work that prisoners accomplish" and "supervise prisoner-employee conduct and report misconduct to the deputies for discipline." Id. ¶ 24. Plaintiffs allege that Defendants Alameda County and Sheriff Gregory J. Ahern ("County Defendants") may "remove [prisoneremployees'] eligibility to work in the jail and subject them to disciplinary action" if Sheriff's deputies are "displeased with the quality or quantity of the work performed or the conduct of a prisoner-employee." Id. ¶ 26. Plaintiffs allege that "Sheriff's deputies threaten plaintiffs and other prisoner-employees of Aramark that if they refuse to work, they will receive lengthier jail sentences or be sent to solitary confinement, where they would be confined to a small cell for 22 to 24 hours a day." Id. ¶ 31. The deputies "also threaten to terminate prisoners' employment if they need to take a sick day or are injured." Id. Plaintiffs allege that such threats are sometimes made "in the presence of Aramark employees," id. ¶ 32, and that Aramark employees threaten "to report [prisoner-employees] to the Sheriff's deputies for punishment if they attempt to leave work early due to illness or injury," id. ¶ 33.

On June 26, 2020, this Court granted in part and denied in part County Defendants and Aramark's motion to dismiss the original complaint. ECF No. 46. All dismissals were with leave to amend except for the Labor Code claim for failure to pay convicted Plaintiffs wages as well as Mebrahtu, Mason, and Nunez-Romero's Labor Code and Bane Act claims against County Defendants. *Id.* On July 10, 2020, Plaintiffs filed the FAC. ECF No. 48. Plaintiffs added a new plaintiff, Scott Abbey, *id.* ¶ 1, and reasserted nine of the ten claims from the original complaint, *see id.* ¶ 74-110. Plaintiffs no longer brought claims under California's Equal Pay Act., *cf. id.*, or sought to represent convicted inmates, *see id.* ¶ 50. In August 2020, Aramark and County

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Defendants filed their motions to dismiss the FAC. ECF Nos. 51, 52.

The Court granted in part and denied in part the motions to dismiss. ECF No. 66 ("MTD Order"). The Court dismissed Plaintiffs' (1) Labor Code Section 201 and 202 claims for failure to pay wages and (2) Labor Code Section 1194 claim against County Defendants for failure to pay overtime, and otherwise denied the motions. In the MTD Order, the Court decided the following question of law in Plaintiffs' favor:

1. Do non-convicted incarcerated individuals performing services in county jails for a for-profit company that sells goods produced by incarcerated individuals to third parties outside of the county have a claim for minimum wages and overtime under Section 1194 of the California Labor Code in the absence of any local ordinance prescribing or prohibiting the payment of wages for these individuals?

Aramark has filed this motion to seek interlocutory appeal of this conclusion. Plaintiffs oppose the motion, ECF No. 74, and Aramark has filed a reply, ECF No. 77.

II. JURISDICTION

This Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1331. The Court has supplemental jurisdiction over Plaintiffs' state law claims under 28 U.S.C. § 1367.

III. LEGAL STANDARD

The final judgment rule ordinarily provides that courts of appeal shall have jurisdiction only over "final decisions of the district courts of the United States." 28 U.S.C. § 1291. However, "[w]hen a district judge, in making in a civil action an order not otherwise appealable under this section, shall be of the opinion that such order involves a controlling question of law as to which there is substantial ground for difference of opinion and that an immediate appeal from the order may materially advance the ultimate termination of the litigation, he shall so state in writing in such order." 28 U.S.C. § 1292(b). "The Court of Appeals which would have jurisdiction of an appeal of such action may thereupon, in its discretion, permit an appeal to be taken from such order." *Id.* "Certification under § 1292(b) requires the district court to expressly find in writing that all three § 1292(b) requirements are met." *Couch v. Telescope Inc.*, 611 F.3d 629, 633 (9th Cir. 2010). "These certification requirements are (1) that there be a controlling question of law,

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(2) that there be substantial grounds for difference of opinion, and (3) that an immediate appeal may materially advance the ultimate termination of the litigation." *In re Cement Antitrust Litig.* (MDL No. 296), 673 F.2d 1020, 1026 (9th Cir. 1981), aff'd sub nom. *Arizona v. Ash Grove Cement Co.*, 459 U.S. 1190 (1983). "Section 1292(b) is a departure from the normal rule that only final judgments are appealable, and therefore must be construed narrowly." *James v. Price Stern Sloan, Inc.*, 283 F.3d 1064, 1067 n.6 (9th Cir. 2002). To that end, "section 1292(b) is to be applied sparingly and only in exceptional cases." *In re Cement Antitrust Litig.*, 673 F.2d at 1027.

IV. DISCUSSION

The Court addresses each § 1292(b) requirement in turn.

First, the Court notes that "all that must be shown in order for a question to be 'controlling' is that resolution of the issue on appeal could materially affect the outcome of litigation in the district court." *In re Cement Antitrust Litig.*, 673 F.2d at 1026. However, "the legislative history of 1292(b) indicates that this section was to be used only in exceptional situations in which allowing an interlocutory appeal would avoid protracted and expensive litigation." *Id.* "A steadily growing number of decisions" have found "that a question is controlling, even though its disposition might not lead to reversal on appeal, if interlocutory reversal might save time for the district court, and time and expense for the litigants." 16 Wright, Miller & Cooper, Fed. Prac. & Proc. (Juris.) § 3930 (3d ed.) (citing cases).

The question of law Aramark seeks to appeal is likely to significantly affect the outcome of this litigation. Although Plaintiffs point to "six claims for damages unrelated to the California Labor Code that remain to be litigated in this case," ECF No. 74 at 6, the Court relied on Plaintiffs' California Labor Code claims in its discussion of at least two of these claims, *see* ECF No. 66 at 27-30 (discussing claims brought under the due process clause and the California Bane Act). And Plaintiffs admit that the damages they seek under other legal claims also implicate the question at issue because the amount of damages "may be informed by the wages a person performing similar work would be owed." ECF No. 74 at 6. Given the number of issues that involve the Court's holding that the Labor Code wage provisions apply to Plaintiffs, this case would be streamlined if the issue is resolved in Aramark's favor on appeal. The Court also finds

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that a non-convicted detainee's right to bring claims under the California Labor Code when forced to work for a private corporation in a county jail that is not governed by a relevant local ordinance could have "substantial public policy importance to the state as a whole." *See Su v. Siemens Indus., Inc.*, No. 12-cv-03743-JST, 2014 WL 2600539, at *2 (N.D. Cal. June 10, 2014).

Second, "[t]o determine if a 'substantial ground for difference of opinion' exists under § 1292(b), courts must examine to what extent the controlling law is unclear." *Couch*, 611 F.3d at 633. "Courts traditionally will find that a substantial ground for difference of opinion exists where 'the circuits are in dispute on the question and the court of appeals of the circuit has not spoken on the point, if complicated questions arise under foreign law, or if novel and difficult questions of first impression are presented." *Id.* (quoting 3 Federal Procedure, Lawyers Edition § 3:212 (2010) (footnotes omitted)). "A substantial ground for difference of opinion exists where reasonable jurists might disagree on an issue's resolution, not merely where they have already disagreed." *Reese v. BP Exploration (Alaska) Inc.*, 643 F.3d 681, 688 (9th Cir. 2011).

The question presented here is a question of first impression. Plaintiffs emphasize two district court opinions that have held that the California Labor Code applies to detainees in federal immigration facilities. *See* ECF No. 74 at 7 (citing *Owino v. CoreCivic, Inc.*, No. 17-CV-1112 JLS (NLS), 2018 WL 2193644, at *20-27 (S.D. Cal. May 14, 2018) and *Novoa v. GEO Grp., Inc.*, No. EDCV172514JGBSHKX, 2018 WL 3343494, at *9 (C.D. Cal. June 21, 2018). But these cases did not address the implications of Proposition 139 or the California Penal Code. Plaintiffs also emphasize that Aramark has not pointed to any case conflicting with this Court's "construction or application of California Labor Code section 1194." ECF No. 74 at 9 (quotation marks and citation omitted). Not only is that not a requirement of Section 1292(b), but it could hardly be otherwise, given that no other court has considered this issue. The Court finds that a reasonable jurist could adopt Aramark's position that the Court's reasoning regarding the Penal Code's preclusive effect on convicted individuals' assertion of claims under the Labor Code should also apply to non-convicted detainees, despite the Penal Code's emphasis on "persons confined in the county jail . . . *under a final judgment of imprisonment rendered in a criminal action or proceeding*" in the provision granting "the board of supervisors or city council" the

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authority to require convicted detainees "to perform labor on the public works or ways in the county or city." Cal. Penal Code § 4017 (emphasis added); *see also* ECF No. 52 at 9. Therefore, as in *Su*, the Court's legal determination here is "on [an] unsettled or disputable area[] of California law, and there are substantial grounds for a difference of opinion." 2014 WL 2600539, at *3

Finally, "neither § 1292(b)'s literal text nor controlling precedent requires that the interlocutory appeal have a final, dispositive effect on the litigation, only that it 'may materially advance' the litigation." *Reese*, 643 F.3d at 688. As one commentator has put it, "[i]deally, § 1292(b) could be used to allow interlocutory appeals whenever the district court and court of appeals agree that immediate review is a good gamble." 16 Wright, Miller & Cooper, *supra*, at § 3930.

An interlocutory appeal would materially advance the litigation because several claims implicate the legal question Aramark seeks to appeal. Aramark argues that in addition to potentially reducing the number of issues for resolution, an immediate appeal would also "shap[e] any future settlement discussions." ECF No. 77 at 5. The Court rejects Plaintiffs' argument that "an appeal would only serve to significantly delay the final resolution of this action" because Defendants have not requested a stay pending resolution of the appeal. ECF No. 74 at 10. This litigation will therefore proceed apace regardless of whether the Ninth Circuit accepts this appeal.

The Court concludes that all three requirements of § 1292(b) are met and that interlocutory appeal is warranted.

CONCLUSION

For the foregoing reasons, the Court GRANTS Aramark's motion for leave to bring an interlocutory appeal. The Court now certifies the following question for interlocutory appeal:

1. Do non-convicted incarcerated individuals performing services in county jails for a for-profit company that sells goods produced by incarcerated individuals to third parties outside of the county have a claim for minimum wages and overtime under Section 1194 of the California Labor Code in the absence of any local ordinance prescribing or prohibiting the payment of wages for these individuals?

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Any application to the Ninth Circuit must be made "within ten days after the entry of" this order. 28 U.S.C. § 1292(b).

IT IS SO ORDERED.

Dated: June 24, 2021

JON S. TIGAR
United States District Judge

United States District Court Northern District of California

CASE No. 21-16528

UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

ARMIDA RUELAS; DE'ANDRE EUGENE COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON; LOUIS NUNEZ-ROMERO; SCOTT ABBEY, AND ALL OTHERS SIMILARLY SITUATED

Plaintiffs and Appellees,

v.

COUNTY OF ALAMEDA; SHERIFF GREGORY J. AHERN; ARAMARK CORRECTIONAL SERVICES, LLC

Defendants and Appellants.

Appeal From The United States District Court, Northern District of California, Case No. 4:19-cv-07637-JST, Hon. Jon S. Tigar

EXCERPTS OF RECORD - VOLUME 2 OF 3

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PRELIMINARY STATEMENT

Defendant Aramark Correctional Services, LLC ("Aramark") respectfully submits this Answer to Plaintiffs' First Amended Class Action Complaint (ECF No. 48) (the "FAC"). Aramark reserves the right to amend and supplement its Answer as may be appropriate or necessary. Except as otherwise expressly stated herein, Aramark denies each and every allegation in the FAC—including any allegations in any unnumbered Paragraphs, subparagraphs, prayer for relief, headings, or subheadings—and specifically denies liability to Plaintiffs. To the extent not expressly denied, all allegations for which Aramark denies possessing knowledge or information sufficient to form a belief are denied.

RESPONSE TO THE PRELIMINARY STATEMENT

1. Aramark admits that Armida Ruelas, De'Andre Eugene Cox, Bert Davis, Katrish Jones, Joseph Mebrahtu, Dahryl Reynolds, Monica Mason, Luis Nunez-Romero and Scott Abbey are the named Plaintiffs in the FAC. Aramark admits that Plaintiffs purport to bring the FAC on their own behalf and on behalf of a purported class. Aramark admits that it is a for-profit company. Aramark states that it has a contract with Alameda County to operate the food services program at Santa Rita Jail, and further states that Jail inmates volunteer to participate in food preparation and cleaning in the Jail kitchen. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in the first sentence of Paragraph 1 and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 1.

RESPONSE TO JURISDICTION AND VENUE

- 2. The allegations in Paragraph 2 state legal conclusions to which no response is required. To the extent that a response is required, Aramark admits that the Court currently has subject matter jurisdiction over this action.
- 3. The allegations in Paragraph 3 state legal conclusions to which no response is required. To the extent that a response is required, Aramark admits that the Court currently has supplemental jurisdiction over Plaintiffs' state law claims.
- 4. The allegations in Paragraph 4 state legal conclusions to which no response is required. To the extent that a response is required, Aramark admits that venue is proper in this District.

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RESPONSE TO PARTIES

- 5. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Armida Ruelas was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 5.
- 6. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that De'Andre Eugene Cox was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 6.
- 7. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Bert Davis was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 7.
- 8. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Katrish Jones was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 8.
- 9. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Joseph Mebrahtu was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 9.
- 10. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Dahryl Reynolds was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 10.
- 11. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Monica Mason was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 11.
- 12. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Luis Nunez-Romero was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 12.
- 13. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Scott Abbey was incarcerated at Santa Rita Jail and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 13.

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- 14. Aramark avers that the allegations in Paragraph 14 are not asserted against Aramark and state conclusions of law, and thus no response is required. To the extent that a response is required, Aramark states upon information and belief that Alameda County is a public entity operating under the laws of the State of California, that Alameda County employs the Alameda County Sheriff and Sheriff's deputies, and that Alameda County owns and operates the Santa Rita Jail. Aramark is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 14, and, on that basis, denies those allegations.
- 15. Aramark avers that the allegations in Paragraph 15 are not asserted against Aramark and state conclusions of law, and thus no response is required. To the extent that a response is required, Aramark states upon information and belief that Gregory J. Ahern is the Sheriff of Alameda County. Aramark admits that Plaintiffs purport to sue Defendant Ahern in his individual and official capacities. Aramark is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 15 and, on that basis, denies those allegations.
- 16. Aramark admits that it has been and is a for-profit company. Aramark denies the remaining allegations in Paragraph 16.
- 17. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 17 and, on that basis, denies those allegations.

RESPONSE TO STATEMENT OF FACTS

- 18. Aramark admits that California voters approved Proposition 139 in 1990, and refers the Court to Proposition 139 and to Article 14, Section 5 of the California Constitution for the meaning and effect of Proposition 139. Aramark denies the remaining allegations in Paragraph 18.
- 19. The allegations of Paragraph 19 state legal conclusions to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 19.
- 20. Aramark states, upon information and belief, that Santa Rita Jail is owned and operated by Alameda County. Aramark is without knowledge or information to form a belief as to the truth of the remaining allegations in Paragraph 20 and, on that basis, denies those allegations.
- 21. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 21 and, on that basis, denies those allegations.

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- 22. Aramark admits that it entered into a contract with Alameda County with an effective date of July 1, 2015 and refers the Court to that document for its contents. Aramark denies the remaining allegations in Paragraph 22.
- 23. Aramark states that Jail inmates volunteer to participate in the food services program that Aramark operates at the Santa Rita Jail pursuant to its contract with Alameda County. Aramark denies the remaining allegations in Paragraph 23.
- 24. Aramark states that it implements safety and food preparation protocols at the Santa Rita Jail kitchen. Aramark further states that Sheriff's deputies are responsible for addressing inmate misconduct at Santa Rita Jail, and that Aramark has a right to inform Sheriff's deputies of inmate misconduct in the Jail kitchen. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations regarding the first sentence of Paragraph 24, and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 24.
 - 25. Aramark denies the allegations in Paragraph 25.
- 26. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in the first sentence of Paragraph 26 and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 26.
 - 27. Aramark denies the allegations in Paragraph 27.
- 28. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in the first sentence of Paragraph 28 and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 28.
- 29. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegation that Plaintiffs participated in food preparation and/or cleaning at the Santa Rita Jail kitchen, and, on that basis, denies that allegation. Aramark denies the remaining allegations in Paragraph 29.
- 30. Aramark states that inmate volunteers in the Santa Rita Jail kitchen do not receive wages. Aramark denies the remaining allegations in Paragraph 30.
- 31. Aramark denies that inmate volunteers in the Santa Rita Jail kitchen are employees of Aramark. Aramark is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 31 and, on that basis, denies those allegations.

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32. Aramark denies the allegations in Paragraph 32.

- 33. Aramark denies the allegations in Paragraph 33.
- 34. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 34 regarding Sheriff's deputies and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 34.
- 35. Aramark states that inmate volunteers in the Santa Rita Jail kitchen are not paid wages. Aramark further states that inmate volunteers are not in their cells when in the Jail kitchen, which can be beneficial to their physical and mental health. Aramark further states that inmate volunteers in the Jail kitchen can obtain additional food for their own enjoyment and nutrition. Aramark is without knowledge or information sufficient to form a belief as to the remaining allegations in Paragraph 35 and, on that basis, denies those allegations.
- 36. Aramark avers that the allegations in Paragraph 36 are not specifically asserted against Aramark and therefore no response is required. To the extent that a response is required, Aramark is without knowledge or information sufficient to form a belief as to the allegations in Paragraph 36 and, on that basis, denies those allegations.
- 37. Aramark states that in 2019, certain inmates at Santa Rita Jail staged what they called a strike. Aramark denies that inmate volunteers in the Jail kitchen are employees of Aramark. Aramark is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 37 and, on that basis, denies those allegations.
- 38. Aramark states that it provides food prepared at Santa Rita Jail to other correctional facilities pursuant to agreements with Alameda County and those other facilities. Aramark denies the remaining allegations in Paragraph 38.
- 39. The allegations in Paragraph 39 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits that it has entered into one or more contracts with Alameda County and refers the Court to those documents for their contents. Aramark denies the remaining allegations in the first sentence of Paragraph 39. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in the second sentence of Paragraph 39 and, on that basis, denies those allegations.

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- 40. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 40 and, on that basis, denies those allegations.
- 41. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 41 and, on that basis, denies those allegations.
- 42. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 42 and, on that basis, denies those allegations.
- 43. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 43 and, on that basis, denies those allegations.
- 44. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 44 and, on that basis, denies those allegations.
- 45. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 45 and, on that basis, denies those allegations.
- 46. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 46 and, on that basis, denies those allegations.
- 47. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 47 and, on that basis, denies those allegations.
- 48. Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 48 and, on that basis, denies those allegations.

RESPONSE TO CLASS ALLEGATIONS

- 49. The allegations in Paragraph 49 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits Plaintiffs purport to bring this action on behalf of themselves and on behalf of a class. Aramark denies class certification is appropriate and further denies the remaining allegations in Paragraph 49.
- 50. The allegations in Paragraph 50 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits Plaintiffs seek to represent the class described in Paragraph 50. Aramark denies that class certification is appropriate and further denies the remaining allegations in Paragraph 50.

- 51. The allegations in Paragraph 51 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits that the Plaintiffs identified in Paragraph 51 seek to represent the subclass described in Paragraph 51. Aramark denies that class certification is appropriate and further denies the remaining allegations in Paragraph 51.
- 52. The allegations in Paragraph 52 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits that the Plaintiffs identified in Paragraph 52 seek to represent the subclass described in Paragraph 52. Aramark denies that class certification is appropriate and further denies the remaining allegations in Paragraph 52.
- 53. The allegations in Paragraph 53 state a legal conclusion to which no response is required. To the extent that a response is required, Aramark admits that the Plaintiff identified in Paragraph 53 seeks to represent the subclass described in Paragraph 53. Aramark denies that class certification is appropriate and further denies the remaining allegations in Paragraph 53.
- 54. The allegations in Paragraph 54 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 54.
- 55. Aramark admits that Plaintiffs purport to reserve the right to amend or modify the class and subclass descriptions and limit the purported class or subclasses to particular issues. Aramark denies that class certification is appropriate and further denies the remaining allegations in Paragraph 55.
- 56. The allegations in Paragraph 56 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 56.
- 57. Aramark is without information or belief as to the allegations in Paragraph 57 regarding County of Alameda records and, on that basis, denies them. Aramark denies the remaining allegations in Paragraph 57.
- 58. The allegations in Paragraph 58 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 58.
- 59. The allegations in Paragraph 59 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark is without information or belief as to the allegations in Paragraph 59, and, on that basis, denies them.

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- 60. The allegations in Paragraph 60 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark is without information or belief as to the allegations in Paragraph 60, and, on that basis, denies them.
 - 61. Because Paragraph 61 is blank, no response is required.
- 62. The allegations in Paragraph 62 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 62.
- 63. The allegations in Paragraph 63 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 63.
- 64. The allegations in Paragraph 64 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 64.
- 65. The allegations in Paragraph 65 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 65.
- 66. The allegations in Paragraph 66 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark is without information or belief as to the allegations in Paragraph 66, and, on that basis, denies them.
- 67. The allegations in Paragraph 67 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 67.
- 68. The allegations in Paragraph 68 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 68 and denies that Plaintiffs are entitled to any relief.
- 69. The allegations in Paragraph 69 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 69.
- 70. The allegations in Paragraph 70 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 70.
- 71. The allegations in Paragraph 71 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 71.
- 72. The allegations in Paragraph 72 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 72.

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RESPONSE TO EXHAUSTION

73. Aramark avers that the allegations in Paragraph 73 are not asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 73 and, on that basis, denies those allegations.

RESPONSE TO FIRST CLAIM FOR RELIEF

- 74. Aramark incorporates by reference its answers to Paragraphs 1 through 73 as though fully set forth herein.
- 75. Aramark avers that the allegations in Paragraph 75 are not asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 75.
- 76. Aramark avers that no response is required to the allegations in Paragraph 76 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark denies the allegations in Paragraph 76.

RESPONSE TO SECOND CLAIM FOR RELIEF

- 77. Aramark incorporates by reference its answers to Paragraphs 1 through 76 as though fully set forth herein.
 - 78. Aramark denies the allegations in Paragraph 78.
 - 79. Aramark denies the allegations in Paragraph 79.

RESPONSE TO THIRD CLAIM FOR RELIEF

- 80. Aramark incorporates by reference its answers to Paragraphs 1 through 76 as though fully set forth herein.
- 81. Aramark avers that the allegations in Paragraph 81 are not asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 81.
- 82. Aramark avers that the allegations in Paragraph 82 are not asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark is without knowledge or

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information sufficient to form a belief as to the allegations in the first sentence of Paragraph 82 and, on that basis, denies those allegations. Aramark denies the remaining allegations in Paragraph 82.

- 83. Aramark avers that the allegations in Paragraph 83 are not asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 83.
- 84. Aramark avers that no response is required to the allegations in Paragraph 84 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark is without knowledge or information sufficient to form a belief as to the allegations in Paragraph 84 and, on that basis, denies those allegations.

RESPONSE TO FOURTH CLAIM FOR RELIEF

- 85. Aramark incorporates by reference its answers to Paragraphs 1 through 84 as though fully set forth herein.
- 86. Aramark avers that no response is required to the allegations in Paragraph 86 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark denies the allegations in Paragraph 86.
- 87. Aramark avers that no response is required to the allegations in Paragraph 87 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark denies the allegations in Paragraph 87.
- 88. Aramark avers that no response is required to the allegations in Paragraph 88 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark denies the allegations in Paragraph 88.
- 89. Aramark avers that the allegations in Paragraph 89 are not specifically asserted against Aramark and thus no response is required. To the extent that a response is required, Aramark states that Plaintiffs and any inmates performing services in the Jail kitchen are not entitled to wages, and Aramark denies the allegations in Paragraph 89.
- 90. Aramark avers that no response is required to the allegations in Paragraph 90 because those allegations are not asserted against Aramark and because they state legal conclusions or argument. To the extent that a response is required, Aramark denies the allegations in Paragraph 90.

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RESPONSE TO FIFTH CLAIM FOR RELIEF

- 91. Aramark incorporates by reference its answers to Paragraphs 1 through 90 as though fully set forth herein.
- 92. Aramark avers that no response to Paragraph 92 is necessary because the Court granted Aramark's motion to dismiss Plaintiffs' fifth claim for relief. Additionally, the allegations in Paragraph 92 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 92.
- 93. Aramark avers that no response to Paragraph 93 is necessary because the Court granted Aramark's motion to dismiss Plaintiffs' fifth claim for relief. Additionally, the allegations in Paragraph 93 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 93.

RESPONSE TO SIXTH CLAIM FOR RELIEF

- 94. Aramark incorporates by reference its answers to Paragraphs 1 through 93 as though fully set forth herein.
- 95. The allegations in Paragraph 95 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 95.
- 96. The allegations in Paragraph 96 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark states that Plaintiffs and any inmates performing services in the Jail kitchen are not entitled to wages, and Aramark denies the allegations in Paragraph 96.

RESPONSE TO SEVENTH CLAIM FOR RELIEF

- 97. Aramark incorporates by reference its answers to Paragraphs 1 through 97 as though fully set forth herein.
- 98. The allegations in Paragraph 98 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 98.
- 99. The allegations in Paragraph 99 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 99.

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100. The allegations in Paragraph 100 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 100.

101. The allegations in Paragraph 101 state legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark states that Plaintiffs and any inmates performing services in the Jail kitchen are not entitled to wages, and Aramark denies the allegations in Paragraph 101.

RESPONSE TO EIGHTH CLAIM FOR RELIEF

- 102. Aramark incorporates by reference its answers to Paragraphs 1 through 101 as though fully set forth herein.
- 103. The allegations in Paragraph 103 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark respectfully refers the Court to California Business and Professions Code §§ 17200, et seq., for its complete content and context.
- The allegations in Paragraph 104 consist of legal conclusions or argument to which no 104. response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 104.
- 105. The allegations in Paragraph 105 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 105.
- 106. The allegations in Paragraph 106 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 106.
- 107. The allegations in Paragraph 107 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 107.
- 108. The allegations in Paragraph 108 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 108.

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NINTH CLAIM FOR RELIEF

- 109. Aramark incorporates by reference its answers to Paragraphs 1 through 108 as though fully set forth herein.
- 110. The allegations in Paragraph 110 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 110.

RESPONSE TO PUNITIVE DAMAGES

111. The allegations in Paragraph 111 consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies the allegations in Paragraph 111.

RESPONSE TO DAMAGES

112. The allegations in Paragraph 112, including each of its subparagraphs, consist of legal conclusions or argument to which no response is required. To the extent that a response is required, Aramark denies that Plaintiffs or the putative class have suffered any injury or damages and further denies the remaining allegations in Paragraph 112.

RESPONSE TO PRAYER FOR RELIEF

The allegations in the numbered Paragraphs following Paragraph 112 contain Plaintiffs' Prayer for Relief, to which no response is required. To the extent that a response is required, Aramark denies that Plaintiffs are entitled to the relief requested or to any other relief.

AFFIRMATIVE AND OTHER DEFENSES

Without assuming any burden that it would not otherwise have, Aramark asserts the following additional defenses set forth below:

- 1. The FAC fails to state a claim upon which relief can be granted.
- 2. Plaintiffs and putative class members lack standing to assert any claims because they did not suffer any legally cognizable harm as a result of any alleged act or omission of Aramark.
- 3. Plaintiffs' and the putative class members' claims are barred in whole or in part under the applicable statutes of limitation and/or statutes of repose.

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- 4. Plaintiffs' and the putative class members' claims are barred in whole or in part by waiver.
- 5. Plaintiffs' and the putative class members' claims are barred in whole or in part by the doctrines of estoppel, acquiescence, and/or ratification.
- 6. This action cannot properly be maintained as a class action because Plaintiffs cannot satisfy the requirements of Rule 23 of the Federal Rules of Civil Procedure.
- 7. Plaintiffs' and the putative class members' claims are barred in whole or in part because Plaintiffs and the putative class members cannot establish that they suffered any actual damages as a result of any alleged act or omission by Aramark and/or because the alleged injury is too speculative and uncertain, and it is impossible to ascertain and allocate such alleged damages with reasonable certainty.
- 8. Plaintiffs' and the putative class members' claims are barred in whole or in part because Aramark did not cause and otherwise is not responsible or liable for the alleged damages.
- 9. Statutory and/or punitive damages should not be awarded or should otherwise be limited because: (i) such an award would violate the substantive and procedural safeguards guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution and by the common law; and (ii) the imposition of such an award would constitute an excessive fine or penalty under the Eighth Amendment to the United States Constitution.
- 10. Plaintiffs and the putative class members' claims for injunctive and equitable relief are barred because any alleged injury to Plaintiffs and the putative class members is not immediate or irreparable and Plaintiffs and the putative class members have an adequate remedy at law.
- 11. Plaintiffs' assertion of an adequate legal remedy precludes their UCL claim under *Sonner v. Premier Nutrition Corp.*, 971 F.3d 834 (9th Cir. 2020).
 - 12. At all relevant times, Aramark has acted in good faith and in compliance with law.
- 13. Aramark's actions have at all relevant times been based on reasonable interpretations of law and fact.

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- 14. Plaintiffs' and the putative class members' claims are barred because Plaintiffs and the putative class members lack statutory standing to bring a UCL claim under California Business & Professions Code § 17204.
- 15. Plaintiffs' and the putative class members' claims are barred because Aramark's conduct was not unlawful, unfair, or fraudulent, within the meaning of California Business & Professions Code § 17200 or otherwise.
- 16. To the extent Plaintiffs seek monetary damages or disgorgement of profits, such remedies are barred in their entirety by California Business and Professions Code §17200, *et seq.*, and other applicable legal authority.
- 17. Aramark did not knowingly obtain the labor or services of Plaintiffs or the putative class members in violation of the Trafficking Victims Protection Act, 18 U.S.C. § 1589.
- 18. Aramark did not knowingly benefit from participation in a venture that it knew or should have known was in violation of the Trafficking Victims Protection Act, 18 U.S.C. § 1589.
- 19. Plaintiffs' and the putative class members' claims are barred by the civic duty exception to the Trafficking Victims Protection Act, 18 U.S.C. § 1589.
- 20. No reasonable person in Plaintiffs' situation would have believed that they were forced to perform services in the Santa Rita Jail kitchen.
- 21. No reasonable person in Plaintiffs' situation would have been intimated by or perceived a threat of violence from Aramark employees in the Santa Rita Jail kitchen.

* * *

Aramark reserves its right to assert and rely upon any other defenses that are not stated here, including any which may become available or appear during discovery proceedings or otherwise in this case, and Aramark hereby reserves its right to amend this Answer to assert any such other defenses.

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WHEREFORE, Aramark prays for judgment in its favor, dismissing with prejudice the FAC and all claims and causes of action asserted against it therein, together with costs and disbursements and attorney's fees payable to Aramark, and for such other and further relief as the Court deems proper.

DATED: April 22, 2021

COVINGTON & BURLING LLP ERIC C. BOSSET CORTLIN H. LANNIN ISAAC D. CHAPUT

> BY: <u>/s/ Eric C. Bosset</u> Eric C. Bosset

Attorneys for Defendant Aramark Correctional Services, LLC

Case 4:19-cv-07637-JST Document 81 Filed 04/22/21 Page 1 of 28 HANSON BRIDGETT LLP GILBERT J. TSAI, SBN 247305 gtsai@hansonbridgett.com PAUL B. MELLO, SBN 179755 pmello@hansonbridgett.com SAMANTHA D. WÖLFF, SBN 240280 swolff@hansonbridgett.com WINSTON K. HU, SBN 306677 whu@hansonbridgett.com 425 Market Street, 26th Floor San Francisco, California 94105 Telephone: (415) 777-3200 Facsimile: 7 (415) 541-9366 Attorneys for Defendants COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN 10 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA, OAKLAND DIVISION 11 12 ARMIDA RUELAS; DE'ANDRE EUGENE Case No. 4:19-CV-07637 JST COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL **DEFENDANTS COUNTY OF ALAMEDA** REYNOLDS; MONICA MASON; LUIS AND SHERIFF GREGORY J. AHERNS' NUNEZ-ROMERO; SCOTT ABBEY; and all 15 ANSWER TO FIRST AMENDED others similarly situated, **COMPLAINT FOR DAMAGES AND** 16 **DECLARATORY AND INJUNCTIVE** Plaintiffs. RELIEF 17 Case Filed: November 20, 2019 v. 18 Trial Date: None set COUNTY OF ALAMEDA; GREGORY 19 J. AHERN, SHERIFF; Aramark Correctional Services, LLC, and DOES 1 20 through 10, 21 Defendants. 22 23 Pursuant to Rule 8(b) of the Federal Rules of Civil Procedure, Defendants COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN ("Defendants") answer the First Amended 25 Complaint for Damages and Declaratory and Injunctive Relief ("FAC") of Plaintiffs ARMIDA 26 RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON LUIS NUNEZ-ROMERO, and 28 SCOTT ABBEY ("Plaintiffs"). Case No. 4:19-CV-07637 JST -1-DEFENDANTS COUNTY OF ALAMEDA AND SHERIFF GREGORY J. AHERNS' ANSWER TO VERIFIED FIRST AMENDED COMPLAINT

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DENIAL UNLESS EXPRESSLY ADMITTED

Defendants deny each and every allegation contained in Plaintiffs' FAC that is not expressly admitted herein.

PRELIMINARY STATEMENT

1. Defendants lack knowledge or information sufficient to admit or deny Plaintiffs' allegations in the third sentence and on that basis deny them. The final sentence of this paragraph sets forth a legal conclusion for which no answer is required. Defendants deny the remainder of Plaintiffs' allegations in Paragraph 1, except that Defendants and Defendant Aramark Correctional Services, LLC ("Aramark") had a contractual relationship and that Plaintiffs were not paid wages by Defendants while they were incarcerated at Santa Rita Jail as either pre-trial detainees, detainees, facing deportation, federal detainees, and post-conviction inmates.

JURISDICTION AND VENUE

- 2. This paragraph sets forth Plaintiffs' jurisdictional allegations that present legal conclusions and questions of law, to which no answer is required. To the extent that a response is required, Defendants admit that the Court has jurisdiction over claims arising under the U.S. Constitution under 28 U.S.C. § 1331, over claims brought to redress deprivations, under color of state authority, of rights, privileges, and immunities secured by the U.S. Constitution under 28 U.S.C. § 1343, and over claims made under 42 U.S.C. § 1983.
- 3. This paragraph sets forth Plaintiffs' jurisdictional allegations that present legal conclusions and questions of law, to which no answer is required. To the extent that a response is required, Defendants admit that jurisdiction for Plaintiffs' state law claim is determined under 28 U.S.C. § 1367.
- 4. This paragraph sets forth Plaintiffs' venue allegations that present legal conclusions and questions of law, to which no answer is required. To the extent that a response is required, Defendants admit that the proper venue for Plaintiffs' claims are determined under 28 U.S.C. § 1391.

PARTIES

5. Defendants admit Armida Ruelas was an inmate at Santa Rita Jail but deny the

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remainder of the allegations contained in Paragraph 5.

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- 6. Defendants admit De'Andre Eugene Cox was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 6.
- 7. Defendants admit Bert Davis was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 7.
- 8. Defendants admit Katrish Jones was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 8.
- 9. Defendants admit Joseph Mebrahtu was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 9.
- 10. Defendants admit Dahryl Reynolds was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 10.
- 11. Defendants admit Monica Mason was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 11.
- 12. Defendants admit Luis Nunez-Romero was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 12.
- 13. Defendants admit Scott Abbey was incarcerated at Santa Rita Jail but deny the remainder of the allegations contained in Paragraph 13.
- 14. Defendants admit that the County of Alameda is a public entity operating under the laws of the State of California and that they are responsible for Santa Rita Jail. Defendants lack knowledge or information about the "acts and omissions" at issue sufficient to admit or deny the remainder of Plaintiffs' allegations in this paragraph and on that basis deny them.
- 15. Defendant admits that Gregory J. Ahern is and was the Sheriff of Alameda County. The remainder of the allegations in this paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants are without sufficient knowledge or information to form a belief as to the truth of the remaining allegations contained in this paragraph, and on that basis deny them.
- 16. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph, and on that basis deny them.

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- 17. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph, and on that basis deny them.
- 18. This paragraph sets forth legal conclusions and questions of law to which no answer is required.
- 19. This paragraph sets forth legal conclusions and questions of law to which no answer is required.
 - 20. Admit.

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- 21. Admit.
- 22. Defendants admit that the County of Alameda County has contracted with Aramark but deny the remainder of allegations in Paragraph 22.
- 23. Defendants admit that inmates may prepare and package food at Santa Rita Jail. Defendants admit that Sheriff's deputies guard inmates when they are present in the kitchen. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the third sentence, and on that basis deny them. Defendants deny the remainder of the allegations in Paragraph 23.
- 24. Defendants admit that Sheriff deputies ensure that inmates follow Santa Rita Jail's conduct and safety rules. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph about Aramark employees, and on that basis deny them. Defendants deny the remainder of the allegations in Paragraph 24.
- 25. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph, and on that basis deny the allegations in Paragraph 25.
- 26. Defendants admit that inmates may be subject to penalties or discipline for breaking conduct rules, but deny the remainder of the allegations in Paragraph 26.
- 27. Defendants admit that male and female inmates are separated for purposes of maintaining order and safety. Defendants are without sufficient knowledge or information to form

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a belief as to the truth of the remainder of the allegations in this paragraph and on that basis denies them.

- 28. Defendants admit that Sheriff deputies are responsible for determining which inmates are eligible for work or for the work housing unit. Defendants are without sufficient knowledge or information to form a belief as to the truth of the remainder of the allegations in this paragraph and on that basis denies them.
- 29. Defendants admit that Sheriff deputies guard inmates at Santa Rita Jail but deny the remainder of the allegations in Paragraph 29.
- 30. This paragraph sets forth legal conclusions and questions of law, to which no answer is required. To the extent that a response is required, Defendants admit that they do not pay minimum wage or overtime to inmates but deny the remainder of the allegations in Paragraph 30.
 - 31. Deny.

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- 32. Deny.
- 33. Defendants deny knowledge of anyone attempting to coerce plaintiffs or inmates to work. Defendants are without sufficient knowledge or information to form a belief as to the truth of the remaining allegations in this paragraph and on that basis denies them.
- 34. Defendants deny that they cause inmates to work through illness and injury.

 Defendants are without sufficient knowledge or information to form a belief as to the truth of the remaining allegations in this paragraph and on that basis denies them.
- 35. Defendants admit that inmates who volunteer to work in the kitchen receive benefits and compensation for their work, including but not limited to additional time outside of their cells and additional food. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in this paragraph and on that basis denies them.
- 36. This paragraph sets forth legal conclusions and questions of law, to which no answer is required. To the extent that a response is required, Defendants deny the allegations in Paragraph 36.
 - 37. Deny.

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- 38. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph, and on that basis deny the allegations in Paragraph 38.
- 39. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 39.
- 40. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first and second sentences of this paragraph (regarding whether and when Plaintiff Ruelas was employed by Aramark) and on that basis deny them. In response to the allegations in the third and fourth sentences in Paragraph 40, Defendants admit that Plaintiff Armida Ruelas performed work in the kitchen while she was a prisoner at Santa Rita Jail. Defendants deny the allegations in the fifth sentence of this paragraph. The final sentence sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the final sentence of Paragraph 40.
- 41. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first sentence of this paragraph (regarding whether and when Plaintiff Cox was employed by Aramark) and on that basis deny them. In response to the allegations in the second sentence of Paragraph 41, Defendants admit that Plaintiff Cox performed work in the kitchen while he was a prisoner at Santa Rita Jail. The third and fourth sentence set forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the third and fourth of Paragraph 41.
- 42. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first and second sentences of this paragraph (regarding whether and when Plaintiff Davis was employed by Aramark) and on that basis deny them, except that Defendants admit that Plaintiff Davis was a pre-trial detainee between October 29, 2018 and March 2019. In response to the allegations in the third sentence in Paragraph 42, Defendants admit that Plaintiff Bert Davis performed work in the kitchen while he was a prisoner at Santa Rita Jail. The fourth and fifth sentences set forth legal conclusions and questions of law to which no

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answer is required. To the extent a response is required, Defendants deny the allegations in the fourth and fifth sentences of Paragraph 42.

- 43. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first sentence of this paragraph (regarding whether and when Plaintiff Jones was employed by Aramark) and on that basis deny them. In response to the allegations in the second sentence in Paragraph 43, Defendants admit that Plaintiff Jones performed work in the kitchen while she was a prisoner at Santa Rita Jail. The final sentence sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the final sentence of Paragraph 43.
- 44. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first sentence of this paragraph (regarding whether and when Plaintiff Mebrauhtu was employed by Aramark) and on that basis deny them. In response to the allegations in the second sentence in Paragraph 44, Defendants admit that Plaintiff Mebrahtu performed work in the kitchen while he was a prisoner at Santa Rita Jail. The third and fourth sentences set forth legal conclusions and questions of law to which no answer is required. To the extent a response is required. Defendants deny the allegations in the third and fourth sentences of Paragraph 44.
- 45. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first sentence of this paragraph (regarding whether and when Plaintiff Reynolds was employed by Aramark) and on that basis deny them. In response to the allegations in the second and third sentences in Paragraph 45, Defendants admit that Plaintiff Reynolds performed work in the kitchen while he was a prisoner at Santa Rita Jail. The fourth and fifth sentences set forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the fourth and fifth sentences of Paragraph 45.
- 46. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first and second sentences of this paragraph and on that basis deny them. In response to the allegations in the third and fourth sentences in Paragraph 46,

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Defendants admit that Plaintiff Monica Mason performed work in the kitchen while she was a prisoner at Santa Rita Jail. Defendants deny the allegations in the fifth sentence of this paragraph. The sixth sentences set forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the sixth sentences of Paragraph 46.

- 47. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first through third sentences of this paragraph and on that basis deny them. In response to the fourth sentence in this paragraph, Defendants admit that Plaintiff Luis Nuniez-Romero performed work in the kitchen while he was a prisoner at Santa Rita Jail. The fifth through seventh sentences set forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the fifth through seventh sentences of Paragraph 47.
- 48. Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations in the first sentence of this paragraph (regarding whether Plaintiff Abbey worked for Aramark) and on that basis deny them. In response to the allegations in the second through fifth sentences in this paragraph, Defendants admit that Plaintiff Scott Abbey performed work in the kitchen while he was a prisoner at Santa Rita Jail. The sixth sentence sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the sixth sentence of Paragraph 46.

CLASS ALLEGATIONS

- 49. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny that class certification is warranted or appropriate.
- 50. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants admit that Plaintiffs seek to represent the referenced class.
- 51. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants admit that the referenced

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Plaintiffs seek	to represent	the referenced	subclass

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- 52. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants admit that the referenced Plaintiffs seek to represent the referenced subclass.
- 53. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants admit that the referenced Plaintiff seeks to represent the referenced subclass.
- 54. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny that Plaintiffs and putative class members have suffered any injury or damages.
- 55. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 55.

NUMEROSITY

- 56. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny that certification of the putative class and/or subclasses is warranted or appropriate.
- 57. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny that records will support the certification of any proposed class or subclass.

COMMONALITY AND PREDOMINANCE

- 58. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 58.
- 59. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 59.
 - 60. This paragraph sets forth legal conclusions and questions of law to which no

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answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 60.

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61. There are no allegations in this paragraph and thus no answer is required.

This paragraph sets forth legal conclusions and questions of law to which no

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answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 62.

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63. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 63.

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64. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 64.

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65. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 65.

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66. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 66.

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67. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 67.

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68. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 68.

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TYPICALITY

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69. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in

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Paragraph 69.

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ADEQUACY OF REPRESENTATION

70. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants are without sufficient knowledge or information to form a belief as to the truth of the allegations contained in this paragraph, and on that basis deny them.

SUPERIORITY OF CLASS ACTION

- 71. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 71.
- 72. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 72.

EXHAUSTION

73. Admit.

FIRST CLAIM FOR RELIEF FOR INVOLUNTARY SERVITUDE IN VIOLATION OF THE THIRTEENTH AMENDMENT (42 U.S.C. § 1983)

- 74. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-73 as if set forth fully in their response to Paragraph 74.
- 75. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 75.
- 76. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 76.

SECOND CLAIM FOR RELIEF FOR VIOLATION OF THE TRAFFICKING VICTIMS PROTECTION ACT (18 U.S.C. § 1589)

77. Defendants repeat and incorporate their answers to all of the proceeding allegations

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within Paragraphs 1-76 as if set forth fully in their response to Paragraph 77.

- 78. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 78.
- 79. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 79.

THIRD CLAIM FOR RELIEF FOR VIOLATION OF THE EQUAL PROTECTION CLAUSE OF THE FOURTEENTH AMENDMENT (42 U.S.C. § 1983)

- 80. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-79 as if set forth fully in their response to Paragraph 80.
- 81. Defendants admit that female plaintiffs who volunteer to work in the kitchen are assigned to different shifts of from male prisoners for purposes of maintaining safety and order. Defendants lack sufficient knowledge or information to form a belief regarding the remainder of Plaintiffs' allegations in this paragraph and on that basis deny them.
- 82. The first sentence consists of Plaintiffs' speculation and opinion to which no answer is required. To the extent a response is required, Defendants lack sufficient knowledge or information to form a belief and on that basis deny the allegations in the first sentence of this paragraph. The second sentence sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in the second sentence of this paragraph.
- 83. This paragraph sets forth opinions, legal conclusions, and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in Paragraph 83, except with regard to the allegation that out of cell time is crucial for the physical and mental health of inmates, which Defendants lack sufficient knowledge or information to form a belief and on that basis deny it.
- 84. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in

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Paragraph 84.

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FOURTH CLAIM FOR RELIEF FOR FAILURE TO PROVIDE DUE PROCESS IN VIOLATION OF THE FOURTEENTH AMENDMENT (42 U.S.C. § 1983)

- 85. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-84 as if set forth fully in their response to Paragraph 85.
- 86. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 87. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants lack sufficient knowledge or information to form a belief and on that basis deny the allegations in this paragraph.
- 88. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 89. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 90. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.

FIFTH CLAIM FOR RELIEF FOR FAILURE TO PAY WAGES (Cal. Lab. Code §§ 201, 202, 218)

- 91. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-90 as if set forth fully in their response to Paragraph 91.
- 92. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
 - 93. This paragraph sets forth legal conclusions and questions of law to which no

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answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.

SIXTH CLAIM FOR RELIEF FOR FAILURE TO PAY MINIMUM WAGE (Cal. Lab. Code § 1194)

- 94. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-93 as if set forth fully in their response to Paragraph 94.
- 95. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 96. This paragraph sets forth legal conclusions and questions of law to which no answer is required. To the extent a response is required, Defendants admit that they did not pay inmates minimum wage.

SEVENTH CLAIM FOR RELIEF FOR FAILURE TO PAY OVERTIME PREMIUM WAGES (Cal. Lab. Code § 1194)

- 97. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-96 as if set forth fully in their response to Paragraph 97.
- 98. This paragraph sets forth legal conclusions and questions of law or claims dismissed against Defendants via Judge Tigar's February 2, 2019 Order Granting in Part and Deny in Part Defendants' Motion to Dismiss (ECF No. 66) to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 99. This paragraph sets forth legal conclusions and questions of law or claims dismissed against Defendants via Judge Tigar's February 2, 2019 Order Granting in Part and Deny in Part Defendants' Motion to Dismiss (ECF No. 66) to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.
- 100. This paragraph sets forth legal conclusions and questions of law or claims dismissed against Defendants via Judge Tigar's February 2, 2019 Order Granting in Part and Deny in Part Defendants' Motion to Dismiss (ECF No. 66) to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.

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101. This paragraph sets forth legal conclusions and questions of law or claims dismissed against Defendants via Judge Tigar's February 2, 2019 Order Granting in Part and Deny in Part Defendants' Motion to Dismiss (ECF No. 66) to which no answer is required. To the extent a response is required, Defendants deny the allegations in this paragraph.

EIGHTH CLAIM FOR RELIEF FOR UNFAIR COMPETITION (Cal. Bus. & Prof. Code § 17200 et seq.)

- 102. Defendants repeat and incorporate their answers to all of the proceeding allegations within Paragraphs 1-101 as if set forth fully in their response to Paragraph 102.
- 103. This paragraph sets forth legal conclusions and questions of law to which no answer is required.
- 104. This paragraph sets forth allegations against Aramark and/or legal conclusions and questions of law to which no answer is required by Defendants. To the extent a response is required, Defendants lack sufficient knowledge or information to form a belief and on that basis deny the allegations in this paragraph.
- 105. This paragraph sets forth allegations against Aramark and/or legal conclusions and questions of law to which no answer is required by Defendants. To the extent a response is required, Defendants lack sufficient knowledge or information to form a belief and on that basis deny the allegations in this paragraph.
- 106. This paragraph sets forth allegations against Aramark and/or legal conclusions and questions of law to which no answer is required by Defendants. To the extent a response is required, Defendants lack sufficient knowledge or information to form a belief and on that basis deny the allegations in this paragraph.
- This paragraph sets forth allegations against Aramark and/or legal conclusions and questions of law to which no answer is required by Defendants. To the extend a response is required, Defendants deny the allegations in this paragraph.
- This paragraph sets forth allegations against Aramark and/or legal conclusions and 108. questions of law to which no answer is required by Defendants. To the extend a response is required, Defendants deny the allegations in this paragraph.

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1	NINTH CLAIM FOR RELIEF FOR VIOLATION OF CALIFORNIA BANE ACT (Cal. Civ. Code Code § 52.1)
2	109. Defendants repeat and incorporate their answers to all of the proceeding allegations
3	within Paragraphs 1-108 as if set forth fully in their response to Paragraph 109.
4	110. This paragraph sets forth legal conclusions and questions of law to which no
5	answer is required. To the extend a response is required, Defendants deny the allegations in this
6	paragraph.
7	PUNITIVE DAMAGES
8	111. This paragraph sets forth allegations against Aramark and/or legal conclusions and
9	questions of law to which no answer is required by Defendants. To the extend a response is
10	required, Defendants deny the allegations in this paragraph.
11	DAMAGES
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13	112. This paragraph sets forth legal conclusions and questions of law to which no
14	answer is required. To the extent a response is required, Defendants deny the allegations in this
15	paragraph.
16	PRAYER FOR RELIEF
17	The remainder of the FAC constitutes Plaintiffs' request for relief to which no responsive
18	pleading is necessary. To the extent a responsive pleading is required, Defendants deny that
19	Plaintiffs are entitled any relief sought against Defendants.
20	SEPARATE AFFIRMATIVE DEFENSES
21	As a separate and further answer to Plaintiffs' FAC, Defendants allege upon information
22	and belief the following affirmative defenses:
23	FIRST AFFIRMATIVE DEFENSE
24	(Failure to State a Claim)
25	Plaintiffs' claims failure to allege sufficient facts to state a claim upon which relief can be
26	granted.
27	SECOND AFFIRMATIVE DEFENSE
28	(Statute of Limitations)

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Plaintiffs' claims are barred by the applicable statutes of limitation; including but not limited to, the statute of limitations contained in California Code of Civil Procedure §§ 337, 338, 339, and 343; California Labor Code § 1197.5, California Business and Professions Code § 17208, and any other applicable statutes of limitation.

THIRD AFFIRMATIVE DEFENSE

(Plaintiff's' Breach of Statutory Obligations)

Plaintiffs' FAC and all purported claims for relief therein are barred by Plaintiffs' breach of their statutory obligations.

FOURTH AFFIRMATIVE DEFENSE

(Unclean Hands)

Upon information and belief, Plaintiffs' FAC, and each claim for relief alleged against Defendants, is barred by the doctrine of unclean hands.

FIFTH AFFIRMATIVE DEFENSE

(Waiver)

By their conduct, Plaintiffs have waived any right to recover any relief under the FAC, or any purported claim for relief alleged therein.

SIXTH AFFIRMATIVE DEFENSE

(Estoppel)

Plaintiffs are estopped by their conduct from recovering any relief under their FAC, or any purported claim for relief alleged therein.

SEVENTH AFFIRMATIVE DEFENSE

(Failure to Mitigate Damages)

Any recovery on Plaintiffs' FAC, or any purported claim for relief alleged therein, is barred in whole or in part by Plaintiffs' failure to mitigate their damages. Consequently, any damages suffered by Plaintiffs must be reduced in an amount by which Plaintiffs and/or their agents could have mitigated those damages, if any.

EIGHTH AFFIRMATIVE DEFENSE

(Laches)

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1 Upon information and belief Plaintiffs are guilty of undue delay in filing and prosecuting 2 this suit, and accordingly, this action is barred by laches. 3 NINTH AFFIRMATIVE DEFENSE 4 (Payment of Wages) 5 Without conceding that there are any wages due, there exists a good faith dispute regarding 6 the payment of wages. Thus, penalties are not warranted. 7 TENTH AFFIRMATIVE DEFENSE 8 (Performance Excused) 9 Defendants allege that they followed all appropriate terms and conditions of the contractual 10 relationship, if any, between the Defendants and Aramark. 11 ELEVENTH AFFIRMATIVE DEFENSE 12 (Frivolous Claims) 13 Plaintiffs' claims against Defendants are asserted in bad faith, and are frivolous, 14 unreasonable and without foundation. Defendants are therefore entitled to an award of costs and 15 expenses of litigation, including reasonable attorney's fees. 16 TWELFTH AFFIRMATIVE DEFENSE 17 (Failure to Exhaust Internal and Administrative Remedies) 18 Plaintiffs' FAC and any purported claim for relief alleged therein, is barred by Plaintiffs' 19 failure to exhaust administrative and internal remedies available under state and federal laws, 20 including, without limitation, the Prisoners Legal Remedies Act and Defendants' internal policies and procedures. 21 22 THIRTEENTH AFFIRMATIVE DEFENSE 23 (Payment and Release) 24 Upon information and belief, Plaintiffs received all payment and benefits they were 25 entitled to and therefore Defendants are released from any and all continuing obligations to them. 26 FOURTEENTH AFFIRMATIVE DEFENSE 27 (Adequate Remedy at Law)

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Plaintiffs have an adequate remedy at law. Thus, injunctive and/or declaratory relief is

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1	inappropriate.
2	FIFTEENTH AFFIRMATIVE DEFENSE
3	(Receipt of All Sums and Benefits)
4	Plaintiffs have received all sums and benefits due by virtue of their relationship with the
5	Defendants.
6	SIXTEENTH AFFIRMATIVE DEFENSE
7	(No Standing)
8	Plaintiffs have no standing to bring some or all of the claims which are therefore barred.
9	Plaintiffs also have no standing to serve as an adequate class representative.
10	SEVENTEENTH AFFIRMATIVE DEFENSE
11	(Defendants Acted in Good Faith)
12	At all relevant times herein, Defendants allege that Defendants complied in good faith with
13	all applicable laws, and reasonable interpretations of the same, pertaining to the use of Plaintiffs as
14	laborers, as may be alleged in this action.
15	EIGHTEENTH AFFIRMATIVE DEFENSE
16	(Preemption)
17	Defendants allege that Plaintiffs' FAC, and the claims for relief contained therein, are
18	preempted by federal law, including the Fair Labor Standards Act, and other applicable Federal
19	Laws. Accordingly, the FAC and all causes of action therein are barred against Defendants.
20	NINETEENTH AFFIRMATIVE DEFENSE
21	(Failure to Accurately Report Hours Worked)
22	Defendants allege that Plaintiffs did not accurately report the hours for which they seek
23	allegedly unpaid wages; therefore, Plaintiffs are barred from seeking to recover any such amounts
24	from Defendants.
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26	TWENTIETH AFFIRMATIVE DEFENSE
27	(Claims Not Representative of Class)
28	Defendants allege that the claims of the named Plaintiffs are not representative of the
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claims of the members of the putative class, and therefore this action is not properly maintained as a class action. Defendants further allege that neither Plaintiffs nor their counsel are proper class representatives.

TWENTY-FIRST AFFIRMATIVE DEFENSE

(Claims Not Numerous)

Defendants allege that the putative class is not so numerous that joinder of all members is impracticable; therefore, Plaintiffs cannot meet the prerequisites to a class action set forth in California Code of Civil Procedure section 382 or Federal Rules of Civil Procedure ("FRCP") 23. Further, to the extent Defendants are determined to be Plaintiffs' employer or the class is limited to the class Plaintiffs represent, the class is not sufficiently numerous to continue as a class action.

TWENTY-SECOND AFFIRMATIVE DEFENSE

(No Common Questions of Law or Fact)

Defendants allege that there are not questions of law or fact common to the putative class; rather, individualized questions of law and fact predominate over any semblance of common questions. In addition, the proof peculiar to Plaintiffs' claims and the defenses thereto will vary widely as an individualized determination needs to be made as to whether Plaintiffs and other detainees were required to be paid or forced to work, unlike the claims of the putative class members. Therefore, Plaintiffs cannot meet the prerequisites to a class action set forth in Section 382 of the California Code of Civil Procedure or FRCP 23.

TWENTY-THIRD AFFIRMATIVE DEFENSE

(Claims Not Typical of Class)

Defendants allege that the claims of Plaintiffs and Defendants' defenses thereto are not typical of the putative claims or related defenses of the putative class as a whole, and Plaintiffs are not a suitable class representative. Therefore, Plaintiffs cannot satisfy the prerequisites to a class action set forth in section 382 of the California Code of Civil Procedure or FRCP 23.

TWENTY-FOURTH AFFIRMATIVE DEFENSE

(Class Action Not Practical)

Defendants allege that this case is not properly maintained as a class action because the

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prosecution of separate actions by individual members of the putative class would not create a risk of inconsistent or varying adjudications or adjudications that as a practical matter would be 3 dispositive of the interests of other members not parties to the action. 4 TWENTY-FIFTH AFFIRMATIVE DEFENSE 5 (Class Action Not Proper) 6 Defendants allege that this action is not properly maintained as a class action because 7 concentrating the litigation of the Plaintiffs' claims, as to which individualized facts and proof will 8 predominate, in one particular forum is not desirable. 9 TWENTY-SIXTH AFFIRMATIVE DEFENSE 10 (Class Not Manageable) 11 Defendants allege that this case is not properly maintained as a class action because of the difficulties likely to be encountered in the management of a class action. 13 TWENTY-SEVENTH AFFIRMATIVE DEFENSE 14 (No Questions of Common or General Interest) 15 Defendants allege that this FAC does not raise questions of a common or general interest; therefore, this case may not be properly maintained as a class action under California Code of 17 Civil Procedure section 382 or FRCP 23. 18 TWENTY-EIGHTH AFFIRMATIVE DEFENSE 19 (Reasonable Wages) 20 Defendants allege that the actual compensation Plaintiffs received, which are the subject of 21 this action, were reasonable and appropriate herein. 22 TWENTY-NINTH AFFIRMATIVE DEFENSE 23 (Absolute Good Faith) 24 Defendants allege that they acted in good faith reliance upon the reasonable interpretation 25 of applicable law and the opinion(s) of the California courts, federal courts, California statutes, 26 federal statutes and California and federal administrative agencies. 27 THIRTIETH AFFIRMATIVE DEFENSE 28 (Waiver, Estoppel and/or Ratification)

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1 The relief sought by Plaintiffs is barred in whole or in part, by the doctrines of waiver, 2 estoppel and/or ratification. 3 THIRTY-FIRST AFFIRMATIVE DEFENSE 4 (Disregard of Policies) 5 Defendants allege, that to the extent that any all inmates have alleged they have not been 6 paid in full, they have disregarded Defendants' policies regarding receipt of compensation and 7 benefits, if any. 8 THIRTY-SECOND AFFIRMATIVE DEFENSE 9 (Quantum Meruit – Reasonable Wages) 10 Defendants allege that the actual compensation and benefits received, which are the subject of this action, were commensurate and appropriate with the services and work actually performed. 11 12 THIRTY-THIRD AFFIRMATIVE DEFENSE 13 (Quantum Meruit – Wages Accepted by Plaintiffs) 14 Defendants allege that the actual compensation and benefits received, which are the subject 15 of this action, and/or calculation of the same, were accepted and/or approved by all inmates and relied upon by Defendants. 16 17 THIRTY-FOURTH AFFIRMATIVE DEFENSE 18 (Set-Off) 19 Any monies or other consideration claimed to be owed Plaintiffs represents amounts to 20 which this Defendants are entitled to equitable, statutory, and/or contractual set-off. 21 **THIRTY-FIFTH AFFIRMATIVE DEFENSE** 22 (Plaintiffs' Willful Misconduct) 23 Plaintiffs' claims are barred by Plaintiffs' willful misconduct. 24 THIRTY-SIXTH AFFIRMATIVE DEFENSE 25 (Speculative Damages) 26 Plaintiffs are barred from any recovery against Defendants because Plaintiffs' alleged damages are speculative. 27 28 THIRTY-SEVENTH AFFIRMATIVE DEFENSE

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Case 4:19-cv-07637-JST Document 81 Filed 04/22/21 Page 23 of 28 1 (Payment in Full) 2 Plaintiffs have received all consideration due or claimed to be due. 3 THIRTY-EIGHTH AFFIRMATIVE DEFENSE 4 (Accord and Satisfaction) 5 Plaintiffs has received all consideration due and that, as such, the parties have achieved a 6 full accord and satisfaction with respect to those claims asserted against Defendants. 7 THIRTY-NINTH AFFIRMATIVE DEFENSE 8 (Plaintiffs' Misrepresentation) 9 Plaintiffs are not entitled to the relief requested as a result of fraud and/or 10 misrepresentation (whether intentional or negligent) perpetrated by Plaintiffs and/or their agents. 11 FORTIETH AFFIRMATIVE DEFENSE 12 (Constitutional Violation) 13 Defendants allege that insofar at the instant complaint is an attempt to recover fines or 14 penalties from Defendants, it violates the following Principles of the United States Constitution and California State Constitution: 15 Excessive fines clause of the United States Constitution, Eighth Amendment and 16 (a) 17 Fourteenth Amendment; 18 (b) The contract clause, Article I, Section 10, clause 1, and the Fourteenth Amendment 19 of the United States Constitution; The due process clause of the United States Constitution, Fourteenth Amendment; 20 (c) 21 (d) The equal protection clause of the United States Constitution; 22 (e) The California Constitution due process and equal protection clauses, Article 1, 23 Section 7(a); and (f) 24 The California Constitution excessive fines clause Article 1, Section 17. 25 26 FORTY-FIRST AFFIRMATIVE DEFENSE 27 (Impossibility) 28 Defendants allege that any duty or obligation they may have had to perform certain acts for Case No. 4:19-CV-07637 JST -23-DEFENDANTS COUNTY OF ALAMEDA AND SHERIFF GREGORY J. AHERNS' ANSWER TO VERIFIED

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Case 4:19-cv-07637-JST Document 81 Filed 04/22/21 Page 24 of 28 1 the benefit of Plaintiffs was rendered impossible to perform due to the conduct of Plaintiffs. 2 FORTY-SECOND AFFIRMATIVE DEFENSE 3 (No Jurisdiction) Defendants allege that this Court has no personal or subject matter jurisdiction over this 4 5 matter. 6 FORTY-THIRD AFFIRMATIVE DEFENSE 7 (Failure to Comply with Conditions) 8 Defendants allege that Plaintiffs have failed to comply with the necessary conditions 9 precedent, concurrent, or subsequent for bringing this action, including but not limited to compliance with any and all applicable state and/or federal laws. 10 11 FORTY-FOURTH AFFIRMATIVE DEFENSE 12 (In Pari Delicto) 13 Defendants allege that the claims are barred by reason of the fact that Plaintiffs have 14 engaged in acts and courses of conduct which rendered Plaintiffs in pari delicto. 15 FORTY-FIFTH AFFIRMATIVE DEFENSE 16 (Defendants' Detrimental Reliance) 17 Defendants allege that the relief prayed for in the FAC against Defendants, is barred because of the consideration received, which are the subject of this action, and/or calculation of 18 19 same, were accepted and/or approved by the receiving party and relied upon by Defendants. 20 FORTY-SIXTH AFFIRMATIVE DEFENSE

(Privilege)

Defendants allege that the relief prayed for in the FAC is barred by Civil Code section 47(c) in that the statements attributed to Defendants, if made, were communications made to interested person(s), without malice, to interested person(s) who requested the information.

FORTY-SEVENTH AFFIRMATIVE DEFENSE

(No Discrimination)

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To the extent Plaintiffs claim or establish that female inmates were subject to less

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preferential treatment, including reduced out of cell time, than male inmates, Defendants assert that any such action or decision was based on legitimate, nondiscriminatory reasons.

FORTY-EIGHTH AFFIRMATIVE DEFENSE

(Avoidable Consequences)

Defendants allege that the relief prayed for in the FAC against Defendants is barred by the Ellerth/Faragher defense/avoidable consequences doctrine or Plaintiffs' failure to take advantage of the preventative or corrective opportunities provided by Defendants to avoid the harm alleged in the FAC.

FORTY-NINTH AFFIRMATIVE DEFENSE

(Mixed Motive)

Defendants allege, arguendo, that even if Plaintiffs produce sufficient evidence to show that discrimination was a substantial motivating factor in Defendants' personnel decision(s), which Defendants contend Plaintiffs cannot do. Defendants would have the same decision(s) with respect to Plaintiffs' work assignments solely for legitimate, non-discriminatory reasons. Consequently, Defendants reserve the right to allege that Plaintiffs' claims and damages are barred by the mixed motives and analysis/defense. Harris v. City of Santa Monica, 56 Cal. 4th 2013 (2013).

FIFTIETH AFFIRMATIVE DEFENSE

(Proposition 139)

Defendants allege that the relief prayed for in the FAC is barred by Proposition 139 as codified in the California Constitution, which only provides a right to payment for state inmates and provides that persons incarcerated in county jail are not entitled to wages unless the county enacts a local ordinance providing for the payment of wages.

FIFTY-FIRST AFFIRMATIVE DEFENSE

(Penal Code Governs Work in County Jails)

Defendants allege that the relief prayed for in the FAC is barred by the comprehensive scheme set forth in the California Penal Code regarding the rights and benefits of persons incarcerated in county jail and that Plaintiffs received all benefits and consideration they were

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entitled to under the California Penal Code.

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FIFTY-SECOND AFFIRMATIVE DEFENSE

(Plaintiffs Were Not Employees)

Defendants allege that the relief prayed for in the FAC is barred because all applicable California and federal law provides that detainees and inmates incarcerate in the county jail are not employees of the Defendants. The Plaintiffs did not work to turn profits for the Jail and the Sheriff but rather as a means of rehabilitation and job training. There was no bargained-for exchange of labor for mutual economic gain that occurs in a true employer-employee relationship. Because the Jail provides them with the food, shelter, and clothing that employees would have to purchase in a true employment situation, there is no need to pay them minimum wage to maintain a standard of living necessary for health, efficiency, and general well-being that the minimum wage is intended to provide. Accordingly, Plaintiffs have no right to wages under the California Labor Code or any other law or statute.

FIFTY-THIRD AFFIRMATIVE DEFENSE

(Public Entity Exemption)

Defendants allege that the relief prayed for in the FAC is barred because liability for violation of the TVPA and the Labor Code provisions at issue does not extend to governmental entities such as Defendants.

FIFTY-FOURTH AFFIRMATIVE DEFENSE

(No Cognizable Injury under 42 U.S.C. §1983)

Defendants allege that the relief prayed for in the FAC is barred because under 42 U.S.C. § 1983 a plaintiff must suffer some cognizable injury due to the deprivation of some constitutional right. Theoretical injury or the generic statement that out of cell time is crucial for the physical and mental health of inmates is insufficient to state a claim for injury under 42 U.S.C. § 1983 because it is not deprivation of constitutional right.

FIFTY-FIFTH AFFIRMATIVE DEFENSE

(Qualified Immunity)

Defendants are immune from suit based on the doctrine of qualified immunity, as

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Defendants' "conduct did not violate clearly established statutory constitutional rights of which a reasonable person would have known." Pearson v. Callahan, 555 U.S. 223, 231 (2009). 3 FIFTY-SIXTH AFFIRMATIVE DEFENSE 4 (No Attorneys' Fees) 5 The FAC, and each cause of action alleged therein, fails to state a claim for attorneys' fees. 6 FIFTY-SEVENTH AFFIRMATIVE DEFENSE 7 (Sheriff's Exclusive Jurisdiction Over County Jail) 8 The FAC, the relief prayed for therein, each of the FAC's causes of action are barred 9 because California Government Code section 26605 provides the Sheriff "the sole and exclusive authority to keep the county jail and the prisoners in it." Cal. Gov. Code § 26605. 10 11 FIFTY-EIGHTH AFFIRMATIVE DEFENSE 12 (Additional Affirmative Defenses) 13 Defendants reserve the right to amend this answer to assert additional defenses and/or supplement, alter or change this answer as may be warranted by the revelation of information 15 during discovery and investigation. 16 **PRAYER** 17 WHEREFORE, Defendants pray for relief as follows: 18 That Plaintiffs take nothing by the FAC on file herein, and that the FAC be 1. 19 dismissed with prejudice; 20 2. That judgment be entered in favor of Defendants; 3. 21 For costs of the suit incurred herein, including reasonable attorneys' fees where 22 afforded; and 4. 23 For such other and further relief as the Court may deem just and proper. 24 25 26 27

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1 2	1	HANSON BRIDGETT LLP
3 4		By: /s/ Winston K. Hu GILBERT J. TSAI
5		PAUL B. MELLO SAMANTHA D. WOLFF
6		WINSTON K. HU
7		Attorneys for Defendants COUNTY OF ALAMEDA and SHERIFF
8		GREGORY J. AHERN
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Pages 1 - 48 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA Before The Honorable Jon S. Tigar, Judge ARMIDA RUELAS, ET AL., Plaintiffs, VS. NO. CV 19-07637-JST COUNTY OF ALAMEDA, ET AL., Defendants. Oakland, California Wednesday, October 21, 2020 TRANSCRIPT OF PROCEEDINGS **APPEARANCES:** For Plaintiffs: SIEGEL, YEE, BRUNNER & MEHTA 475 14th Street, Suite 500 Oakland, CA 94612 EMILYROSE JOHNS, ESQUIRE BY: DANIEL SIEGEL, ESQUIRE For Defendants County of Alameda and Sheriff Ahern: SKANE WILCOX LLP 1055 West 7th Street, Suite 1700 Los Angeles, CA 90017 BY: JOEL GLASER, ESQUIRE For Defendant Aramack: COVINGTON & BURLING LLP Salesforce Tower 415 Mission Street, Suite 5400 San Francisco, CA 94105 CORTLIN LANNIN, ESQUIRE BY: ERIC BOSSET, ESQUIRE

Official Reporter

Pamela Batalo-Hebel, CSR No. 3593, RMR, FCRR

Reported By:

1 Monday - October 19, 2020 2:18 p.m. 2 PROCEEDINGS ---000---3 THE CLERK: Your Honor, now calling CV 19-7637, Armida 4 5 Ruelas, et al. vs. County of Alameda, et al. 6 If counsel could please state their appearances for the 7 record, starting with counsel for plaintiffs. 8 MS. JOHNS: Good afternoon, Your Honor. EmilyRose 9 Johns and Dan Siegel on behalf of the Plaintiffs. MR. GLASER: Good morning, Your Honor. Joel Glaser on 10 behalf of the County of Alameda and Sheriff Ahern. 11 12 MR. LANNIN: Good afternoon, Your Honor. Cortlin Lannin of Covington & Burling for the Defendant Aramack. I 13 14 apologize. My partner Eric Bosset is scheduled to argue this motion, and I don't see him on the panel. 15 THE COURT: Let me look in the attendees column. 16 THE CLERK: I didn't see him. I'm sorry. 17 18 THE COURT: I don't see him either. Mr. Lannin, I don't want your side to feel that it's been 19 disadvantaged in any way. Perhaps there is a technical 20 difficulty. Do you want to step off the Zoom and call him and 21 then come back into the Zoom and let us know how that went? 22 23 MR. LANNIN: I would appreciate that opportunity. 24 THE COURT: That's fine. 25 (Pause in proceedings.)

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1	MR. LANNIN: Your Honor, I have Eric on the line.
2	Apparently he can see us but we can't see him obviously, but he
3	is in contact with our Help Desk and is trying urgently to
4	resolve that.
5	THE COURT: That's fine. My suggestion would be that
6	we just all agree it's now 2:22. Why doesn't the Court take
7	a recess until 2:30. That will take a little bit of the heat
8	off the Covington Help Desk and hopefully that will enable your
9	law partner to join the conference. Let's do that.
10	Court will be in recess until 2:30.
11	MR. LANNIN: Thank you, Your Honor.
12	(Recess taken at 2:22 p.m.)
13	(Proceedings resumed at 2:30 p.m.)
14	THE COURT: Okay. Ms. Lee, I think we might be ready
15	to go.
16	THE CLERK: One moment, Your Honor. I had Mr. Bosset,
17	but I'm seeing now that he now that he is connected to
18	video, I don't see a microphone next to his name so I don't
19	think that, Mr. Bosset, you're connected to audio so that
20	you'll be able to speak with the Court.
21	MR. LANNIN: Yeah. Your Honor, I do apologize to you
22	and the parties. I'm on with Eric, and he is on in Washington,
23	and Help Desk is trying to get his camera and audio up. They
24	are trying to unblock whatever they can to make it work.

THE COURT: Let's hang on a few more minutes. COVID

has caused me to exercise my patience muscle much more often.

Mr. Lannin, are you familiar with the plot of a play called *Cyrano de Bergerac*?

MR. LANNIN: I have seen, I think, the modern interpretation of it, Your Honor, the movie.

THE COURT: There is a Steve Martin interpretation called *Roxanne*, which is a little light. There is also a Gérard Depardieu interpretation in France which is one of the best movies I've ever seen.

Anyway, for those of you who don't know -- just trying to fill the time here for a second -- but for those of you who have not seen the play or read it or seen the movie, the theory is that there is a French guardsman at a time when people fought with swords, and Cyrano de Bergerac, he's just a phenomenal. He is incredibly capable. He is very smart. He is very witty. He has an enormous nose. He is in love with his cousin, whose name is Roxanne. He is convinced that Roxanne could never love him because of his appearance.

There is a very handsome young man who isn't very smart, but he is good looking, who also wants to pursue Roxanne, and so Cyrano agrees to help him because he doesn't think he could ever get Roxanne, and Christian stands underneath Roxanne's window while Cyrano feeds him the lines. And perhaps you and Mr. Bosset could do something similar. That's where I'm going with this. I don't know how fast a typist he is, though, so

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1	maybe that wouldn't work.
2	MR. LANNIN: I'm afraid we would all be disserviced if
3	it came to that, Eric having put in a substantial amount of
4	time to prepare for today and my not so much, but let's hope
5	that the Covington Help Desk can get its act together.
6	MR. GLASER: Cortlin, this is Joel. Do you see next
7	to your mute button, there is an up arrow, and you could click
8	on it, and there's a there's a description "switch to phone
9	audio" with three dots next to it.
10	MR. LANNIN: Yeah.
11	MR. GLASER: If you provide that information to Eric,
12	he could probably call in and join us.
13	THE COURT: Oh, that's not a bad idea. I thought I
14	had learned everything about Zoom.
15	THE CLERK: Judge, I also messaged him via Zoom and
16	provided him with conference numbers as well so he could dial
17	in.
18	MR. LANNIN: I will do that right now as well.
19	Joel, you may have saved our day; although, Your Honor,
20	I'm afraid you'll miss his visage, but at least you can hear
21	his voice.
22	All right. Give me one second. Let me go on mute.
23	(Pause in proceedings.)
24	MR. BOSSET: I am very, very sorry to Your Honor and
25	the rest of the guests for the hearing today. We have not had
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success in getting a video connection, but at least I hope you 1 2 can hear me. 3 THE COURT: Quite clearly. Thank you. Mr. Bosset, will you state your appearance, please. 4 MR. BOSSET: Eric Bosset on behalf of Defendant 5 6 Aramack with Covington & Burling. Thank you. 7 THE COURT: Thanks. I think all the appearances that 8 need to be made have been made. Let me just say a few words 9 and then describe how the hearing will proceed this afternoon. 10 I'm going to limit argument to an hour total, not because this case couldn't easily absorb more argument than that, but I 11 12 can't absorb more than that. I have done this enough times to 13 know that just in terms of my ability to synthesize the comments as they're coming in, about an hour in any one case is 14 what I can comfortably listen to. 15 So we've got two separate motions to dismiss. 16 some overlapping issues. I don't know if Defendants discussed 17 any kind of division of time before they got here, but this is 18 19 how I would like to proceed. I would like to allocate 30 minutes to the Defendants as a 20 group and 30 minutes to the Plaintiffs in combination. I will 21 22 hear first from the Defendants and then from the Plaintiffs and 23 then from the Defendants. There is no -- I don't have any

division in mind for the Defendants between your opening

argument and your rebuttal argument. You are robbing Peter to

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pay Paul every time you talk while you're making your opening argument.

I don't know that the Plaintiffs will need all that time.

I'm not sure the Defendants will either, provided that if an issue has already been raised by the first person arguing for the Defendant, the next lawyer arguing for the other Defendant doesn't also feel the need to address that issue, but we'll see how that plays out.

I'm going to hear first from Aramack; then I'm going to hear from the County; then I'm going to hear from the Plaintiffs. Who goes first on rebuttal argument for the Defendants is -- you can figure that out. By the time you get to that point, you can send each other text messages or emails and just whoever wants to go first as between the County and Aramack at that stage can do that.

It's possible that the Plaintiffs will ask if they can have a second chance at the microphone. I say it's possible because that's happened in every single motion hearing I have ever conducted in the 20 years I've been a judge -- almost 20 years I've been a judge. So that might happen, and if it happens and if the Plaintiffs have any time left on their clock, it's possible I might let them do that. And then the case will go under submission.

I'm not going to rule from the bench today. I don't -you have so many issues in these various motions, and I'm not

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going to make comments about all of them. Most of them I'm not going to say anything about now.

There are a few things that leapt out at me, though, from your briefs, and I thought I would just commend these to you as topics in case you want to address them while you're speaking today.

First I would just observe globally there isn't much authority on some of the very key questions that you're debating in your briefs, and so it may be that as to certain things, I'm just going to wind up having to take my best guess.

One question I have pertains to California -- California Constitution, Article XIV, Section 5, and this -- Section A is only two sentences. The second sentence reads, "Such programs shall" -- "shall" -- "be operated and implemented pursuant to statutes enacted by or in accordance with the provisions of the Prison Inmate Labor Initiative of 1990 and by rules and regulations prescribed by the Director of Corrections and for county jail programs by local ordinances."

The parties have divergent views as to what that sentences means or as to the import of that sentence.

Let me suggest all of you mute your microphones right now. Someone is doing something near their microphone that is making a noise.

Anyway, the Defendants look at that language and they say, well, County of Alameda didn't actually enact any local

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ordinance so they can do whatever they want. It's fine. There is no -- there is absolutely no encumbrance legally whatsoever on their ability to enter into these contracts.

I wonder what the word "shall" means. What does it mean?

If somebody wants to ask for an opportunity to brief that issue further because you don't think you exhausted the well, I probably would look favorably on that request because of course the Plaintiffs look at that language and they take the 180-degree opposite view which is well, "shall" means if you haven't enacted local ordinances, you can't do it.

I suppose you both have some common sense on your side.

It would be nice to know if there is any authority. But that's one dispute. And if there is authority in the briefs and I'm not remembering it, please feel free to remind me. I have read all of your briefs carefully.

Next, the Defendants make much of the statute, and I'm not going to cite -- I'm not -- I didn't write this down in my notes so I'm not going to cite the statute, but they make much of the statute that says if you're in custody, you get good-time credits unless you didn't do the work the Sheriff made you do, essentially. And that is the benefit that inmates get, they say, so there isn't any real need to consider other questions of compensation or things that look like compensation.

My question -- and this is really just for the

Defendants -- is a pretrial detainee isn't necessarily ever going to serve a sentence. Their case might be dismissed. They might proceed to trial and be acquitted. They might be convicted but receive a sentence of credit for time served, in which case the calculation described in that statute would not come into play. And so my question for the Defendants is what do I do about that fact, the fact I just stated, which is not, I don't think, addressed in the briefs.

For both parties, although maybe a little more for Plaintiffs -- and this is my last question -- the Labor Code Sections 201/202 claims require the payment of wages after what I'll call a terminating event: a layoff, a resignation, a termination of employment. And the Plaintiffs say -- the Defendants say, "Well, you can't identify that date. And if you can't identify that date because none of your class members were laid off or terminated and none of them resigned -- if you can't identify that date, you don't have a claim." The Plaintiffs say -- the Plaintiffs sort of duck the question, and they say, "Well, we don't know, but regardless, so much time has passed it shouldn't matter."

I think the parties are not really giving me the best options there in terms of my ability to do a legal analysis. I need to understand what is the significance of the terminating event. Is it properly understood as an element of the claim?

Are there situations in the law -- can either side identify any

situation in which Section 201 or Section 202 were applied or someone tried to apply them or one of them in the absence of what we would all agree is a resignation, a termination, or a layoff, or is this the first situation like that anybody has ever seen? If it is, it is. But, you know, in the common law, we reason by analogy. It would be nice to know if there were any analogies out there.

So I have a lot of questions, but those are the only ones I feel like putting on the record at the beginning of the hearing.

Mr. Bosset, you have the floor.

MR. BOSSET: Thank you, Your Honor. I will launch right in.

So Aramack has moved to dismiss all of the claims in the First Amended Complaint against it. With Your Honor's permission, we will address first the TVPA claim and then move to Labor Code claims and then finally the remaining state claims.

THE COURT: That's fine.

MR. BOSSET: Starting with the TVPA, Your Honor, the new Complaint fails to cure the defects this Court identified with the initial Complaint. Recapping briefly the essential facts, Aramack contracts with the County to prepare food using inmate labor pursuant to a program authorized under the California Constitution, as you just noted. Aramack does not

own or operate the jail, and it has no role in deciding how an inmate gets assigned to the kitchen. Aramack can't send inmates to solitary confinement or impose other discipline. It prepares and distributes food. That's all.

The Complaint fails to plead again that Aramack is a primary offender under the TVPA which requires that an entity knowingly obtain labor by means of force or serious harm or threats of the same. The Complaint contains a single conclusory assertion that Aramack threatens to report inmates who attempt to leave the kitchen early to Sheriff's deputies. That's paragraph 33.

This falls well short of the force or serious harm necessary to state a claim under Section 1589(a). The Ninth Circuit held in the *Church of Scientology* case, which we cited in our reply brief, that courts applying the TVPA must distinguish between improper coercion and force and permissible warnings of adverse but legitimate consequences. Inmates are not free to roam the jail at will, and Aramack is within its rights to inform deputies if an inmate seeks to leave the kitchen.

The Complaint also fails to plead that Aramack is a venture offender under the TVPA which requires participation with a primary offender in reckless disregard that the venture engaged in prohibited conduct. This claim --

THE COURT: Can I ask you a question? You said a

moment ago Sheriff's deputies are free to inform -- excuse

me -- Aramack employees are free to inform Sheriff's deputies

if inmates are leaving the kitchen, but if the Court were to

conclude that there were a TVPA claim stated against the

County, then could I still conclude that Aramack employees'

activity in telling the Sheriff's deputies about what the

inmates were doing was proper?

MR. BOSSET: Your Honor, we don't think reporting an inmate to the -- to the deputies rises to the level of a threat of serious harm or force or coercion or the other types of high egregious conduct that the statute was designed to address and prohibit, so we don't think that that activity of reporting one person to another, you know, rises to that level of regulated conduct under either Section 1589(a) or 1589(b), which I'll turn to next.

So we don't -- while we believe that it is inappropriate for us to do that under the *Church of Scientology* decision, we think it falls in the category of permissible warning with a legitimate consequence as opposed to a conduct of improper coercion or force.

THE COURT: All right.

MR. BOSSET: With respect to the venture offender claim, we again feel that this claim, too, is lacking for two separate reasons and should be again dismissed as the Court did the first time around.

First, an independent reason is that the County can't be a primary offender under the TVPA. I will defer mainly to the County with regard to this argument, and I will say only at this point that the sovereign generally is not liable under federal statutes absent express authorization by Congress, and the TVPA's text omits government entities pursuant -- and in connection with the Dictionary Act, which two courts have now cited as the operative statute with regard to the scope of the TVPA.

We think the text of the statute is dispositive, but even if not, the result makes sound policy sense. Plaintiff has suggested otherwise. We disagree. I mean, the TVPA was aimed at sex trafficking and slave laboring, and there were no legislative findings that state and local governments were engaged in such conduct. And applying the TVPA against sovereigns would unleash a flood of damages claims by lawfully-confined inmates against their public jailers, and we don't think that was the intent of the statute, and there is nothing in the statute that suggests that that was the intent.

So without a primary offender in this case, there can't be a venture liability claim against Aramark under the TVPA, but even if the Court were to conclude, you know, contrary to the arguments of Defendants, that government could be a primary offender, we still think that the Section 1589(b) claim should be dismissed because the Plaintiffs have again failed to

plausibly allege that Aramack recklessly disregarded the alleged violations by the Sheriff. The Complaint pleads in only the most conclusory way that the deputies sometimes made unspecified threats to unspecified inmates in the presence of unspecified Aramack employees. That's paragraph 32.

THE COURT: Let me ask you about that also because that argument is made in the briefs. Of course there are things that needed to be -- that need to be pleaded with particularity under Rule 9(b), fraud claims, for example, who, what, where, when. We've all seen the "who, what, where, when" test more times than we can count. Is that that claim, though? Is there anything in the law that says that you have to allege who, what, where, when?

The Plaintiffs say in their opposition brief, "Hey, there are a couple paragraphs in the Complaint which say that certain of these things happened in the Plaintiffs' presence." It's true they don't name Aramack employees or the deputy or the particular Plaintiffs, but are they required to do that?

MR. BOSSET: Your Honor, our argument is not based on a sort of Rule 9 analysis along the lines that you raise. It is an argument that is based on Article III standing, and the law is very clear there that a named plaintiff has to plead particularized injury to himself or herself that's traceable, in this case, to Aramack. And that's clear under the Supreme Court's decision in O'Shea and the Ninth Circuit's decision in

Lierboe vs. State Farm and the other cases we cited.

Here none of the four named Plaintiffs identifies any such interaction with an Aramack employ. None alleges that he or she was seriously threatened in the presence of an Aramack employee and then worked in the kitchen for that reason.

Instead, the Complaint engages an improper group pleading, the very kind of group pleading that the Supreme Court rejected, expressly rejected in O'Shea, and we describe O'Shea in some detail in our moving paper, and the Plaintiffs didn't even address it in their opposition.

We would also rely on the Court's statement in Padilla vs.
Willner where Your Honor indicated that plaintiffs cannot rely
on the injuries of unnamed members of the proposed class for
the purposes of standing.

So we believe the law is clear under Article III that for this Court to have jurisdiction, the named Plaintiffs at least have to allege injury befell them individually, at least one of them, and there is no such claim -- no such allegation in the Complaint.

So for that reason, we think, in addition to the other reasons that we've articulated, that the claim should again be dismissed and that the Plaintiffs this time around should not be given a third bite at the apple.

I'll turn to the Labor Code claim, Your Honor, unless there were more questions with regard to the TVPA.

THE COURT: Oh, no. Please, go ahead.

MR. BOSSET: With regard to the Labor Code claim, opposition is the claims for wages should be dismissed as a matter of law. The Court has already held that Proposition 139 did not require wage payments to county jail inmates, and like Proposition 139, Chapter 4 of the Penal Code also leaves this issue to the discretion of local governments. And, Your Honor, I made reference at the outset to a provision of the Penal Code that related to sentencing credit. I believe that was a provision cited in the brief by the County, among others. Not the only one.

Aramack actually cited and relied on a different provision in Chapter 4. That's Section 4019.3. And that is right on point. And what that provision of the code allows, but does not require, county boards to credit wages of two dollars for each of eight hours worked by jail inmates. And Alameda County has the ability to do that if it wants for Santa Rita Jail inmates, but it has elected not to do that. It has not passed such an ordinance, and our position is it's not required to do so. There is nothing that suggests with regard to the Penal Code provision that the County or any local government is obligated to exercise its discretion. It has that discretion, and it could decide as it sees fits given its own budgetary circumstances.

I do want to address the Court's separate question

regarding the constitutional language, and we would be happy to brief that issue, you know, further if -- you know, based on the Court's inquiry. We would certainly do that if the Court continues to have questions in that regard following the hearing, but I guess our position with regard to that is that the -- the statute, like the Penal Code, Proposition 139, like the Penal Code, left this to the discretion of counties as to how to implement, and to the extent that the Plaintiffs believe they have a claim that the program is not authorized at all in the absence of an ordinance, that it's, in effect, ultra vires, that's not this case. That has nothing to do with whether the Plaintiffs are entitled to wages.

If there are is a question about the lawfulness of the program sort of ab initio, that's either a question to bring to the Board or maybe another case. I'm not sure the Plaintiffs have standing to bring that case. But it is not this case. And the answer to that question I don't think weighs one way or the other on the validity of the Plaintiffs' assertion that they should receive wages under the Labor Code.

What they want the Court to do, Your Honor, is really disregard the County's authority under the Penal Code and instead authorize the payment of wages and overtime under the Labor Code in the absence of any state legislative or state appellate court authority suggesting that that is permissible. And no other California court, to our knowledge, has held that

jail detainees are covered by the wage provisions of the Labor Code, and the Plaintiffs should not expect a court sitting in diversity to extend that code's provisions in this manner absent such clear direction from the legislature or appellate courts.

As this Court itself stated in its prior ruling, it cannot conclude Plaintiffs are included in the Labor Code unless the Penal Code says so. And just as was true with respect to convicted inmates, it's also true with respect to detained inmates that Plaintiffs point to no portion of the Penal Code indicating that the wage provisions of the Labor Code should be applying to non-convicted detainees. It is, in fact, the opposite. Section 4019.3, which this Court did cite in its initial opinion, presumes that the Labor Code does not apply to any jail inmates convicted or otherwise. There is no segregation in that provision between categories of jail inmates.

So we think that's actually the most on-point provision with respect to our argument that the Penal Code covers this issue exclusively and precludes reliance that the Plaintiffs are seeking on the Labor Code to actually receive much more than either they would be allowed under the Penal Code or even state prisoners would be allowed under a separate section of the Penal Code. And we respectfully ask that the Court, for that reason, you know, especially sitting in diversity, not

reach that determination.

We don't think the Thirteenth Amendment bridges the gap that we've described between the Penal Code and the Labor Code. The Thirteenth Amendment does not establish any right to wages, and there is no other court, to our knowledge, that has held that a claim for wages can be brought under the Labor Code or any other state law, to our knowledge, for alleged violations of the Thirteenth Amendment; that that would be the basis for affording protection and a right to wages under a separate state wage statute. Even if it were, in this instance, the Complaint doesn't assert a claim against Aramack under the Thirteenth Amendment.

THE COURT: Mr. Bosset, you have one minute remaining.

MR. BOSSET: Okay.

Let me move then with respect to even if there could be a claim asserted, we don't think there can be. The Plaintiffs haven't alleged the requisite control by Aramack over their working conditions in hiring and firing to state that -- an employment relationship with Aramack. The allegation that we can report inmates to deputies just underscores that it's the County, not Aramack, that has the power to discipline, remove, etc.

Aramack's role in food preparation also doesn't create an employment relationship. Courts have recognized that ensuring the quality and safety of food is not the kind of control over

working conditions, hiring or firing, that meet the Martinez 1 2 test, even if it did apply here. We cited the Salazar vs. McDonalds case for that proposition. That case in turn relied 3 on the Patterson vs. Domino's California Supreme Court case. 4 5 In short, we don't think Plaintiffs have pled -- and they 6 can't plead -- that Aramack is their employer in jail. And so 7 for that reason independently, even if the Court were to 8 otherwise conclude that a claim could be stated, we think that it should be dismissed in this instance because it has not been 9 10 adequately pled, and given that the Plaintiffs have now had two times, it should be dismissed with prejudice because it can't 11 12 be adequately pled. 13 I'll reserve whatever time we have remaining to address 14 the other claims later, and I will defer to my colleague, Mr. Glaser. 15 Thank you, Mr. Bosset. Your time has 16 17 actually elapsed. 18 Mr. Glaser. You're on mute, Mr. Glaser. Mr. Glaser, 19 you're on mute. MR. GLASER: Can you hear me now? 20 21 THE COURT: I can. Thanks. 22 MR. GLASER: Your Honor, I'll start with the Labor Code claims. Neither California -- neither the California 23 24 Constitution nor Title IV of the Penal Code provides any rights 25 of inmates of county jails to receive wages for work performed

while incarcerated. You could look at California Penal Code Sections 4000 through 4032, and you will not see that written anywhere.

Furthermore, the Penal Code provides a comprehensive statutory scheme for the treatment of inmates, not just convicted -- not just convicted inmates, pretrial detainees, too. And many -- and where there is a comprehensive statutory scheme, the Court is to look to the statute, not to common law, to address -- to address this particular issue, and you can look at the Voris vs. Lambert case for that, which specifically says that in regard to -- in regard to applying the Labor Code on a conversion claim where instead of the -- instead of allowing a plaintiff to assert a conversion claim for theft of wages, Your Honor.

Next and more importantly, there is no case that holds that the -- that inmates or pretrial detainees are employees, Your Honor. The issue here, which Plaintiffs have confused in the first motion to dismiss and again in this case, is they're trying to argue well, the County is an employer, but that's not the issue, Your Honor. The issue and the same issue that's been addressed in every case is whether an inmate or a pretrial detainee is an employee under the FLSA or the Labor Code sufficient to enable them to get minimum wages, overtime, penalties, anything else that is provided under either statute. And here the weight of -- overwhelming weight of authority --

and there is no authority contrary -- is that -- is that the --1 2 is that the County and -- is not -- the County inmates and the pretrial detainees are not employees. 3 In fact, Plaintiff has done nothing to distinguish the 4 Villarreal and Matherly cases which held that pretrial 5 detainees were not employees under the FLSA. And I don't 6 7 see --8 **THE COURT:** Isn't that a different test, though? 9 FLSA -- I'm sorry. The FLSA test is very different from the 10 Martinez test. MR. GLASER: It's different from the Martinez case, 11 but, again, the Martinez case applies to whether someone's an 12 13 employer, Your Honor. My argument here is that, number one, the Penal Code is a 14 comprehensive statutory scheme, and if it doesn't provide for 15 payment of wages, you don't get paid wages, number one. 16 THE COURT: Well, isn't that -- but hold on a moment. 17 I didn't interrupt you before. 18 That argument is that a comprehensive statutory scheme 19 displaces a claim under the common law, but aren't all of 20 21 Plaintiffs' claims here statutory or constitutional? They're 22 not common law claims, are they? 23 MR. GLASER: Exactly. So you can't apply the common

law to determine whether Plaintiff is an employee.

And more importantly, you -- I think when you are looking

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at the FLSA, you're -- the FLSA test versus the Martinez test, you're looking as to who constitutes an employee. And the -- the issue here is who is an employee. And the fact that there's no California case on point that holds that an inmate or detainee is an employee, Your Honor has the right and, in my opinion, the obligation to look at federal precedent that has held time and time again that no convicted inmate and no pretrial detainee -- and there are plenty of examples of it -- look at Villarreal, look at Matherly, look at the other cases cited in both my motion and my reply -- all hold that because -- because an inmate or pretrial detainee does not have the same pecuniary interest as a private employee, that they -- that they do not get paid wages under the FLSA.

The same reason applies to the Labor Code, Your Honor.

They are -- the same rationale applies to the Labor Code. They did not have a pecuniary interest here because their food, their clothing, their shelter, it's all taken care of by the County, so they do not have the same interest as an employee seeking to get paid fair wages under the Labor Code. And it's -- it's just completely wrong to hold that an inmate or a detainee is subject to -- subject to a statute which was not designed for them. And there's no case saying it was designed for them. And the only precedent out there is a federal precedent saying that neither of them should be an employee entitled to wages. And the -- and neither the California

Constitution or the California Penal Code say they are entitled to wages.

So you got all this different law all over the place but -- that's saying that inmates and pretrial detainees don't get wages, and there's nothing the Plaintiff can say that changes that, Your Honor. They're just asking you to legislate from the bench, and I think that's completely improper.

If the California legislature wanted to make inmates or detainees subject to the Labor Code, they've had plenty of opportunity to do that. They could have done that when -- they could have done it in connection with the -- with the legislation that followed Proposition 139. They didn't do it for a reason.

And with respect to Proposition 139, I think my co-counsel is completely correct in saying that unless the County enacts an ordinance, which is within their discretion to decide to enact, there is no right -- there is no right to wages on the county level.

Now, getting past that to the TVPA claim, that claim fails because government entities and elected officials, including Sheriff Ahern, cannot be held liable for violations of the TVPA. The Nunag-Tanedo case holding that the TVPA does not apply to governmental entities is soundly reasoned, persuasive, and directly on point. Plaintiff has not cited to a single case that distinguished, overruled, or in any way questioned

the Nunag-Tanedo case.

In my opinion, Your Honor, you have to follow the precedent that the County -- the County and Sheriff Ahern cannot be held liable under the TVPA. And obviously Aramack can't be held liable as a secondary defender if the County isn't a primary defender.

The next couple points, which are self-evident, are that Plaintiffs Mebrahtu, Mason, and Luis Nunez-Romero cannot be parties to this First Amended Complaint because in your ruling on the earlier motion to dismiss, you said so. You ruled -- you sustained -- I mean, you granted our motion to dismiss without leave to amend to these three people. You said they could be class members, but they can't be named plaintiffs. So they can't just revitalize these claims on amendment of these three individuals.

The last guy, Scott Abbey, he just doesn't allege any facts to entitle him to any claim for relief in this case, Your Honor. I mean, you just read the Complaint. There's vague, generalized facts as to him; nothing specific that would lead -- that would include him in any of the claims for relief alleged in this case, Your Honor. It's the County's position that -- that the first, third, fourth through seventh, and ninth claims of relief have to fail because they're not entitled to wages.

THE COURT: Mr. Glaser, let me ask you a question

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about the three Plaintiffs whose claims were dismissed with prejudice previously. I think I get the argument. MR. GLASER: Sure. THE COURT: Certainly these Plaintiffs could not attempt to bring the same claims that I dismissed with That would be -- I think "improper" is not the right word, but it wouldn't be successful. We could agree on that. But there is no -- they're not -- I think we can agree, probably, they're not prohibited from filing suit against the County for the rest of their lives on any ground. They could file a lawsuit if they wanted to. They just couldn't bring those claims; right? MR. GLASER: They just can't bring the same claims that were in the original Complaint against the County, and there is no new claims in the First Amended Complaint.

THE COURT: They can't bring the claims that were dismissed with prejudice before.

MR. GLASER: Correct.

THE COURT: We could have a discussion now about whether they are barred by my prior order from bringing new claims in this case, which is what you're urging, that they be barred from bringing any new claims in this case.

Would anything prevent them from filing these claims as a separate case?

MR. GLASER: I -- to file these same claims, I think

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      they would be precluded by your order, Your Honor.
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               THE COURT:
                           The same claims that they're trying to
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      bring now?
               MR. GLASER: Correct. That would be -- I think your
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      prior order is either collateral estoppel or res judicata
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      against them bringing those claims, Your Honor.
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               THE COURT: I see.
                                   Okay.
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               MR. GLASER: As far as bringing a brand new claim
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      which isn't before the Court today, I don't know. I would have
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      to see the new claim and then do a legal analysis on that, but
      that's not before the Court. What's before the Court is the
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      claims that are in this case.
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               THE COURT: Right. Okay.
               MR. GLASER: So, you know, Your Honor, I'm going to
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      reserve the rest of my time for rebuttal.
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               THE COURT: All right. Thank you.
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           Ms. Johns, who will argue for the Plaintiffs this
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      afternoon?
                           I will be arguing, Your Honor.
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               MS. JOHNS:
                          Very good. You have the floor.
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               THE COURT:
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               MS. JOHNS:
                           Thank you.
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           Just, I think, briefly so that we can get these particular
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      arguments out of the way, I'll address the arguments in a
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      different order.
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           Mr. Glaser's last arguments regarding three of the
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Plaintiffs against whom the order -- that the Court issued originally -- dismissed claims for, those individuals' claims were dismissed only as to the state claims, that they -- the Court found failed to properly exhaust under the Government Claims Act.

Plaintiffs in their Amended Complaint made clear that those individuals are only bringing their claims that were not dismissed by the Court in the previous order, which are the federal claims against the County and all of the claims against Aramack which do not require that exhaustion requirement, the Government Claims Act exhaustion requirement. So there is no issue here for those Plaintiffs. They are only asserting -- and I think the Complaint makes quite clear -- the claims that were not dismissed by the Court --

THE COURT: I wouldn't take up any more time on this.

MS. JOHNS: Thank you.

So I'd like to speak about the Trafficking Victims

Protection Reauthorization Act. As we allege in our Complaint,
the Plaintiffs in this case are forced to work. They are
forced to work under threats that they -- if they do not work
or if they leave work because they are ill, that they will be
punished with solitary confinement. The Complaint describes
what solitary confinement in the Alameda County -- in the
Alameda County Jail means.

Those threats are issued by the Sheriff, and they are

issued by -- by the Sheriff to Plaintiffs. They are issued by the Sheriff in front of Aramack employees, and Aramack employees also threatened to refer Plaintiffs to the Sheriff's deputies for punishment, and that's an important part of the allegation that Aramack leaves out.

Aramack employees threaten to refer the Plaintiffs to the County deputies for punishment if they need to leave work early because they are ill. The allegation is not that Aramack threatens to report misconduct that would be properly subject to punishment, but only that if Plaintiffs try to leave work because they are ill, that they will be punished under the -- according to the punishment that the County Sheriff's deputies can impose, such as solitary confinement, and that is impermissible.

Those allegations make Aramack liable as a primary offender. It is different -- it is distinct from the Scientology case that Aramack cites and is much more analogous to the TVPA cases that deal with primary offenders who threaten to refer people to law enforcement or threaten to refer people for punishment that they should not be subject to. Leaving work early due to illness is not conduct that should be punished by solitary confinement. Aramack therefore is -- the allegations in the Complaint are sufficient to show that Aramack is a primary offender.

Aramack can also be a secondary offender whether or not

the County is a primary -- excuse me -- liable as this secondary offender whether or not the County is liable for -- under the TVPA as a primary offender, although I believe the County can be.

But to speak specifically to Aramack, the statute that allows for civil liability allows for Plaintiffs to sue either the primary offender or the person who benefits from a venture that results in forced labor. And the provisions that allow for civil liability specifically enforce -- enforce labor portions of the statute that are separate from sex trafficking portions of the statute. The statute scheme does address both. It does not solely address sex trafficking, as I feel was implied in the arguments about the purpose of the statute by Defendant Aramack.

They can be sued -- and the cases that the -- excuse me -the Northern District is now addressing with some frequency
against hotels where individuals have been in those cases sex
trafficked but are being sued under the same statute that we
seek to sue Aramack and hold Aramack accountable here, that
those hoteliers are being -- they are able to be sued even if
they don't take any overt acts in furtherance of the sex
trafficking kind of joint venture that's occurring -- they
don't take any overt acts to further the forced labor scheme,
but they simply benefit from the forced labor scheme, and they
know about it, which our Complaint alleges that both are true,

1 that they can be held liable whether or not the primary 2 offender is sued, is prosecuted, or has any, you know, liability or accountability at all. The person seeking to sue 3 can sue either the primary offender or the beneficiary under 4 5 the joint venture section of that -- of the TVPA. 6 In addition, the County can be liable as a primary 7 offender because although there is this unpublished case that 8 the County cited or the County referenced in their argument 9 that indicates that if you look at the Dictionary Act, that 10 first you look at perpetrator. Perpetrator is a person. And then if you look at the Dictionary Act, the person does not 11 12 include the County. What I think the Supreme Court --13 THE COURT: Ms. Johns, there was one instance -- and I don't remember which it was, and it might be what you're 14 talking about -- in which someone cited an unpublished 15 California appellate case. Is it that? 16 This is not a California appellate case, 17 MS. JOHNS: 18 Your Honor. Okay. That's fine. There are -- I will 19 THE COURT: just say a couple things since I have now stolen the 20 21 microphone. One is that the distinction at the district court level 22 23 between published and unpublished cases is irrelevant. 24 decisions about whether to -- a case is published by which I

mean appears in a numbered volume of the Federal Supplement or

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Federal Rules decisions, those decisions are made almost entirely by a staff attorney at Westlaw without consultation with chambers. And occasionally those of us on the bench might take it upon ourselves to ask them to designate something as published, but for the most part, we don't do that. I do it incredibly rarely.

So when people are citing authority to the Court, at the court of appeals level, that makes a big difference. At the district court level, it makes zero difference.

Also one of you -- and I don't remember who -- cited an unpublished California state court case at the California Court of Appeal level. Those cases are not citable within the California court system, and a local rule of this federal district provides that if authority is not citable in its originating jurisdiction, it's not citable to us either, and so whenever I got to that case, I just had to skip over it because that's the way our rules work.

Anyway, Ms. Johns, let me give you back the microphone.

MS. JOHNS: Thank you, Your Honor.

We encourage, when the Court is looking to interpret whether the County as a public agency can be held liable as the primary offender, to look at the purpose of the statute which when determining whether the sovereign should be included in the -- should be covered by a federal statute, the Supreme Court says look to the history, look to the purpose of the

statute, and as we cited in our papers, the purpose of the statute was to implement the Thirteenth Amendment. The County certainly is not free to violate the Thirteenth Amendment and may be held liable and accountable under Section 1983. But we argued that they can also be held liable for their conduct that would also violate the Thirteenth Amendment under the TVPA. And certainly the Sheriff as an individual can be held liable, and so if the County is immune because they are not considered -- they cannot be considered a perpetrator, the County Sheriff can be considered a perpetrator as an individual, and we have allegations in our Complaint that the County Sheriff participated in the construction of this venture that we describe as a forced labor venture and violative of the TVPA -- the TVPRA. Excuse me.

In addition -- so I think that addresses the TVPRA, that we can sue the County as a primary offender, we can sue Aramack as a primary offender, and we can sue Aramack as a secondary offender, whether or not the County is held liable. We believe we have alleged sufficient facts and directed the Court to those facts in our papers, and therefore the TVPRA claims may proceed.

To speak to the Labor Code claims, Your Honor asked a question about the meaning of the word "shall" in the California Constitution, Article XVI, Section 5.

THE COURT: Yes. I think I'm going to have difficulty

accepting your invitation to find -- to construe the contract as an ordinance. I just think that does -- I'm not -- I'm not going to reach any conclusions at this hearing in any final way, but I just don't think that maybe that's the way the English language works.

MS. JOHNS: Certainly. And the Court does not need to agree with that in order to find that Plaintiffs and other pretrial detainees who are forced to work within the jail are covered by the Labor Code, but I did -- I'll address "shall" and then I will speak to why the Court can find that they are covered under the Labor Code.

The change in the Constitution -- we briefed this more thoroughly in our opposition to the first round of motions to dismiss and can brief it again. I don't believe that it's briefed very thoroughly in this set of motions, but we do describe that "shall" has the effect of mandatory language that the County must regulate these kinds of programs, but that that -- whether or not the County regulates these kinds of programs through a local ordinance, that the -- finding that the Plaintiffs are employees of the County and employees of Aramack under a joint employer relationship, which is what we invite, it's not necessary that the Court determine whether or not the County has implemented this -- implemented their "program" -- I use that term in quotation marks because that's what the California Constitution Article XVI, Section 5 says --

but whether or not they've implemented this pursuant to regulation, the Labor Code applies under California law when a person is an employee. Right? And a person is an employee when somebody takes that person's labor, suffers and permits them to work.

As the Court noted in its order -- and it's not argued in the County Defendant's motion to dismiss -- the County controls everything that Plaintiffs do when they are pretrial detainees, when they are in the custody of the County, and therefore the County is having these Plaintiffs work. They're suffering or permitting them to work --

THE COURT: The Martinez test is not hard for you to meet.

MS. JOHNS: I agree, both for the County and for Aramack. Dynamex makes clear -- and, again, we didn't argue this much in our papers because the County doesn't raise it -- but Dynamex makes clear that "suffer or permit to work" is the standard under which a person is an employer or an employee in this case. Our Plaintiffs are employees. They are employees of the County. They are jointly employed by Aramack because Aramack controls certain portions of their working condition, including being able to terminate them by telling the County Sheriffs that they cannot come back to work. This person is terminated and they may not return to the kitchen.

They -- Aramack also, as we've alleged, says that --

excuse me -- evaluates Plaintiffs to determine where -- what parts of the kitchen they get to work in, and we've described Plaintiffs working in the scullery, preparing meals, doing cleaning and sanitation, all roles in the kitchen that a person can play that is a role that is assigned by Aramack with consultation -- in consultation with the County because certain classifications may prevent an employee, you know, for instance, from having -- holding a knife as a part of their meal preparation, so maybe that person will be in sanitation. But that Aramack does this evaluation and makes those decisions, and their employees do those evaluations and makes those decisions.

So we believe that all the Court has to find in order to find that the Labor Code applies in this case is that Plaintiffs are -- suffered -- were permitted to work, that the County in this instance does not argue that that is not the case here, and that as Plaintiffs allege and argue in their opposition to Aramack's motion, that Aramack has control over certain aspects of their work and supervision and is therefore a joint employer. And in that case, the Labor Code would apply. That's -- it's very simple in California.

As the Court notes, the Fair Labor Standards Act and the Economics Realities Test is -- is and should not be persuasive in this case because the courts in California, including California Supreme Court, have been very clear that the Fair

Labor Standards Act, Economic Realities Test, is not the controlling test for who is an employee or an employer in any circumstance. Therefore, the Court is free to and should apply the Labor Code to individuals who are employees because they meet the definition of "suffer or permit to work" and because they meet any one of the three tests under *Martinez* for a joint employer relationship with Aramack.

I don't think that the Court needs to get too far into what Proposition 139 did or did not allow because Proposition 139 and the Penal Code do not define who is an employee and entitled to the protections of the Labor Code. The Labor Code does that, and the California Supreme Court has done that in Dynamex and Martinez and many cases that follow.

I also want to address the Court's question about whether or not it is important to -- for the Court -- I guess for the Court to understand Labor Code Section 201 and 202 and understand the significance of the terminating event. Our allegation -- I -- I think what -- excuse me. I think what the Defendants -- what Aramack was arguing and what we were responding to but seems like maybe it wasn't, Your Honor said, kind of directly on point, but we believed that we were responding directly on point -- is Aramack was arguing that because the Complaint seeks relief under those labor codes, it is crucial that an individual say whether or not -- whether they were terminated or whether they were -- whether they

were -- excuse me -- whether they resigned. Whether they were terminated or whether they resigned.

Plaintiffs argued that the distinction is not important here because once we get to the point of -- once we get to the calculation that -- of what wages Plaintiffs are owed, if a Plaintiff is still working, then -- for the County under this statutory scheme, then they are not subject to Labor Code 201 or 202 because a terminating event has not occurred. However, once the terminating event has occurred, it is the distinction between whether all wages are owed that day in the case of termination or three days from then in the case of resignation -- or three days from then in the case of resignation, and that's immaterial because by the time that we get to that --

THE COURT: Let me ask you a question. I suppose I could have looked this up before the hearing, but I didn't.

What if an employee -- if a free-world employee dies. Does that employee have rights under -- now, I'm sure they weren't laid off so we can forget 201, but does that person's estate have rights under 202, or does someone substituting in for the plaintiff -- for a plaintiff have rights under 202, or does that will claim die with the employee?

MS. JOHNS: Your Honor, I don't know the answer to that without additional briefing and research because I think it would --

THE COURT: I understand your argument, but the argument taken to its logical conclusion is that the end of employment by itself for any reason has to constitute an event under either Section 201 or 202, and I understand the equitable argument that in this case, if people are being made to work against their will and they're in custody, they shouldn't lose the protection of Section 201/202 just because they're not in a traditional employment relationship where they get a notice of termination from HR. But just because someone is receiving an injury doesn't mean that they're entitled to every potential remedy under the law. It's just not the way remedies work.

So one of the things I have to figure out is what is the role of that terminating event, and I get your argument. You made it in the briefs and you're making it again right now, which is hey, look, so much time has passed, whatever. It's got to be one of those. And my point to you is I don't think it has to be one of those. It doesn't mean you lose. It just means I think "whatever" just is never going to get me there.

MS. JOHNS: Certainly, Your Honor. And I didn't mean to imply that we were saying "whatever." I think what we are saying is that they have relief under these portions of the Labor Code and then facts that we will prove -- largely I would argue with the records that we believe are held and kept by Aramack and/or the County about when a person was employed, when they started their work, when they finished their work,

the reasons that that work was finished, either because they were terminated, because they were -- because they were released from jail, because they were moved to prison, whatever that event may be. That's something that is subject to proof. We don't just get those damages without proving that that event occurred. But we get to argue that we have relief under those -- under those sections of the Labor Code and that a penalty will apply because there had not -- there has not been a payment of wages to the Plaintiffs --

THE COURT: I know these claims are not the center of gravity of the whole case, but this is probably the -- of the things that are not clear, this is the thing that is most unclear to me so that's why I'm hovering right here.

Let me ask you another question about this. Of course people have the right to plead in the alternative as I have ruled many times, but isn't there some very significant tension between the idea that people are being made to work against their will, but they don't -- I mean, they don't have the opportunity to resign. They couldn't have resigned. If they could resign, presumably they would have not worked. They would have said, "I don't want to work because I'm being made to perform slave labor." And similarly, I would be surprised if there could be a termination event because termination implies that the employer is doing something affirmative to end the employment relationship, and in this case, Aramack may have

a lot of control, but they certainly don't have that power.

And even the County, writ large the Sheriff, is not the one
with the occasional exception. For the most part, the Sheriff
is not the one deciding that somebody is not going to be held
in custody anymore. That's a decision that is made by the
courts.

So I'm just wondering how -- just mechanically how could you get to a resignation or how could you get to a termination. So I don't want to use up all of our time, but at least I have now explained what my question is.

MS. JOHNS: Yeah. Respectfully, Your Honor, I believe that Aramack and we allege that Aramack can terminate an employee by saying that they cannot come and work in the kitchen anymore, for whatever reason, and, you know, as we've investigated, there have been many reasons why people have been terminated in that sense, told that they cannot return to the kitchen, and that that is something within the control of the Aramack employees by Aramack saying to the Sheriff deputies they cannot return, and the Sheriff deputy does not bring them back to work.

The resignation -- I appreciate --

THE COURT: Do any of your -- I have not memorized the First Amended Complaint. Do any of your Plaintiffs or do your Plaintiffs as a group allege that they were terminated by Aramack? Not that Aramack had that power, but that they

actually were terminated in the way you have described. Does anyone make that allegation?

MS. JOHNS: Our Complaint does not specify how individuals stopped working in the kitchen. Some Plaintiffs were terminated, some were released, some were transferred to other prisons, and I think in those situations where you're right about the second condition, is it essentially a resignation if the courts have ordered a prisoner released or have ordered the prisoner transferred to state prison after suffering a conviction -- is that a condition where -- that would be considered a resignation under the law or termination under the law, and I -- without briefing that further, I -- I can't --

THE COURT: There is no volitional act on the part of the putative employee in that hypothetical. They're simply being told, "You are not living in that jail anymore, so bye." Right? They couldn't -- I don't know how it's a resignation. They couldn't continue to work there if they wanted to.

Anyway, I think you should make your other -- you're doing what I would do, and that is the Court is stuck on something and so you're just stuck there with the Court. I would make the other arguments you came to make with the time that you have. I think we have exhausted the utility of this.

MS. JOHNS: Thank you, Your Honor.

I actually believe that I have made the arguments that I

intended to make, especially with respect to the Labor Code and 1 2 I think I have responded to the what the Defendants said, so I can reserve my additional time, if I'm nearing the 3 end of it, to respond to the Defendants' counter-arguments, if 4 5 the Court would allow. 6 THE COURT: All right. Thank you. 7 Ms. Lee, how much time does Mr. Glaser have left? 8 THE CLERK: Three minutes, Your Honor. 9 THE COURT: Very good. Mr. Glaser --10 MR. GLASER: How many minutes do I have left? THE CLERK: 11 Three. MR. GLASER: Three minutes. Okay. I will speak fast. 12 13 THE COURT: Don't speak so fast. This is being reported. Go ahead. 14 MR. GLASER: Okay. Your Honor, thanks for the 15 16 opportunity. It's our position that Martinez and Dynamex apply to 17 18 private employers, and this issue was not decided by the 19 Supreme Court of whether an inmate is an employee. understand how Plaintiffs' counsel wants to churn the Martinez 20 21 and Dynamex decisions on their head and determine that 22 automatically means an inmate is an employee, but that's not 23 what they -- first of all, they're deciding whether a private 24 employer -- a private entity is an employer under those cases,

and that's what the Supreme Court decided, but she's trying to

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turn it on its head and say no, they're talking about the County and they're talking about inmates. That's completely incorrect.

Second of all, the termination issues that you discuss under Labor Code 201 and 202, in my opinion, just further underscore that the Labor Code was not intended for inmates. You know, she argues that the Labor Code applies when a person has suffered or is permitted to work, but I think that ignores, number one, the comprehensive statutory scheme of the Penal Code. It ignores the federal precedent. It asks Your Honor to legislate from the bench creating a right to wages to all detainees throughout this entire state and perhaps to the entire nation, Your Honor, causing the state and -- and governments throughout the United States to possibly have millions, if not billions, of new liability.

I think that Your Honor should think very clearly, should read all of the federal cases on this before -- and should reread Martinez and Dynamex with an eye of whether this applies to a governmental entity before Your Honor -- Your Honor goes -- goes to this great length of deciding that every county jail in the United States is -- is an employer and owes minimum wage and overtime wages and meal breaks and rest breaks and waiting time penalties and wage statement penalties and attorneys' fees.

Your Honor, there's a lot of damages here that -- that

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you're forcing on the County, and if you add a Business &
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      Professions Code claim to it, that is going back four years of
      liability for every inmate in the -- every county inmate in the
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      United States? This is craziness, Your Honor.
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           I think that we need to take a step back and think long
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      and hard about Proposition 139, think long and hard about the
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      fact that there has to be a local ordinance, and it's the
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      discretion of the County whether to enact it, and we need to
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      think long and hard about applying a state statute where the
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      rationale is clear that it applies to private employees who
      have a pecuniary interest with the entity employing them and
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      applying that to a county jail, Your Honor.
           I think there is -- I think there's a huge leap being made
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      here. It's creating law that is solely intended for the
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      legislature, and that we need to think long and hard before we
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      go there.
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               THE COURT: Does he have any more time?
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               MR. GLASER: Finally under the TVPA --
19
               THE COURT: Mr. Glaser, I'm pretty confident your time
      has elapsed.
20
21
           Ms. Lee?
22
                          Yes, sir.
               THE CLERK:
23
               THE COURT:
                           All right.
                                       Thanks.
24
           Ms. Johns, you get the last word. I'm not sure how much
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time you have. A couple minutes, something like that.

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Case 4:19-cv-07637-JST Document 63 Filed 11/11/20 Page 47 of 49

Ms. Lee, what does she have? 1 2 THE CLERK: Two minutes. 3 THE COURT: Two minutes? Okay. Ms. Johns, two minutes. 4 Thank you, Your Honor. 5 MS. JOHNS: This is a situation that I don't think is going to have 6 7 the sweeping effect that the County Defendants suggest --8 **THE COURT:** That policy argument will not be 9 determinative in this case. 10 MS. JOHNS: Thank you. This is a case where the County is forcing Plaintiffs to 11 12 work for a private employer. As we allege, the private 13 employer is benefiting from this by selling the Plaintiffs' 14 labor or the fruits of Plaintiffs' labor to other counties in the form of selling meals that the Plaintiffs are preparing. 15 This is a case that very clearly again -- and wasn't 16 alleged by the County that they don't -- that Plaintiffs don't 17 18 fall under the definition of "employee," but this is a case where Plaintiffs are to be considered employees of the County 19 because that is alleged in the motion to dismiss, and we allege 20 that there is a joint employer relationship, and the Plaintiffs 21 should be entitled to wages under the Labor Code for this 22 23 forced labor that they are experiencing, the pretrial detainees 24 in this jail in Santa Rita. 25 Thank you, Your Honor.

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THE COURT: Thank you, Ms. Johns. Thank you all for your arguments. Mr. Bosset, I'm glad we were able to finally get you hooked in. I appreciated all the arguments I heard this afternoon, and these two motions to dismiss are now under submission. Thank you. (Proceedings adjourned at 3:43 p.m.)

Case 4:19-cv-07637-JST Document 63 Filed 11/11/20 Page 49 of 49

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2	
3	CERTIFICATE OF REPORTER
4	I certify that the foregoing is a correct transcript
5	from the record of proceedings in the above-entitled matter.
6	
7	DATE: Tuesday, October 27, 2020
8	
9	Pamela Batalo Hebel
10	Pamela Batalo Hebel, CSR No. 3593, RMR, FCRR U.S. Court Reporter
11	U.S. Court Reporter
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Case 4:19-cv-07637-JST Document 55 Filed 09/18/20 Page 1 of 2
   DAN SIEGEL, SBN 056400
    ANNE BUTTERFIELD WEILLS, SBN 139845
2
    EMILYROSE JOHNS, SBN 294319
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   ARMIDA RUELAS, DE'ANDRE EUGENE COX,
    BERT DAVIS, KATRISH JONES,
    JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
    MONICA MASON, and LUIS NUNEZ-ROMERO
10
    and SCOTT ABBEY
11
12
                       UNITED STATES DISTRICT COURT
13
                FOR THE NORTHERN DISTRICT OF CALIFORNIA
14
    ARMIDA RUELAS; DE'ANDRE EUGENE
                                         ) Case No. 4:19-cv-07637-JST
15
    COX; BERT DAVIS; KATRISH JONES;
    JOSEPH MEBRAHTU; DAHRYL
                                         ) PLAINTIFFS' REQUEST FOR
16
    REYNOLDS; MONICA MASON; LUIS
                                         ) JUDICIAL NOTICE IN SUPPORT
17
    NUNEZ-ROMERO, and SCOTT ABBEY;
                                         ) OF THEIR OPPOSITION TO
    and all others similarly situated,
                                         ) DEFENDANT ARAMARK'S
18
                                         ) MOTION TO DISMISS PLAINTIFFS'
               Plaintiffs,
                                         ) FIRST AMENDED COMPLAINT
19
                                         ) Hearing: October 21, 2020
20
         vs.
                                         ) Time: 2 p.m.
21
                                         ) Courtroom: Oakland Courthouse,
    COUNTY OF ALAMEDA; GREGORY J.
    AHERN, SHERIFF; ARAMARK
                                         ) Courtroom 6 – 2nd Floor
22
    CORRECTIONAL SERVICES, LLC; and
                                         ) 1301 Clay Street, Oakland, CA 94612
23
    DOES 1 through 10,
                                         ) Hon. Jon S. Tigar
24
               Defendants.
25
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27
28
    Ruelas v. County of Alameda, No. 4:19-cv-07637-JST
    Plaintiffs' Request for Judicial Notice in Support of Plaintiffs' Opposition to Aramark's
    Motion to Dismiss - 1
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Case 4:19-cv-07637-JST Document 55 Filed 09/18/20 Page 2 of 2

Pursuant to Federal Rule of Evidence 201, plaintiffs seek judicial notice of the Summary Action Minutes from the Alameda County Board of Supervisors meeting on July 21, 2015, which is attached as Exhibit 1 to the Declaration of EmilyRose Johns, filed concurrently with this request.

Additionally, plaintiffs seek judicial notice of Attachment 52 to the Summary Action Minutes from the Alameda County Board of Supervisors meeting on July 21, 2015, attached as Exhibit 2 to the Declaration of EmilyRose Johns, filed concurrently with this request.

The Court may take judicial notice of documents that are publicly available on a government website, and to which the authenticity of the website nor the accuracy of the information is not disputed. *Kater v. Churchill Downs Inc.*, 886 F.3d 784, 788 n.3 (9th Cir. 2018).

Dated: September 18, 2020

SIEGEL, YEE, BRUNNER & MEHTA

By <u>/s/EmilyRose Johns</u> EmilyRose Johns

Attorneys for Plaintiffs
ARMIDA RUELAS, DE'ANDRE EUGENE
COX, BERT DAVIS, KATRISH JONES,
JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
MONICA MASON LUIS NUNEZ-ROMERO,
and SCOTT ABBEY

Ruelas v. County of Alameda, No. 4:19-cv-07637-JST Plaintiffs' Request for Judicial Notice in Support of Plaintiffs' Opposition to County's and Sheriff's Motion to Dismiss - 2

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Case 4:19-cv-07637-JST Document 55-1 Filed 09/18/20 Page 1 of 2
1
   DAN SIEGEL, SBN 056400
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   JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
   MONICA MASON, LUIS NUNEZ-ROMERO,
10
   and SCOTT ABBEY
11
                       UNITED STATES DISTRICT COURT
12
                FOR THE NORTHERN DISTRICT OF CALIFORNIA
13
   ARMIDA RUELAS; DE'ANDRE EUGENE ) Case No. 4:19-cv-07637-JST
14
   COX; BERT DAVIS; KATRISH JONES;
15
   JOSEPH MEBRAHTU; DAHRYL
                                         ) DECLARATION OF EMIL ROSE
   REYNOLDS; MONICA MASON; LUIS
                                         ) JOHNS IN SUPPORT OF
16
   NUNEZ-ROMERO, and SCOTT ABBEY;
                                         ) PLAINTIFFS' REQUEST FOR
17
   and all others similarly situated,
                                         ) JUDICIAL NOTICE
18
               Plaintiffs,
                                         ) Hearing: October 21, 2020
                                         ) Time: 2 p.m.
19
                                         ) Courtroom: Oakland Courthouse,
         vs.
                                         ) Courtroom 6 – 2nd Floor
20
                                         ) 1301 Clay Street, Oakland, CA 94612
    COUNTY OF ALAMEDA; GREGORY J.
21
   AHERN, SHERIFF; ARAMARK
    CORRECTIONAL SERVICES, LLC; and
                                         ) Hon. Jon S. Tigar
22
    DOES 1 through 10,
23
               Defendants.
24
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26
27
28
    Ruelas v. County of Alameda, No. 4:19-cv-07637-JST
    Declaration of EmilyRose Johns in Support of Plaintiffs' Request for Judicial Notice - 1
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(147 of 587)

Case 4:19-cv-07637-JST Document 55-1 Filed 09/18/20 Page 2 of 2

I, EMILYROSE JOHNS, declare as follows:

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- 1. I am an attorney licensed to practice in the State of California and a senior associate in the law firm Siegel, Yee, Brunner & Mehta, the attorneys for the plaintiffs in this case.
- 2. This declaration is based upon my personal knowledge, and I am competent to testify with respect to the matters stated herein.
- 3. Attached hereto as Exhibit 1 is a true copy of the Summary Action Minutes from the Alameda County Board of Supervisors meeting on July 21, 2015, *availa le at* http://doi.org/10.258024b7.pdf&view-1.
- 4. Attached hereto as Exhibit 2 is a true copy of Attachment 52 of to the Summary Action Minutes from the Alameda County Board of Supervisors meeting on July 21, 2015, availa le at
- http: www.acgov.org board bos calendar documents DocsAgendaReg 07 21 15 P

 <u>UBLIC 20PROTECTION Regular 20Calendar Sheriff GSA 220751.pdf</u>

I declare under penalty of perjury under the laws of the United States and of the State of California that the foregoing is true and correct.

Executed on September 18, 2020, at Oakland, California.

22 /s/ EmilyRose Johns
EmilyRose Johns

24 | 25 | 26 | 27 | 28 |

Ruelas v. County of Alameda, No. 4:19-cv-07637-JST Declaration of EmilyRose Johns in Support of Plaintiffs' Request for Judicial Notice - 2 Case: 21-16528, 01/26/2022, ID: 12352751, DktEntry: 21-3, Page 100 of 281

Case 4:19-cv-07637-JST Document 55-2 Filed 09/18/20 Page 1 of 26

Exhibit 1

Case 4:19-cvAQCENDA INCIDENTGFADDECNDA Page 2 of 26



BOARD OF SUPERVISORS

Regular Meeting Tuesday, July 21, 2015

COUNTY ADMINISTRATION BUILDING SUPERVISORS' CHAMBERS 1221 OAK STREET FIFTH FLOOR, ROOM 512 OAKLAND, CALIFORNIA SCOTT HAGGERTY, PRESIDENT
RICHARD VALLE
WILMA CHAN, VICE-PRESIDENT
NATE MILEY
KEITH CARSON
DISTRICT 5
DISTRICT 5

SUSAN S. MURANISHI COUNTY ADMINISTRATOR DONNA ZIEGLER COUNTY COUNSEL

MISSION

TO ENRICH THE LIVES OF ALAMEDA COUNTY RESIDENTS THROUGH VISIONARY POLICIES AND ACCESSIBLE, RESPONSIVE, AND EFFECTIVE SERVICES.

VISION

ALAMEDA COUNTY IS RECOGNIZED AS ONE OF THE BEST COUNTIES IN WHICH TO LIVE, WORK AND DO BUSINESS.

The Board of Supervisors welcomes you to its meetings and your interest is appreciated. If you wish to speak on a matter on the agenda or during public input, please fill out a speaker slip at the front of the Chambers and turn it in to the Clerk as soon as possible. When addressing the Board, please give your name for the record prior to your presentation. If you wish to speak on a matter <u>not</u> on the agenda, please wait until the President calls for public input at the end of the Regular Calendar. <u>NOTE:</u> Only matters within the Board of Supervisors' jurisdiction may be addressed. Time limitations shall be at the discretion of the President of the Board.

Pursuant to Board Policy: (1) Signs or demonstrations are prohibited during Board meetings; (2) Any Board Member may request a <u>two-week</u> continuance on any item appearing for the first time; (3) All agenda items shall be received by the County Administrator prior to 3 p.m. on Tuesday <u>two</u> weeks before the meeting date or earlier when a Holiday intervenes.

Hearing difficulty? Please ask the Clerk for use of a personal sound receiver. The Board of Supervisors' meetings are wheelchair accessible. Call (510) 208-4949 (voice) or (510) 834-6754 (TDD) to request a sign-language interpreter. Five working days' notice is required. If you have questions regarding the agenda, please call (510) 208-4949.

Attention: The Alameda County internet address is www.acgov.org. All regular Board of Supervisors' meetings held in the Board Chamber can be heard live on the Board's web page. In order to log on, please do the following: click on the County's homepage as noted above and click on the "Board of Supervisors Meeting - LIVE! Broadcast" link. You may also access archived audio recordings, meeting agenda and minutes, as well as meeting dates on the Board's web page http://www.acgov.org/board/index.htm. All documents are archived on the web page for a period of 6 months.

Normally, the Board meets on Tuesdays and their **meeting begins no earlier than 10:45 a.m.** and may begin later, depending on the Closed Session, which normally begins at 9:30 a.m.

Note: The Agenda includes the addition of two Closed Session items, one Regular item #9.1 and item #35 was withdrawn

Case 4:19-cv-**ArGENDA IN GIGHT DIN G** iADDENDAPage 3 of 26 9:30 A.M.

CALL TO ORDER AND SALUTE TO FLAG

APPROVAL OF MINUTES

REGULAR MEETING: TUESDAY, JUNE 23, 2015 REGULAR MEETING: TUESDAY, JUNE 30, 2015

CLOSED SESSION

CONFERENCE WITH LABOR NEGOTIATORS

- A. Agency Negotiators: Mary Welch and Cynthia Baron Employee Organization: Deputy Sheriff's Association
- B. Agency Negotiators: Mary Welch and Cynthia Baron Employee Organization: Union of American Physicians and Dentists
- C. Agency Negotiators: Mary Welch and Cynthia Baron Employee Organization: Building and Construction Trades Council
- D. Agency Negotiators: Mary Welch and Cynthia Baron Employee Organization: Service Employees International Union, Local 1021, Alameda County Management Employees Association
- E. Agency Negotiators: Mary Welch and Cynthia Baron Employee Organization: All Labor Organizations
- F. Agency Negotiator: Mary Welch Employee Organization: Unrepresented Management

CONFERENCE WITH LEGAL COUNSEL - POTENTIAL LITIGATION

- A. Initiation of litigation pursuant to Subdivision (d)(4) of Government Code § 54956.9: (Five Cases);
- B. Significant exposure to litigation pursuant to Subdivision (d)(2) of Government Code § 54956.9: (Four Cases)

CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION

A. County of Alameda v. AIG Financial Products Corp., et al., United States District Court, Southern District of New York, Case No. 08 Civ. 6340

Case 4:19-cv-**ATGJENDA IIN GIGHT DHN G**il**ADD/END A**Page 4 of 26

CONFERENCE WITH REAL PROPERTY NEGOTIATORS

A. Property: O.Co Stadium (7000 Coliseum Way, Oakland, CA)

Agency Negotiator: Aki Nakao, Real Property Negotiator

Oakland Raiders, Oakland Athletics, the City of Oakland and New City

Negotiating Parties: Oakland Raiders, O Development, LLC

Under Negotiation: Price and Terms of Payment

B. Property: APN: 41-3902-21, Oakland, CA (Located on Oakport Street)
 Agency Negotiator: Caroline Judy, Acting Director, General Services Agency
 Negotiating Parties: Successor Agency to the Oakland Redevelopment Agency

Under Negotiation: Price and Terms of Payment

PUBLIC EMPLOYEE RECRUITMENT

A. Director, General Services Agency

<u>10:45 A.M. - SET MATTERS</u>

1. CONSENT CALENDAR (See Appendix, Item Numbers 58 - 99)

10:45 A.M. - REGULAR CALENDAR

COUNTY COUNSEL: REPORT ON ACTION TAKEN IN CLOSED SESSION

BOARD OF SUPERVISORS' REMARKS

Case 4:19-cv-ArGENDADADACION GILLIDAN G

PUBLIC ASSISTANCE

- 2. Social Services Agency Approve the following recommendations:
 - A. Authorize the President of the Board to sign Alternative Payment Contract Number CAPP-5000, General Fund, Project No. 01-2401-00-5, with the California Department of Education in the amount of \$753,601 for Child Care and Development Services, effective 7/1/15 6/30/16;
 - B. Approve the following four service agreements to current community-based organization (CBO) Master Contractors at Fiscal Year 2015 2016 award levels funded through the Contract Number CAPP-5000, totaling \$708,385 for specific Resource and Referral and Alternate Payment Provider Child Care contractors, and delegate authority to the Agency Director or her designee, to sign and execute the contracts under the master contracting process:
 - i. Master Contract No. 900153, Procurement Contract No. 12403 with Bananas, Inc. (Principal: Richard Winefield; Location: Oakland), in the amount of \$406,945;
 - ii. Master Contract No. 900158, Procurement Contract No. 12406 with Child Care Links (Principal: Carol Thompson; Location: Pleasanton), in the amount of \$120,576;
 - iii. Master Contract No. 900164, Procurement Contract No. 12405 with Community Child Care Council of Alameda County (Principal: Renee Herzfeld: Location: Hayward), in the amount of \$120,576
 - iv. Master Contract No. 900086, Procurement Contract No. 12407 with Davis Street Community Center (Principal: Rose Johnson; Location: San Leandro), in the amount of \$60,288;
 - C. Approve Fiscal Year 2015 2016 funding distributions for the following six service agreements totaling \$139,592 to CBO Master Contractors Maintenance of Effort Child Care providers and delegate authority to the Agency Director or her designee, to sign and execute the contracts under the master contracting process:
 - i. Master Contract No. 900653, Procurement Contract No. 12409 with 24 Hour Oakland Parent Teacher Children Center (Principal: Nina Tanner-Smith; Location: Oakland), in the amount of \$14,515;
 - ii. Master Contract No. 900654, Procurement Contract No. 12408 with Ephesians Children's Center (Principal: Newt McDonald; Location: Berkeley), in the amount of \$12,977;
 - iii. Master Contract No. 900186, Procurement Contract No. 12414 with Kidango, Inc. (Principal: Paul Miller; Location: Fremont), in the amount of \$50,653;
 - iv. Master Contract No. 900657, Procurement Contract No. 12415 with Saint Vincent's Day Home, Inc. (Principal: Corinne Mohrmann; Location: Oakland), in the amount of \$34,220;
 - v. Master Contract No. 900658, Procurement Contract No. 12412 with Supporting Future Growth Child Development Center (Principal: Deborah McFadden; Location: Oakland), in the amount of \$12.802:
 - vi. Master Contract No. 900701, Procurement Contract No. 12413 with The Salvation Army Booth Memorial Center (Principal: Ron Strickland; Location: Oakland), in the amount of \$14,425;
 - D. Authorize the President of the Board to sign additional Agreement Attachments: Contractor Certification Clauses and Federal Certifications for Lobbying, Debarment, Suspension, Other Responsibility Matters and Drug Free Workplace Requirements;
 - E. Adopt a Resolution entering into a transaction with the California Department of Education to provide child care and development services; and
 - F. Authorize the Auditor-Controller to make related budget adjustments
 - CAO Recommends: Approve (4/5 Vote)

Case 4:19-cv-A/GENDADADACION GIADDANDAPage 6 of 26

Attachment 2

- 3. *Social Services Agency* Approve the following recommendations:
 - A. Accept an allocation of \$249,999 on behalf of the Alameda County Workforce Investment Board from the City of Sunnyvale H1-B Ready-to-Work Grant Project;
 - B. Approve the contract with the City of Sunnyvale under a U.S. Department of Labor H1-B Grant Project, in the amount of \$249,999, for the contract term retroactive from 11/1/14 9/30/15;
 - C. Authorize the President of the Board of Supervisors to execute the new contract with the City of Sunnyvale on behalf of the Alameda County Workforce Investment Board; and
 - D. Authorize the Agency Director or her designee, to execute renewal contracts under the H1-B Ready-to-Work Grant Project for three additional years through 10/31/18
 - CAO Recommends: Approve Attachment 3
- 4. Social Services Agency Approve an extension to the current adopted version of the 2011 2015 Chief Local Elected Official Agreement between the Alameda County Board of Supervisors and the Alameda County Workforce Investment Board, for the implementation of the Workforce Innovation and Opportunity Act, extending the term of 12/20/11 - 6/30/15, by 12 months to 6/30/16 - CAO Recommends: Approve Attachment 4

HEALTH CARE SERVICES

- 5. Behavioral Health Care Services Approve the following recommendations:
 - A. Approve master contract augmentations for the following community-based organizations to provide intensive counseling enriched special day classes at five school sites within Oakland Unified School District, for the period 7/1/15 6/30/16:
 - i. Master Contract No. 900112; Procurement Contract No. 11611 with East Bay Agency for Children (Principal: Josh Leonard; Location: Oakland), to provide an intensive counseling enriched special day class at Think College Now Elementary, increasing the procurement amount from \$6,372,304 to \$6,612,099 (\$239,795 increase);
 - ii. Master Contract No. 900117; Procurement Contract No. 11637 with Lincoln Child Center (Principal: Christine Stoner-Mertz; Location: Oakland), to provide intensive counseling enriched special day classes at Hoover Elementary and Fremont High School, increasing the procurement amount from \$7,701,939 to \$8,171,753 (\$469,814 increase);
 - iii. Master Contract No. 900121; Procurement Contract No. 11649 with Seneca Family of Agencies (Principal: Ken Berrick; Location: San Leandro), to provide intensive counseling enriched special day classes at Bridges Academy at Melrose and Castlemont High School, increasing the procurement amount from \$20,567,449 to \$21,047,449 (\$480,000 increase); and
 - B. Delegate authority to the Agency Director, his designee to execute the contracts on your behalf
 CAO Recommends: Approve
 Attachment 5

Case 4:19-cv-ArGENDA DANGILLI DILNG iADDEND APage 7 of 26

- 6. *Behavioral Health Care Services* Approve the following recommendations:
 - A. Approve a master contract augmentation (Master Contract No. 900895; Procurement Contract No. 11648) with R House, Inc. (Principal: Sabrina Coyle-Johnson; Location: Santa Rosa), to provide continuing services to youth while the California Human Development Corporation moves forward with its acquisition and transfer of the current R House mental health programs in Fiscal Year 2015 2016, for the period of 7/1/15 12/31/15, increasing the funding from \$0 to \$93,997;
 - B. Approve the execution of an interim contract while the contract and exhibits for the provision of services for amount and time period noted above are being negotiated; and
 - C. Authorize the Agency Director or his designee to negotiate and execute master contract exhibits on your behalf and submit originals to the Clerk of the Board for filing
 - CAO Recommends: Approve Attachment 6
- 7. Behavioral Health Care Services Approve the following recommendations:
 - A. Approve a master contract augmentation (Master Contract No. 900937; Procurement No. 11551) with Center Point, Inc. (Principal: Sushma Taylor; Location: San Rafael), to develop and administer a call center to provide screening, care management and reporting services for substance use disorder clients in Alameda County, for the period of 7/1/15 6/30/16, increasing funding from \$80,000 to \$540,360 (\$460,360 increase); and
 - B. Authorize the Agency Director, or his designee to execute the contract exhibits on your behalf and submit the originals to the Clerk of Board for filings
 - CAO Recommends: Approve Attachment 7
- 8. Behavioral Health Care Services Approve the following recommendations:
 - A. Approve a master contract augmentation (Master Contract No. 900136; Procurement Contract No. 10175) for the Mental Health Association of Alameda County (Principal: Steve Bischoff; Location: Oakland), for the Family Education and Resource Center program to cover additional costs due to an increased demand for trainings, for the period of 7/1/14 6/30/15, increasing the procurement contract funding from \$2,824,678 to \$2,962,887 (\$138,209 increase);
 - B. Approve a master contract augmentation (Master Contract No. 900136; Procurement Contract No. 11640) for the Mental Health Association of Alameda County (Principal: Steve Bischoff; Location: Oakland), to support the following program services: delivery of additional training through the Family Education and Resource Center, an increase in the number of Crisis Intervention Trainings provided for law enforcement, and administration of stipends for the newly formed Veterans Committee, for the period of 7/1/15 6/30/16, increasing the procurement contract funding from \$2,858,153 to \$3,230,415 (\$372,262 increase); and
 - C. Delegate authority to the Agency Director or his designee to execute the contract exhibits on your behalf, and submit the originals to the Clerk of the Board for filing
 - CAO Recommends: Approve <u>Attachment 8</u>
- 9. *Environmental Health* Authorize the President of the Board to sign an agreement (Contract No. 12219) with the City of Berkeley, to continue to reimburse the City of Berkeley, for the provision of vector control services within Berkeley, for the period of 7/1/15 6/30/16, in the amount of \$309,543 CAO Recommends: Approve Attachment 9

Case 4:19-cv-ArGENDAILINGIALIDING iADDINADAPage 8 of 26

9.1. Health Care Services Agency - Authorize the President of the Board to execute an amendment to the Emergency Medical Services Ambulance Transport Provider Amended Agreement with Paramedics Plus, LLC (Principal: Ron Schwartz; Location: Tyler, Texas) effective 8/1/15 and approve related financial and budget adjustments
Attachment 9.1

- 10. Public Health Department Approve the following recommendations:
 - A. Execute the Grant Agreement and Contractor Certification Clauses for the Achieving Tobacco-Related Health Equity project with The California Department of Public Health to implement and evaluate policy, system and environment change efforts aimed at preventing and reducing tobacco use among population groups with high rates of smoking, for the period of 7/1/15 6/30/20, in the amount of \$750,000 (\$150,000 per fiscal year for 5 years);
 - B. Authorized the Auditor-Controller to make the related budgetary adjustments;
 - C. Approve amendment to Master Contract No. 900116; Procurement Contract No. 12231 with La Clinica De La Raza Inc (Principal: Peter Manoleas; Location: Oakland), to lead policy and community education regarding regulating flavored tobacco products and requiring a minimum pack size for cigarillos, in the amount of \$375,000 (\$75,000 per fiscal year for 5 years), for the period of 7/1/15 6/30/20; and
 - D. Authorize the Agency Director or his designee to sign the Master Contract Exhibit A&B coversheet and submit originals to the Clerk of the Board for filing
 - CAO Recommends: Approve (4/5 Vote) Attachment 10
- 12. *Public Health Department -* Approve the following recommendations:
 - A. Adopt a Resolution and execute the award for comprehensive Safe Routes to School project from California Department of Transportation, to encourage and support safe walking and bicycling to schools in targeted areas of Oakland, for the period of 7/1/15 6/30/18, in the amount of \$988,000;
 - B. Authorize the Auditor-Controller to make the related budget adjustments;
 - C. Approve an amendment (Master Contract No. 900322; Procurement Contract No. 12210) with Oakland Unified School District (Principal: Antwan Wilson; Location: Oakland), to promote walking and rolling to school, for the period of 7/1/15 9/30/17, in the amount of \$399,313; and
 - D. Authorize the Agency Director or his designee to sign the Master Contract Exhibit A & B amendments and submit originals to the Clerk of the Board for filing
 - CAO Recommends: Approve (4/5 Vote) <u>Attachment 12</u>

Case 4:19-cv-AVGJENDA DINGJENDHNG iADDINADAPage 9 of 26

- 13. *Public Health Department -* Approve the following recommendations:
 - A. Execute the Contract for Services No. COC2015-2016-01 award from First 5 Alameda County to provide prenatal and postpartum home visiting services and work as a multidisciplinary team with First 5 staff to serve enrolled pregnant women and families with newborns in Alameda County for the period of 7/1/15 6/30/16, in the amount of \$2,800,000;
 - B. Execute the Contract for Services No. COC2015-2017-002 award from First 5 Alameda County to provide training, technical assistance and consultation support to pediatric practices on early identification through standardized developmental screening and referral pathways for children 0-5 years of age with specialized health and developmental needs for the period of 7/1/15 6/30/17, in the amount of \$190,000; and
 - C. Authorize the Auditor-Controller to decrease appropriation and revenue in the amount of \$59,860
 - CAO Recommends: Approve

Attachment 13

14. *Public Health Department* - Authorize the Auditor-Controller to issue stipend payments via Direct Claim to one intern to provide support for the Alameda County Public Health Department and Family Health Services program, for the period of 5/1/15 - 9/30/15, not to exceed a total of \$2,000 - CAO Recommends: Approve

Attachment 14

- 15. *Public Health Department* Authorize the Auditor-Controller to issue stipend payments via Direct Claim, to four peer educators participating in the Alameda County Diabetes Education program, for the period of 7/1/15 6/30/16, not to exceed a total of \$16,000 CAO Recommends: Approve Attachment 15
- 16. *Public Health Department* Approve the following recommendations:
 - A. Approve the new amendments to Fiscal Year 2015 2016 Public Health Master Contracts for 53 contracts with community-based organizations and government agencies, to provide uninterrupted public health, dental, and health education services to children, youth, seniors and adults, in an amount not to exceed a total of \$6,378,582.74;
 - B. Approve an allocation of Measure A one-time funds to provide public health services through the HIV needle exchange program, in the amount of \$150,000; and
 - C. Authorize the Director or his designee to execute the Master Contract Exhibits A&B amendments and submit originals to the Clerk of the Board for filing
 - CAO Recommends: Approve Attachment 16

GENERAL ADMINISTRATION

- 17. Supervisor Chan Approve the following recommendations:
 - A. Approve the use of District 3 Fiscal Year 2016 Fiscal Management Reward funds to support the Public Defender: Learn Your Rights in California" (LYRIC) program to educate students about their constitutional rights; and
 - B. Increase appropriations by \$250,000 offset by \$250,000 in Intra-Fund transfers
 - (4/5 vote)

Attachment 17

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- 18. *Supervisor Miley* Authorize the Auditor-Controller to increase District 4 appropriations by \$15,000 to be offset by Violence Prevention Initiative trust funds as indicated in the financial recommendation to support local violence prevention efforts provided by the following non-profit organizations:
 - A. \$5,000 to First 5 Alameda County (Principal: Kevin Bremond; Location: Alameda), for Alameda County Fathers Corp's Father Engagement Focus Groups and Media Outreach Campaign designed to engage fathers not currently involved in the lives of their children;
 - B. \$5,000 to the O.K. Program (Principal: Bob Jackson; Location: Oakland), to support their camping retreat where seventy five boys and ten O.K. mentors will participate in a variety of team building exercises, leadership development and critical thinking seminars; and
 - C. \$5,000 to E.C. Reems Community Services (Principal: Maria Reems; Location: Oakland), to support their 6th Annual Free Job and Health Fair where participants will receive free mental health workshops, health screenings, employment training and related resources
 - (4/5 vote) Attachment 18
- 19. Auditor-Controller Agency Authorize the President of the Board to execute a Standard Services Agreement (Procurement Contract No. 12269) between the County of Alameda and artist David Burke (Location: Oakland), to design public artwork to be integrated into the entry and lobby wall of the Cherryland Fire Station 23 Project, the artwork design is to be completed by 12/31/15, in an amount not to exceed \$13,500. The Agreement states that the benchmark deliverables and end date are flexible to allow for adjustment to construction schedule of the overall building project CAO Recommends: Approve Attachment 19
- 20. *Auditor-Controller Agency* Approve the following recommendations:
 - A. Adopt a Resolution naming the Arts Commission as the Alameda County's designated State-Local Partner with the California Arts Council, for the period of 10/1/15 9/30/16;
 - B. Authorize the Arts Commission to apply to the California Arts Council for a \$12,000 grant to provide for continued participation in the State-Local Partnership Program and to partially fund Arts Commission staff and programs; and
 - C. Delegate authority to the Executive Director or designee, upon review and approval by County Counsel, to execute the grant contract and expend grant funds through the Arts Commission's proposed Fiscal Year 2015 2016 budget
 - CAO Recommends: Approve Attachment 20
- 21. Auditor-Controller Agency and General Services Agency Authorize the Purchasing Agent to execute a contract (Master Contract No. 901360; Procurement Contract No. 12196) with Studio Frameworks LLC (Principal: Aletha Worrall; Location: Oakland), to provide fine art framing services to the Alameda County Arts Commission, for the term of 8/1/15 7/31/18, in the amount of \$650,000 CAO Recommends: Approve

Attachment 21

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- 22. Community Development Agency Approve Agreement No. 15-0287-SF between the Community Development Agency and the State of California Department of Food and Agriculture to continue funding for a Pest Exclusion Dog Team Program, for the period of 7/1/15 6/30/16, in the amount of \$293,644.51 CAO Recommends: Approve Attachment 22
- 23. *Community Development Agency* Approve Procurement Contract No. 11366 with Abode Services (Principal: Louis D. Chicoine; Location: Fremont), for supportive services at Bridgeway Apartments for homeless individuals and families in Fremont, Hayward and Union City, for the period of 4/1/15 3/31/16, in the amount of \$41,567 CAO Recommends: Approve Attachment 23
- 24. Community Development Agency Approve Procurement Contract No. 11375 with Allied Housing (Principal: Louis D. Chicoine; Location: Fremont), for the operation of housing and supportive services at Lorenzo Creek Apartments Supportive Housing Program for homeless families with disabilities, located at 22198 Center Street, Castro Valley, for the period of 2/1/15 1/31/16, in the amount of \$97,491 CAO Recommends: Approve Attachment 24
- 25. Community Development Agency Approve Procurement Contract No. 11379 with Alameda Point Collaborative (Principal: Douglas Biggs; Location: Alameda), to provide supportive services at the Multi-Service Center for homeless individuals and families at Alameda Point, for the period of 4/1/15 3/31/16, in the amount of \$1,074,781 CAO Recommends: Approve Attachment 25
- 26. Community Development Agency Approve Procurement Contract No. 11386 with Oakland Housing Authority (Principal: Eric Johnson; Location: Oakland), for the provision of permanent supportive housing for homeless people disabled by serious mental illness, chronic alcohol and other drug problems, and/or HIV under the Shelter Plus Care Sponsor-based Rental Assistance Program, for the term of 5/1/15 4/30/16, in the amount of \$1,113,937 CAO Recommends: Approve Attachment 26

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- 27. *General Services Agency* Approve the following recommendations:
 - A. Authorize the execution of contracts for Americans with Disabilities Act upgrades, major maintenance and tenant improvement projects, the term of these contracts will be for one-year beginning approximately 8/3/15 8/3/16, with the possible extension to amend each contract up to a maximum of \$3,000,000 each as authorized by Public Contract Code Section 20128.5:
 - i. MTM Construction Inc, (Principal: Hac Song Lee; Location: City of Industry), Master Contract No. 901321; Procurement Contract No. 12178; Project No. 15012, in the amount of \$1,000,000;
 - ii. STS Construction Inc. (Principal: Young Hyun Lee; Location: Diamond Bar), Master Contract No. 901322; Procurement Contract No. 12206; Project No. 15013, in the amount of \$1,000,000;
 - iii. A CST Group Inc. dba Dynasel USA (Principal: Jamal Laique; Location: Berkeley), Master Contract No. 901323; Procurement Contract No. 12205; Project No. 15014, in the amount of \$1,000,000; and
 - B. Authorize the Agency Director to prepare the proper contract documents and bond forms for completion by the Contractor, have said documents reviewed and approved by County Counsel, and executed by the President of the Board
 - CAO Recommends: Approve Attachment 27
- 28. *General Services Agency* Approve the following recommendations:
 - A. Accept the bid and award a contract to Avidex Industries, LLC (Principal: Shedan Magzi, Location: Fremont), for installation of audio visual and television equipment for the Highland Hospital Acute Care Tower Project's Acute Care Tower, in an amount not-to-exceed \$243,636;
 - B. Approve the encumbrance of an additional \$24,363 as a Supplemental Work Allowance for a total encumbered amount of \$267,999, and authorize the Agency Director to issue change orders, as necessary, against the Supplemental Work Allowance; and
 - C. Authorize the Agency Director to prepare the proper contract documents, have said documents reviewed and approved by County Counsel and executed by the General Services Agency Director
 - CAO Recommends: Approve Attachment 28
- 29. *General Services Agency* Approve the following recommendations:
 - A. Authorize the Auditor-Controller to issue a Purchase Order (Master Contract No. 901389, Procurement Contract No. 11957) to Protection1 Security Solutions (Principal: Steve Goodrich; Location: Pleasanton), to perform the fire sprinkler piping corrective work at the Glenn Dyer Detention Facility, for the period of 7/31/15 12/31/15, in the amount of \$49,790; and
 - B. Approve the encumbrance of an additional \$4,980, as a Supplemental Work Allowance (SWA) for a total encumbrance of \$54,770, and authorize the Agency Director to issue change orders, as necessary against the SWA
 - CAO Recommends: Approve Attachment 29

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- 30. General Services Agency Authorize the Purchasing Agent to execute a contract (Master Contract No. 901036, Procurement Contract No. 11187) with U.S. Bank National Association (Principal: Jeffrey Rankin; Location: Minneapolis, Minnesota), to provide a purchasing card program to the Alameda County General Services Agency, for the term of 8/1/15 7/31/20, in the amount of \$362,500 in rebates to the County CAO Recommends: Approve Attachment 30
- 31. *General Services Agency* Approve the following recommendations:
 - A. Reject all bids received for the Santa Rita Jail Condenser Project CPM15E150080000 (Master Contract No. 901933); and
 - B. Authorize the General Services Agency to re-bid the project in accordance with the County's Enhanced Construction Outreach Process and return to your Board with a recommendation for award
 - CAO Recommends: Approve Attachment 31
- 32. *Human Resource Services* First reading and introduction of a Salary Ordinance amendment to:
 - A. Establish a new classification of Departmental Facilities Coordinator position to coordinate all matters concerning building and facility activities that will be located in various County Departments;
 - B. Increase the salary for the Assistant Director, Area Agency on Aging based on revisions to the job specification that included expansion of the scope of responsibilities and span of control for the position;
 - C. Add footnote compensation for one position of Specialist Clerk I, when assigned lead and training responsibilities for the Oakland Branch Office within the Public Defender's Office;
 - D. Add footnote compensation for one position of Institutional Supervisor II, when assigned to supervise the functions of the Professional Standards Unit, in the Probation Department; and
 - E. Add footnote compensation for one position of Administrative Specialist II, when assigned full supervision of support staff positions in the County Administrator's Office
 - CAO Recommends: Approve Attachment 32
- 33. Human Resource Services First reading and introduction of an Ordinance amending Chapter 3.20 Sick Leave, of the Alameda County Administrative Code and adopt sixteen Sideletters of Agreement with various Labor Organizations to implement changes related to the State of California's new Paid Sick Leave Law, Healthy Families Act (AB1522) CAO Recommends: Approve https://doi.org/10.1007/journal.com/ and introduction of an Ordinance amending Chapter 3.20 Sick Leave, of the Alameda County Administrative Code and adopt sixteen Sideletters of Agreement with various Labor Organizations to implement changes related to the State of California's new Paid Sick Leave Law, Healthy Families Act (AB1522) CAO Recommends: Approve https://doi.org/10.1007/journal.com/ and the Alameda County Administrative Code and adopt sixteen Sideletters of Agreement with various Labor Organizations to implement changes related to the State of California's new Paid Sick Leave Law, Healthy Families Act (AB1522) CAO Recommends: Approve https://doi.org/10.1007/journal.com/ and the Alameda County Administrative Code and adopt sixteen Sideletters of Agreement with various Law, Healthy Families Act (AB1522) CAO Recommends: Approve https://doi.org/10.1007/journal.com/ and the Alameda County Agreement with various Law, Healthy Families Act (AB1522) CAO Recommends: Approve https://doi.org/ and Adopt Sixteen County Agreement with various Law (AB1522) CAO Recommends: Approve https://doi.org/ and Adopt Sixteen County Agreement with the Alameda County Agreement with th

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- 34. *Human Resource Services* Approve the following recommendations:
 - A. First reading and introduction of an Ordinance approving the 6/22/14 9/15/19 Memorandum of Understanding between the Alameda County Welfare Fraud Investigators Association (ACWFIA) and the County of Alameda;
 - B. First reading and introduction of a Salary Ordinance amendments amending Sections of Article 7, to implement changes agreed to with the ACWFIA; and
 - C. Adopt two sideletters of agreement
 - CAO Recommends: Approve Attachment 34
- 35. Item Withdrawn by department.
- 36. *Library* Approve the following recommendations:
 - A. Accept a grant agreement between First Five Alameda County and Alameda County Library to provide early literacy activities and early childhood services to children up to five years of age at library branches, for the period 7/1/15 6/30/17, in the amount of \$86,000; and
 - B. Authorize the County librarian to sign the grant agreement
 - CAO Recommends: Approve Attachment 36
- 37. *Library* Approve the following recommendations:
 - A. Approve the Memorandum of Understanding (MOU) between Alameda County Office of Education and Alameda County Library, to provide literacy instruction and library services at the Juvenile Justice Center and Camp Sweeney, for the period 7/1/15 6/30/16, in the amount of \$49,284; and
 - B. Authorize the County Librarian to administer the MOU, including but not limited to the execution of any forms or other documents necessary to carry out County's obligations under the MOU
 - CAO Recommends: Approve Attachment 37
- 38. *Library* Approve a contract between the City of Union City and the Alameda County Library to provide 22 additional hours of service each week at the Union City Library, for the period of 7/1/15 6/30/16, in the amount of \$296,243 CAO Recommends: Approve Attachment 38
- 39. *Library* Approve Procurement Contract No. 12260 with Innovative Interfaces Inc. (Principal, Neil Block; Location: Emeryville), to provide hardware and software maintenance services for Alameda County Library's materials acquisition, cataloging, tracking system and Link Plus, for the period of 7/1/15 6/30/16, in the amount of \$163,213.89 CAO Recommends: Approve Attachment 39
- 40. *Library* Approve Procurement Contract No. 12274 between the City of Albany and the Alameda County Library to provide janitorial services for the Albany Library, for the period of 7/1/15 6/30/16, in the amount of \$31,610.16 CAO Recommends: Approve Attachment 40

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- 41. *Library* Approve Procurement Contract No. 12279 between the City of Union City and the Alameda County Library to provide janitorial services for the Union City Library for the period, 4/1/15 6/30/16, in the amount of \$37,500 CAO Recommends: Approve Attachment 41
- 42. *Library* Approve a Contract between the City of Albany and the Alameda County Library to provide 25.5 additional hours of service each week at the Albany Library, for the period of 7/1/15 6/30/16, in the amount of \$725,440 CAO Recommends: Approve Attachment 42
- 43. *Library and General Services Agency* Authorize the Purchasing Agent to execute Master Contract No. 901331; Procurement Contract No. 12030, with 3M Company (Principal: Mary Zilles; Location: St. Paul, Minnesota), to provide the automated materials handling system to the Alameda County Library, for the term of 7/22/15 7/21/18, in the amount of \$170,129 CAO Recommends: Approve Attachment 43
- 44. *County Administrator's Office* Authorize the Purchasing Agent to execute a contract (Master Contract No. 901328; Procurement Contract No. 11812) with Law Enforcement Psychological Services, Inc., (Principal: Michael Roberts; Location: Los Gatos), to provide pre-employment psychological evaluation services to the County Administrator's Office Risk Management Unit, for the period of 7/28/15 7/28/18, in the amount of \$340,200, and by mutual agreement allows for options to renew for up to two additional one-year terms Attachment 44
- 45. County Administrator's Office Adopt a Resolution authorizing the issuance and sale of bonds of the Oakland Unified School District, prescribing the terms of sale of not to exceed \$180,000,000 of said bonds by a negotiated sale pursuant to one or more Bond Purchase Agreements, approving the form of and authorizing the execution and delivery of said Bond Purchase Agreements, approving the forms of one or more Paying Agent Agreements, and authorizing the execution of necessary documents and certificates relating to said bonds

 Attachment 45
- 46. County Administrator's Office and General Services Agency Authorize the Purchasing Agent to issue two separate Request for Proposals, one State and one Federal, for legislative advocacy services for the purpose of retaining full-service legislative advocacy firms resulting in one, three-year contract for each with the option to renew two, one-year extensions each by mutual agreement Attachment 46

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PUBLIC PROTECTION

- 47. *Probation Department* Approve the following recommendations:
 - A. Authorize the execution of Master Contract No. 900117; Procurement Contract No. 12215 with Lincoln Child Center (Principal: Christine Stoner-Mertz; Location: Oakland), for Freedom School to provide summer educational and enrichment programming at Camp Sweeney, for the period of 6/29/15 9/1/15, in the amount of \$99,950; and
 - B. Authorize the Chief Probation Officer or her designee, to execute the Exhibits A and B under the Master contracting process and approve a contract retroactive date of 6/29/15
 - CAO Recommends: Approve Attachment 47
- 48. Probation Department and General Services Agency Authorize the Purchasing Agent to amend Master Contract No. 901177, Procurement Contract No. 10014, with Leaders in Community Alternatives, Inc. (Principal: Linda Connelly; Location: Oakland), to provide transition/day reporting center services to the Alameda County Probation Department, extending the current term of 10/1/14 9/30/15, by 24 months to 9/30/17, and increasing the contract amount of \$818,031 to \$2,696,381 (\$1,878,350 increase) CAO Recommends: Approve Attachment 48
- 49. Probation Department and Health Care Services Agency Approve the following recommendations:
 - A. Authorize the Probation Department to accept the Proud Parenting Grant, to administer evidence based prenatal and early childhood nurse visitation program that improves the health and social functioning of first time mothers, their partners and their babies, for the period of 7/1/15 6/30/16, in the amount of \$119,285;
 - B. Authorize the Auditor-Controller to make the related budget adjustments; and
 - C. Adopt a Resolution, delegating authority to the Chief Probation Officer or her designee to submit grant proposal for Proud Parenting Grant and sign Grant Agreement with the Board of State and Community Corrections, including any amendments thereof
 - CAO Recommends: Approve (4/5 Vote) Attachment 49
- 50. *Sheriff* Approve the following recommendations:
 - A. Authorize the Sheriff to enter into agreements of limited duration with public and private entities for use of their facilities during the Urban Shield 2015 First Responder Training Exercise, for the period of 9/7/15 9/16/15; and
 - B. Authorize the Sheriff or his designee to negotiate and sign required documents for procurement of services and supplies necessary to facilitate the Urban Shield 2015 First Responder Training Exercise
 - CAO Recommends: Approve Attachment 50

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- 51. *Sheriff* Approve the following recommendations:
 - A. Approve a waiver of the County competitive bidding and purchasing process and allow the Sheriff's Office to spend allocated grant funds from the 2013 Department of Homeland Security Port Security Grant that will expire on 8/31/15, in the amount of \$785,811.09; and
 - B. Authorize the Auditor-Controller to make the related budget adjustments
 - CAO Recommends: Approve (4/5 Vote) Attachment 51
- 52. Sheriff and General Services Agency Authorize the Purchasing Agent to execute a contract, for Master Contract No. 901240; Procurement Contract No. 11293, with Aramark Correctional Services, LLC (Principal: Tim Barttrum; Location: Philadelphia, Pennsylvania) to provide food services delivery to the Alameda County Sheriff's Office, for the term of 8/1/15 7/31/18, in the amount of \$19,097,148 CAO recommends: Approve Attachment 52

PUBLIC WORKS

- 53. *Public Works Agency* Authorize the President of the Board to execute a contract between the County of Alameda and the California State University, East Bay to provide street light maintenance within the California State University, East Bay campus, from 7/1/15 6/30/20, in the amount not to exceed \$25,000 (\$5,000/year) CAO Recommends: Approve Attachment 53
- 54. Public Works Agency First reading and introduction of an Ordinance amending Chapter 1, relating to "Traffic Regulations County Highways" and Chapter 2, relating to "Traffic Regulations State Highways" of Title 6, relating to "Vehicles and Traffic" of the Alameda County Public Works Traffic Code CAO Recommends: Approve Attachment 54

<u>11:00 A.M. - SET MATTER(S)</u>

PROCLAMATIONS/COMMENDATIONS

- President Haggerty Acknowledge the 25th Anniversary of the Signing of the Americans with Disabilities
 Act
 Attachment 55
- 56. Supervisor Valle Proclaim July 30, 2015 as "National Day of Action for Medicare's 50th Anniversary" Attachment 56

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GENERAL ADMINISTRATION

- 57. *Treasurer-Tax Collector* Approve the following recommendations:
 - A. Conduct a public hearing to consider the petition for rescission of the tax sale of APN 99-51-3-2, property located at Gardella Plaza Livermore to Copper Hill Inc. (Principal: Louis Yun; Location: Los Altos), filed by Russel and Wolf, parties of interest, and further consider the submissions and statements from any interested parties that may make an appearance at the hearing;
 - B. Upon reviewing all of the submissions of the interested parties and the Tax Collector, under the provisions of Revenue and Taxation Code, Section 3731, that the Board of Supervisors rescind the tax sale of APN 99-51-3-2, provided the Board concurs with the Tax Collector's recommendation to rescind the sale; and
 - C. In the event, after due consideration and deliberation, the Board concurs with the Tax Collector's recommendation, direct the following:
 - i. Tax Collector to prepare and execute a Rescission of Tax Deed to Purchaser of Tax-Defaulted Property;
 - ii. Tax Collector Refund to Purchaser \$226,100, with interest on that amount as determined under Revenue and Taxation Code section 5151; and
 - iii. County Clerk-Recorder to record the Rescission of Tax Deed without charge

Attachment 57

PUBLIC INPUT (TIME LIMIT: 3 MINUTES PER SPEAKER)

ADJOURNMENT

NEXT MEETINGS:

TUESDAY, JULY 28, 2015	REGULAR CALENDAR
TUESDAY, AUGUST 4, 2015	RECESS
TUESDAY, AUGUST 11, 2015	RECESS
TUESDAY, AUGUST 18, 2015	RECESS
TUESDAY, AUGUST 25, 2015	RECESS
TUESDAY, SEPTEMBER 1, 2015	RECESS
TUESDAY, SEPTEMBER 8, 2015	HOLIDAY
TUESDAY, SEPTEMBER 15, 2015	REGULAR CALENDAR AND PLANNING MEETING

AGENDA - BOARD OF SUPERVISORS' MEETING, TUESDAY, JULY 21, 2015 - PAGE 17

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APPENDIX

CONSENT CALENDAR

(ANY BOARD MEMBER MAY PULL ANY CONSENT ITEM FOR DISCUSSION OR SEPARATE VOTE)

PUBLIC ASSISTANCE

- 58. *Social Services Agency* Approve the following recommendations:
 - A. Accept the Medi-Cal Renewal Assistance Award of \$490,310 for performance period of 1/1/15 12/31/16, funding is from the State Department of Health Care Services;
 - B. Waive the competitive bid requirements and approve a sole source contract (Master Contract No. 900148; Procurement Contract No. 12217) with the Alameda Health Consortium (Principal: Ralph Silber; Location: San Leandro), for Medi-Cal renewal assistance services, retroactive to 5/1/15 1/31/16, in the amount of \$165,000 and delegate authority to the Agency Director or her designee, to execute the contract under the master contracting process;
 - C. Authorize the Auditor-Controller's Office to make retroactive payments to Alameda Health Consortium, effective 5/1/15 for Medi-Cal renewal assistance services rendered; and
 - D. Approve the Financial Recommendation to increase Social Services Agency Fiscal Year 2015 2016 budget appropriation and revenue by \$329,736

- (4/5 Vote) Attachment 58

- 59. *Social Services Agency* Approve the following recommendations:
 - A. Approve a First Amendment to the contract (Master Contract No. 900216; Procurement Contract No. 10942) with Abode Services (Principal: Louis Chicoine; Location: Fremont), for CalWORKs Work Experience/Community Service program services, extending the current term of 7/1/14 6/30/15, by an additional 6 months to 12/31/15 and increasing the contract amount from \$433,371.48 to \$656,559 (\$223,187.52 increase); and
 - B. Approve a First Amendment to the contract (Master Contract No. 900142; Procurement Contract No. 10943) with Lao Family Community Development, Inc. (Principal: Kathy Chao-Rothberg; Location: Oakland), for CalWORKs Work Experience/Community Service program services, extending the current term of 7/1/14 6/30/15, by an additional 6 months to 12/31/15 and increasing the contract amount from \$551,474.22 to \$835,485 (\$284,010.78 increase)

Attachment 59

- 60. Social Services Agency and General Services Agency Approve the following recommendations:
 - A. Authorize the President of the Board to execute a Third Modification of lease between Eastmont Office Owner, LLC, a Delaware limited liability company (Principal: Hamid Rezapour; Location: Walnut Creek), and the County of Alameda, expanding the lease by approximately 6,912 square feet of additional office space at 7200 Bancroft Avenue, Suite 36 (also known as 6955 Foothill Blvd, Suite 15), Oakland, California, for the Social Services Agency's Self-Sufficiency Center for the term of 9/1/15 11/30/24, in the amount of \$1,321,933;
 - B. Approve the one-time cost of \$27,500 for tenant improvements (in excess of the landlord's Tenant Improvement allowance of \$57,935); and
 - C. Authorize adjustments to Social Services Agency and General Services Agency's Fiscal Year 2015-2016 budget as detailed in the financial recommendation
 - (4/5 Vote)

Attachment 60

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HEALTH CARE SERVICES

- 61. Behavioral Health Care Services Approve the following recommendations:
 - A. Accept a grant award of \$75,000 from Zellerbach Family Foundation to fund a Cross-Systems Trauma Informed Care Coordinator consultant, grant period from 7/1/15 6/30/16;
 - B. Delegate authority to the Behavioral Health Care Services director or designee to execute and submit all grant documents, including but not limited to, applications, agreements, modifications, augmentations, amendments, grant renewals, extensions, and payment requests that may be necessary for completion of the project; and
 - C. Authorize the Auditor-Controller to make the related budget adjustments
 - (4/5 Vote)

Attachment 61

- 62. Behavioral Health Care Services Approve the following recommendations:
 - A. Approve a master contract augmentation (Master Contract No. 900709; Procurement Contract No. 11617) with Family Services Agency of San Francisco (Principal: Robert Bennett; Location: San Francisco), to provide enhanced capacity building and training to support individuals experiencing First Episode Psychosis, for the period of 7/1/15 6/30/16, increasing the contract amount from \$835,249 to \$855,711 (\$20,462 increase); and
 - B. Delegate authority to the Agency Director, or his designee to execute the contract exhibits on your behalf

Attachment 62

- 63. Behavioral Health Care Services Approve the following recommendations:
 - A. Approve a master contract augmentation (Procurement No. 11564) for The Institute for the Advanced Study of the Black Family Life and Culture, Inc. (Principal: Wade Nobles; Location: Oakland), to expand the current program for youth in the criminal justice system and developing a Substance Use Prevention Services Video, for the period of 7/1/15 6/30/16, increasing the amount from \$257,523 to \$378,259 (\$120,736 increase); and
 - B. Authorize the Agency Director, or his designee to execute the contract exhibits on your behalf and submit the originals to the Clerk of the Board

Attachment 63

- 64. *Behavioral Health Care Services -* Approve the following recommendations:
 - A. Approve a master contract reduction (Procurement Contract No. 11576) for West Oakland Health Council, Inc. (Principal: Sandy Haskins; Location: Oakland), due to underutilization of the services for adults, for the period 7/1/15 6/30/16, decreasing the contract amount from \$1,666,666 to \$1,595,930 (\$70,736 decrease); and
 - B. Authorize the Director or his designee to execute the contract exhibits on your behalf and submit the originals to the Clerk of the Board

Attachment 64

- 65. Item Withdrawn by department.
- 66. Health Care Services Agency Approve a Second Amendment (Procurement Contract No. 9285) with Seneca Family of Agencies (Principal: Janet Briggs; Location: San Leandro) to continue to provide school-based behavioral health services to students who are not eligible for Medi-Cal at Hayward High School in School Year 2015 2016, extending the contract period of 7/1/13 6/30/15, by 12 months to 6/30/16, and increasing the contract amount from \$80,000 to \$120,000 (\$40,000 increase)

 Attachment 66

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- 67. Health Care Services Agency and Behavioral Health Care Services Approve and sign the Second Amendment (Procurement Contract No. 5558) with WestEd (Principal: Joann Izu; Location: Oakland), to complete a Family Partner Outcome Study/Report for the provision of Early Connection 0-5 System of Care evaluation services, extending the contract term of 9/1/10 9/30/15, by 3 months to 12/31/15, increasing the contract amount from \$1,079,207 to \$1,145,707 (\$66,500 increase)

 Attachment 67
- 68. *Public Health Department -* Approve the following recommendations:
 - A. Approve Master Contract Amendment (Master Contract No. 900486; Procurement Contract No. 12093) with Lucile Packard Children Hospital (Principal: Dana Hearing; Location: Palo Alto), to promote medical homes and early development screening, for the period 7/1/15 6/30/17 in the amount of \$618,355; and
 - B. Authorize the Director or designee to sign the Master Contract Exhibits A&B and submit originals to the Clerk of the Board for filing

Attachment 68

- 69. *Public Health Department -* Approve the following recommendations:
 - A. Accept Amendment No. 04 to the Subagreement No. 00008019 from the Regents of the University of California for Best Babies Zone to support health, parent-child relationships, early learning and education for every baby/child in the Castlemont neighborhood, and to create opportunities for residents to be participants and drivers of a growing grassroots local economy extending the service period of 3/1/12 2/28/15 through 2/29/16, in the amount of \$332,600, with 1 year rollover funding; and
 - B. Authorize the Agency Director or his designee to execute the Amendment No. 04 to the Subagreement No. 00008019, subject to approval as to form by County Counsel, and submit the originals to the Clerk of the Board for filing

Attachment 69

GENERAL ADMINISTRATION

- 70. Supervisor Chan Approve a Fourth Amendment to Procurement Contract No. 7209 with Full Court Press Communications, Inc. (Principal: Dan Cohen; Location: Oakland), to provide media consultant services to District 3, extending the term of 7/1/14 6/30/15, by 12 months to 6/30/16, and increasing the amount from \$104,000 to \$129,000 (\$25,000 increase)

 Attachment 70
- 71. Supervisor Miley Authorize the Auditor-Controller to override the County policy that caps the amount to a single vendor at \$3,000 per fiscal year and approve 3 vouchers totaling \$4,200 for interns who worked in Fiscal Year 2015

 Attachment 71
- 72. Auditor-Controller Agency and General Services Agency Authorize the Purchasing Agent to amend Master Contract No. 900757; Procurement Contract No. 6570, with HOV Services, Inc. (Principal: Suresh Yannamani; Location: Cerritos), to provide microfilm processing services to the Auditor Controller's Agency, Clerk Recorder's Office, extending the current term of 10/1/11 9/30/15, by an additional 12 months to 9/30/16, increasing the contract amount of \$313,400 to \$393,400 (\$80,000 increase) Attachment 72

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- 73. Community Development Agency Approve a Fourteenth Amendment to Procurement Contract No. 1017 with Jones & Stokes Associates, Inc. (Principal: Trina L. Prince; Location: San Francisco), to assist in the development of the Resource Conservation, Open Space and Agriculture Elements of the General Plan, and other services that would continue implementation of Measure D and extend the current contract period of 3/1/02 7/31/15, by an 17 months to 12/31/16, with no change to the contract amount of \$317,136 Attachment 73
- 74. *General Services Agency* Authorize the Agency Director to amend Master Contract No. 901033 for asneeded environmental consulting services, extending the current term of 8/14/12 8/13/15, by 12 months to 8/13/16, and increasing the contract amount from \$600,000 to \$800,000 (\$200,000 increase) for the following vendors:
 - A. Procurement Contract No. 7894 with Sensible Environmental Solutions, Inc. (Principal: Robert Sutton; Location: Oakland);
 - B. Procurement Contract No. 7895 with ACC Environmental, Inc. (Principal: James Wilson; Location: Oakland);
 - C. Procurement Contract No. 7896 with RGA Environmental, Inc. a Terracon Company (Principal: Steffen Steiner; Location: Emeryville);
 - D. Procurement Contract No. 7981 with Forensic Analytical Consulting, Inc. (Principal: David Kahane; Location: Hayward); and
 - E. Procurement Contract No. 7898 with Vista Environmental, Inc. (Principal: Charles R. Bove; Location: San Leandro)

Attachment 74

- 75. *Human Resource Services* Approve the classification actions taken by the Civil Service Commission for Assessor's Office, Health Care Services Agency, Social Services Agency, Sheriff's Office and Zone 7 on 6/24/15

 Attachment 75
- 76. *Library* Approve funding renewal for Fiscal Year 2015 2016 on contract No. C-87-253 between the City of Fremont and the Alameda County Library, to provide landscape maintenance and operations at Fremont Library for the period of 6/23/87 6/23/37, in the amount of \$60,000 Attachment 76
- 77. *Treasurer-Tax Collector* Accept the Treasurer's investment report for May 2015 Attachment 77

PUBLIC PROTECTION

78. Probation Department and General Services Agency - Authorize the Purchasing Agent to amend Master Contract No. 901095; Procurement Contract No. 9374 with A1 Protective Services, Inc. (Principal: Paula Jones; Location: Oakland), to provide amended guard services to the Alameda County Probation Department, extending the current term of 12/2/13 - 12/1/15 by 12 months to 11/30/16, and increasing the contract amount of \$368,000 to \$629,000 (\$261,000 increase)

Attachment 78

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- 79. Sheriff Approve an agreement between the County of Alameda and Wente Vineyards (Principal: Lindsay Knight; Location: Livermore), to provide law enforcement services for a musical event on 9/5/15, at a cost of \$1,269.32

 Attachment 79
- 80. *Sheriff* Approve the first amendment between the Sheriff's Office and the Alameda County Employees' Retirement Association (Principal: Kathy Foster: Location; Oakland), for law enforcement services at monthly meetings, for the period of 7/9/15 -7/8/16, at an estimated cost of \$10,155

 Attachment 80
- 81. *Sheriff* Approve the agreement between the County of Alameda and the Castro Valley Unified School District (Principal: Jim Negri; Location: Castro Valley), for police services at various schools and campuses for the period of 7/1/15 6/30/16, in the amount of \$185,000

 Attachment 81

PUBLIC WORKS

- 82. *Public Works Agency* Approve the following recommendations:
 - A. Adopt a Resolution that authorizes acceptance of the dedication of real property in fee (No. 36298), located at 21631 & 21633 Garden Avenue in the unincorporated area of Eden Township, (APN: 432-0016-024-02 and 025-02);
 - B. Authorize recordation of a Grant Deed from 9 Kearny Street, LLC., dated 6/19/15; and
 - C. Declare the parcels of real property (No. 36298) hereby acquired a part of the County System of Highways

Attachment 82

83. *Public Works Agency* - Approve a Second Amendment to Procurement Contract No. 10553 with MaintStar, Inc., formerly known as Bender Engineering, Inc., (Principal: Dimitry Poretsky; Location: Irvine), to provide a new module installation and support services for Community/Citizen Access for the MaintStar Asset & Maintenance Management Systems, with no change to the current contract period of 5/17/09 - 5/17/19, and increase the contract amount from \$1,053,532 to \$1,139,032 (\$85,500 increase) Attachment 83

PERSONNEL, ADMINISTRATION, AND LEGISLATION COMMITTEE

- 84. Approve the following recommendations and include as amendments to the County's 2015 Legislative Platform:
 - A. AB 50 (Mullin) Medi-Cal: Evidence-Based Home Visiting Program Support if Amended; and
 - B. SB 33 (Hernandez) Medi-Cal: Estate Recovery Support Attachment 84

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BOARDS AND COMMISSIONS

- 85. *President Haggerty* Reappoint Darien Louie to the Workforce Investment Board, term ending 6/30/17 Attachment 85
- 86. President Haggerty Reappoint Steven Sherman to the Alameda County Source Reduction and Recycling Board, term ending 7/30/17 Attachment 86
- 87. *President Haggerty* Reappoint Lalit Mathur to the Human Relations Commission, term ending 4/15/18 Attachment 87
- 88. *President Haggerty* Reappoint Linda Evens to the Workforce Investment Board, term ending 6/30/17 Attachment 88
- 89. *President Haggerty* Reappoint Gerald V. Beemiller to the Sunol Citizens Advisory Council, term ending 2/9/18

 Attachment 89
- 90. *President Haggerty* Appoint Stanley Kiang to the Treasury Oversight Committee Attachment 90
- 91. President Haggerty Accept the resignation of Michael L. Emerson from the Hayward Veterans Memorial Building Commission
 Attachment 91
- 92. *President Haggerty* Accept the resignation of the following members from the Alameda Health System Board of Trustees:
 - A. Valerie Lewis; and
 - B. James Potter

Attachment 92

- 93. *President Haggerty* Accept the resignation of the following members from the Workforce Investment Board:
 - A. Stacy McAfee;
 - B. Joe Goigiandia;
 - C. Brett Knight; and
 - D. Theresa Woo

Attachment 93

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- 94. *Supervisor Carson* Accept the resignation of Anne Peason from the Public Health Commission Attachment 94
- 95. Supervisor Carson Appoint Sbeydeh Viveros Walton to the Human Relations Commission, term ending 5/22/16
 Attachment 95
- 96. Supervisor Chan Reappoint Marie Gilmore to the Commission on the Status of Women, term ending 7/11/17

 Attachment 96
- 97. Supervisor Chan Appoint Njeri McGee-Tyner to the Human Relations Commission, term ending 11/5/16 Attachment 97
- 98. *Supervisor Chan* Appoint Estelle E. Clemons to the Public Health Commission, term ending 2/9/16 Attachment 98
- Supervisor Chan Accept the resignation of Dr. Hal G. Gin from the West County Board of Zoning Adjustments
 Attachment 99

End of Consent

OUT-OF-STATE TRAVEL

- Auditor-Controller Agency Division Chief PeopleSoft Reconnect Conference Chicago, Illinois,
 7/21/15 7/23/15 (\$1,934.20)
 Attachment 100
- Community Development Agency Deputy Agricultural Commissioner/Sealer Western Weights and Measures Association - Boise, Idaho, 9/27/15 - 10/1/15 (\$3,000) <u>Attachment 101</u>
- District Attorney Deputy District Attorney National Pretrial Services Conference and Site Visit -Louisville, Kentucky, 7/12/15 - 7/15/15 (No County Cost) Attachment 102
- Probation Department Acting Deputy Chief Kentucky Court of Justice Pretrial Services Program site visit - Louisville, Kentucky, 7/13/15 - 7/15/15 (\$400) <u>Attachment 103</u>

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- 104. Public Health Department Senior Program Specialist American Public Health Association Annual Meeting and Exposition - Chicago, Illinois, 10/30/15 - 11/04/15 (\$1,900) Attachment 104
- Sheriff Lieutenant, Sergeant, Deputy, and Probation Officer Arizona Narcotic Officer's Association Conference - Tempe, Arizona, 7/19/15 - 7/23/15 (No County Cost) Attachment 105
- 106. *Sheriff* Four Sheriff Deputies Reno K-911 2015 Reno, Nevada, 8/17/15 8/28/15 (\$800 each) Attachment 106
- Social Services Agency Assistant Agency Director Urban Child Welfare Leaders Meeting -Washington, D.C., 7/20/15 - 7/22/15 (\$2,400) Attachment 107
- 108. Social Services Agency Staff Development Specialist DEVLEARN: Innovation in the Making Las Vegas, Nevada, 9/27/15 10/2/15 (\$2,600) Attachment 108

Case: 21-16528, 01/26/2022, ID: 12352751, DktEntry: 21-3, Page 126 of 281

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Exhibit 2

Case: 21-16528, 01/26/2022, ID: 12352751, DktEntry: 21-3, Page 127 of 281

Case 4:19-cv-07637-JST Document 55-3 Filed 09/18/20 Page 2 of 71 AGENDA #_____, July 21, 2015

Alameda County Sheriff's Office

Lakeside Plaza, 1401 Lakeside Drive, 12th Floor, Oakland, CA 94612-4305

Gregory J. Ahern, Sheriff

Director of Emergency Services Coroner - Marshal

July 9, 2015

Honorable Board of Supervisors County of Alameda 1221 Oak Street, Suite 536 Oakland, California 94612-4305

Dear Board Members:

SUBJECT:

AWARD A CONTRACT FOR FOOD SERVICES DELIVERY, REQUEST FOR

PROPOSAL NO. 901240; AMOUNT: \$19,097,148

RECOMMENDATION:

Approve and authorize the Purchasing Agent to execute a contract, for Master Contract No. 901240, Procurement Contract No. 11293, with Aramark Correctional Services, LLC (Principal: Tim Barttrum; Location: Philadelphia, PA) to provide food services delivery to the Alameda County Sheriff's Office, for the approximate term of 08/01/15 - 07/31/18, in the amount of \$19,097,148.

DISCUSSION/SUMMARY:

The Alameda County Sheriff's Office (ACSO) is responsible for the care, custody, and control of inmates incarcerated at Santa Rita Jail and Glenn E. Dyer Detention Facility. These two facilities house up to 4,800 inmates and ACSO is required to provide three meals a day to these individuals. ACSO's main detention facility, Santa Rita Jail in Dublin, operates a fully functional commercial-grade kitchen/production facility. This kitchen facility utilizes inmate labor to produce all meals provided to the inmate population and inmates at court service locations within Alameda County.

In addition to providing food services to the inmate population, services are also provided to staff members. Staff members assigned to detentions and corrections are required to remain at their assigned facility for their entire shift. Due to this requirement, food services for staff meals are prepared and served on-site in a staff dining room atmosphere at the Oakland and Dublin facilities.

SELECTION CRITERIA/PROCESS:

The ACSO has determined that the County does not currently have the resources to provide inmate food service delivery.

The ACSO worked with General Services Agency (GSA)-Procurement & Support Services to develop and issue a Request for Interest (RFI). The RFI was issued on April 28, 2014, to approximately 2911 subscribers to GSA Goods and Services-Current Contract Opportunities

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Honorable Board Members

Page 2

July 9, 2015

mailing services via E-Gov, including certified Small Local Emerging Businesses (SLEB). The RFI was posted on the GSA Current Contracting Opportunities website for approximately 150 days and resulted in two responses. Subsequently, a Request for Proposal (RFP) was issued on September 2, 2014; posted on the website for 66 days; sent to the 16 responders and 2944 subscribers to the E-Gov Goods and Services-Current Contract Opportunities mailing service. Two mandatory site visits and bidders conferences were held and were attended by two vendors.

On November 7, 2014, two responses to the RFP were received. All responses were evaluated by the County Selection Committee (CSC) comprised of: one representative from the Alameda County Sheriff's Office, one representative from the Alameda County Probation Department, and one representative from the San Francisco Sheriff's Department. Both vendors that submitted a proposal were interviewed by the CSC. A maximum total of 550 evaluation points were available for this RFP.

Aramark Correctional Services, LLC. was the highest scoring qualified vendor and has agreed to subcontract 15% of the contract to Atlantis Food Services, Corp. (Principal: Anton Haddad; Location: Union City; Certified Small: 07-91050; Expiration: 05/31/16) to provide paper products and dry groceries and 5% of the contract to LeBlanc Dairy (Principal: Joseph C. LeBlanc; Location: Oakland; Certified Small: 10-00190; Expiration: 06/30/17) to provide dairy and juice.

The following is a summary of the evaluation:

Vendor	Location	Local	SLEB	Evaluation Points
Aramark Correctional Services, LLC.	Philadelphia, PA	N	N	493.33
Trinity Food Services	Oldsmar, FL	N	N	296.52

FUNDING:

Appropriations for this contract are included in the ACSO FY 2015-16 Budget and will be requested in future budget years. No additional appropriations are required, and there will be no increase to net County cost.

Respectfully submitted,

Gregory J. Ahern

Sheriff/Coroner

Caroline Judy

Acting Director, General Services Agency

CJ:UW:ns\I:\Board Letters\Purchasing\FY 2015-16\901240_BL_Food Services Delivery.docx

Attachment

cc: Susan S. Muranishi, County Administrator

Steve Manning, Auditor-Controller/Clerk-Recorder

Donna R. Ziegler, County Counsel

ATTACHMENT

FOOD SERVICES DELIVERY RFP No. 901240 AUGUST 1, 2015 – JULY 31, 2018

Venden		Dollar Value of	Local Participation		SLEB Participation	
Vendor	Location	Contract Award	Percentage	Dollar Amount	Percentage	Dollar Amount
Aramark Correctional Services, LLC	1101 Market Street Philadelphia, PA 19107	\$19,097,148	20%	\$3,819,430	20%	\$3,819,430

SL	EB Subcontracting Information		
Atlantis Food Services, Corp. Anton Haddad Certified Small Certification No. 07-91050 Valid through: 05/31/16	30470 Whipple Road Union City, CA 94587	15%	\$2,864,572
Leblanc Dairy Joseph C. LeBlanc Certified Small Certification No. 10-00190 Valid through: 06/30/17	4073 Oak Hill Road Oakland, CA 94605	5% -	\$954,858

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110-23

Master Contract No. 901240 Procurement Contract No. 11293

QUESTIONNAIRE FOR DETERMINING THE WITHHOLDING STATUS

INSTRUCTIONS: This questionnaire is to be completed by the County department for services contracts and must be included as part of the contract package. Be sure to answer all of the questions in Sections I and II and to complete the certifications on page 2. Sections III and IV contain supplemental questions to be answered for contractors in certain service categories.

CO:	NTRACTOR NAME: <u>Aramark Correctional Services, LLC.</u> DEPT #:	29	905	<u>41</u>	
TIT	LE/SERVICE: Food Services Delivery			_	
DEI	PT. CONTACT: Reynaldo Bondoc PHONE: 510-	<u> 208-</u>	970	<u>57</u>	
I.	INFORMATION ABOUT THE CONTRACTOR	YE	S	NO)
1.	Is the contractor a corporation or partnership?	(X))	()
2.	Does the contractor have the right per the contract to hire others to do the work agreed to in the contract?	(X)	ı	()
3.	If the answer to BOTH questions is YES, provide the employer ID no 23-2573585	umb	er l	nere	e:
	No other questions need to be answered. Withholding is not required	1.			
4.	If the answer to question 1 is NO and 2 is YES, provide the individual security number here: No other questions need to be answered. Withholding is not require		cia	1	
5.	If the answer to question 2 is NO. continue to Section II.				
II.	RELATIONSHIP OF THE PARTIES	YI	ES	N	О
1.	Does the County have the right to control the way in which the work will be done, i.e., will the County be able to specify the sequence of steps or the processes to be followed if it chooses to do so?	()	()
2.	Is the contractor restricted from performing similar services for other businesses while he is working for the County?	()	()
3.	Will the contractor be working for more than 50% of the time for the County ($50\% = 20 \text{ hrs/wk}$: 80 hrs/mo)?	()	()
4.	Is the relationship between the County and the contractor intended to be ongoing?	()	()

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110-2	3	Master C Procurement (
III.	FOR CONSULTANTS, PROJECT MACCOORDINATORS	ANAGERS, PROJECT	Y	ES	NO)
1.	Is the contractor being hired for a period of specific project?	of time rather than for a	()	()
2.	Will payment be based on a wage or salar commission or lump sum)?	ry (as opposed to a	()	()
IV.	FOR PHYSICIANS, PSYCHIATRISTS PSYCHOLOGISTS	S, DENTISTS,	Y	ES	NO)
1.	Will the agreement be with an individual outside practice?	who does not have an	()	()
2.	Will the contractor work more than an aveweek? IF THE ANSWER TO QUESTION 2 IS QUESTION 3.		()	()
3.	Will the County provide more than 20% of income?	of the contractor's	()	()
4.	If the answer to either question 2, or if red the entire answer is NO.	quired, question 3 is NO,				
A "YES" answer to any of the questions in Section II, or, if applicable, Sections III or IV constitutes justification for paying the contractor through the payroll system as an "employee for withholding purposes."						
CER	TIFICATIONS:					
I hereby certify that the answers to the above questions accurately reflect the anticipated working relationship for this contract.						
Con	tractor Signature	Agency/Department Head/I Signature	Des	igne	ee	
Mar	k R. Adams, Vice President Finance	John G	2	0	Co	~
Prin	ted Name	Printed Name/				
6	-2-15	Data 7/29/15	_			
Date	Page 2	Date of 2				

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Master Contract No. 901240 Procurement Contract No. 11293

COUNTY OF ALAMEDA STANDARD SERVICES AGREEMENT

This Agreement, dated as of	, 2015, is by and between the
County of Alameda, hereinafter referred	to as the "County", and Aramark
Correctional Services, LLC., hereinafte	er referred to as the "Contractor".

WITNESSETH

Whereas, County desires to obtain food services which are more fully described in Exhibit A hereto ("Services"); and

Whereas, Contractor is professionally qualified to provide such services and is willing to provide same to County; and

Now, therefore it is agreed that County does hereby retain Contractor to provide Food Services, and Contractor accepts such engagement, on the General Terms and Conditions hereinafter specified in this Agreement, the Additional Provisions attached hereto, and the following described exhibits, all of which are incorporated into this Agreement by this reference:

Exhibit A-1	Specific Requirements
Exhibit A-2	Deliverables/Reports
Exhibit A-3	Subcontractors
Exhibit B	Payment Terms
Exhibit C	Insurance Requirements
Exhibit D	Debarment and Suspension Certification
Exhibit E	Contract Compliance Reporting Requirements
Exhibit F	The Iran Contracting Act (ICA) of 2010
Exhibit G	Micros POS System

Definition of Services

Exhibit A

The term of this Agreement shall be from July 1, 2015 through June 30, 2018.

The compensation payable to Contractor hereunder shall not exceed nineteen million, ninety seven thousand, and one hundred forty eight dollars (\$19,097,148) for the term of this Agreement.

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Master Contract No. 901240 Procurement Contract No. 11293

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

A

COUNTY OF ALAMEDA

Name: John Glann (Printed)

Title: <u>Purchasing Agent</u>

Date: 7/29/15

ARAMARK CORRECTIONAL SERVICES, LLC.

Signature

Name: Mark R. Adams

(Printed)

Title: Vice President Finance

Date: $(0-d^{-1}/5)$

By signing above, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement.

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Master Contract No. 901240 Procurement Contract No. 11293

GENERAL TERMS AND CONDITIONS

1. INDEPENDENT CONTRACTOR: No relationship of employer and employee is created by this Agreement; it being understood and agreed that Contractor is an independent contractor. Contractor is not the agent or employee of the County in any capacity whatsoever, and County shall not be liable for any acts or omissions by Contractor nor for any obligations or liabilities incurred by Contractor.

Contractor shall have no claim under this Agreement or otherwise, for seniority, vacation time, vacation pay, sick leave, personal time off, overtime, health insurance medical care, hospital care, retirement benefits, social security, disability, Workers' Compensation, or unemployment insurance benefits, civil service protection, or employee benefits of any kind.

Contractor shall be solely liable for and obligated to pay directly all applicable payroll taxes (including federal and state income taxes) or contributions for unemployment insurance or old age pensions or annuities which are imposed by any governmental entity in connection with the labor used or which are measured by wages, salaries or other remuneration paid to its officers, agents or employees and agrees to indemnify and hold County harmless from any and all liability which County may incur because of Contractor's failure to pay such amounts.

In carrying out the work contemplated herein, Contractor shall comply with all applicable federal and state workers' compensation and liability laws and regulations with respect to the officers, agents and/or employees conducting and participating in the work; and agrees that such officers, agents, and/or employees will be considered as independent contractors and shall not be treated or considered in any way as officers, agents and/or employees of County.

Contractor does, by this Agreement, agree to perform his/her said work and functions at all times in strict accordance with currently approved methods and practices in his/her field and that the sole interest of County is to insure that said service shall be performed and rendered in a competent, efficient, timely and satisfactory manner and in accordance with the standards required by the County agency concerned.

Notwithstanding the foregoing, if the County determines that pursuant to state and federal law Contractor is an employee for purposes of income tax withholding, County may upon two week's notice to Contractor, withhold from payments to Contractor hereunder federal and state income taxes and pay said sums to the federal and state governments

2. INDEMNIFICATION: To the fullest extent permitted by law, Contractor shall hold harmless, defend and indemnify the County of Alameda, its Board of

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Master Contract No. 901240 Procurement Contract No. 11293

Supervisors, employees and agents from and against any and all claims, losses, damages, liabilities and expenses, including but not limited to attorneys' fees, arising out of or resulting from the performance of services under this Agreement, provided that any such claim, loss, damage, liability or expense is attributable to bodily injury, sickness, disease, death or to injury to or destruction of property, including the loss therefrom, or to any violation of federal, state or municipal law or regulation, which arises out of or is any way connected with the performance of this agreement (collectively "Liabilities") except where such Liabilities are caused solely by the negligence or willful misconduct of any indemnitee. The County may participate in the defense of any such claim without relieving Contractor of any obligation hereunder. The obligations of this indemnity shall be for the full amount of all damage to County, including defense costs, and shall not be limited by any insurance limits.

In the event that Contractor or any employee, agent. or subcontractor of Contractor providing services under this Agreement is determined by a court of competent jurisdiction or the Alameda County Employees' Retirement Association (ACERA) or California Public Employees' Retirement System (PERS) to be eligible for enrollment in ACERA and PERS as an employee of County, Contractor shall indemnify, defend, and hold harmless County for the payment of any employee and/or employer contributions for ACERA and PERS benefits on behalf of Contractor or its employees, agents, or subcontractors, as well as for the payment of any penalties and interest on such contributions, which would otherwise be the responsibility of County.

- INSURANCE AND BOND: Contractor shall at all times during the term of the 3. Agreement with the County maintain in force, at minimum, those insurance policies and bonds as designated in the attached Exhibit C, and will comply with all those requirements as stated therein. The County and all parties as set forth on Exhibit C shall be considered an additional insured or loss payee if applicable. All of Contractor's available insurance coverage and proceeds in excess of the specified minimum limits shall be available to satisfy any and all claims of the County, including defense costs and damages. Any insurance limitations are independent of and shall not limit the indemnification terms of this Agreement. Contractor's insurance policies, including excess and umbrella insurance policies, shall include an endorsement and be primary and non-contributory and will not seek contribution from any other insurance (or self-insurance) available to County. Contractor's excess and umbrella insurance shall also apply on a primary and noncontributory basis for the benefit of the County before County's own insurance policy or self-insurance shall be called upon to protect it as a named insured.
- 4. PREVAILING WAGES: Pursuant to Labor Code Sections 1770 et seq., Contractor shall pay to persons performing labor in and about Work provided for in Contract not less than the general prevailing rate of per diem wages for work of a similar

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Master Contract No. 901240 Procurement Contract No. 11293

character in the locality in which the Work is performed, and not less than the general prevailing rate of per diem wages for legal holiday and overtime work in said locality, which per diem wages shall not be less than the stipulated rates contained in a schedule thereof which has been ascertained and determined by the Director of the State Department of Industrial Relations to be the general prevailing rate of per diem wages for each craft or type of workman or mechanic needed to execute this contract.

5. WORKERS' COMPENSATION: Contractor shall provide Workers' Compensation insurance, as applicable, at Contractor's own cost and expense and further, neither the Contractor nor its carrier shall be entitled to recover from County any costs, settlements, or expenses of Workers' Compensation claims arising out of this Agreement.

6. CONFORMITY WITH LAW AND SAFETY:

- a. In performing services under this Agreement. Contractor shall observe and comply with all applicable laws, ordinances, codes and regulations of governmental agencies, including federal, state, municipal, and local governing bodies, having jurisdiction over the scope of services, including all applicable provisions of the California Occupational Safety and Health Act. Contractor shall indemnify and hold County harmless from any and all liability, fines, penalties and consequences from any of Contractor's failures to comply with such laws, ordinances, codes and regulations.
- b. Accidents: If a death, serious personal injury or substantial property damage occurs in connection with Contractor's performance of this Agreement, Contractor shall immediately notify the Alameda County Risk Manager's Office by telephone. Contractor shall promptly submit to County a written report, in such form as may be required by County of all accidents which occur in connection with this Agreement. This report must include the following information: (1) name and address of the injured or deceased person(s): (2) name and address of Contractor's sub-Contractor, if any; (3) name and address of Contractor's liability insurance carrier; and (4) a detailed description of the accident and whether any of County's equipment, tools, material, or staff were involved.
- c. Contractor further agrees to take all reasonable steps to preserve all physical evidence and information which may be relevant to the circumstances surrounding a potential claim, while maintaining public safety, and to grant to the County the opportunity to review and inspect such evidence, including the scene of the accident.

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Master Contract No. 901240 Procurement Contract No. 11293

- 7. DEBARMENT AND SUSPENSION CERTIFICATION: (Applicable to all agreements funded in part or whole with federal funds and contracts over \$25,000).
 - a. By signing this agreement and Exhibit D, Debarment and Suspension Certification, Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations, including but not limited to 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35 and Executive Order 12549.
 - b. By signing this agreement, Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntary excluded by any federal department or agency;
 - (2) Shall not knowingly enter into any covered transaction with a person who is proposed for debarment under federal regulations, debarred. suspended, declared ineligible, or voluntarily excluded from participation in such transaction.
- 8. PAYMENT: For services performed in accordance with this Agreement, payment shall be made to Contractor as provided in Exhibit B hereto.
- 9. TRAVEL EXPENSES: Contractor shall not be allowed or paid travel expenses unless set forth in this Agreement.
- 10. TAXES: Payment of all applicable federal, state, and local taxes shall be the sole responsibility of the Contractor.
- 11. OWNERSHIP OF DOCUMENTS: Contractor hereby assigns to the County and its assignees all copyright and other use rights in any and all proposals, plans, specification, designs, drawings, sketches, renderings, models, reports and related documents (including computerized or electronic copies) respecting in any way the subject matter of this Agreement, whether prepared by the County, the Contractor, the Contractor's sub-Contractors or third parties at the request of the Contractor (collectively, "Documents and Materials"). This explicitly includes the electronic copies of all above stated documentation.

Contractor also hereby assigns to the County and its assignees all copyright and other use rights in any Documents and Materials including electronic copies stored in Contractor's Information System, respecting in any way the subject matter of this Agreement.

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Contractor shall be permitted to retain copies, including reproducible copies and computerized copies, of said Documents and Materials. Contractor agrees to take such further steps as may be reasonably requested by County to implement the aforesaid assignment. If for any reason said assignment is not effective. Contractor hereby grants the County and any assignee of the County an express royalty – free license to retain and use said Documents and Materials. The County's rights under this paragraph shall apply regardless of the degree of completion of the Documents and Materials and whether or not Contractor's services as set forth in Exhibit "A" of this Agreement have been fully performed or paid for.

In Contractor's contracts with other Contractors, Contractor shall expressly obligate its Sub-Contractors to grant the County the aforesaid assignment and license rights as to that Contractor's Documents and Materials. Contractor agrees to defend, indemnify, and hold the County harmless from any damage caused by a failure of the Contractor to obtain such rights from its Contractors and/or Sub-Contractors.

Contractor shall pay all royalties and license fees which may be due for any patented or copyrighted materials, methods or systems selected by the Contractor and incorporated into the work as set forth in Exhibit "A", and shall defend, indemnify and hold the County harmless from any claims for infringement of patent or copyright arising out of such selection. The County's rights under this Paragraph 11 shall not extend to any computer software used to create such Documents and Materials.

12. CONFLICT OF INTEREST: CONFIDENTIALITY: The Contractor covenants that it presently has no interest, and shall not have any interest, direct or indirect, which would conflict in any manner with the performance of services required under this Agreement. Without limitation, Contractor represents to and agrees with the County that Contractor has no present, and will have no future, conflict of interest between providing the County services hereunder and any other person or entity (including but not limited to any federal or state wildlife, environmental or regulatory agency) which has any interest adverse or potentially adverse to the County, as determined in the reasonable judgment of the Board of Supervisors of the County.

The Contractor agrees that any information, whether proprietary or not, made known to or discovered by it during the performance of or in connection with this Agreement for the County will be kept confidential and not be disclosed to any other person. The Contractor agrees to immediately notify the County by notices provided in accordance with Paragraph 13 of this Agreement, if it is requested to disclose any information made known to or discovered by it during the

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performance of or in connection with this Agreement. These conflict of interest and future service provisions and limitations shall remain fully effective five (5) years after termination of services to the County hereunder.

13. NOTICES: All notices, requests, demands, or other communications under this Agreement shall be in writing. Notices shall be given for all purposes as follows:

Personal delivery: When personally delivered to the recipient, notices are effective on delivery.

First Class Mail: When mailed first class to the last address of the recipient known to the party giving notice, notice is effective three (3) mail delivery days after deposit in a United States Postal Service office or mailbox. Certified Mail: When mailed certified mail, return receipt requested, notice is effective on receipt, if delivery is confirmed by a return receipt.

Overnight Delivery: When delivered by overnight delivery (Federal Express/Airborne/United Parcel Service/DHL WorldWide Express) with charges prepaid or charged to the sender's account, notice is effective on delivery, if delivery is confirmed by the delivery service. Telex or facsimile transmission: When sent by telex or facsimile to the last telex or facsimile number of the recipient known to the party giving notice, notice is effective on receipt, provided that (a) a duplicate copy of the notice is promptly given by first-class or certified mail or by overnight delivery, or (b) the receiving party delivers a written confirmation of receipt. Any notice given by telex or facsimile shall be deemed received on the next business day if it is received after 5:00 p.m. (recipient's time) or on a non-business day.

Addresses for purpose of giving notice are as follows:

To County: COUNTY OF ALAMEDA

Alameda County Sheriff's Office 1401 Lakeside Dr., 12th Floor

Oakland, CA 94612 Attn: Reynaldo Bondoc

To Contractor: Aramark Correctional Services, LLC.

1101 Market Street Philadelphia, PA 19107 Attn: Karen Russell

Any correctly addressed notice that is refused, unclaimed, or undeliverable because of an act or omission of the party to be notified shall be deemed effective

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as of the first date that said notice was refused, unclaimed, or deemed undeliverable by the postal authorities, messenger, or overnight delivery service.

Any party may change its address or telex or facsimile number by giving the other party notice of the change in any manner permitted by this Agreement.

- 14. USE OF COUNTY PROPERTY: Contractor shall not use County property (including equipment, instruments and supplies) or personnel for any purpose other than in the performance of his/her obligations under this Agreement.
- 15. EQUAL EMPLOYMENT OPPORTUNITY PRACTICES PROVISIONS: Contractor assures that he/she/it will comply with Title VII of the Civil Rights Act of 1964 and that no person shall, on the grounds of race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam-era Veteran's status, political affiliation, or any other non-merit factor, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Agreement.
 - a. Contractor shall, in all solicitations or advertisements for applicants for employment placed as a result of this Agreement, state that it is an "Equal Opportunity Employer" or that all qualified applicants will receive consideration for employment without regard to their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnamera Veteran's status, political affiliation, or any other non-merit factor.
 - b. Contractor shall, if requested to so do by the County, certify that it has not, in the performance of this Agreement, discriminated against applicants or employees because of their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam-era Veteran's status, political affiliation, or any other non-merit factor.
 - c. If requested to do so by the County, Contractor shall provide the County with access to copies of all of its records pertaining or relating to its employment practices, except to the extent such records or portions of such records are confidential or privileged under state or federal law.
 - d. Contractor shall recruit vigorously and encourage minority-owned and women-owned businesses to bid its subcontracts.
 - e. Nothing contained in this Agreement shall be construed in any manner so as to require or permit any act, which is prohibited by law.
 - f. The Contractor shall include the provisions set forth in paragraphs A through E (above) in each of its subcontracts.

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- 16. DRUG-FREE WORKPLACE: Contractor and Contractor's employees shall comply with the County's policy of maintaining a drug-free workplace. Neither Contractor nor Contractor's employees shall unlawfully manufacture, distribute, dispense, possess or use controlled substances, as defined in 21 U.S. Code § 812, including, but not limited to, marijuana, heroin, cocaine, and amphetamines, at any County facility or work site. If Contractor or any employee of Contractor is convicted or pleads nolo contendere to a criminal drug statute violation occurring at a County facility or work site, the Contractor within five days thereafter shall notify the head of the County department/agency for which the contract services are performed. Violation of this provision shall constitute a material breach of this Agreement
- 17. AUDITS: ACCESS TO RECORDS: The Contractor shall make available to the County, its authorized agents, officers, or employees, for examination any and all ledgers, books of accounts, invoices, vouchers, cancelled checks, and other records or documents evidencing or relating to the expenditures and disbursements charged to the County, and shall furnish to the County, its authorized agents, officers or employees such other evidence or information as the County may require with regard to any such expenditure or disbursement charged by the Contractor.

The Contractor shall maintain full and adequate records in accordance with County requirements to show the actual costs incurred by the Contractor in the performance of this Agreement. If such books and records are not kept and maintained by Contractor within the County of Alameda, California, Contractor shall, upon request of the County, make such books and records available to the County for inspection at a location within County or Contractor shall pay to the County the reasonable, and necessary costs incurred by the County in inspecting Contractor's books and records, including, but not limited to, travel, lodging and subsistence costs. Contractor shall provide such assistance as may be reasonably required in the course of such inspection. The County further reserves the right to examine and reexamine said books, records and data during the three (3) year period following termination of this Agreement or completion of all work hereunder, as evidenced in writing by the County, and the Contractor shall in no event dispose of, destroy, alter, or mutilate said books, records, accounts, and data in any manner whatsoever for three (3) years after the County makes the final or last payment or within three (3) years after any pending issues between the County and Contractor with respect to this Agreement are closed, whichever is later.

18. DOCUMENTS AND MATERIALS: Contractor shall maintain and make available to County for its inspection and use during the term of this Agreement, all Documents and Materials, as defined in Paragraph 11 of this Agreement. Contractor's obligations under the preceding sentence shall continue for three (3)

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years following termination or expiration of this Agreement or the completion of all work hereunder (as evidenced in writing by County), and Contractor shall in no event dispose of, destroy, alter or mutilate said Documents and Materials, for three (3) years following the County's last payment to Contractor under this Agreement.

- 19. TIME OF ESSENCE: Time is of the essence in respect to all provisions of this Agreement that specify a time for performance; provided, however, that the foregoing shall not be construed to limit or deprive a party of the benefits of any grace or use period allowed in this Agreement.
- 20. TERMINATION: The County has and reserves the right to suspend, terminate, or abandon the execution of any work by the Contractor without cause at any time upon giving to the Contractor prior written notice. In the event that the County should abandon, terminate, or suspend the Contractor's work, the Contractor shall be entitled to payment for services provided hereunder prior to the effective date of said suspension, termination, or abandonment. Said payment shall be computed in accordance with Exhibit B hereto, provided that the maximum amount payable to Contractor for its food services delivery shall not exceed \$19,097,148 in payments for services provided hereunder prior to the effective date of said suspension, termination, or abandonment.
- 21. SMALL LOCAL AND EMERGING BUSINESS PARTICIPATION: Contractor shall subcontract with the SLEB subcontractors identified in Exhibit A-3 designated small local and emerging business entities-for services to be provided under this Agreement for a total of twenty percent (20%) of the contract value of this Agreement in accordance with County's Small and Emerging Local Business provision, which includes but is not limited to:
 - a. SLEB subcontractor(s) is independently owned and operated (i.e., is not owned or operated in any way by Prime), nor do any employees of either entity work for the other.
 - b. As is applicable, Contractor shall ensure that the certification status of participating SLEB subcontractors is maintained in compliance with the SLEB Program for the term of this contract.
 - c. Contractor shall not substitute or add any small and/or emerging local business(s) listed in this agreement without prior written approval from the County. Said requests to substitute or add a small and/or emerging local business shall be submitted in writing to the County department contract representative identified under Item #13 above. Contractor will not be able to substitute the subcontractor without prior written approval from the Alameda County Auditor Controller Agency, Office of Contract Compliance (OCC).

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d. All SLEB participation, except for SLEB prime contractor, must be tracked and monitored utilizing the Elation compliance System. Contractor and Contractor's small and/or emerging local businesses participating as subcontractors on the awarded contract are required to use the Elation webbased compliance system as described in Exhibit E (Contract Compliance Reporting Requirements) to report and validate payments made by Prime Contractors to the certified small and/or emerging local businesses. It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the Elation compliance system. SLEB prime contractor with SLEB subcontractors must enter payments made to subcontractors in the Elation System and ensure that SLEB subcontractors confirm payments received.

County will be under no obligation to pay contractor for the percent committed to a SLEB subcontractor if the work is not performed by the listed small and/or emerging local business.

For further information regarding the Small Local Emerging Business participation requirements and utilization of the Alameda County Contract Compliance System contact the County Auditor-Controller's Office of Contract Compliance (OCC) via e-mail at ACSLEBcompliance@acgov.org.

- 22. FIRST SOURCE PROGRAM: For contracts over \$100,000, Contractor shall provide County ten (10) working days to refer to Contractor, potential candidates to be considered by Contractor to fill any new or vacant positions that are necessary to fulfill their contractual obligations to the County that Contractor has available during the contract term before advertising to the general public.
- 23. CHOICE OF LAW: This Agreement shall be governed by the laws of the State of California.
- 24. WAIVER: No waiver of a breach, failure of any condition, or any right or remedy contained in or granted by the provisions of this Agreement shall be effective unless it is in writing and signed by the party waiving the breach, failure, right or remedy. No waiver of any breach, failure, right or remedy shall be deemed a waiver of any other breach, failure, right or remedy, whether or not similar, nor shall any waiver constitute a continuing waiver unless the writing so specifies.
- 25. ENTIRE AGREEMENT: This Agreement, including all attachments, exhibits, and any other documents specifically incorporated into this Agreement, shall constitute the entire agreement between County and Contractor relating to the subject matter of this Agreement. As used herein, Agreement refers to and

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includes any documents incorporated herein by reference and any exhibits or attachments. This Agreement supersedes and merges all previous understandings, and all other agreements, written or oral, between the parties and sets forth the entire understanding of the parties regarding the subject matter thereof. The Agreement may not be modified except by a written document signed by both parties.

- 26. HEADINGS herein are for convenience of reference only and shall in no way affect interpretation of the Agreement.
- 27. ADVERTISING OR PUBLICITY: Contractor shall not use the name of County, its officers, directors, employees or agents, in advertising or publicity releases or otherwise without securing the prior written consent of County in each instance.
- 28. MODIFICATION OF AGREEMENT: This Agreement may be supplemented, amended, or modified only by the mutual agreement of the parties. No supplement, amendment, or modification of this Agreement shall be binding unless it is in writing and signed by authorized representatives of both parties.
- 29. ASSURANCE OF PERFORMANCE: If at any time County believes Contractor may not be adequately performing its obligations under this Agreement or that Contractor may fail to complete the Services as required by this Agreement. County may request from Contractor prompt written assurances of performance and a written plan acceptable to County, to correct the observed deficiencies in Contractor's performance. Contractor shall provide such written assurances and written plan within ten (10) calendar days of its receipt of County's request and shall thereafter diligently commence and fully perform such written plan. Contractor acknowledges and agrees that any failure to provide such written assurances and written plan within the required time is a material breach under this Agreement.
- 30. SUBCONTRACTING/ASSIGNMENT: Contractor shall not subcontract, assign or delegate any portion of this Agreement or any duties or obligations hereunder without the County's prior written approval.
 - a. Neither party shall, on the basis of this Agreement, contract on behalf of or in the name of the other party. Any agreement that violates this Section shall confer no rights on any party and shall be null and void.
 - b. Contractor shall use the subcontractors identified in Exhibit A and shall not substitute subcontractors without County's prior written approval.
 - c. Contractor shall require all subcontractors to comply with all indemnification and insurance requirements of this agreement, including.

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- without limitation, Exhibit C. Contractor shall verify subcontractor's compliance.
- d. Contractor shall remain fully responsible for compliance by its subcontractors with all the terms of this Agreement, regardless of the terms of any agreement between Contractor and its subcontractors.
- 31. SURVIVAL: The obligations of this Agreement, which by their nature would continue beyond the termination on expiration of the Agreement, including without limitation, the obligations regarding Indemnification (Paragraph 2). Ownership of Documents (Paragraph 11), and Conflict of Interest (Paragraph 12), shall survive termination or expiration.
- 32. SEVERABILITY: If a court of competent jurisdiction holds any provision of this Agreement to be illegal, unenforceable, or invalid in whole or in part for any reason, the validity and enforceability of the remaining provisions, or portions of them, will not be affected, unless an essential purpose of this Agreement would be defeated by the loss of the illegal, unenforceable, or invalid provision.
- 33. PATENT AND COPYRIGHT INDEMNITY: Contractor represents that it knows of no allegations, claims, or threatened claims that the materials, services, hardware or software ("Contractor Products") provided to County under this Agreement infringe any patent, copyright or other proprietary right. Contractor shall defend, indemnify and hold harmless County of, from and against all losses, claims, damages, liabilities, costs expenses and amounts (collectively, "Losses") arising out of or in connection with an assertion that any Contractor Products or the use thereof, infringe any patent, copyright or other proprietary right of any third party. County will: (1) notify Contractor promptly of such claim, suit or assertion; (2) permit Contractor to defend, compromise, or settle the claim; and, (3) provide, on a reasonable basis, information to enable Contractor to do so. Contractor shall not agree without County's prior written consent, to any settlement, which would require County to pay money or perform some affirmative act in order to continue using the Contractor Products.
 - a. If Contractor is obligated to defend County pursuant to this Section 33 and fails to do so after reasonable notice from County. County may defend itself and/or settle such proceeding, and Contractor shall pay to County any and all losses, damages and expenses (including attorney's fees and costs) incurred in relationship with County's defense and/or settlement of such proceeding.
 - b. In the case of any such claim of infringement, Contractor shall either, at its option. (1) procure for County the right to continue using the Contractor

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- Products: or (2) replace or modify the Contractor Products so that that they become non-infringing, but equivalent in functionality and performance.
- c. Notwithstanding this Section 33. County retains the right and ability to defend itself, at its own expense, against any claims that Contractor Products infringe any patent, copyright, or other intellectual property right.
- 34. OTHER AGENCIES: Other tax supported agencies within the State of California who have not contracted for their own requirements may desire to participate in this contract. The Contractor is requested to service these agencies and will be given the opportunity to accept or reject the additional requirements. If the Contractor elects to supply other agencies, orders will be placed directly by the agency and payments made directly by the agency.
- 35. EXTENSION: This agreement may be extended for an additional two years by mutual agreement of the County and the Contractor.
- 36. SIGNATORY: By signing this agreement, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement

[END OF GENERAL TERMS AND CONDITIONS]

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ADDITIONAL PROVISIONS

I. Additional Terms

- 37. **Prohibition on Contraband**: Contractor will do its best to ensure that no contraband and/or other prohibited items make its way into the county jails through the delivery and/or preparation of food by Contractor. If not already in place, Contractor shall develop a plan and policy to address how it can limit the addition and/or placement of contraband and/or other prohibited items into its products within sixty (60) days after this Agreement becomes effective. This policy shall be presented to ACSO as soon as it is drafted. Should ACSO discover that any contraband or other prohibited items has entered the county jails through Contractor services, it shall be considered a material breach and ACSO will have the right to terminate this Agreement without notice. Moreover, Contractor agrees to fully indemnify and defend the County and ACSO for any incidents where such contraband or other prohibited items can be traced to Contractor.
- 38. Compliance with Law: Contractor shall, at its sole expense, conduct and cause to be conducted all activities in the jails and on any Alameda County property in compliance with all laws, regulations, codes, ordinances and orders of any governmental or other regulatory entity, whether presently in effect or subsequently adopted, and whether or not in the contemplation of the Parties. Contractor shall, at its sole expense, procure and maintain in force at all times during the contract any and all business and other licenses or approvals necessary to conduct the activities allowed hereunder. Nothing herein shall limit in any way Contractor's obligation to obtain any required regulatory approvals from County departments, boards or commissions or other governmental regulatory authorities or limit in any way County's exercise of its police powers, including approval of all individuals who may enter any of the County jails.
- 39. **Safety**: Contractor agrees to conduct its activities at all times in a safe and prudent manner with full regard to the jail policy and safety and the public safety and to observe all applicable rules, regulations, policies and requests of the County, ACSO, and other government agencies responsible for public safety.
- 40. **Security**: Contractor acknowledges that pursuant to entering the Agreement with the County and performing services, Contractor may be exposed to and may review, see, or observe security procedures, information, data, and/or records that constitute secure, safety, valuable, confidential and proprietary information, knowhow, jail procedures, and trade secrets, belonging to County and ACSO, or their agents, entities, or affiliates and/or third parties (hereinafter referred to as "Confidential Information") In consideration of being made privy to such Confidential Information, Contractor hereby agrees to hold the same in strict confidence, and shall take all reasonable measures to prevent unauthorized or improper disclosure or use of the Confidential Information. Contractor

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understands that disclosure of any such information, or failure to follow ACSO procedures could result in extreme harm including possibly great bodily harm or death of inmates, County staff, the public and Contractor.

41. **Regulatory Changes**: Should a change in Federal, State, and/or County laws or regulations affect the terms of this Agreement, the Parties agree to modify and/or adjust this Agreement to reflect those changes.

II. Revisions to General Terms and Conditions:

- The following is added to Provision 6, CONFORMITY WITH LAW AND SAFETY:
 - d. Contractor shall adopt and comply with the Prison Rape Elimination Act (PREA) standards, and make information available to Alameda County, as required under 28 CFR § 115.12, to demonstrate its PREA compliance. 28

CFR §115.401 requires Contractor to engage in receive a PREA audit at least once during each three-year audit cycle beginning period starting on

August 20, 2013. Contractor will make available to Alameda County Sheriff's Office Contract Monitor Contractor the auditor's final report after completion of an audit. Until the first audit report becomes available, Contractor shall demonstrate PREA compliance to Alameda County by furnishing a copy of its PREA policy to Alameda County Sheriff's Office Contract Monitor Contractor

2. Paragraph 20, TERMINATION, is amended by adding the following sentence:

County shall provide Contractor sixty (60) days' prior notice of termination pursuant to this section.

County Counsel Signature:

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EXHIBIT A

DEFINITION OF SERVICES

Contractor shall provide food services with the Specific Requirements,
Deliverables/Reports set on this Exhibit A, Exhibit A-1, and Exhibit A-2.

- a. This Exhibit A has been drafted to include the requirements contained in the Request for Proposal (RFP) No. 901240, including any addenda, the proposal response of Contractor (Response), and additional services that the County obtained through negotiations, if any. In the event of any conflict (direct or indirect) among any of the exhibits, the RFP and the Response, the more stringent requirements providing the County with the broader scope of services shall have precedence, such that this Exhibit A including all attachments, the scope of work described in the RFP and the scope of work described in Contractor's proposal shall be performed to the greatest extent feasible. This incorporation specifically excludes the liquidated damages provision, contained in Section R, beginning on page 39 and the Exhibit E.
- b. The RFP and Response may be relied upon to interpret this Contract and shall be applied in such a manner so that the obligations of the Contractor are to provide the County with the broadest scope of services for the best value.

2. Contractor project team will consist of the following Key Personnel and subcontractors, as applicable during the contract term:

Key Personnel	Title	
Karen Russell	Director of Business Development	
Eric Johnson	Regional President	
Lori McConnell	Resident District Manager	
Kristen J. Scott	Food Service Director, Operations	
Brian Savannah	Food Service Director, Cook Chill Production	
Cynthia Irizarry	Director of Nutritional and Operational Support	
Kelly Merrick	Regional Finance Director	
Ari Phillips	Human Resources Director	
Joseph LeBlanc	SLEB Subcontractor (J. LeBlanc Dairy)	
Anton Haddad	SLEB Subcontractor (Atlantis Food Services Corp.)	

Contractor agrees that it shall not transfer or reassign the individuals identified above as Key Personnel or substitute subcontractors without the express written agreement of County, which agreement shall not be unreasonably withheld. Should such individual or individuals in the employ of Contractor no longer be employed by Contractor during the term of this Agreement, Contractor shall make a good faith effort to present to County an individual with greater or equal qualifications as a replacement subject to County's approval, which approval shall not be unreasonably withheld.

3. The approval of County to a requested change shall not release Contractor from its obligations under this Agreement.

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EXHIBIT A-1

SPECIFIC REQUIREMENTS

- 1. Contractor's Responsibilities
 - a. General Requirements:

The Contractor shall have full responsibility for the operation of the Alameda County Sheriff's Office (ACSO) food service program. The work detailed shall proceed with promptness and diligence and shall be executed in accordance with the highest professional workmanship standards in the field and to the satisfaction of the County of Alameda. Further, all work, materials, and services not expressly called for, but may be necessary for the complete and proper performance of the work, shall be performed or *furnished by the Contractor*.

Contractor shall be responsible for ensuring levels of sanitation meet the food standards required by ACA standards, local regulations, and Hazardous Critical Control Points (HACCP). The contractor shall adhere to all Food Safety and Sanitation Codes and Rules and Regulations as detailed by the County of Alameda's Public Health Regulations Governing Food Service/Preparation.

- b. The Contractor shall be responsible for:
 - (1) The purchase, maintenance and control of food and supplies, and the provision of meals that are to be delivered to areas specified for inmates and staff at all ACSO facilities, satellite facilities, and off-site facilities in accordance with the menu requirements, meal service requirements, quality requirements, and sanitation requirements established in this Specific Requirements and the Contract;
 - (2) The provision, supervision, and training of all contractor staff required to provide food delivery service operations to ACSO;
 - (3) The planning, scheduling, supervision, and training of all inmate food service workers:
 - (4) All contractor's employees shall be required to attend a civilian training course provided by ACSO and will be certified on up-to-date expectations, security policies, sexual harassment training, etc.

 Documentation will be kept by contractor to memorialize attendance to such training;
 - (5) The effective use of any County Jail Facility ("Jail") "cook-chill" production facility to ensure that it is utilized to its fullest capacity:

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- (6) The safe, careful, and sanitary operation of all ACSO kitchen facilities and equipment to ensure the highest levels of sanitation and maintenance are consistent with the ACSO's policies and procedures, the laws of the United States, the laws of the State of California, and the ordinances and regulations of Alameda County:
- (7) Contractor shall utilize re-usable trays in serving plated inmate meals. except for agreed upon inmate populations. Contractor shall take necessary actions to ensure trays remain in service for their lifetime by implementing inventory control measures, and ensuring proper sanitation and cleaning procedures;
- (8) Contractor shall utilize re-usable cutlery (sporks) for inmate meals as directed by the ACSO staff. Contractor shall not distribute disposable cutlery in meals delivered to housing units using re-usable cutlery:
- (9) Staying abreast of changes to all laws and regulations governing the service of inmate food services:
- (10) The compliance of all employees and inmate workers under contractor's direction, according to the policies and procedures established by ACSO; particularly those involving security operations:
- (11) The development and implementation of a continuous quality improvement program designed to immediately identify and correct any deficiencies within the contractor's food services operation; and
- (12) The development of a successful working relationship with ACSO management and staff through the continuous provision of a high quality food service program.

2. Operational Requirements

- a. Cook-Chill Production Requirements: Alameda County uses an advanced "cook-chill" production system in the food service production center that also includes a "blast-chill" component. The contractor shall be responsible for the effective use of the Alameda County "cook-chill" production facility to ensure that it is utilized to fullest capacity consistent with the County's needs while preserving the County's investment in the facility
- b. Environmental Initiatives: Contractor shall ensure recyclable and compostable items generated in kitchen and onsite office operations are separated appropriately by inmate and Contractor staff and disposed of in appropriate hauler provided collection containers. At minimum, the following materials shall be sorted, managed and disposed of to ensure recycling:

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- (1) Corrugated cardboard:
- (2) #10 tin cans:
- (3) Other packaging materials acceptable in hauler provided recycling program; and
- (4) Office paper, paperboard, newspaper and other paper products acceptable in hauler provided recycling program.

c. Food Labeling:

- (1) The contractor shall possess or develop a system, including a time coding system, where applicable, that will prevent foods from being served that do not meet the minimum quality standards.
- (2) "Cook-chill" items maintained in the Food Bank Inventory shall indicate the item name, date of production, batch number, and the date of expiration for each batch of food prepared and placed into the tumbler chiller for cooling. The final bag leaving the preparation area shall be marked with the batch number as well as notation of final bag.
- d. Temperatures: "Cook-chill" refrigerated foods will be maintained at a temperature between 28.5°F and 31°F.
- e. Use of Standardized Recipes:
 - (1) The contractor will possess, or will develop, test, and use standard recipes. Quality, consistency, portion control, and cost control will be maintained by the use of these and other means.
 - (2) All recipes will be developed with Hazardous Critical Control Points (HACCP) criteria identified. The recipe will include identified potential hazards to each food item, the identity of the critical control points and will indicate the method of control.

f. Purchasing:

(1) Contractor shall meet minimum purchasing specifications or the County of Alameda's specifications, whichever is higher, to be used in the purchase of all food products, small wares, utensils, and disposable service ware, which will include spoons (sporks).

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- (2) ACSO shall approve, in writing, any changes from the stated specifications. Proposed exceptions must be clearly detailed by the contractor and submitted in writing for review.
- (3) The minimum grade specifications are to be as follows for inmate meals: (a)

Beef – U.S.D.A. Select or better:

- (b) Pork U.S.D.A. Select or better; (c)Lamb U.S.D.A. Select or better;
- (d) Processed Meat From U.S.D.A. government inspected plants; (e) Poultry U.S.D.A. Grade B or better;
- (f) Dairy Eggs U.S.D.A. or State Graded A:
- (g) Fish and Seafood Fresh or frozen, must be packed under continuous inspection of U.S. Department of Commerce:
- (h) Canned Fruits or Vegetables U.S.D.A. Grade B or better or Fancy; and
- (i) Frozen Fruits and Vegetables U.S.D.A. Grade 6 or better.
- (4) Staff meals will utilize USDA Choice meats, as well as Grade "A" fruits and vegetables.

g. Menu:

- (1) During the term of the Agreement, the Contractor shall suggest changes to the menu. Changes in the menu should consider improved nutritional value, variety, choice, contrast, visual appeal, customer preference, contemporary food standards, and seasonal variations.
- (2) Although equipped for "cook-chill" production, Contractor is free to include "pre-cook" or other cook systems if the ACSO facilities are equipped for such production and upon approval by ACSO.
- (3) In no case will alcoholic beverages be used, consumed, or kept on the premises.
- (4) All changes in the menu must have prior written approval by the Contractor's dietitian, ACSO Contracts Captain/Lieutenant, and ACSO Inmate Medical Services Provider.

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- (5) The menu cycle used at ACSO is a four-week cycle menu. The menu must include two (2) hot meals (breakfast and dinner) and a cold meal for lunch. The Contractor shall provide proposed four-week menus.
- (6) All meals shall be served on a three (3)-compartment plastic/polymer tray suitable for use with the "cook-chill" food preparation and distribution process, and whose approval for use is reserved for the Contracts Unit of ACSO. The current tray being utilized by ACSO is 6.25" x 8.5" x 1.5" in depth.

h. Nutritional Value and Quality:

- (1) The Contractor shall provide well-balanced meals meeting the nutritional guidelines set forth in Title 15 Minimum Jail Standards and any applicable California and County laws.
- (2) Nutritional Analysis All menus shall be reviewed and certified as to nutritional adequacy and compliance with specifications by a Registered Dietitian (Certified by the Commission on Dietetic Registration) provided by the proposer.
- (3) The Contractor shall provide a nutrient analysis, recipe, ready-to-eat weight for each serving size portion, and recipes for every menu item. All recipes must be appropriate for the size of the population and all recipes must be submitted to ACSO upon request.

i. Regular Menu – Inmate Meals:

- (1) Hot/Cold Breakfast The contractor will prepare breakfast items utilizing "cookchill" production. The Contractor shall prepare and ship breakfast meals each day at the time designated. Food items will be prepared and served with a hot and cold tray. Meals shall be prepared and shipped to appropriate locations within ACSO facilities prior to the morning court schedule to ensure all inmates receive their meal before leaving the ACSO facilities. All breakfast meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.
- (2) Cold Lunch The Contractor shall prepare and ship lunch meals each day at the time designated, seven (7) days a week. All lunch meals will be prepared as a bag lunch to ensure portability and opportunity for consumption by courtattending inmates. All lunch meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.

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- (3) Hot Dinner Meals The contractor shall prepare and ship dinner meals each day at the time designated. All dinner meals will be served with a hot and cold tray. All dinner meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.
- (4) Intake, Transfer, and Release Meals:
 - (a) The Contractor shall be required to prepare cold lunch meals for both male and female inmates. These meals will be primarily served to inmates awaiting processing in intake, transfer and release, work details, and outside trips.
 - (b) The Contractor shall vary the type of meat and other similar items in the sandwiches to avoid repetition.
- (5) Court Meals: The Contractor shall prepare and deliver court meals to the housing units of each facility. Each court meal must be bagged.
- (6) Medical Diet Menus: (a)

General

- The Contractor shall provide medical diet meals such as, but not limited to, allergy, diabetic, low salt, low fat, dental soft, dental liquid, pregnancy, renal, vegetarian, and special meals in accordance with ACSO procedures and as ordered by medical staff. Medical staff will continue to record special diet orders that are satisfied by the Universal Menu.
- Medical diets shall be served during normal hours in the manner specified by the physician. Arrangements shall be made to provide meals beyond the scheduled meal hours when so ordered by the physician.
- The Contractor shall prepare written four-week cycle menus for each approved medical diet. All menus will include portion sizes. The contractor shall consult with its own dietitian and Food Services Coordinator to ensure that the requirements of each diet type are met.
- (b) Medical Diet Notification: Medical diets are determined by the medical provider. Contractor shall be responsible for obtaining medical diet notifications via the medical provider.

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- (c) Medical Diet Menu Requirements. The written medical diet menu shall:
 - Identify all food items to be served to meet diet requirements.
 - Reflect portion sizes consistent with diabetic exchanges and/or food manipulation (i.e. Puree) as required to meet the diet requirements.
 - Be acceptable to ACSO as evidenced by periodic meal inspection reports.
 - Medical Diet Recipes The contractor shall develop standardized recipes to ensure consistency of medical diet menu items and will be formulated.
- (7) Menu Changes and Substitutions:
 - (a) The Contractor shall make all menu changes requested by the Commanding Officer of the facility (or her/his designee(s)) as long as the change does not increase the price per meal to ACSO and meets all menu requirements.
 - (b) To plan for such changes, the Contractor shall utilize the four-week menu cycle. Changes shall be submitted for review and approval by the Contract Administrator four (4) weeks prior to the effective date of the next menu cycle.
 - (c) Except in an emergency, the Contractor shall not change, by deletion or substitution, items on any menu that have been approved by the ACSO Contract Manager without prior written approval.
 - (d) In a situation requiring a menu substitution, the Contractor shall notify the ACSO Contract Manager 24 hours in advance. Once approved, notice shall also be forwarded to each facility.
 - (e) The Contractor shall prepare a written report and forward it to the Contract Administrator within 24 hours of the occurrence of a menu substitution. The report will document the reason for the substitution, the number of substitute meals served, the menu of the substitute meals served, the location of substitute meals served and steps the Contractor will take to prevent future substitutions.
 - (f) In the event of an emergency change, the Contractor shall make

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best efforts to meet the nutritional content for that meal. (8)

Holiday and Special Meals Menus:

- (a) The Contractor shall provide special meals related to specific holidays and themes.
- (b) At a minimum, special meal programs shall be required for the following Holidays:
 - New Year's Day;
 - Ramadan observed for practicing inmates;
 - Easter Sunday;
 - Thanksgiving Day; and
 - Christmas Day
- (c) Religious Menus The Contractor shall provide menus at all meals that comply with the religious requirements of the inmates and approved by the ACSO Detentions and Corrections Commander, Detentions and Corrections Captain ACSO's

 Contract Administrator, and Inmate Services Chaplain. Contractor must initiate diet service within no more than 24 hours of notification. Examples of religious menus include, but are not limited to, Kosher, Halal, and Ovo-Vegetarian. Contractor shall keep upto-date with current law and adjust its menus according to controlling state and federal law, including case law.

(9) Staff Menus:

(a) The health and well-being of sworn and non-sworn staff is a very high priority for ACSO. Contractor shall provide tasty, appetizing, wholesome quality meals to the staff at any County run Jail Facility. Officer's Dining Rooms will be open to staff 24-7. Beverage and Coffee service will be available 24 hours per day. Inmate labor will not be used in the production or service of these meals. Additionally, there will be defined locations in the jail and administration where the Contractor shall be responsible for stocking coffee and condiments.

Meal times for the Santa Rita Jail are:

Graveyard: 0001-0500 Day: 0900-1600 Swing: 1630-2100

Meal Times for the Glenn E. Dyer Detention Facility are:

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Graveyard: 0100-0500 Day: 0900-1400 Swing: 1630-2100

Meals served to any Jail Facility staff in the staff dining room shall be displayed in an appealing manner. The following specifications shall apply except where there is a lack of appropriate equipment.

- (b) The Contractor shall provide a separate four-week menu plan for the staff dining room.
 - The Contractor shall provide MICROS POS system for staff to pay for their meals:
 - The Contractor shall provide a Healthy Menu, utilizing a nationally recognized food distributor such as Sysco Food Services;
 - Contractor shall not utilize inmate menu items for staff:
 - Contractor shall use a standard recipes based on a business services model:
 - Contractor shall provide tableside condiments such as hot sauce, salt and pepper, soy sauce, and specialty items as required by the menu are mandatory:
 - Contractor shall provide a self-service salad bar is required for each meal period. The salad bar shall contain a minimum of three (3) dressings, a variety of 12 or more toppings to include vegetables, yogurt, cottage cheese, a lean protein source (i.e. tuna fish, cubed boneless/skinless chicken, etc.), and shredded cheese. Salad mix must have a variety of green leafy lettuces. A minimum of three (3) fruits (at least one (1) must be fresh) shall be offered. Salad bar must include yogurt mixing items (i.e. granola, nuts, raisins, etc.):
 - Contractor shall provide a deli station for each meal period.

 A variety of whole muscle lunch meats such as turkey, roast beef, and ham shall be available at each meal service. A minimum of three (3) cheeses shall be offered. These shall be natural cheeses such as Swiss, Monterey jack, and cheddar:

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- Soft Serve ice cream and/or frozen yogurt shall be offered for each meal period;
- A daily entrée shall be offered for each meal. This can be the same entree for the lunch and dinner meal. A breakfast menu shall be offered for the graveyard shift;
- The beverage station shall include a variety of milk, juices, and sodas:
- The coffee station shall include all condiments to include dairy and non-dairy creamers, to-go cups, and lids. Cups shall be paper-based. Styrofoam cups are not acceptable:
- Re-useable plates, bowls, and glasses shall be used in the staff dining. Replacement of these items shall be the responsibility of the contractor. Disposables shall be provided for staff members who are unable to take their meal breaks in the staff dining room. Disposable silverware is acceptable, but must be heavy weight:
- Meals shall be billed at a separate cost from the inmate meal;
 and
- Contractor MICROS POS system shall be used to maintain a log of meals served. Log shall include the name of staff or contractor who has purchased the meal. No cash is to be exchanged.

i. Special Catering:

- (1) In the event that food and/or beverages for meetings, luncheons, dinners, inmate related functions are requested, they shall be provided at cost.
- (2) Separate records of direct expenses will be maintained. Cost estimates or maximum costs shall be provided prior to the commencement of such functions. Outside labor may be brought in for such functions, as required and as approved by ACSO. k.

Meal Service:

(1) Responsibilities

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- (a) The contractor shall provide all specified meal service for inmates, staff and guests regardless of holidays, weather conditions, work stoppages, lack of availability of inmate staff or any other adverse or emergency conditions that shall occur.
- (b) If, for any reason, the contractor fails to provide food service, the ACSO shall obtain the required meal(s) from the most expedient source, and the contractor shall be responsible for any and all charges, including consequential expenses incurred by ACSO for food service. Charges will be deducted from outstanding invoices and additional damages may be imposed.
- (2) Set Up: Consistent with the food service delivery system in each facility the contractor shall be responsible to ensure that all meals are in place and ready to serve at the hours specified in that facility
- (3) Transportation: All transportation from any Jail of prepared food, whether trayed or bulk to facilities, is the responsibility of the Contractor. Consistent with the food service delivery system in each facility, contractor shall be responsible for transporting all food to the dining areas, rethermalization areas, serving areas, and for returning all trays, utensils, and equipment to the food preparation or washing areas, as appropriate. Contractor is responsible for maintaining logs and inventory of all trays which have been delivered, as well as picked up from each facility.

(4) Inmate Feeding

- (a) Time Consistent with the food service delivery system in each facility, contractor shall be responsible for serving during hours as required by the Title 15. ACSO, and American Correctional Association (ACA) guidelines.
- (b) Locations Inmates are to be fed at the designated locations. ACSO reserves the right to amend these locations, which may include the designation of additional locations, as may be required for the overall operation of the ACSO, at no additional cost.

(5) Medical Diets

- (a) The Contractor shall clearly delineate medical diet meals for inmates.
- (b) Meals shall be marked with clear and obvious markings so that the person taking the meal shall know that his/her dietary needs are being fulfilled.

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- (6) Religious Diets: The Contractor shall clearly delineate religious diet meals for inmates. Meals shall be marked with clear and obvious markings so that the person taking the meal shall know that his/her dietary needs are being fulfilled.
- (7) Appearance
 - (a) Food shall be served in such a fashion as to be appealing to the inmate and staff. It shall be served in sufficient quantity and at the appropriate temperature as to make the food offered acceptable. All equipment and utensils used in the service of meals shall be clean and free of defects that will render the food unappealing.
 - (b) The Contractor shall ensure that all foods and beverages are presented and prepared in a sanitary manner.
 - (c) The general cleanliness of the serving areas shall be the contractor's responsibility. Contractor shall be responsible for expeditiously reporting facility sanitation and maintenance issues in serving areas.
 - (d) A National Sanitation Foundation (NSF) approved ounce scale shall be provided at all food preparation serving areas to ensure portion controls.
 - (e) The Contractor shall possess or develop a system to prevent foods or beverages from deteriorating while holding. Such a system shall ensure that food quality (taste, appearance, texture, temperature) does not deviate from the minimum quality standards.
 - (f) Thermometers shall be used on service lines to ensure all foods/beverages are being held at proper temperatures. Thermometers used must be consistent in all facilities. Thermometers must be NSF and HACCP approved. The type of thermometers must be comparable to a Fluke FoodPro infrared thermometer.
 - (g) The use of instruments that indicate temperature will be subject to the inspection and approval of the County of Alameda.
- 3. Staff Requirements: Contractor shall also provide adequate, competent support staff that shall be able to service the County during normal working hours. Monday through Friday. Such representative(s) shall be knowledgeable about the contract, products offered and able to identify and resolve quickly any issues including, but not limited to, order and invoicing problems.
 - a. Account Manager

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- (1) Responsibilities: Contractor shall provide overall planning, direction and operation for the successful food service operation at the Alameda County Sheriff's facilities with particular attention to the issues of food service quality control, sanitation, and inmate vocational training.
- (2) Experience: Contractor's account manager shall have a minimum of five (5) years of managing a large scale food service operation in an institutional, commercial, industrial, or similar organization, with at least two (2) years in a major correctional facility or equivalent.

b. Cook-Chill Production Supervisor

- (1) Responsibilities: Contractor shall direct the product planning and oversee the quality control operations of the "cook-chill" production facility at the Santa Rita location. This employee should be dedicated to the "cook-chill" area only.
- (2) Experience: Contractor's Cook-Chill Production Supervisor shall have a minimum of two (2) years supervisory experience in correctional Cook/Chill techniques and applications.

4. ACA Compliance:

Contractor's quality assurance process shall be based on ACA standards and ensure compliance at every meal, every day. Compliance reviews shall be completed by Resident District Manager, Lori McConnell, on a monthly basis to be used as a continuous self-evaluation of contractor's performance. ACA Compliance operations shall be inspected by Contractor's Regional Team Members, Nutrition and Operation Support Services, Finance Officers and Operation Support Directors. Contractor shall also collect data from audits conducted by health inspectors and accreditation audits performed by the ACA and American Jail Association (AJA).

Contractors food safety Quality Assurance Review (QAR) standardization process shall be utilized to ensure perform at the highest levels of safety across all food service portfolios. OAR shall consist of site visits by objective third-party consultant EcoSure5,

(a leading food safety, and operational firm) which will evaluate the level of quality at the venue, and provide corrective action plans where necessary.

Failure to comply with and achieve ACA standards will result in a \$50,000 penalty and potential breach of contract.

5. Inmate Training Programs:

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Contractor training programs shall culminate in meaningful certification which shall be clearly delineated. Contractor shall manage the IN2WORK (I2W) Program, in conjunction with the ROP Culinary Program currently in place at the Santa Rita Jail. I2W shall be a comprehensive curriculum entailing both classroom and on-the-job components. Selected offender workers shall learn foundational food and retail skills. Each working offender shall be given their own workbook, structured pre-work. quizzes, and test on food service operations and shall be issued certifications upon their successful completion of vocational training.

Additionally, Contractor shall implement its FreshFavorites program. This program shall be used as a tool to reward appropriate behavior. FreshFavorites shall offer popular takeout-style foods to working offenders. FreshFavorites shall be paid for by offenders thus generating additional revenue for the facility. FreshFavorites shall be a key component on I2W as participation shall allow offenders the knowledge of how to prepare and serve take-out food as they would in a retail food environment. FreshFavorites shall be made fresh onsite and can be offered on a daily or weekly basis as determined by ACSO.

6. Community Outreach Initiative:

Contractor shall support inmates returning to the community. As the vast majority of inmates from County run jails return to local residences, there is a substantial need for re-entry programs that can assist these individuals in becoming positive and contributing members of the community. Contractor's support shall include programs that support this goal.

Contractor shall support reentry efforts through partnerships with one or more community agencies and the private sector to offer inmate training programs which provide employability skills and assist in job placement in the community. Contractor's efforts shall be done with the goal to help released inmates transition to gainful employment, family stability, and community engagement. In addition, Contractor will make reasonable efforts to employ, within its organization but outside the corrections setting, successful participants of reentry programs. Contractor may also provide support through grants to programs helping released inmates transition to gainful employment, family stability, and community engagement.

Contractor shall provide an annual written report on the efforts and results of meeting these community outreach initiatives.

7. Performance:

An assessment shall be imposed/assessed to Contractor in each of the following categories. The Alameda County Sheriff Office, or its designee, shall notify Contractor of any occurrence and the proposed assessment. Contractor shall have five days to respond before a final determination is made related to the assessment. After consideration of the

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response and factual situation, an assessment may be made by the County against Contractor and the full amount of the assessment shall be reflected as credit to the County in next invoice. If any credit exceeds the amount of the invoice Contractor shall submit a check for the credit balance to County with the invoice. The notice and reply period is for assessments only, it does not excuse or extend the time required under any term of this Agreement, include preapproval requirements or correction of deficiencies. This is not a liquidated damages provision and any assessment does not excuse Contractor from their obligations under this Agreement or any breach.

Type of Incident	Assessment Amounts
Late Meal Service	\$5,000 per occurrence
Improper Meal Substitutions	\$5,000 per occurrence
Inadequate Staff/Inmate Ratio	\$5,000 per occurrence
Sanitation Deficiencies	\$5,000 per occurrence
Equipment Damage	\$5,000 per occurrence
Security Breach	\$5,000 per occurrence
Failing to meet American Correctional Association Standards (ACA)	\$50,000 per occurrence
Failing to meet Alameda County Health Regulations	\$5.000 per occurrence
Failing to meet California Minimum Jail Standards Title 15	\$5,000 per occurrence

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EXHIBIT A-2

DELIVERABLES/REPORTS

- 1. Contractor shall maintain at each facility the following records, reports, and deliverables which shall be made available for monthly review by ACSO, or as specified:
 - a. A current staffing chart and work schedule for all employees, which conforms to the provisions of this agreement. Contractor shall provide written notice one (1) week in advance to the appropriate facility administrator and the contract administrator of any scheduled absence by the Contractor's General Manager, which may be required for corporate training or other matters:
 - b. A complete job description for all the positions and inmate assignments utilized at the facility:
 - c. Any vacancies due to extended illness or termination will be filled within 30 days:
 - d. Daily records of meals served for each location and for any additional satellite facilities contracts by Contractor, according to established meal count procedures and County supplied inmate labor:
 - e. Daily and/or weekly summary records documenting all applicable contractor and food service industry standard quality assurance procedures, to include the testing of temperatures in the refrigeration, cooking and serving areas, and any other records necessary to meet health care standards, inspections, or inquiries, In addition, all records (recipes, production sheets, etc.) necessary to document the minimum portion standards and nutritional adequacy of each meal served:
 - f. Nutritional analysis and recipes and portion sizes of menus:
 - g. Maintain for 72 hours a frozen sample meal of each meal trayed at the "cook-chill" Production Kitchen:
 - h. A sample meal of each served meal will be kept refrigerated for a period of three days at all facilities;
 - i. All HAACP, Cook/Chill charts, records, and perpetual cooked product inventories will be kept for a period of one year at the Cook/Chill Production Kitchen:
 - j. The required ACA documentation in order to comply with ACA standards for accreditation; and

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- k. Contractor shall provide meal counts for satellite facilities and other appropriate documentation to ACSO including but not limited to satellite facilities billing invoices in order to record the number of meals provided to each facility.
- 2. Contractor shall maintain and make available to ACSO the following required communication and reports for each ACSO facility:
 - a. Weekly reports of meals served:
 - b. Daily records of testing of meal temperatures as provided in item:
 - c. Records of nutritional analysis, recipes, and portion sizes;
 - d. A quarterly financial statement in accordance with industry standards, which shows all contractor income, expenses and profit or loss, related to this contract. This information will be treated by ACSO as confidential and will be made available only to ACSO, the ACSO Contract Administrator, the County Administrator, and/or the County Board of Supervisors;
 - e. Meal cart distribution sheets for meal carts leaving the kitchen, which shall be signed by contractor supervisors after verification of the number of meals prepared and loaded onto the meal carts. ACSO will sign for the designation dispatching of the food service carts. Jails will have random audits by the contract monitor or ACSO kitchen staff to validate meal counts:
 - f. Federal/State/local required forms relating to food services:
 - g. Analysis of nutrients showing Recommended Daily Allowance for this type of age group; and
 - h. Monthly status report on maintenance of capital equipment to include damaged equipment and equipment in need of repair or preventative maintenance.
- 3. Contractor shall have monthly scheduled meetings with the ACSO to discuss Contractor performance and other items of mutual interest to this Agreement.
- 4. Contractor shall have a two-way communication system in place during meal preparation and delivery hours to insure communication between the office personnel and the staff on the main kitchen floor.
- 5. Sustainable Food Service
 - a. Contractor shall follow a Sustainable Food Service Action Plan (the "Plan"). Contractor shall meet with the County GSA Sustainability Team regarding the Plan prior to July 1, 2015. Contractor shall finalize and implement an approved Plan by September 1, 2015

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- b. Contractor shall meet with County on a regular basis to review and update the Plan, including revisions to incorporate additional efforts for continuous improvement.
- c. Contractor shall provide regular reports that demonstrate how it reduces the environmental impact of food service delivery and operations associated with this Agreement.
- d. At a minimum, the Plan and reports shall identify efforts Contractor takes to minimize the generation of waste, divert waste that is generated from landfill, and strategies to minimize the life cycle environmental and social impacts associated with the provision of food. Examples of efforts the Contractor shall address include, but are not limited to:
 - (1) Packaging waste minimization:
 - (2) Food waste minimization;
 - (3) Other waste minimization actions:
 - (4) Surplus food diversion (e.g. for human or animal consumption):
 - (5) Recycling and composting implementation plan, including staff and inmate worker training, infrastructure (i.e. collection bins), and integration into work duty:
 - (6) Food sourcing strategies to minimize lifecycle greenhouse gas emissions intensity of food, such as locally grown foods, moving toward protein sources with lower emissions profiles and toward foods produced with no or low chemical inputs (e.g. fertilizers and pesticides):
 - (7) Food sourcing strategies that assure no human rights abuses though out the supply chain:
 - (8) Operational practices that conserve energy and water; and
 - (9) Additional operational or corporate practices that result in an improved environmental and social impact footprint of the food service delivery and operation for which the contractor is contracted to provided.
- e. The Contractor shall develop and track metrics that measure and evaluate achievement in meeting the goals of the plan. Metrics shall be collected on an ongoing basis, and shall be reported quarterly to ACSO and GSA.

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- f. Contractor shall update the Sustainable Food Service Action Plan annually in consultation with ACSO and GSA. The annual update to the plan shall incorporate a summary of the metrics from previous contract year(s), a summary of the environmental and social footprint improvements achieved, and seek to identify opportunities for continuous improvement in addressing the environmental and social impacts of the food service operation.
- g. To support sustainability goals. Contractor shall incorporate composting into their food services program. This will include, an investment of approximately \$100,000 to install composting equipment at the Santa Rita Jail kitchen facilities. The installation of this equipment is part of the services being provided under this Agreement and shall become the property of County at termination of the Agreement. However, Contractor shall remove the equipment if requested by County prior to the termination of the Contract.

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EXHIBIT A-3

SUBCONTRACTORS

Pursuant to the terms of this Agreement, Contractor shall use the following subcontractors:

- Atlantis Food Services, Corp.
 30470 Whipple Road, Union City, CA
 Principal: Anton Haddad
 In an amount equal to fifteen percent (15%)
- J. Leblanc Dairy 4073 Oak Hill Road, Oakland, CA Principal: Joseph C. LeBlanc In an amount equal to five percent (5%)

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EXHIBIT B

PAYMENT TERMS

1. County will use reasonable efforts to make payment to Contractor upon successful completion and acceptance of the following services within thirty (30) days upon receipt and approval of invoice.

2. Costs		Year l	Year 2	Year 3
Description	Unit of Measure	Unit Cost	Unit Cost	Unit Cost
SRJ-Inmate Meals	Each	\$ 1.349	\$ 1.383	\$ 1.417
SRJ-Court Meals	Each	\$ 1.349	\$ 1.383	\$ 1.417
SRJ-Staff Meals	Each	\$ 4.700	\$ 4.818	\$ 4.938
GEDDF-Inmate	Each	\$ 1.349	\$	\$
GEDDF-Staff Meals	Each	\$ 4.700	\$	\$

SLIDING SCALE Population Scale Price/Meal \$ 1.764 2000 2199 \$ 1.676 2200 2399 \$ 1.602 2400 2599 \$ 1.562 2799 2600 2999 \$ 1.508 2800 \$ 1.460 3000 3199 3200 3399 \$ 1.418 3400 3599 \$ 1.382 3600 3799 \$ 1.349 3999 3800 \$ 1.323 4000 4199 \$ 1.300

- 3. Invoices will be reviewed for approval by the Alameda County Sheriff Agency.
- 4. Total payment under the terms of this Agreement will not exceed the total amount of \$17,520,319. This cost includes all taxes and all other charges.
- 5. Upon award of this Agreement by County, County and Contractor shall forthwith jointly create a schedule governing the timely performance of Contractor's services hereunder. The agreed upon schedule shall be incorporated into this Agreement upon its adoption by the parties and thereafter Contractor shall perform all services under this Agreement in conformance with the schedule.

Exhibit B Page 1 of 1

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT C

COUNTY OF ALAMEDA MINIMUM INSURANCE REQUIREMENTS

Without limiting any other obligation or liability under this Agreement, the Contractor, at its sole cost and expense, shall secure and keep in force during the entire term of the Agreement or longer, as may be specified below, the following minimum insurance coverage, limits and endorsements.

	TYPE OF INSURANCE COVERAGES	MINIMUM LIMITS
A	Commercial General Liability Premises Liability; Products and Completed Operations; Contractual Liability; Personal Injury and Advertising Liability	\$1,000,000 per occurrence (CSL) Bodily Injury and Property Damage
В	Commercial or Business Automobile Liability All owned vehicles, hired or leased vehicles, non-owned, borrowed and permissive uses. Personal Automobile Liability is acceptable for individual contractors with no transportation or hauling related activities	\$1,000,000 per occurrence (CSL) Any Auto Bodily Injury and Property Damage
С	Workers' Compensation (WC) and Employers Liability (EL) Required for all contractors with employees	WC: Statutory Limits EL: \$1,000,000 per accident for bodily injury or disease

D <u>Endorsements and Conditions</u>

- ADDITIONAL INSURED: All insurance required above with the exception of Commercial or Business Automobile Liability, Workers' Compensation and Employers Liability, shall be endorsed to name as additional insured. County of Alameda, its Board of Supervisors, the individual members thereof, and all County officers, agents, employees, volunteers, and representatives. The Additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13.
- 2. DURATION OF COVERAGE: All required insurance shall be maintained during the entire term of the Agreement. In addition, Insurance policies and coverage(s) written on a claims-made basis shall be maintained during the entire term of the Agreement and until 3 years following the later of termination of the Agreement and acceptance of all work provided under the Agreement, with the retroactive date of said insurance (as may be applicable) concurrent with the commencement of activities pursuant to this Agreement.
- 3. REDUCTION OR LIMIT OF OBLIGATION: All insurance policies, including excess and umbrella insurance policies, shall include an endorsement and be primary and non-contributory and will not seek contribution from any other insurance (or self-insurance) available to the County. The primary and non-contributory endorsement shall be at least as broad as ISO Form 20.01. 04.13. Pursuant to the provisions of this Agreement insurance effected or procured by the Contractor shall not reduce or limit. Contractor's contractual obligation to indemnify and defend the Indemnified Parties.
- 4. INSURER FINANCIAL RATING: Insurance shall be maintained through an insurer with a A.M. Best Rating of no less than A:VII or equivalent, shall be admitted to the State of California unless otherwise waived by Risk Management, and with deductible amounts acceptable to the County. Acceptance of Contractor's insurance by County shall not relieve or decrease the liability of Contractor hereunder. Any deductible or self-insured retention amount or other similar obligation under the policies shall be the side responsibility of the Contractor.
- 5. SUBCONTRACTORS: Contractor shall include all subcontractors as an insured (covered party) under its policies or shall verify that the subcontractor, under its own policies and endorsements, has complied with the insurance requirements in this Agreement, including this Exhibit. The additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13.
- 6. JOINT VENTURES: If Contractor is an association, partnership or other joint business venture, required insurance shall be provided by one of the following methods:
 - Separate insurance policies issued for each individual entity, with each entity included as a "Named Insured" (covered party), or at minimum named as an "Additional Insured" on the other's policies. Coverage shall be at least as broad as in the ISO Forms named above.
- Joint insurance program with the association, partnership or other joint business venture included as a "Named Insured"
 CANCELLATION OF INSURANCE: All insurance shall be required to provide thirty (30) days advance written notice to the
- County of cancellation.
 CERTIFICATE OF INSURANCE: Before commencing operations under this Agreement, Contractor shall provide Certificate(s) of Insurance and applicable insurance endorsements, in form and satisfactory to County, evidencing that all required insurance

coverage is in effect. The County reserves the rights to require the Contractor to provide complete, certified copies of all required insurance policies. The required certificate(s) and endorsements must be sent as set forth in the Notices provision.

 Certificate C-1
 Page 1 of 1
 Form 2001-1 (Rev. 02/26/14)

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ACORD

CERTIFICATE OF LIABILITY INSURANCE Page 1 of 1

DATE (MM/DD/YYYY) 05/15/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies)must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

CONTACT NAME: . PHONE (A/C. NO. EXT): 877 - 945 - 7378 E-MAIL Willis of Pennsylvania, Inc. c/o 26 Century Blvd. FAX (A/C, NO): 888-467-2378 P. O. Box 305191 E-MAIL ADDRESS: certificates@willis.com Nashville, TN 37230-5191 INSURER(S)AFFORDING COVERAGE NAIC # INSURERA: ACE American Insurance Company 22667-003 INSURED INSURER B: Indemnity Insurance Company of North Amer 43575-001 Aramark Correctional Services, LLC Aramark Services, Inc. Its Divisions & Subsidiaries INSURER C: INSURER D: Aramark Tower, 1101 Market Street, 30th Floor Philadelphia, PA 19107 INSURER E: INSURER F:

COVERAGES CERTIFICATE NUMBER: 23163276 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS. EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE ADDL	SUBR WVD POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	S
A	X COMMERCIAL GENERAL LIABILITY Y CLAIMS-MADE X OCCUR	HDOG27335457	10/1/2014	10/1/2015	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurence)	\$ 1,000,000 SIncluded
	X Liquor Law Liability				MED EXP (Any one person)	5,000
	X Vendors Liability				PERSONAL & ADV INJURY	\$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:				GENERAL AGGREGATE	SNone
ĺ	POLICY PRO- JECT LOC				PRODUCTS - COMP/OP AGG	SNone
	OTHER					S
A	AUTOMOBILE LIABILITY	ISAH08827011	10/1/2014	10/1/2015	COMBINED SINGLE LIMIT (Ea accident)	s 1,000,000
ĺ	X ANY AUTO				BODILY INJURY(Per person)	S
	ALLOWNED SCHEDULED AUTOS AUTOS				BODILY INJURY(Per accident)	\$
	HIRED AUTOS NON-OWNED AUTOS				PROPERTY DAMAGE (Per accident)	s .
	X Self-Insur X Auto Physi ed for Cal Damage					\$
	UMBRELLA LIAB OCCUR				EACHOCCURRENCE	S
	EXCESS LIAB CLAIMS~MADE				AGGREGATE	.s
İ	DED RETENTIONS					S
В	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	AOS WLRC48013570	10/1/2014	10/1/2015	X PER OTH- STATUTE _ : ER	
A	ANY PROPRIETOR/PARTNER/EXECUTIVE N N/A	CA & MA WLRC48013569	10/1/2014	10/1/2015	E.L. EACH ACCIDENT	s 1,000,000
A	(Mandatory in NH)	WI SCFC48013582	10/1/2014	10/1/2015	E.L. DISEASE - EA EMPLOYEE	s 1,000,000
	ffyes, describe under DESCRIPTION OF OPERATIONS below				E.L. DISEASE - POLICY LIMIT	s 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
ARAMARK's General Liability and Auto Liability policies are noncancellable. Workers' Compensation
notices of cancellation are in accordance with each state law. Products/Completed Operations and
Contractual Liability are included under General Liability.

County of Alameda, its Board of Supervisors, the individual members thereof, and all County officers, agents, employees and representatives are included as Additional Insureds per policy terms & conditions.

CERTIFICATE HOLDER	CANCELLATION
	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF. NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE
County of Alameda 1401 Lakeside Dr. 12th Floor Oakland, CA 94612	M Edward Amo III

Coll:4690070 Tpl:1858331 Cert:23163276 © 1988-2014 ACORD CORPORATION. All rights reserved.

ACORD 25 (2014/01)

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Named Insured: Aramark Correctional Services, LLC Insuring Company: ACE American Insurance Company

Policy Number: HDOG27335457 Policy Effective: 10/1/2014

Endorsement No. 88

ADDITIONAL INSURED - DESIGNATED PERSON OR ORGANIZATION

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY

This endorsement modifies insurance provided in the following:

COMMERCIAL GENERAL LIABILITY COVERAGE FORM

Schedule

Name of Person or Organization

- 1) Any person, organization or entity for whose protection and benefit the Named Insured has or shall have, by contract or agreement, agreed to procure liability insurance; or
- 2) Any person, organization or entity designated as an additional insured by a Certificate of Insurance.

WHO IS AN INSURED (Section II) is amended to include as an insured the person, organization or entity shown in the Schedule above, but only with respect to liability arising out of the Named Insured's operations or work performed by the Named Insured or others acting on the Named Insured's behalf, or premises owned, managed or controlled by or rented to the Named Insured.

With respect to the insurance afforded to these additional insureds, the following is added to Section III - Limits of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

- 1. Required by the contract or agreement; or
- 2. Available under the applicable Limits of Insurance shown in the Declarations; whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

Additionally, the coverage provided to the additional insured shall not exceed, and is limited by, the scope of coverage that the Named Insured has agreed by contract or agreement to procure for the Additional Insured.

This endorsement is issued by the Company designated in the Declarations.

All other provisions of the policy remain unchanged.

Authorized Agent

MS118571212

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT D

COUNTY OF ALAMEDA DEBARMENT AND SUSPENSION CERTIFICATION

(Applicable to all agreements funded in part or whole with federal funds and contracts over \$25,000).

The contractor, under penalty of perjury, certifies that, except as noted below, contractor, its principals, and any named and unnamed subcontractor:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three years;
- Does not have a proposed debarment pending; and
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining contractor responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Standard Services Agreement. Signing this Standard Services Agreement on the signature portion thereof shall also constitute signature of this Certification.

CONTRACTOR:Aramark Correctional Services, LL	C	
PRINCIPAL: Mark R. Adams	TITLE:	Vice President Finance
SIGNATURE:	DATE:	6-2-15

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT E

COUNTY OF ALAMEDA CONTRACT COMPLIANCE REPORTING REQUIREMENTS

Upon receipt of signed contract documents, prime contractor shall immediately enter/assign subcontractors in the System, confirm payments received from the County within 5 business days in the System, immediately enter payments made to subcontractors and ensure that subcontractors confirm they received payments within 5 business days in the System. Subcontractors shall confirm their payments received from the prime contractor within 5 business days in the System.

Alameda County Contract Compliance System training and ongoing support are provided at no charge to contractors and participating sub-contractors awarded a contract as a result of this bid process for this project. Contractors having contracts with the County should schedule a representative from their office/company, along with each of their subcontractors, to attend training. The training schedule may be viewed online at http://www.elationsys.com/elationsys/ContactUs.aspx or call Elation Systems at (925) 924-0340. A special access code will be provided to contractors and subcontractors participating in this contract awarded to allow use of the System free of charge.

It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the Alameda County Contract Compliance System. Training sessions are approximately one hour and will be held periodically in a number of locations throughout Alameda County.

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT F

COUNTY OF ALAMEDA THE IRAN CONTRACTING ACT (ICA) OF 2010

For Procurements of \$1,000,000 or more

The California Legislature adopted the Iran Contracting Act (ICA) to respond to policies of Iran in a uniform fashion (PCC § 2201(q)). The ICA prohibits persons engaged in investment activities in Iran from bidding on, submitting proposals for, or entering into or renewing contracts with public entities for goods and services of one million dollars (\$1,000,000) or more (PCC § 2203(a)). A person who "engages in investment activities in Iran" is defined in either of two ways:

- 1. The person provides goods or services of twenty million dollars (\$20,000,000) or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- 2. The person is a financial institution (as that term is defined in 50 U.S.C. § 1701) that extends twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created by the California Department of General Services (DGS) pursuant to PCC § 2201(b) as a person engaging in the investment activities described in paragraph 1 above.

By signing below, I hereby certify that as of the time of bidding or proposing for a new contract or renewal of an existing contract, neither I nor the company I own or work for are identified on the DGS list of ineligible persons and neither I nor the company I own or work for are engaged in investment activities in Iran in violation of the Iran Contracting Act of 2010.

to renew a contract, but I believe I or it qualifies fo I have described in detail the nature of the exception	r an exceptio	n listed in PCC § 2202(c),
NAME: Aramark Correctional Services, LLC		
PRINCIPAL: Mark R. Adams SIGNATURE:	_ TITLE:	Vice President Finance

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT G

MICROS POS SYSTEM



PDF Attached Hereto

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mWorkstation - Product Overview



MICROS mTablet and mStation



The mTablet and mStation are currently supported by the following MICROS applications:

Application	Version Supporting mTablet/mStation
Simphony 1	V1.6 MR4
RES	5.2

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mWorkstation - Product Overview



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mWorkstation - Product Overview



Feature Summaries

Specification/Feature	mTablet
Processor	Freescale i.MX6 Dual Core ARM Cortex A9 1GHz
Memory	1G DDR3 800MHz RAM
Storage	4G Fixed NAND Flash Internal 8G Removable microSD Standard
Operating System	Microsoft Windows Embedded Compact 7 (EC7)
Display	10.1" WVGA (1024x600) TFT LCD
Touchscreen	10.1" Hardened Projected Capacitive Sensor
Screen Orientation	Portrait or Landscape, Auto Rotating
Magnetic Card Reader	Modular Integrated 3-Track MCR Capable of Hardware Encryption at the Swipe
Network	802.11 a/b/g/n Dual Band Radio (WPA, WPA2, TKIP, AES Support) Bluetooth 2.1
Battery	Integrated Lithium Ion Battery 21.8Wh Provides 6 Hours of Operation in Typical Usage
USB	Below Modular MCR Cover for Future Accessories USB "On the Go" Port
Serial Ports	1 Reserved for MCR
Operating Temperature Range	-10 to 60C (14-140F) Normal Operation 0 to 45C (32 to 113F) when charging battery
Enclosure	Magnesium Alloy, PC-ABD and Nylon Materials. Spill & Drop Resistant Enclosure
Certifications	FCC Class A, UL, CE, TUV, RoHS, China RoHS
Specification/Feature	mStation
USB 2.0	4 Standard USB 2.0 1 MICROS 12V Powered USB
Serial Ports	Com 1 DB9 RS232 – 5/9/12V Selectable Power Com 2 DB9 RS232 – 12V Power Com 5 RJ45 RS232 MICROS IDN Port RJ45 RS422/RS232
Cash Drawers	2 MICROS "Series 2" Cash Drawer Ports
Customer Display	1 MICROS Customer Display Port
Power Output	1 12V Output
Network	10/100/1G RJ45 Ethernet - only when mTablet Installed
Power Supply	Internal Universal 100W Power Supply
Battery	Optional Internal High Capacity Battery

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mWorkstation - Product Overview



mStation/WS5A/PCWS2015/KW270 Differences Summary

The MICROS mTablet and mStation provide a high degree of connectivity and flexibility and are ideal for many use cases, however, care should be taken to ensure customers are provided with the best device for their particular needs and investment protection. The chart below highlights some key distinctions in the current MICROS workstation line

Specification/Feature/Functionality	mStation	WS5A	PCWS2015	KW270
Processor	Freescale i.MX6	Intel Atom N450	Intel Celeron or Core i5	Freescale i.MX27
Architecture CPU Frequency	ARM 1GHz	X86 1.6GHz	X86 1.86 or 2.4GHz	ARM 800MHz
Processor Power RAM	Mid DDR3 800	High DDR2 667	Very High DDR3 1066	Low DDR 333
USB 2.0 Ports	4 on I/O Panel 1 MICROS 12V Powered USB 1 Below MCR Cap for Future Use	4 on I/O Panel 1 Internal for Flash Drive 2 Internal for Options 1 MICROS Powered USB	4 on I/O Panel 1 Internal for Flash Drive 2 Internal for Options 2 MICROS Powered USB	4 on I/O Panel 1 Internal for Flash Drive 1 Internal for Options
USB Control	Power to USB ports can be controlled	USB ports can be independently disabled for security.	USB ports can be independently disabled for security.	NA
SATA Interface	NA	SATA Interface Standard	2 SATA 2 Interface	NA
Expansion Bus	NA	Optional PClexpress Expansion	Mini-PCI and Optional Express Card	NA
Serial Ports	4 Available 1 5/9/12V Powered DB9 RS232 1 12V Powered DB9 RS232 1 RJ45 RS232 1 RJ45 RS422/RS232/ID N	4 Available	4 Available • 2 DB9 RS232 • 1 RJ45 RS232 • 1 RJ45 RS422/232/ID N	3 Available 1 DB9 RS232 1 RJ45 RS232 1 RJ45 RS422/RS23 2 IDN

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mWorkstation - Product Overview



Specification/Feature/Functionality	mStation	WS5A	PCWS2015	KW270	
VGA Output	NA	1 VGA Connector on I/O Panel (provides mirrored display output with Windows CE, dual independent display output with Win32 OS)	1 VGA Connector on I/O Panel	NA	
Factory Recovery	Standard, activated via Power Button Utility Both password protected	Standard, activated via: Hotkey Combo CMOS Menu	Standard, activated via: Hotkey Combo CMOS Menu	NA	
Operating System Support	Windows Embedded Compact 7	Windows Embedded CE 6.0 R3 Windows POSReady 2009 Windows POSReady 7 Windows 7	Microsoft Windows 7 Pro Microsoft POSReady 7 Microsoft POSReady20 09	Windows Embedded CE 6.0	
Operator LED	Blue LED	White LED	Green LED	Green LED	
Application Support	 Simphony 1.6 MR4 RES 5.2 	 9700 E7 RES Simphony 1 Simphony 2 	9700RESSimphony 1Simphony 2	9700Simphony 1	
Integrated Finger Printer Reader	NA	Optional	Optional	NA	
Customer Display	1 Customer Display Port	2 Customer Display Ports	2 Customer Display Ports	Integrated Customer Display External Customer Display Port	
Accessory Power	12V Out	Optional 12V Out	12V Out	9V & 12V Out	
Cash Drawer Ports	2 – Series 2 CD Ports Requires adapter cable for legacy CD support	2 – MICROS CD Ports	2 – MICROS CD Ports	2 – MICROS CD Ports	

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mWorkstation - Product Overview



mTablet & mStation Overview

The MICROS mTablet is an all new tablet designed specifically with POS requirements and harsh hospitality environments in mind. Featuring a 10.1" display with a projected capacitive touch screen, the mTablet utilizes the latest technologies, including a cutting edge dual core processor. A modular design allows the mTablet to feature a secure, integrated magnetic card reader that is capable of hardware encryption of track data, which can be quickly replaced with additional peripheral devices such as scanner/imagers and RFID readers as they become available.

To meet the needs of the hospitality environment, including both indoor and outdoor environments, the mTablet features an extremely wide operating temperature range, a robust casework and hardened touch screen for a superior level of durability and drop protection, and a tight design to withstand the worst spills and weather.

When the mTablet is combined with the mStation, the result is a complete POS client featuring a full range of peripheral and connectivity options. The mStation allows customers to use all of their existing peripheral devices, from printers and cash drawers to customer displays, scanners, scales and more. Additionally, when wireless networks are not present or have gaps in signal coverage the mStation allows customers to take advantage of traditional wired networks, with a 10/100/1G capability.



The mTablet and mStation provide MICROS customers with a tailored solution for their business needs. Unlike consumer products, these devices are tailored for the hospitality and retail environments, providing a clean, secure, integrated device hardened to withstand the day to day abuse common in these environments. As with all MICROS hardware, the mTablet and mStation achieve a set of goals to provide maximum benefit to MICROS customers. These goals include simplified installation, high levels of reliability, unobtrusiveness, and adaptability to various operational requirements.

Installation

Each mTablet ships with the MICROS Client Application Loader (CAL) installed. CAL is key to the highly intuitive installation and configuration of the mTablet, and provides ongoing monitoring and updating of the device.

MICROS CAL on the mTablet allows for device configuration to occur through either a wired network (when installed on an mStation) or wireless. In a wired network, the Client Application Loader takes care of assigning an IP address, and downloads the correct database for the mTablet, so it is quickly up and running. If platform updates are available the CAL will download and install those as well, including new drivers, firmware, even an entire OS update. These capabilities are available in both wired and wireless configurations.

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mWorkstation - Product Overview



Reliable

MICROS products have set high standards for reliability. The mTablet and mStation are designed to continue this trend of long lasting, trouble free operation.

Even though passively cooled, the mTablet and mStation retain MICROS' commitment to spill resistance and wide operating temperature ranges.

The mTablet features full gasketing internal to the assembly, and electrically isolated connectors along its lower edge, making it immune to damage from spills, rain, snow and standing liquids. The mStation is also designed for maximum protection. The power supply is mounted internally, ensuring it is always correctly placed and not subject to the abuse and spills that plague external units and peripheral cables are securely held in place, immune to splash, debris or tampering.

MICROS workstations are installed in a variety of locations, frequently in less than ideal environments. In addition to spill resistance, the mTablet is designed to operate in temperatures ranging from -10° centigrade (14°F) to 60° centigrade (140°F), allowing the device to be used in areas that are not temperature controlled, including patios, poolside, in outdoor concessions and elsewhere. The mTablet is constructed of magnesium alloy and PC-ABS, which provide a robust casework that can withstand years of abuse. The raised front bezel provides an attractive finish to the mTablet, while providing protection for the touchscreen and LCD. This design provides the mTablet with a level of impact resistance that consumer products cannot achieve.

Unobtrusive



The sleek styling of the mStation complements any décor, with an attractive finish, low profile and small footprint. The mStation requires very little counter space, leaving this valuable area open for customer interactions.

The mStation allows the installed mTablet to be oriented in either portrait or landscape modes, with the magnetic card reader positioned at the top, or either the left or right side of the terminal. The self-tightening hinges of the mStation allow for easy angular adjustment.

Special care was taken to make sure the mStation provides a stable base, is easy

to install, and reduces the overall footprint of the device. The raised feet of the mStation ensure spills and standing liquids do not interfere with operations. These feet are also designed to guide data lines from the customer displays, ensuring a clean appearance and proper strain relief.

The mStation also accommodates an optional high capacity battery, allowing the unit to be used completely unplugged from electrical sources. The optional battery also provides power to the I/O panel and integrated peripheral devices, as well as maintaining charge to an installed mTablet. The optional battery is installed in the bottom of the mStation and is charged by the mStation when AC power is connected. An indicator light on the front of the mStation provides



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mWorkstation - Product Overview



charge status of the battery. The battery can be easily accessed by removing the cover plate, or the cover plate can be locked into place with the provided security screw.

The mStation is easy to use, and mounting the mTablet is quick and intuitive. Channels along the side of the mTablet engage rails on the mStation, allowing for fast alignment. Two captive screws below the mating connection of the mStation allow users to lock the mTablet into place if desired.

The mStation also provides mounting locations for modular peripheral integration, including customer display options and soon to be released scanner and printer modules.

Adaptable

The flexibility of the mTablet and mStation is greatly enhanced by its modular design and available options.

Mobile Operation

The integrated wireless capabilities of the mTablet allow customers the flexibility of providing service at any point in their establishment, whether table side, line busting, or in seat service. The rugged design of the mTablet ensures it will withstand the abuse, spills and extreme temperatures found in these environments.

Fixed & Portable Operation

The mStation provides peripheral connectivity for the mTablet, and allows connection to AC power and wired networks. When docked, the mTablet initializes the ports of the mStation – this process can take several seconds. Each mStation is assigned a unique identifier by the MICROS application, allowing the tablet to identify key attributes of the mStation, including cash drawer assignments by employee. The mStation also charges the mTablet when installed. When the mTablet is undocked, the peripheral ports on the mStation lose all functionality. There is no standalone functionality of the mStation.

The mStation can be equipped with an optional high capacity battery. This is the same battery used by the MICROS Keyboard Workstation 270. Unlike the KW270, all mStation units are "battery ready". When equipped with a battery, the mStation can provide full portable operation of an mTablet and modular peripherals, unplugged from AC power.

A special Concessions configuration of the mTablet that removes wireless capabilities and the tablets internal battery is available for situations where a removable, mobile tablet is not necessary. This configuration must be mounted within the mStation at all times, and either AC power or the mStation high capacity battery must be present to provide power to the device. It should be noted that there is **no field upgrade** to add wireless capabilities (802.11 or Bluetooth) to this configuration at a later time.

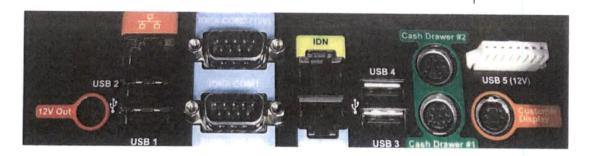
Peripheral Support

The mStation supports a wide variety of peripherals. The I/O panel features 2 MICROS Series 2 cash drawer ports, 10/100/1000BaseT network, 4 USB 2.0, 4 Serial ports (1 - RS-232 DB9 w/5/9/12V software selectable power, 1 RS-232 w/12V power, 1 IDN- switchable, 1 RJ45 serial), 1 MICROS customer display, 1 MICROS Powered USB (12V) ensure the mStation will accommodate the range of devices found in typical hospitality installations.

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The mStation I/O panel includes some significant changes from previous generations of MICROS products. The traditional MICROS cash drawer ports have been replaced with Series 2 ports. These new cash drawer ports are significantly smaller than the previous generation, and occupy less space on the I/O panel and circuit board. The Series 2 ports also feature an expanded number of connectors, allowing for future options on the cash drawer interface. An adapter cable to provide backwards compatibility to existing MICROS cash drawers is available.

In addition, the mStation features a single customer display port. This port is compatible with existing MICROS pole displays. The mStation integrated customer displays will also use this connector, replacing the integrated customer display connector found on the WS5/WS5A and PCWS 2015.

The 12V power output can be used to power external peripheral devices.

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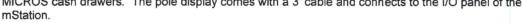
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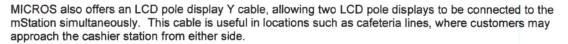


Customer Displays

The MICROS 240x64 customer display has been redesigned to match the look of the mStation. The integrated display mounts directly to the mStation and has a fixed angle. The display connects to the single customer display port at the I/O panel. Alternatively the customer display port can be used for an external 240x64 pole display.

The redesigned pole display matches the styling of the mStation as well. The oval base of the pole features a screw hole pattern that aligns with the mounting locations on MICROS cash drawers. The pole display comes with a 3' cable and connects to the I/O panel of the





At this time there is only a single 18" pole display offering. Additional configurations may be offered in the future. Existing MICROS pole displays are compatible with the mStation.

Depot Maintainable

The fundamental goal of the mTablet and mStation is to provide the most reliable, trouble free operation of any device on the market, while delivering the performance and capability required by current applications. MICROS achieves this goal through the combination of many years of experience, thorough engineering research and design, and the selection of superior components.

The second goal is to reduce the impact of a hardware problem, in both cost and, more importantly, down time. It is a recognized fact that no device is impervious to failure. How quickly and easily a system can be repaired or replaced is an important consideration in the hardware selection process. The following tools allow the mTablet achieve its second goal.

Personality Module

The qualities that make the mTablet easy to install also make it ideal for depot maintenance. While the mTablet features a rugged design, accidents can and do happen. When it is necessary, employees with little or no previous training can replace the device. The mTablet retains MICROS' unique "Personality Module", making swapping mTablets as easy as replacing a flash memory card in a camera.

Each mTablet maintains identifying information on an integrated microSD card. Applications, registry data and offline totals are all stored in the <u>Personality Module</u>. Moving the personality module from one device to another effectively "swaps" the identity of the units.

If an mTablet fails, replacing it can be as simple as unboxing a new device, removing the microSD from the broken unit and installing it in the new one and powering up.

MICROS Factory Recovery

Like previous generations of MICROS hardware, the mTablet has incorporated MICROS Factory Recovery, which allows a technician to easily and quickly restore a device to its factory fresh, out of the box condition.

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The mTablet implements recovery through a password protected utility accessed either by pressing the power button for several seconds, or through a utility in the boot directory of the operating system. After activating factory recovery the mTablet will reload its original Windows Embedded Compact 7 operating system image, drivers, and CAL client, then format the microSD card, making the unit ready to be rotated into a depot inventory. If the integrated encrypting magnetic stripe reader has been set to encrypting mode, factory recovery will reset to the factory default of non-encrypting.

mTablet and mStation Technology

To meet design objectives, MICROS carefully selected each component of the mTablet. Special emphasis was placed on selecting components that are reliable, efficient, and available for many years to come.

The mTablet and mStation are designed around embedded and industrial components; those items identified by their manufacturer for long life cycle, superior specification and tightly controlled quality.

Processor

The latest Freescale processor, the i.MX6, was selected to meet the balance of high performance and low power consumption. This new processor is based on the ARM Coretex A9 architecture. The Freescale i.MX6 family of processors allows for a range of performance options. MICROS has selected a dual core. 1GHz model for use in the mTablet, which provides an excellent balance of performance and battery conservation.

Persistent Storage

The mTablet features two storage locations. A fixed, 4G eMMC flash device on the main board is configured in multiple partitions, and encompasses the "boot" partition as well as the recovery partitions.

The secondary storage device is the microSD flash card. The microSD is a removable file system storage device that was chosen for its reliability and relative low cost per GB. The microSD stores the tablet specific registry hive, as well as applications and off line totals.

The mTablet comes standard with the microSD installed and this device is **REQUIRED** for all MICROS applications. The removable nature of the microSD was also required to support the MICROS identity-swapping, <u>Personality Module</u> feature. The microSD card is located under the magnetic card reader assembly of the mTablet, which is secured by 4 Allen head screws. Each mTablet comes with a tool for removal of these screws as necessary.

Encryption Ready Magnetic Stripe Reader (MSR)

The integrated magnetic stripe reader features a three track titanium head, for excellent wear characteristics, allowing for more than 2 million reads. The head is also capable of providing bank card encryption at the swipe, providing a hardware level security to credit card acceptance.

The MSR encryption utilizes a Triple Data Encryption (TDES or 3DES) algorithm with a Derived Unique Key Per Transaction (DUKPT) key rotation algorithm. These techniques are industry standards to ensure secure encyrption and key management.

The magnetic stripe reader is currently preinjected with a Merchantlink key and shipped in an non-encrypting mode. Additional keys will be available in the future. MICROS applications which support encryption at the swipe will enable encryption when POS operations begin. By encrypting track data at the swipe, the mTablet may



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allow customers to be eligible for reductions in PCI assessment. Customers should discuss the advantages of hardware level encryption with their assessor.

Double Molded Casework

The rear casework of the mTablet is made of highly durable PC-ABS plastic, and is constructed with a unique "double mold" process, which allows for the permanent integration of a soft gasket material around the perimeter of the case. This gasketing process makes the mTablet impervious to spills, rain, snow and even periods of time in standing liquids.

In addition to the gasketing around the casework, the I/O connections of the tablet are gasketed and electrically isolated, ensuring there are no issues if liquids come in contact with this area of the device.

Environmental Regulations

MICROS has been at the forefront of the move to more environmentally friendly design and manufacturing processes. MICROS workstations, including the mTablet and mStation, are designed to meet current and anticipated environmental regulations, including international requirements.

The mTablet and mStation, (as well as the PCWS 2015, WS5A, KW270, WS5, WS4 LX, WS4 and KWS4) meet the strict European Union Reduction of Hazardous Substance (RoHS) initiative, as well as the similar RoHS initiatives implemented by China.

In addition to meeting governmental regulations, MICROS has implemented other, smaller measures to minimize our products environmental impact, including:

- Continuing efforts to reduce power consumption while retaining high performance levels.
 MICROS workstations require on average half the energy of competitive products.
- Maintaining wide operating temperature ranges so that the POS equipment does not dictate room cooling or heating requirements.
- Screensavers with automatic backlight controls to greatly increase the life of LCD backlights.

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Operating System and Platform Software

Windows® Embedded Compact 7

The mTablet comes preinstalled with the latest embedded operating system from Microsoft, Windows Embedded Compact (EC) 7. By taking advantage of the modular nature of this embedded operating system, MICROS is able to tailor an OS image specifically for the mTablet. This ensures the image only contains components and drivers relevant to the hardware, dramatically reducing the OS size and resource requirements.

This strict control of the operating system also allows MICROS to improve the security of the mTablet. By choosing not to include an e-mail client, drive letters, scripting components, and other components often targeted by developers of malware, MICROS has greatly reduced the chances of the mTablet being afflicted by a virus, spyware or other destructive programs.

Utilizing Windows Embedded Compact 7 provides a number of other advantages to the mTablet, including:

Lower Operating System licensing cost – Windows EC7 is dramatically less expensive than Windows 7 or POSReady 7. Not only does this lower initial cost, but keeps future upgrade costs down as well.

Less Maintenance – Since the mTablet operating system is tightly controlled by MICROS, there is no need for end users to monitor and install upgrades and hot fixes issued by Microsoft on a weekly basis.

Registry Hive – Key to the functionality of the Personality Module, EC7 provides the ability to "hive" the registry on the microSD, while the operating system itself resides on the eMMC. This makes the registry portable, enabling the ability to swap it from a failed unit into a new mTablet.

Ability to Upgrade OS Remotely – The small footprint of the Windows EC7 operating system makes it possible for CAL to download and upgrade the operating system on an mTablet in a completely unattended manner.

Long Term Operating System Support – Microsoft has extended support for the EC7 operating system, ensuring at least 10 years of ongoing support.

mTablet Platform Software

The mTablet platform software consists not only of the Windows Embedded Compact 7 operating system, but also several other components that MICROS has developed specifically for the device. All of these components come pre-loaded on the mTablet. These software components include:

- A bootloader tailored for the mTablet, to provide pre-boot functionality such as the MICROS Factory Recovery and Auto Flash Upgrade.
- The OEM Abstraction Layer (OAL), essential software that allows the Windows Embedded Compact 7 OS to control the mTablet hardware.
- Hardware Device Drivers, specific to the unique capabilities of the mTablet and mStation.

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- mTablet API Driver, to allow application programs to easily access the various functions of the mTablet.
- A Diagnostic Utility, providing a resource to test the functionality and validate software versions of both the mTablet and mStation. The Diagnostic Utility provides the ability to test peripheral components such as the magnetic card reader, cash drawers, operator display, customer displays and more.

MICROS Client Application Loader

The mTablet comes pre-loaded with the MICROS Client Application Loader (CAL). The CAL is an intelligent software agent designed to remotely manage the software on the mTablet. The CAL is open in design and can be used with both MICROS and non-MICROS applications. The cost of the CAL is included in the cost of the mTablet.

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Orderable Parts

mTablet/mStation

The mTablet is available in two configurations. Each contains the following:

- mTablet
- 2 MICROS Employee Cards
- · Allen key for MSR Assembly

The mStation is available in one configuration, which contains the following:

- mStation
- 3 MICROS Employee Cards
- US Power Cord
- 8 Cable Ties
- Allen Key for Battery Cover Security Screw

Part Number	Description
400962-002	mTablet, Standard Display, EC7
400962-000*	mTablet, Std Disp. No Battery, No Wireless. * Must Use with mStation
700351-031	AC Wall Adapter for mTablet Charging (not needed when mTablet used with mStation)
400374-020	mStation
700043-900	Battery for mStation

^{*}Please note that this configuration is intended primarily for use as a <u>Concessions</u> terminal. It has no wireless network capability, including 802.11 or Bluetooth. There is no internal battery in this device. Field upgrades of this system **are not possible**.

Customer Displays

Part Number	Description
400380-001	Rear Customer Display (240x64)for mStation
700827-028	Pole Display, 240x64, 18" Pole
300107-030	Y Cable for 240x64 Pole Display

Cash Drawers

The mStation uses a new cash drawer connector which is not compatible with existing MICROS cash drawers, unless an adapter cable is utilized. The cash drawers below are the initial offerings featuring this new connector, additional drawers will be added in the future.

Part Number	Description
400018-226	Cash Drawer, Series 2 Connector, Dual Media Slot, 5 Bill, 5Coin w/Roll Coin Storage, 18"x16.7"x4.17. APG Series 4000
400018-233	Cash Drawer, Series 2 Connector, 13"x17", APG SERIES 4000 JD030-BL1317-B1A
300290-020	Cash Drawer Conversion Cable - Adapts MICROS Series 1 Cash Drawers to Series 2

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Serial Port Conversion Cables

Converts RJ45 Serial Port Connection to RS-232 DB9 Serial Connection

Part Number	Description
300319-102	Cable Assy, IDN to RS232, No Handshaking, RJ45 To DE-9P
300319-103	Cable Assy, RS232 with Handshaking, RJ45 to DE-9P

Warranty Information

Direct End-user Warranty and Maintenance Information

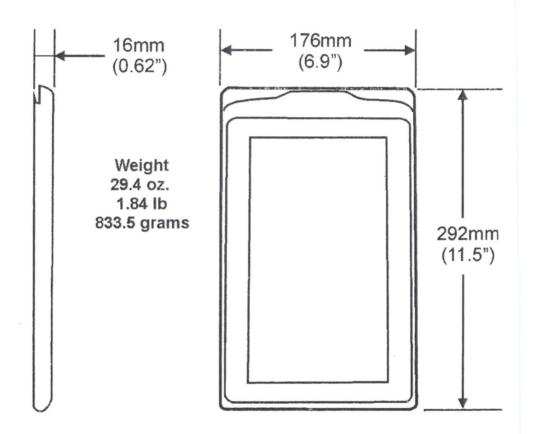
The mTablet and mStation and customer displays are sold with a 1 year, "all zones", on-site, extended hours of coverage (9:00AM to 10:00PM, 365 days) warranty to MICROS Direct End-users.

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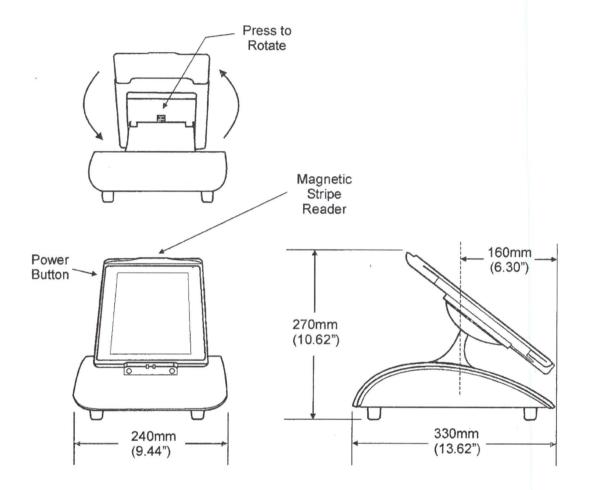
Appendix A - Dimensions



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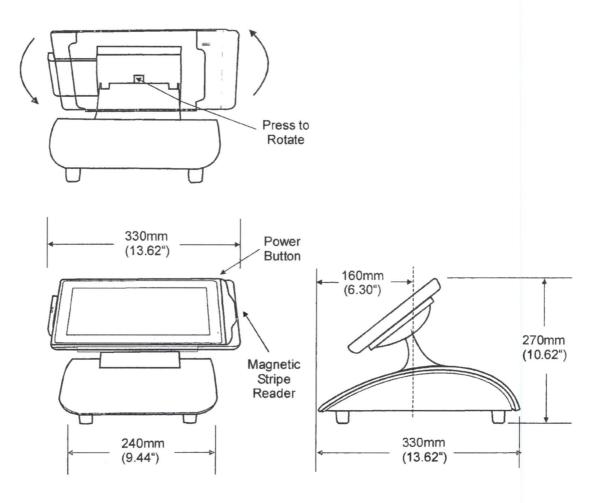




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Case 4:19-cv-07637-JST Document 51 Filed 08/14/20 Page 1 of 31 1 Wendy L. Wilcox, Esq. (SBN 193644) wwilcox@skanewilcox.com 2 Joel P. Glaser, Esq. (SBN 194442) 3 jglaser@skanewilcox.com SKANE WILCOX LLP 4 1055 W. 7th Street, Suite 1700 5 Los Angeles, CA 90017 T: (213) 452-1200 / F: (213) 452-1201 6 Attorneys for Defendants the County of Alameda 7 and Gregory J. Ahern, Sheriff 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 11 ARMIDA RUELAS; DE ANDRE Case No. Case No. 4:19-CV-07637 JST 12 **EUGENE COX; BERT DAVIS;** 13 KATRISH JONES: JOSEPH Hon. Jon S. Tigar 14 MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON; LUIS NUNEZ-NOTICE OF MOTION AND MOTION 15 ROMERO; and all others similarly TO DISMISS PLAINTIFFS' FIRST 16 situated, AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM 17 Plaintiffs, UPON WHICH RELIEF CAN BE 18 **GRANTED**; MEMORANDUM OF v. 19 POINTS AND AUTHORITIES COUNTY OF ALAMEDA; GREGORY 20 J. AHERN, SHERIFF; Aramark [Concurrently served with Request for 21 Correctional Services, LLC, and DOES 1 **Judicial Notice and Declaration of Joel** through 10, Glaser 22 23 **Defendants** FRCP 12(b)(6) 24 Date: October 21, 2020 25 Time: 2:00 p.m. 26 Location: 1301 Clay Street, Oakland, CA 94612, 27 Courtroom 6 28

NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

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TO PLAINTIFFS AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on October 21, 2020 at 2:00 p.m., or as soon thereafter as the matter may be heard in the above-entitled court, located at 1301 Clay Street, Oakland, CA 94612, Courtroom 6 defendants the County of Alameda and Gregory J. Ahern, Sheriff (collectively "Defendants") will and hereby do, move the court pursuant to FRCP 12(b)(6) for an order dismissing the First, Second, Third, Fourth, Fifth, Sixth, Seventh, and Ninth claims asserted against the moving Defendants in Plaintiffs' First Amended Complaint ("FAC") on the grounds the FAC fails to state any claim for which relief may be granted.

The first claim for violation of the Thirteenth Amendment under 42 U.S.C. § 1983 fails to state a claim for which relief may be granted because, pursuant to California law, prisoners in County Jails may be required to perform labor and are not entitled to compensation for labor performed at the jail or for private contractors. Therefore, Plaintiffs have not been deprived of any rights protected under the Constitution.

Moreover, because the FAC fails to allege that Defendant personally deprived any Plaintiff of any rights and Defendants cannot be held liable for violation of 42 U.S.C. § 1983 under the doctrine of respondent superior. Therefore, the FAC fails to state a first claim for which relief may be granted.

The second claim for relief for violation of the Trafficking Victims Protection Act, 18 U.S.C. § 1589 (the "TVPA") fails to state a claim for which relief may be granted because Government entities, and by extension, elected officials, cannot be held liable for violations of the TVPA.

The third claim for relief for violation of the equal protection clause of the Fourteenth Amendment under 42 U.S.C. § 1983 fails to state a claim for which relief may be granted because pursuant to California law, prisoners in County Jails are not employees entitled to compensation for labor performed, and because no prisoners received compensation for their labor, the Women Prisoner Subclass Plaintiffs were

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not treated differently than male inmates due to their sex.

Moreover, because the FAC fails to allege either Defendant personally deprived any Plaintiff of any rights, and Defendants cannot be held liable for violation of 42 U.S.C. § 1983 under the doctrine of respondeat superior, the FAC fails to state a third claim for which relief may be granted.

The fourth claim for relief, for failure to provide due process in violation of the Fourteenth Amendment under 42 U.S.C § 1983 fails to state a claim for which relief may be granted because prisoners in County Jails are not employees entitled to compensation for labor performed, and thus, Plaintiffs were not deprived of any property rights in the form of payment of wages. In addition, under California law individuals have no property rights in wages due and owing and cannot be deprived of said rights.

Moreover, because the FAC fails to allege either Defendant personally deprived any Plaintiff of any rights, and Defendants cannot be held liable for violation of 42 U.S.C. § 1983 under the doctrine of respondent superior, the FAC fails to state a fourth claim for which relief may be granted.

The fifth, sixth and seventh claims for relief for failure to pay wages, failure to pay minimum wages and failure to pay overtime in violation of the California Labor Code fail to state claims for which relief may be granted because prisoners in County Jails are not employees and are not entitled to compensation for labor performed.

All claims by Plaintiffs Joseph Mebrahtu, Monica Mason and Luis Nunez-Romero, are barred due to the fact the court granted Defendants' motions to dismiss these Plaintiffs' claims without leave to amend when it ruled on Defendants' previous motions to dismiss.

Finally, any claim for relief by newly named Plaintiff Scott Abbey ("Abbey") fails because the FAC fails to allege any facts entitling Abbey to relief on any claim against any Defendant.

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This motion is made pursuant to FRCP 12(b)(6) and on the grounds the complaint fails to state any claim upon which relief can be granted.

This motion will be based on this Notice of Motion and Motion, the Memorandum of Points and Authorities filed herewith, the concurrently filed request for judicial notice, the pleadings and papers field herein and upon such other evidence or argument as may be presented to the Court at the time of the hearing.

STATEMENT OF ISSUES TO BE DECIDED

- 1. Whether, pursuant to Federal Rule of Civil Procedure 12(b)(6), the First, Second, Third, Fourth, Fifth, Sixth, Seventh, and Ninth Claims of the First Amended Complaint against Defendants should be dismissed because Plaintiffs have failed to state any claim upon which relief can be granted.
- 2. Whether the First, Second, Third, Fourth, Fifth, Sixth, Seventh, and Ninth Claims of the First Amended Complaint against Defendants should be dismissed with prejudice because amendment would be futile.
- 3. Whether all claims by Plaintiffs Joseph Mebrahtu, Monica Mason and Luis Nunez-Romero are barred based upon the Court's previous order dismissing these claims with prejudice.
- 4. Whether all claims by Plaintiff Scott Abbey should be dismissed for failure to state any claim upon which relief can be granted.

DATED: August 14, 2020 SKANE WILCOX LLP

By: Wandy I. W

Wendy L. Wilcox, Esq. Joel P. Glaser, Esq.

Attorneys for Defendants the County of Alameda and Gregory J. Ahern, Sheriff

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NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

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	L BECAUSE PLAINTIFFS ARE NOT EMPLOYEES AND ARE NOT
	TITLED TO COMPENSATION FOR WORK PERFORMED AT THE SANTA
	A JAIL
	Similarly, a 2020 California case has also held that county jail inmates involved
	in work programs are not employees for purposes of the California Fair
	Employment and Housing Act, Government Code § 12900 et seq. ("FEHA.)
	See, Talley v County of Fresno (Decided July 10, 2020) Cal.Rptr.3d2020
	WL 388809511
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NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

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NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

Case 4:19-cv-07637-JST Document 51 Filed 08/14/20 Page 8 of 31 Cortez v. Purolator Air Filtration Products Co. Martinez v. Combs, Talley v County of Fresno Voris v Lambert **State Statutes** Cal. Penal Code § 2717.2 5 Sections 201, and 218 4 **Rules** Other viii NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

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MEMORANDUM OF POINTS AND AUTHORITIES

I. INTRODUCTION AND SUMMARY OF FIRST AMENDED **COMPLAINT**

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Plaintiffs, current and former inmates at the Santa Rita Jail (the "Jail") in Alameda County, have alleged various Federal and State law Claims against the County of Alameda (the "County") and Gregory J. Ahern, the Sheriff of Alameda County (the "Sheriff"), Aramark Correctional Services, LLC and various doe defendants. The First Amended Complaint ("FAC") alleges the Jail is operated by the Alameda County Sheriff's Department. (FAC ¶21)

The FAC alleges the County contracted with Defendant Aramark Correctional Services, LLC ("Aramark") to allow Aramark to employ Jail inmates in the Jail's kitchen to provide food preparation services, scullery services, and perform general cleaning and sanitation. (FAC ¶¶ 28, 45, 46) The FAC further alleges Aramark sells the food prepared by the inmates to third parties at a profit. (FAC ¶38)

The FAC alleges Plaintiff were "required" to work without compensation under threat by Sheriff's Deputies of longer jail sentences and/or solitary confinement, were not allowed to take time off when they were sick or injured "sometimes caused by the unsafe conditions" in the kitchen. (FAC ¶34) It is also alleged, that except for a brief period when male inmates "went on strike," female inmates were only scheduled to work at night on shorter shifts than their male counterparts. (FAC ¶37)

The FAC further alleges that as a result of the contract between the County and Aramark the County and the Sheriff knew or should have known that Aramark was using inmate labor without compensation and thus the County and the Sheriff knew they were providing uncompensated labor in violation of state and federal law. (FAC ¶39)

Defendants the County and the Sheriff ("Defendants" or "Moving Defendants") hereby move to dismiss all claims for relief in the FAC for failure to

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state a claim against Defendants for which relief may be granted.

II. SUMMARY OF ARGUMENT

1. Plaintiffs' first, third, fourth through seventh and ninth claims for relief are based upon the legally unsupportable theory that, pursuant to Proposition 139 and the California Labor Code, prisoners in the Santa Rita County Jail are employees who are entitled to compensation for labor performed at the jail for a private contractor, Aramark, under a contract between Alameda County and Aramark.

However, neither proposition 139 nor the California Labor Code require county jail inmates to be compensated for labor performed while incarcerated. The overwhelming weight of authority holds just the opposite. Jail inmates are not "employees" entitled to compensation for work performed while incarcerated.

Because Plaintiffs are not employees and are not entitled to compensation, their claims they were forced to perform work for Defendants without pay in violation of the thirteenth amendment's prohibition against involuntary servitude, were denied equal protection of the law under the fourteenth amendment and deprived of property rights without due process in violation of the fourteenth amendment all fail.

For these same reasons, Plaintiffs' fifth, sixth and seventh claims for relief for failure to pay wages, failure to pay minimum wages and failure to pay overtime in violation of the California Labor Code all fail.

- 2. Plaintiffs' second claim for violation of the Trafficking Victims Protection Act, 18 U.S.C. § 1589 (the "TVPA") fails to state a claim for which relief may be granted because Government entities, and by extension, elected officials, cannot be held liable for violations of the TVPA.
- 3. All claims by Plaintiffs Joseph Mebrahtu, Monica Mason and Luis Nunez-Romero, except their claim under the Bane Act are barred due to the fact the court granted Defendants' motions to dismiss these Plaintiffs' claims

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without leave to amend when it ruled on Defendants' previous motions to dismiss.

4. Newly named Plaintiff Scott Abbey's ("Abbey") claims fail because the FAC fails to allege any facts entitling Abbey to any relief on any claim against any Defendant.

For these reasons, and as set forth more fully below, the court should grant this motion and dismiss all of the Claims asserted in Plaintiffs' complaint. In the alternative, the Court should dismiss second and fifth through tenth claims for the reasons stated herein.

III. BASIC PLEADING STANDARDS

A. FRCP Rule 12(b)(6) Standards.

A Rule 12(b)(6) motion is similar to the common law general demurrer—i.e., it tests the legal sufficiency of the claim or claims stated in the complaint. *Strom v. United States* (9th Cir. 2011) 641 F3d 1051, 1067. Rule 12(b) provides that "a party may assert the following defenses by motion: ... failure to state a claim upon which relief can be granted." Fed. R. Civ. P. 12(b)(6). "The purpose of a Rule 12(b)(6) motion is to test the legal sufficiency of the claim or claims stated in the complaint."

To survive a motion to dismiss, a complaint must contain sufficient factual matter, accepted as true, to state a claim to relief that is plausible on its face." *Ashcroft v. Iqbal*, 556 U.S. 662, 678, 129 S. Ct. 1937, 173 L.Ed.2d 868 (2009) (internal quotation marks omitted.) The facially plausible standard "is a screening mechanism designed to weed out cases that do not warrant either discovery or trial." *Atieh v. Riordan* (1st Cir. 2013) 727 F3d 73, 76.

A claim can be dismissed under Rule 12(b)(6) "based on the lack of a cognizable legal theory or the absence of sufficient facts alleged under a cognizable legal theory." *Balistreri v. Pacifica Police Dept.*, 901 F.2d 696, 699 (9th Cir.1990) (citation omitted).

Generally, the court cannot consider material outside the complaint except for

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facts susceptible to judicial notice. *Coto Settlement v. Eisenberg* (9th Cir. 2010) 593 F3d 1031, 1038. A matter that is properly the subject of judicial notice (see FRE 201) may be considered along with the complaint when deciding a motion to dismiss for failure to state a claim. *Skilstaf, Inc. v. CVS Caremark Corp* (9th Cir. 2012) 669 F3d 1005, 1016. The court need not accept as true allegations that contradict facts which may be judicially noticed by the court. *Von Saher v. Norton Simon Museum of Art at Pasadena* (9th Cir. 2010) 592 F3d 954, 960.

ARGUMENT

IV. PLAINTIFFS' FIFTH, SIXTH AND SEVENTH CLAIMS FOR RELIEF FAIL BECAUSE PLAINTIFFS ARE NOT EMPLOYEES AND ARE NOT ENTITLED TO COMPENSATION FOR WORK PERFORMED AT THE SANTA RITA JAIL

Plaintiffs are, or were, inmates in the Santa Rita jail and/or pre-trial detainees (hereinafter collectively referred to as "inmates"). The Santa Rita jail is owned by the County of Alameda ("the County") and operated by the Alameda County Sheriff's office. Inmates work in the jail kitchen providing food preparation services to Aramark Correctional Services, LLC ("Aramark") pursuant to a contract with the County.

Plaintiffs allege they were forced to work in the jail kitchen without compensation and under threat of punishment by Alameda Sheriff's deputies. As result, Plaintiffs allege they were not paid wages owed, were not paid minimum wages and were not paid overtime in violation of various provisions of the California Labor Code.

Plaintiffs assert three claims (the Fifth through Seventh Claims) under the California Labor Code against the Defendants: (1) failure to pay wages in violation of Sections 201, 202, and 218; (2) failure to pay minimum wage in violation of Section 1194; (3) failure to pay overtime premium wages in violation of Section 1194.

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However, Plaintiffs' claims under the Labor Code all fail because neither Proposition 139, the California Penal Code nor the California Labor Code require that inmates of County Jails be compensated for their labor. Further, the great weight of authority in California and across the country holds that prisoners are not employees and are not entitled to compensation for labor performed while incarcerated.

1. Plaintiffs Are Not Entitled To Compensation under Proposition 139

Plaintiffs allege that they are entitled to wages pursuant to Proposition 139. (See FAC. ¶¶ 18-19.) Plaintiffs misapprehend Proposition 139. Proposition 139 authorized public-private inmate labor programs in California and provides that inmates in **state prisons** receive compensation for such labor. (Emphasis supplied)

However, Proposition 139 left it up to it individual municipalities to decide what compensation, if any, to provide for inmates held in county jails. Proposition 139 amended the California Constitution to add Article 14, Section 5, which authorized state prisons and county jails to enter into contracts with private companies to use inmate labor. *See* Prison Inmate Labor - Tax Credit - Initiative Constitutional Amendment and Statute, 1990 Cal. Legis. Serv. Prop. 139 (West); Declaration of Joel Glaser ("Glaser Decl.") Exhibit A. With respect to the payment of wages, however, Proposition 139 only amended Part 3, Title 1 of the Penal Code relating to state prisons. See Cal. Penal Code §§ 2717.1-2717.9.

Specifically, Proposition 139 added a provision to Title 1 of the Penal Code "establishing joint venture programs within state prisons to allow joint venture employers to employ inmates confined in the state prison system for the purpose of producing goods or services." See Cal. Penal Code § 2717.2. Another provision added to Title 1 addressed "the compensation of prisoners engaged in programs pursuant to contract between the Department of Corrections and joint venture employers..." See Cal. Penal Code § 2717.8. That provision, referenced in the FAC (at ¶18, 19), thus applies only to state prisoners. *See Id*.

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Proposition 139 added no corresponding provision for compensation to Title 4 of the Penal Code relating to county jails. Proposition 139 instead provided that inmate work programs between county jails and private businesses are to be governed by local ordinances. See Cal. Const. Art. 14, § 5(a) (providing that "county jail programs" providing for the use of inmate labor "shall be operated and implemented" pursuant to "local ordinances."). It is clear that Proposition 139 was never intended to apply to county jail inmates.

The FAC does not allege, nor are Defendants informed that, Alameda County has adopted any ordinance or other provision in its administrative code requiring that wages be paid to county jail inmates who perform work pursuant to contracts with private companies.

Therefore, Plaintiffs are not entitled to compensation for the work alleged in the FAC, and their wage-based claims fail at the threshold and must be dismissed as a matter of law. See, *Mendaros v. JPMorgan Chase Bank, N.A.*, No. 14-cv-1260-JST, 2014 WL 3373447, at *5 (N.D. Cal. July 9, 2014) (dismissing claim with prejudice where "defect cannot be cured by amendment").

2. In Adopting the Provisions of the Penal Code Pertaining to
Payments to Inmates for Labor Performed While Incarcerated the
California Legislature Enacted A Comprehensive Statutory
Scheme That Supplants Any Common Law Right to Compensation
for County Jail Inmates.

Rather than being subject to common law precepts pertaining to the right to compensation, any right of the Plaintiffs to compensation is regulated by Article 14, Section 5 of the California Constitution, the California Penal Code and local ordinance. More specifically, as inmates of Santa Rita jail, Plaintiffs' conditions of confinement are governed by Title 4 of the California Penal Code regarding County Jails. *See* Cal. Penal Code § 4000 *et seq*.

Article 14, Section 5 of the California Constitution permits county Sheriffs to

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enter into contracts with for-profit organizations for the purpose of conducting programs using inmate labor. *See* Cal. Const. Art. 14, § 5(a). Neither the California Constitution nor Title 4 of the Penal Code provides any rights for inmates of county jails to receive wages for work performed while incarcerated. *See* Cal. Penal Code §§ 4000-4032.

The legislature specifically addressed the availability of compensation of county jail inmates involved in work programs through the use of credits and reductions in sentences in reward for satisfactorily performing labor as assigned by the Sheriff. Cal. Penal Code § 4019(b) provides in relevant part that when a prisoner is confined in or committed to a county jail:

"...for each four-day period in which a prisoner is confined in or committed to a facility as specified in this section, one day shall be deducted from the prisoner's period of confinement unless it appears by the record that the prisoner has refused to satisfactorily perform labor as assigned by the sheriff..."

If the legislature had intended to require that inmates of county jails be otherwise compensated for their labor, it could have done so. Instead the legislature chose to reward inmates for their labor through reductions in sentences.

The Penal Code provides that "the sheriff shall receive, and keep in the county jail, any prisoner committed thereto by process or order issued under the authority of the United States..." *Penal Code § 4005(a)* Such prisoners would necessarily include detainees awaiting immigration proceedings, such as Plaintiff Luis Nunez-Romero. If the legislature had intended to compensate detainees awaiting immigration proceedings for their labor, it could have done so.

The fact that the legislature, in enacting the Penal Code, chose not to require that county jail inmates be paid wages for their labor mitigates against any claim the Plaintiffs herein are entitled to wages for working in the jail's kitchen under the

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contract with Aramark.

Where there is a comprehensive statutory scheme regulating a Plaintiff's claims, any common law remedies are supplanted. The Legislature has repeatedly acted to supplement or replace common law remedies with statutory remedies.

For example, the primary remedy under California law for nonpayment of wages to non-incarcerated individuals is the Labor Code, which contains a complex scheme for compensation of workers, deterrence of abusive employer practices, and enforcement of wage judgments. See, *Voris v Lambert* (2019) 7 Cal. 5th 1141, 1157. However, as demonstrated at part 3, *infra*, the Labor Code does not apply to incarcerated persons.

The California legislature enacted specific provisions of the Penal Code to deal with the issue of payment to inmates performing labor while incarcerated. Moreover, in response to, and in conjunction with, Proposition 139, the legislature enacted a comprehensive statutory scheme that dictates whether inmates of state and county jails must be paid for labor performed while incarcerated, the amount inmates must be paid and the authority and procedures for providing for compensation of county jail inmates.

In light of the comprehensive statutory scheme regulating the payment of inmates for labor performed while incarcerated, common law authority regarding the right to compensation by non-incarcerated workers, such as *Martinez v. Combs*, 49 Cal. 4th 35 (2010), is not relevant or controlling.

3. Plaintiffs Are Not Entitled to Compensation under the Labor Code

The Labor Code also does not create any right to compensation for inmates of state prisons or county jails. While inmates may be protected by *workers' compensation* under the Labor Code this is the *only* section of the Labor Code that addresses inmates. *See* Cal. Lab. Code § 3370 *et seq*. In fact, the Labor Code otherwise conflicts with provisions of the Penal Code, including provisions allowing state prison inmates to be paid below minimum wage and provisions classifying paid

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inmate work as a privilege, rather than a right. *See, e.g.*, Cal. Code Regs., tit. 15, §§ 3040, 3041.2.

Neither the Labor Code nor the Penal Code attempts to reconcile these conflicting standards, meaning that the Labor Code applies exclusively to non-incarcerated persons, and the Penal Code applies exclusively to incarcerated persons, except in the sole context of workers' compensation laws.

In sum, Plaintiffs are not entitled to compensation as a matter of law. This conclusion extinguishes all of their claims under the Labor Code. Indeed, if prison inmates had been entitled to wages under the Labor Code, there would have been no need for Proposition 139 in the first place. And while Proposition 139 amended the Penal Code to provide compensation for state prisoners working in public-private programs, the issue of compensation for county prisoners was left to local authorities.

Plaintiffs cite to no applicable Alameda County ordinance providing for compensation to inmates of the Santa Rita jail because there is none. Thus, Plaintiffs are no more entitled to payment for performing duties in the kitchen than they would be for performing duties elsewhere at the Santa Rita jail. The Fifth, Sixth, and Seventh Claims should therefore be dismissed with prejudice.

Finally, even if the court were to find the Plaintiffs are employees of the County, which they are not, the seventh cause of action for unpaid overtime fails as a matter of law. The regulations enacted under the Labor Code exempt employees of the State or any political subdivision thereof, including any city, county, or special district from the overtime requirements of the Labor Code. See, 8 CCR § 11010.

4. The Great Weight of Authority is That Prisoners Are Not Employees Entitled to Compensation

In *Hale v Arizona*, 993 F.2d 1387 (9th Cir. 1993) the Ninth Circuit, in an *en banc* decision, held that there is no employer-employee relationship between prisoners in a state prison and the state under the FLSA. In holding that the FLSA

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does not apply to inmates performing mandatory hard labor, the court concluded that "the economic reality of the relationship between the worker and the entity for which work was performed lies in the relationship between prison and prisoner. It is penological, not pecuniary." *Id.* at 1395.

The Court in *Hale* was influenced by the fact that no other circuit has construed the relationship between a prison and a prisoner who works on a program structured by the prison as an employment relationship within the FLSA. Citing *Vanskike*; *Alexander v. SARA, Inc.*, 559 F. Supp. 42 (M.D.La.) (Labor in plasmapheresis program run by outside company belonged to institution), aff'd, 721 F.2d 149 (5th Cir. 1983); *Sims v. Parke Davis Co.*, 334 F. Supp. 774 (E.D.Mich.) (work assignments up to prison), aff'd, 453 F.2d 1259 (6th Cir. 1971), cert. denied, 405 U.S. 978, 92 S.Ct. 1196, 31 L.Ed.2d 254 (1972); *Hudgins v. Hart*, 323 F.Supp. 898 (E.D.La. 1971) (prisoner worked at plasma treatment center pursuant to sentence to hard labor); *Watson v. Graves*, 909 F.2d 1549 (5th Cir. 1990).

The Court in *Hale* noted this result follows because, as the Fifth Circuit put it in *Watson*, *supra*, "the inmates' labor d[oes] indeed `belong to the institution' and c[an] be disposed of legitimately within the discretion of the correction facility or agency." 909 F.2d at 1555. See, *Villarreal v. Woodman*, 113 F.3d 202, 204 (11th Cir. 1997)(finding that pretrial detainees working under the direction of corrections officers for the benefit of the correctional facility were not employees under the FLSA).

As noted in Hale, in *Vanskike, supra*, the Seventh Circuit stated: "Prisoners are essentially taken out of the national economy upon incarceration. When they are assigned work within the prison for

purposes of training and rehabilitation, they have not contracted with the government to become its employees. Rather, they are working as

part of their sentences of incarceration."

974 F.2d at 812; See, *Burleson v. California*, 83 F.3d 311, 313-315 (9th Cir. 1996)

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(prison inmates are not employees entitled to minimum wage under FLSA.)

Similarly, the Fourth Circuit has held that detainees in prisons operated by the Federal Bureau of Prisons (the "BOP") are not employees within the meaning of the FLSA. In *Matherly v. Andrews* (2017) 859 F.3d 264, the Plaintiff, a detainee in a state prison who was civilly committed under the Adam Walsh Child Protection and Safety Act of 2006, 18 U.S.C.S. § 4248, brought an action for unpaid minimum wages against the BOP based upon his employment in a prison work program that only paid him 29 cents per hour.

The Court ruled the FLSA does not apply to inmates participating in prison work programs. That decision is based on three considerations: (1) the inmates work not to turn profits for their supposed employer, but rather as a means of rehabilitation and job training; (2) there is no bargained-for exchange of labor for mutual economic gain that occurs in a true employer-employee relationship; and (3) the FLSA's purpose to allow for workers to maintain a standard of living necessary for health, efficiency, and general well-being counseled against applying the FLSA to inmates because while incarcerated they have no such needs since the prison provides them with the food, shelter, and clothing that employees would have to purchase in a true employment situation.

The same reasoning applies here. The Plaintiffs work not to turn profits for the Jail and the Sheriff but rather as a means of rehabilitation and job training. There is no bargained-for exchange of labor for mutual economic gain that occurs in a true employer-employee relationship, and because the Jail provides them with the food, shelter, and clothing that employees would have to purchase in a true employment situation, there is no need to pay them minimum wage to maintain a standard of living necessary for health, efficiency, and general well-being that the minimum wage is intended to provide.

Similarly, a 2020 California case has also held that county jail inmates involved in work programs are not employees for purposes of the California Fair

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Employment and Housing Act, Government Code § 12900 et seq. ("FEHA.) See, *Talley v County of Fresno (Decided July 10, 2020)* --- Cal.Rptr.3d ----2020 WL 3888095.

Defendants have not found a single case which holds that county jail inmates involved in jail work programs, whether public or in partnership with private enterprise, are employees and/or are entitled to wages for labor performed. Because there is no statute, ordinance or case that holds that the County of Alameda agreed to be subjected to the California Labor Code wage and hour requirements, this Court should respect the separation of powers and prior precedent and find that plaintiffs are not entitled to wages under the Labor Code.

V. PLAINTIFFS' CLAIMS UNDER TVPA FAIL BECAUSE THE TVPA IS NOT APPLICABLE TO PUBLIC ENTITIES

"An individual who is a victim of a violation of the TVPA may bring a civil action against the perpetrator (or whoever knowingly benefits, financially or by receiving anything of value from participation in a venture which that person knew or should have known has engaged in an act ..." that violates the TVPA. 18 U.S.C. § 1595.

Courts have squarely held that "neither the term 'perpetrator' nor the term 'whoever' [under § 1595(a), authorizing a civil remedy for violations of § 1589] extend to governmental entities under the TVPA." *Nunag-Tanedo v. E. Baton Rouge Parish Sch. Bd.*, No. SACV 10-1172-AG (MLGx), 2011 WL 13153190, at *12 (C.D. Cal. May 12, 2011) (("[N]either the term 'perpetrator' nor the term 'whoever' [under § 1595(a), authorizing a civil remedy for violations of § 1589] extend to governmental entities under the TVPA, and therefore Defendant cannot be liable for violations of the TVPA.").

As noted, § 1595 allows liability against "whoever knowingly benefits" from a TVPA violation. Under the Dictionary Act, "the words 'person' and 'whoever' include corporations, companies, associations, firms, partnerships, societies, and

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joint stock companies, as well as individuals." 1 U.S.C. § 1. Notably absent from this list is any form of a governmental entity.

Regarding this conspicuous absence, the Supreme Court has held that "[i]n common usage [the term person] does not include the sovereign, and statutes employing it will ordinarily not be construed to do so. Congress made express provision, R.S. s 1, 1 U.S.C. s 1, 1 U.S.C.A. s 1, for the term to extend to partnerships and corporations, and in s 13 of the Act itself for it to extend to associations. The absence of any comparable provision extending the term to sovereign governments implies that Congress did not desire the term to extend to them."

United States v. Mine Workers, 330 U.S. 258, 275 (1947). ("Mine Workers")

Shortly after *Mine Workers*, "Congress appeared to ratify this position when it amended the Act by expanding the term 'person' to include numerous other legal entities but declining to include sovereign entities as 'persons." *United States v. Bly*, 510 F.3d 453, 464–65 (2007) (Motz, J. Concurring) (citing Act of June 25, 1948, 80 Cong. ch. 645, sec. 6, 62 Stat. 859; *Ankenbrandt v. Richards*, 504 U.S. 689, 700–01 (1992) (presuming that, when Congress makes other substantive changes to a statute but does not indicate 465 an intent to change a prior construction, Congress has adopted that interpretation).

Thus, "in common usage, the term 'person' [or whoever] does not include the sovereign, and statutes employing the word are ordinarily construed to exclude it." *Int'l Primate Protection League v. Administrators of Tulane*, 500 U.S. 72, 82–83 (1991) (internal brackets omitted).

Given Congress's omission of governmental entities when defining "person" and "whoever" in 1 U.S.C. § 1, and given Congress's addition of governmental entities to its definition of "person" in other statutes, Congress has shown that governmental entities are generally excluded from the definition of "persons" or "whoever." See 1 U.S.C. § 1. And since the TVPA extends civil liability to

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"whoever knowingly benefits ...," liability against a governmental entity is precluded under the TVPA unless Congress has shown an intent to allow such liability.

But no such intent can be found in the TVPA. Most notably, Congress provided no separate definitions for "person," or "whoever" anywhere in the TVPA that shows an extension of liability to governmental entities. Further, the statutes and legislative history of the TVPA appear to lack any other persuasive evidence that Congress intended to extend liability to governmental entities. Thus, the term "whoever" in § 1595 does not include governmental entities.

Similarly, although "perpetrator" liability under § 1595 does allow civil liability against entities, a review of the TVPA makes it clear that "perpetrator" liability does not extend to governmental entities. Notably, the term "whoever" is consistently used in TVPA statutes outside of § 1595 when explaining who may be liable for TVPA violations. E.g., § 1589 ("Whoever knowingly provides or obtains the labor or services of a person ..."); § 1590 (Whoever knowingly recruits, harbors, transports, provides, or obtains ..."); § 1591 (similar). And as stated, "whoever" does not include governmental entities under the TVPA.

Nothing in the TVPA shows that Congress used the term "perpetrator" in § 1595 to extend liability to governmental entities, especially when Congress could have clearly stated its intent to do so. Thus, although § 1595 states that it allows liability against a "perpetrator," the various TVPA statutes confirm that liability for violation of the TVPA still does not extend to governmental entities.

VI. PLAINTIFFS' FIRST, THIRD AND FOURTH CLAIMS FOR RELIEF FAIL BECAUSE THEY ARE BASED UPON THE UNTENABLE CLAIM THAT PLAINTIFFS ARE ENTITLED TO COMPENSATION FOR WORK PERFORMED AT THE JAIL

1. Plaintiffs' first claim for violation of 42 U.S.C. §1983 is based upon the claim they were forced to perform work for defendants without pay. Regardless of whether they are pre-trial detainees, have been convicted or are being detained on

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immigration holds, Plaintiffs are not employees and have no right to compensation for work performed in the Santa Rita Jail. This is so whether the work is performed as part of Plaintiffs' usual housekeeping duties or in the jail's kitchen under the contract with Aramark.

As inmates of Santa Rita jail, whether a pre-trial detainee or sentenced convict, Plaintiffs' conditions of confinement are governed by Title 4 of the California Penal Code regarding County Jails. *See* Cal. Penal Code § 4000 *et seq*.

Article 14, Section 5 of the California Constitution permits county Sheriffs to enter into contracts with for-profit organizations for the purpose of conducting programs using inmate labor. *See* Cal. Const. Art. 14, § 5(a). Neither the California Constitution nor Title 4 of the Penal Code provides any rights for inmates of county jails to receive wages for work performed while incarcerated. *See* Cal. Penal Code §§ 4000-4032.

The legislature specifically addressed the availability of compensation of county jail inmates involved in work programs through the use of credits and reductions in sentences in reward for satisfactorily performing labor as assigned by the Sheriff. Cal. Penal Code § 4019(b) provides in relevant part that when a prisoner is confined in or committed to a county jail:

"...for each four-day period in which a prisoner is confined in or committed to a facility as specified in this section, one day shall be deducted from the prisoner's period of confinement unless it appears by the record that the prisoner has refused to satisfactorily perform labor as assigned by the sheriff..."

If the legislature had intended to require that inmates of county jails be otherwise compensated for their labor, it could have done so. Instead the legislature chose to reward inmates for their labor through reductions in sentences.

The same reasoning applies to detainees awaiting immigration proceedings.

The Penal Code provides that "the sheriff shall receive, and keep in the county jail,

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any prisoner committed thereto by process or order issued under the authority of the United States..." *Penal Code § 4005(a)* Such prisoners would necessarily include detainees awaiting immigration proceedings, such as Plaintiff Luis Nunez-Romero. If the legislature had intended to compensate detainees awaiting immigration proceedings for their labor, it could have done so.

The fact that the legislature, in enacting both the Labor Code and the Penal Code, chose not to require that county jail inmates be paid wages for their labor mitigates against any claim the Plaintiffs herein are entitled to wages for working in the jail's kitchen under the contract with Aramark. Because the Plaintiffs are not entitled to compensation for their labor, like convicted criminals, not protected by the Thirteenth Amendment against involuntary servitude. See, See *Draper v. Rhay*, 315 F.2d 193, 197 (9th Cir.), cert. denied, 375 U.S. 915, 84 S.Ct. 214, 11 L.Ed.2d 153 (1963). As such, Defendants cannot be liable for violation of the Thirteenth Amendment under 42 U.S.C. § 1983.

2. Plaintiffs' third claim for violation of 42 U.S.C. §1983 is based upon the claim Female plaintiffs and members of the putative subclass have been denied the opportunity to earn compensation and out of cell time based solely on their sex.

Addressing the claim regarding the purported denial of out of cell time, the FAC contains only the conclusory allegation that "[o]ut of cell time is crucial for the physical and mental health of prisoners." (FAC ¶82) However, the FAC does not allege the denial of out of cell time caused any plaintiff any injury. Under 42 U.S.C. § 1983 a plaintiff must suffer some cognizable injury due to the deprivation of some constitutional right. Theoretical injury or the generic statement that out of cell time is crucial for the physical and mental health of prisoners is wholly insufficient to state a claim for injury under 42 U.S.C. § 1983.

The claims that Female plaintiffs and members of the putative subclass have been denied compensation based upon sex also fails as a matter of law. Plaintiffs specially allege that *no* inmates were compensated for work performed in the jail

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kitchen. Therefore, the Female plaintiffs and members of the putative subclass were treated exactly the same as the male inmates who also received no compensation.

The theoretical claim that Female plaintiffs and members of the putative subclass were deprived of the opportunity to earn compensation they *should* have been paid fails to state a plausible claim for relief. Under 42 U.S.C. § 1983 a plaintiff must suffer some cognizable injury due to the deprivation of some constitutional right. The alleged deprivation of the right to earn compensation that was never paid alleges at best a theoretical or inchoate injury and fails to state a claim under 42 U.S.C. § 1983.

Moreover, in order to state a claim for relief under 42 U.S.C. § 1983, Plaintiffs must allege each defendant personally participated in the deprivation of his or her constitutional rights. *Ashcroft v. Iqbal* (2009) 556 U.S. 662 at 673; *Colwell v. Bannister* (9th Cir. 2014) 763 F.3d 1060, 1070. Liability may not be imposed on supervisory personnel for the acts or omissions of their subordinates under a theory of respondeat superior. *Jones v. Williams*, (9th Cir. 2002) 297 F.3d 930, 934 ("There is no respondeat superior liability under section 1983.") See, also *Williams v. Navarro* (S.D. California, 2020) 2020 WL 619625.

No facts are alleged that suggest the Sherriff personally deprived any Plaintiff of any out of cell time or personally deprived any Plaintiff of any right to earn compensation. Additionally, liability may not be imposed against the County under a theory of respondeat superior. Therefore, the claim for liability based upon the purported deprivation of out of cell time or the right to earn compensation in the jail kitchen fails as a matter of law.

3. Plaintiff's fourth claim for violation of 42 U.S.C. §1983 is based upon the claim Plaintiffs were entitled to wages for work performed in the jail kitchen. From this premise the Plaintiffs reason they had a property right in the payment of wages and the denial of their right to these wages without an opportunity to be heard is a violation of their right to due process under the Fourteenth Amendment.

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However, this claim fails as a matter of law. First of all, as demonstrated above, Plaintiffs are not entitled to wages for work performed in the jail kitchen. Secondly, even if Plaintiffs were entitled to wages for work performed in the jail kitchen, which they are not, under California law unpaid wages do not constitute property to which an individual holds an immediate right of possession. *Voris v Lambert* (2019) 7 Cal. 5th 1141, 1154. ("*Voris*")

In *Voris* the Plaintiff alleged the failure of his employer to pay him wages due under the Labor Code constituted conversion. After an extensive analysis of the scope and purpose of the Legislature's enactment Labor Code and the historical roots of the tort of conversion, the California Supreme Court held that an employee has no property rights in unpaid wages.

In *Voris*, the Court distinguished its ruling in *Cortez v. Purolator Air Filtration Products Co.* (2000) 23 Cal.4th 163 ("*Cortez*") from the case at bar, noting that *Cortez*' holding that a Plaintiff has a property right in wrongfully withheld wage applied only in the context of seeking a restitutionary remedy under California's Unfair Competition Law.¹ *Voris* at 154.

In addition, as with Plaintiffs' other claims under 42 U.S.C. §1983, Plaintiffs must show the Defendants personally participated in the deprivation of his or her constitutional rights. Plaintiffs have alleged no facts showing the Sheriff personally deprived them of any wages. Liability may not be imposed on supervisory personnel for the acts or omissions of their subordinates under a theory of respondeat superior. *Jones v. Williams*; *supra, Williams v. Navarro*, *supra*. Nor can the County be held liable for a violation of 42 U.S.C. §1983 under a theory of respondeat superior. *Id*

Therefore, Plaintiffs' claim for liability based upon the purported deprivation of the right to due process fails as a matter of law.

///

¹ Business & Professions Code § 17200, et seq.

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VI. THE FIRST AMENDED COMPLAINT FAILS TO STATE ANY CLAIM FOR RELEIF ON BEHALF OF PLAINTIFFS JOSEPH MEBRAHTU, MONICA MASON AND LUIS NUNEZ-ROMERO BECAUSE THE COURT DISMISSED ALL CLAIMS BY THESE PLAINTIFFS WITHOUT LEAVE TO AMEND

In ruling on Defendants' previous motions to dismiss the court dismissed "Plaintiffs Mebrahtu, Mason, and Nunez-Romero's Labor Code and Bane Act claims against County Defendants." The Court stated "With the exception of the Labor Code claim for failure to pay convicted Plaintiffs wages as well as Mebrahtu, Mason, and Nunez-Romero's, all dismissals are with leave to amend." The clear import of this language is that all claims by Mebrahtu, Mason, and Nunez-Romero were dismissed without leave to amend.

Despite this ruling, Mebrahtu, Mason, and Nunez-Romero are all identified as Plaintiffs throughout the FAC. Mebrahtu is identified as a Plaintiff at ¶¶ 1, 21, 29, 44 and 72. Mason is identified as a Plaintiff in the first through third claims of the FAC. Nunez-Romero is identified as a Plaintiff at ¶¶ 1, 21, 29, 47 and 53 and is identified as a Plaintiff in the first and second claims for relief. As the claims by these Plaintiffs have been dismissed, the FAC fails to state a claim for relief on their behalf.

VII. THE FAC FAILS TO STATE ANY CLAIM BY NEWLY NAMED PLAINTIFF SCOTT ABBEY FOR WHICH RELEIF MAY BE GRANTED

The FAC alleges newly named Plaintiff Scott Abbey ("Abbey") is or was incarcerated at the Santa Rita Jail (FAC ¶ 21), that Abbey worked for Aramark in the jail kitchen as a pre-trial detainee from approximately November 2018 to March 2019 (FAC ¶48) and that Abbey seeks to represent the subclass of all persons incarcerated in Santa Rita Jail who perform or performed services for Aramark as pretrial detainees (FAC ¶51)

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After this the FAC is silent as to any facts pertaining to Abbey or to any claim for relief asserted by Abbey against any Defendant. There are no facts alleged anywhere in the FAC that would entitle Abbey to any relief. Therefore, the FAC fails to state any claim for relief on behalf of Scott Abbey.

VIII. CONCLUSION

The California Constitution and the California Penal Code provide a comprehensive statutory scheme governing the right of county jail inmates to paid for labor performed while incarcerated. Except for workers compensation laws, the provisions of the California Labor Code are inapplicable to incarcerated inmates. The scheme applies to routine housekeeping chores as well as labor performed pursuant to a contract between a municipality and a private for profit company employing jail inmates.

Under this comprehensive statutory scheme inmates in county jails, regardless of whether they are convicted criminals, pre-trial detainees or detainees who are awaiting immigration proceedings are not entitled to be paid wages for their labor unless there is a municipal ordinance that provides for such payment.

Contrary to the claims made in the FAC, Proposition 139 does not authorize, or require, inmates in county jails to be paid wages. Nor does Proposition 139 authorize, or require, inmates in county jails be paid wages for labor performed pursuant to a contract between a municipality and a private for profit company employing jail inmates.

The great weight of authority in this country provides that inmates incarcerated in city, county state and federal institutions are not employees, and in the absence of a statute or regulation providing therefore, are not entitled to be paid wages.

||\\\

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For the foregoing reasons, the complaint should be dismissed as against the County of Alameda and Gregory J. Ahern, Sheriff, without leave to amend.

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DATED: August 14, 2020 SKANE WILCOX LLP

By: Wendy L. Wilcox, Esq.

Joel P. Glaser, Esq.

Attorneys for Defendants the County of Alameda and Gregory J. Ahern, Sheriff

NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

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CERTIFCATE OF SERVICE

I, Gonzalo Valverde, am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 1055 West 7th Street, Suite 1700, Los Angeles, CA 90017.

On August 14, 2020, the following document(s) is(are) being filed electronically and will be available for viewing and downloading from the Court's CM/ECF system:

NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

The Notice of Electronic Case Filing automatically generated by the system and sent to all parties entitled to service under the Federal Rules of Civil Procedure and the Local Rules of the Northern District of California who have consented to electronic service shall constitute service of the filed document to all such parties. Parties who have not consented to electronic service are entitled to receive a paper copy of any electronically filed pleading or other documents. Any such parties will be served by regular mail.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed on August 14, 2020, at Los Angeles, California.

/s/ Gonzalo Valverde Gonzalo Valverde

NOTICE OF MOTION AND MOTION TO DISMISS PLAINTIFFS' FIRST AMENDED COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; MEMORANDUM OF POINTS AND AUTHORITIES

Case 4:19-cv-07637-JST Document 51-1 Filed 08/14/20 Page 1 of 9 1 Wendy L. Wilcox, Esq. (SBN 193644) wwilcox@skanewilcox.com 2 Joel P. Glaser, Esq. (SBN 194442) 3 jglaser@skanewilcox.com SKANE WILCOX LLP 4 1055 W. 7th Street, Suite 1700 5 Los Angeles, CA 90017 T: (213) 452-1200 / F: (213) 452-1201 6 Attorneys for Defendants the County of Alameda 7 and Gregory J. Ahern, Sheriff 8 UNITED STATES DISTRICT COURT 9 10 NORTHERN DISTRICT OF CALIFORNIA 11 Case No. Case No. 4:19-CV-07637 JST 12 ARMIDA RUELAS; DE ANDRE EUGENE COX; BERT DAVIS; Hon. Jon S. Tigar, judge 13 KATRISH JONES; JOSEPH 14 MEBRAHTU; DAHRYL REYNOLDS; REQUEST FOR JUDICIAL NOTICE MONICA MASON; LUIS NUNEZ-IN SUPPORT OF DEFENDANTS' 15 ROMERO; and all others similarly MOTION TO DISMISS PLAINTIFFS' 16 situated, COMPLAINT FOR FAILURE TO 17 STATE A CLAIM UPON WHICH Plaintiffs, **RELIEF CAN BE GRANTED:** 18 DECLARATION OF JOEL GLASER v. 19 COUNTY OF ALAMEDA; GREGORY FRE 201 20 J. AHERN, SHERIFF; ARAMARK 21 CORRECTIONAL SERVICES, LLC, Date: October 21, 2020 and DOES 1 through 10, Time: 2:00 p.m. 22 Location: 1301 Clay Street, 23 Oakland, CA 94612, **Defendants** 24 Courtroom 6 25 26 Defendants the County of Alameda and Gregory J. Ahern, Sheriff (the 27 "County Defendants") requests that the Court, pursuant to Federal Rule of Evidence 28 201, take judicial notice of Proposition 139, 1990 Cal. Legis. Serv. Prop. 139 REQUEST FOR JUDICIAL NOTICE

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(West), which California voters approved in the 1990 General Election. See Exhibit A to the Declaration of Joel Glaser.

A court may take judicial notice of facts that are "not subject to reasonable dispute" when they "can be accurately and readily determined from sources whose accuracy cannot reasonably be questioned." Fed. R. Evid. 201(b). Judicial notice may be taken at any stage of a proceeding. Fed. R. Evid. 201(d).

Exhibit A, which reflects the text of Proposition 139, is subject to judicial notice because Proposition 139 is a matter of public record from a reliable source and is referenced in the Complaint (see Compl. ¶¶ 17-18). See, e.g., Svenson v. Google Inc., 65 F. Supp. 3d 717, 723 (N.D. Cal. 2014) (granting request for judicial notice of a California budget proposition); see also Rosen v. Uber Tech., Inc., 164 F. Supp. 3d 1165, 1170–71 (N.D. Cal. 2016) (taking judicial notice of twenty-five documents, "all of which are either referenced in the complaint" or publically available); Natural Res. Def. Council v. McCarthy, Case No. 16-cv-02184-JST, 2016 WL 6520170, at *2 (N.D. Cal. Nov. 3, 2016) (taking judicial notice of various documents because "they are matters of public record available on a governmental agency website . . . , and they therefore are capable of ready and accurate determination and are from a reliable source.").

For the foregoing reasons, the County Defendants respectfully request that the Court take judicial notice of Proposition 139.

DATED: August 14, 2020 SKANE WILCOX LLP

By:

Wendy L. Wilcox, Esq.

Joel F. Glaser, Esq.

Attorneys for Defendants the County of Alameda and Gregory J. Ahern, Sheriff

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I Joel Glaser Declare:

DECLARATION OF JOEL GLASER

REQUEST FOR JUDICIAL NOTICE

1. I am an attorney licensed to practice in the State of California and am admitted to practice before this Court. I am a partner in the law firm of Covington & Burling LLP, counsel for Defendants the County of Alameda and Gregory J. Ahern, Sheriff (the "County Defendants") If called upon to testify as to the facts set forth herein, I could and would testify competently thereto.

2. **Exhibit A** to this declaration is a true and correct copy of California Proposition 139, 1990 Cal. Legis. Serv. Prop. 139 (West).

I declare under the penalty of perjury under the laws of the United States that the foregoing is true and correct. This declaration is executed this 13th day of August, 2020, in Los Angeles, California.

Joel Glaser

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EXHIBIT A

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1990 Cal. Legis. Serv. Prop. 139 (West)

CALIFORNIA LEGISLATIVE SERVICE 1989-90

1990 PROPOSITIONS

Additions are indicated by <<+ Text of addition +>>; Deletions

by <<- *** ->>. Changes in tables are made but not highlighted.

Vetoed provisions within tabular material are not displayed.

PROPOSITION 139

PRISON INMATE LABOR—TAX CREDIT—INITIATIVE CONSTITUTIONAL AMENDMENT AND STATUTE

[Approved by the electors November 6, 1990.]

This initiative measure is submitted to the people in accordance with the provisions of Article II, Section 8 of the Constitution. This initiative measure expressly amends the Constitution by repealing and adding sections thereto, and adds sections to the Government Code, the Penal Code, and the Revenue and Taxation Code.

PROPOSED LAW

PRISON INMATE LABOR INITIATIVE OF 1990

- Section 1. This measure shall be known as the "Prison Inmate Labor Initiative of 1990."
- Section 2. The people of the State of California find and declare that inmates who are confined in state prison or county jails should work as hard as the taxpayers who provide for their upkeep, and that those inmates may be required to perform work and services in order to do all of the following:
- (a) Reimburse the State of California or counties for a portion of the costs associated with their incarceration.
- (b) Provide restitution and compensation to the victims of crime.
- (c) Encourage and maintain safety in prison and jail operations.
- (d) Support their families to the extent possible.
- (e) Learn skills which may be used upon their return to free society.
- (f) Assist in their own rehabilitation in order to become responsible law-abiding citizens upon their release from state prison or local jail.

CA CONST Art. 14, § 5 Repealed

Section 3. Section 5 of Article XIV of the State Constitution is repealed. <<-* * *->>

CA CONST Art. 14, § 5

Section 4. Section 5 is added to Article XIV of the State Constitution to read:

<<+SECTION 5. (a) The Director of Corrections or any county Sheriff or other local government official charged with jail operations, may enter into contracts with public entities, nonprofit or for profit organizations, entities, or businesses for the purpose of conducting programs which use inmate labor. Such programs shall be operated and implemented pursuant to statutes enacted by or in accordance with the provisions of the Prison Inmate Labor Initiative of 1990, and by rules and regulations prescribed by the Director of Corrections and, for county jail programs, by local ordinances.+>>

<<+(b) No contract shall be executed with an employer that will initiate employment by inmates in the same job classification as non-inmate employees of the same employer who are on strike, as defined in Section 1132.6 of the Labor Code, as it reads on

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January 1, 1990, or who are subject to lockout, as defined in Section 1132.8 of the Labor Code, as it reads on January 1, 1990. Total daily hours worked by inmates employed in the same job classification as non-inmate employees of the same employer who are on strike, as defined in Section 1132.6 of the Labor Code, as it reads on January 1, 1990, or who are subject to lockout, as defined in Section 1132.8 of the Labor Code, as it reads on January 1, 1990, shall not exceed, for the duration of the strike, the average daily hours worked for the preceding six months, or if the program has been in operation for less than six months, the average for the period of operation.+>>

<<+(c) Nothing in this section shall be interpreted as creating a right of inmates to work.+>>

CA PENAL Prec. § 2717.1

Section 5. Article 1.5 is added to Chapter 5 of Title 1 of Part 3 of the Penal Code to read:

<<+Article 1.5.+>>

<<+Joint Venture Program+>>

CA PENAL § 2717.1

<<+2717.1. Definitions.+>>

<<+(a) For the purposes of this section, joint venture program means a contract entered into between the Director of Corrections and any public entity, nonprofit or for profit entity, organization, or business for the purpose of employing inmate labor.+>> <<+(b) Joint venture employer means any public entity, nonprofit or for profit entity, organization, or business which contracts with the Director of Corrections for the purpose of employing inmate labor.+>>

CA PENAL § 2717.2

<<+2717.2. The Director of Corrections shall establish joint venture programs within state prison facilities to allow joint venture employers to employ inmates confined in the state prison system for the purpose of producing goods or services. While recognizing the constraints of operating within the prison system, such programs will be patterned after operations outside of prison so as to provide inmates with the skills and work habits necessary to become productive members of society upon their release from state prison.+>>

CA PENAL § 2717.3

<<+2717.3. The Director of Corrections shall prescribe by rules and regulations provisions governing the operation and implementation of joint venture programs, which shall be in furtherance of the findings and declarations in the Prison Inmate Labor Initiative of 1990.+>>

CA PENAL § 2717.4

<<+2717.4. There is hereby established within the Department of Corrections the Joint Venture Policy Advisory Board. The Joint Venture Policy Advisory Board shall consist of the Director of Corrections, who shall serve as chair, the Director of the Employment Development Department, and five members, to be appointed by the Governor, three of whom shall be public members, one of whom shall represent organized labor and one of whom shall represent industry. Five members shall constitute a quorum and a vote of the majority of the members in office shall be necessary for the transaction of the business of the board. Appointed members of the board shall be compensated at the rate of two hundred dollars (\$200) for each day while on official business of the board and shall be reimbursed for necessary expenses. The initial terms of the members appointed by the Governor shall be for one year (one member), two years (two members), three years (one member), and four years (one member), as determined by the Governor. After the initial term, all members shall serve for four years.+>>>

<<+(b) The board shall advise the Director of Corrections of policies that further the purposes of the Prison Inmate Labor Initiative of 1990 to be considered in the implementation of joint venture programs.+>>

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CA PENAL § 2717.5

<<+2717.5. In establishing joint venture contracts the Director of Corrections shall consider the impact on the working people of California and give priority consideration to inmate employment which will retain or reclaim jobs in California, support emerging California industries, or create jobs for a deficient labor market.+>>

CA PENAL § 2717.6

<<+2717.6. (a) No contract shall be executed with a joint venture employer that will initiate employment by inmates in the same job classification as non-inmate employees of the same employer who are on strike, as defined in Section 1132.6 of the Labor Code, as it reads on January 1, 1990, or who are subject to lockout, as defined in Section 1132.8 of the Labor Code, as it reads on January 1, 1990.+>>

<<+(b) Total daily hours worked by inmates employed in the same job classification as non-inmate employees of the same joint venture employer who are on strike, as defined in Section 1132.6 of the Labor Code, as it reads on January 1, 1990, or who are subject to lockout, as defined in Section 1132.8 of the Labor Code, as it reads on January 1, 1990, shall not exceed, for the duration of the strike, the average daily hours worked for the preceding six months, or if the program has been in operation for less than six months, the average for the period of operation.+>>

<<+(c) The determination that a condition described in paragraph (b) above shall be made by the Director after notification by the union representing the workers on strike or subject to lockout. The limitation on work hours shall take effect 48 hours after receipt by the Director of written notice of the condition by the union.+>>

CA PENAL § 2717.7

<<+2717.7. Notwithstanding Section 2812 of the Penal Code or any other provision of law which restricts the sale of inmate-provided services or inmate-manufactured goods, services performed and articles manufactured by joint venture programs may be sold to the public.+>>

CA PENAL § 2717.8

<<+2717.8. The compensation of prisoners engaged in programs pursuant to contract between the Department of Corrections and joint venture employers for the purpose of conducting programs which use inmate labor shall be comparable to wages paid by the joint venture employer to non-inmate employees performing similar work for that employer. If the joint venture employer does not employ such non-inmate employees in similar work, compensation shall be comparable to wages paid for work of a similar nature in the locality in which the work is to be performed. Such wages shall be subject to deductions, as determined by the Director of Corrections, which shall not, in the aggregate, exceed 80 percent of gross wages and shall be limited to the following:+>>

- <<+(1) Federal, state, and local taxes.+>>
- <<+(2) Reasonable charges for room and board, which shall be remitted to the Director of Corrections.+>>
- <<+(3) Any lawful restitution fine or contributions to any fund established by law to compensate the victims of crime of not more than 20 percent, but not less than 5 percent, of gross wages, which shall be remitted to the Director of Corrections for disbursement.+>>
- <<+(4) Allocations for support of family pursuant to state statute, court order, or agreement by the prisoner.+>>

CA GOVT § 14672.16

Section 6. Section 14672.16 is added to the Government Code to read:

<<+14672.16. (a) Notwithstanding Section 14670, the Director of General Services, with the consent of the Department of Corrections or the Department of the Youth Authority may let, in the best interest of the state, any real property located within the grounds of a facility of the Department of Corrections or the Department of the Youth Authority to a public or private entity for a period not to exceed 20 years for the purpose of conducting programs for the employment and training of prisoners or wards in institutions under the jurisdiction of the Department of Corrections or the Department of the Youth Authority.+>>

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<<+(b) The lease may provide for the renewing of the lease for additional successive 10-year terms, but those additional terms shall not exceed three in number. Any lease of state property entered into pursuant to this section may be at less than market value when the Director of General Services determines it will serve a statewide public purpose.+>>

CA REV & TAX § 17053.6

Section 7. Section 17053.6 is added to the Revenue and Taxation Code to read:

<<+17053.6. There shall be allowed as a credit against the "net tax" (as defined by Section 17039) an amount equal to 10 percent of the amount of wages paid to each prisoner who is employed in a joint venture program established pursuant to Article 1.5 of Chapter 5 of Title 1 of Part 3 of the Penal Code, through agreement with the Director of Corrections.+>>

CA REV & TAX § 23624

Section 8. Section 23624 is added to the Revenue and Taxation Code to read:

<<+23624. There shall be allowed as a credit against the "tax" (as defined by Section 23036) an amount equal to 10 percent of the amount of wages paid to each prisoner who is employed in a joint venture program established pursuant to Article 1.5 of Chapter 5 of Title 1 of Part 3 of the Penal Code, through agreement with the Director of Corrections.+>>

Section 9. If any provision of this measure or the application thereof to any person or circumstances is held invalid or unconstitutional, that invalidity shall not effect other provisions or applications of the measure which can be given effect without the invalid provision or application, and to this end the provisions of this measure are severable.

Section 10. The statutory provisions contained in this measure may not be amended by the Legislature except to further its purposes by statute passed in each house by roll call vote entered in the journal, two thirds of the membership concurring, or by a statute that becomes effective only when approved by the electors.

CA LEGIS Prop. 139 (1990)

End of Document

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CERTIFCATE OF SERVICE

I, Gonzalo Valverde, am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 1055 West 7th Street, Suite 1700, Los Angeles, CA 90017.

On August 14, 2020, the following document(s) is (are) being filed electronically and will be available for viewing and downloading from the Court's CM/ECF system:

REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF DEFENDANTS' MOTION TO DISMISS PLAINTIFFS' COMPLAINT FOR FAILURE TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED; DECLARATION OF JOEL GLASER

The Notice of Electronic Case Filing automatically generated by the system and sent to all parties entitled to service under the Federal Rules of Civil Procedure and the Local Rules of the Northern District of California who have consented to electronic service shall constitute service of the filed document to all such parties. Parties who have not consented to electronic service are entitled to receive a paper copy of any electronically filed pleading or other documents. Any such parties will be served by regular mail.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed on August 14, 2020, at Los Angeles, California.

/s/ Gonzalo Valverde Gonzalo Valverde

REQUEST FOR JUDICIAL NOTICE

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    DAN SIEGEL, SBN 056400
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    ARMIDA RUELAS, DE'ANDRE EUGENE COX,
    BERT DAVIS, KATRISH JONES,
 9
    JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
    MONICA MASON, LUIS NUNEZ-ROMERO, and
10
    SCOTT ABBEY
11
12
                       UNITED STATES DISTRICT COURT
13
                FOR THE NORTHERN DISTRICT OF CALIFORNIA
14
    ARMIDA RUELAS; DE'ANDRE EUGENE ) Case No. 19-cv-07637-JST
15
    COX; BERT DAVIS; KATRISH JONES;
    JOSEPH MEBRAHTU; DAHRYL
                                        ) FIRST AMENDED COMPLAINT
16
    REYNOLDS; MONICA MASON; LUIS
                                        ) FOR DAMAGES AND
    NUNEZ-ROMERO; SCOTT ABBEY and all ) DECLARATORY AND INJUNCTIVE
17
    others similarly situated,
                                        ) RELIEF
18
               Plaintiffs.
                                        ) CLASS ACTION
19
                                         Jury Trial Demanded
         vs.
20
21
    COUNTY OF ALAMEDA; GREGORY J.
    AHERN, SHERIFF; ARAMARK
22
    CORRECTIONAL SERVICES, LLC; and
    DOES 1 through 10,
23
24
               Defendants.
25
          Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS,
26
    KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON,
27
    LUIS NUNEZ-ROMERO, and SCOTT ABBEY complain against COUNTY OF
28
    Ruelas v. County of Alameda, Case No. 19-cv-07637-JST
    First Amended Complaint for Damages and Declaratory and Injunctive Relief-1
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ALAMEDA; GREGORY J. AHERN, SHERIFF, ALAMEDA COUNTY; ARAMARK CORRECTIONAL SERVICES, LLC; and DOES 1 through 10 as follows:

PRELIMINARY STATEMENT

1. Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON LUIS NUNEZ-ROMERO, and SCOTT ABBEY were or are pre-trial detainees, detainees facing deportation, federal detainees, and post-conviction prisoners confined in Santa Rita Jail in Alameda County. Pursuant to a contract between the COUNTY OF ALAMEDA and ARAMARK CORRECTIONAL SERVICES, LLC ("ARAMARK"), plaintiffs were or are currently employed by ARAMARK to perform industrial food preparation services and cleaning. ARAMARK is a private, for-profit company that sells food prepared by prisoners to third parties outside the COUNTY OF ALAMEDA. Contrary to California law, plaintiffs are not paid for their work and are forced to work for the profit of a private company under threat of punitive measures by their jailers. Plaintiffs bring this complaint on their own behalf and on behalf of all incarcerated employees of ARAMARK, past, present and future.

JURISDICTION AND VENUE

- 2. This Court has jurisdiction over plaintiffs' claims pursuant to 28 U.S.C. § 1331 (claims arising under the U.S. Constitution) and § 1343(a)(3) (claims brought to redress deprivations, under color of state authority, of rights, privileges, and immunities secured by the U.S. Constitution), and 42 U.S.C. § 1983.
- 3. The state law claims in this action are so related to the claims in the action within the original jurisdiction of this Court that they form part of the same case or controversy under Article III of the United States Constitution. The Court's jurisdiction over these claims is invoked under 28 U.S.C. § 1367.
- 4. Venue is proper in the United State District Court for the Northern District of California pursuant to 28 U.S.C. § 1391(b)(1) because the defendants are located in the Northern District of California and § 1391(b)(2) because all of the acts

Ruelas v. County of Alameda, Case No. 19-cv-07637-JST First Amended Complaint for Damages and Declaratory and Injunctive Relief- 2

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and/or omissions complained of herein occurred within the Northern District of California.

PARTIES

- 5. At all times relevant hereto, ARMIDA RUELAS was a prisoner at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform sanitation services in the industrial food preparation kitchen.
- 6. At all times relevant hereto, DE'ANDRE EUGENE COX was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform industrial food preparation services.
- 7. At all times relevant hereto, BERT DAVIS was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform industrial food preparation services.
- 8. At all times relevant hereto, KATRISH JONES was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform sanitation services in the industrial food preparation kitchen.
- 9. At all times relevant hereto, JOSEPH MEBRAHTU was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform industrial food preparation services.
- 10. At all times relevant hereto, DAHRYL REYNOLDS was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform industrial food preparation services.
- 11. At all times relevant hereto, MONICA MASON was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform sanitation services in the industrial food preparation kitchen.
- 12. At all times relevant hereto, LUIS NUNEZ-ROMERO was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform sanitation services in the industrial food preparation kitchen.

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Ruelas v. County of Alameda, Case No. 19-cv-07637-JST First Amended Complaint for Damages and Declaratory and Injunctive Relief- 3

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- 13. At all times relevant hereto, SCOTT ABBEY was incarcerated at Santa Rita Jail in the COUNTY OF ALAMEDA, and was employed by ARAMARK to perform food preparation in the industrial kitchen.
- 14. Defendant COUNTY OF ALAMEDA is a public entity, operating under the laws of the State of California, which is responsible for all of the acts and omissions of the Alameda County Sheriff and all of the Sheriff's deputies, agents and employees, including those named herein, and Santa Rita Jail.
- 15. At all times relevant hereto, defendant GREGORY J. AHERN was the Sheriff of Alameda County. In committing the acts and omissions described in the complaint, he was acting under color of law and within the course and scope of his employment. Defendant AHERN is sued in his individual and official capacities.
- 16. At all times relevant hereto, ARAMARK CORRECTIONAL SERVICES, LLC was a private, for-profit company that employed prisoners incarcerated in Santa Rita Jail, including plaintiffs, to perform uncompensated industrial food production services and sanitation services.
- 17. Plaintiffs are unaware of the true names and capacities of the defendants sued herein as DOES 1 through 10, inclusive. Plaintiffs are informed and believe and thereon allege that each DOE defendant is or was employed by COUNTY OF ALAMEDA or by ARAMARK. Plaintiff thereby sues such defendants by such fictitious names pursuant to Code of Civil Procedure Section 474. Plaintiffs will seek leave of the Court to amend this complaint when the true names of these defendants have been ascertained.

STATEMENT OF FACTS

18. In 1990, California voters approved Proposition 139, which allows California counties to hire out prisoners confined in their jails to private entities, provided the prisoners are paid comparable wages to non-incarcerated employees of the private company.

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- 19. Pursuant to this voter-approved law, jails that hire out prisoners may make deductions for state and federal taxes, room and board, lawful restitution fines or victim compensation, and family support, but must provide no less than 20 percent of the wages directly to the prisoner. Prisoners may, in addition to receiving wages, be eligible for credits that reduce the length of time they serve in jail, which reduces incarceration costs.
- 20. Santa Rita Jail is Alameda County's jail. It houses persons who are awaiting trial, persons who have been convicted of a crime and are awaiting sentencing, persons in immigration detention, and persons who are convicted of crimes and serving county jail sentences and, in some instances, state prison sentences.
- 21. Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON, LUIS NUNEZ-ROMERO, and SCOTT ABBEY are or were at one time incarcerated in Santa Rita Jail, which is operated by the Alameda County Sheriff's Department.
- 22. Alameda County contracted with ARAMARK CORRECTIONAL SERVICES, LLC as early as July 1, 2015. The contract allows ARAMARK to employ persons imprisoned in Santa Rita Jail without compensating them. As a result of the contract, ARAMARK suffers or permits to work prisoners confined in Santa Rita Jail.
- 23. Prisoners prepare and package food in Santa Rita Jail's industrial kitchen and clean and sanitize the kitchen after the conclusion of the day's food preparation. When prisoner-employees are present in the kitchen, armed Sheriff's deputies are close by to supervise prisoner-employees by threats of force and the withdrawal of privileges. ARAMARK employees manage the kitchen operation and observe the Sheriff's deputies' supervision of the prisoner-employees, including threats of force.
- 24. During the work day, armed COUNTY OF ALAMEDA Sheriff's deputies supervise prisoners to ensure they do not break conduct rules. ARAMARK employees and COUNTY OF ALAMEDA Sheriff's deputies both supervise prisoner-employees to make sure they do not violate safety rules. ARAMARK employees supervise the quality

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and amount of work that prisoners accomplish. ARAMARK employees also supervise prisoner-employee conduct and report misconduct to the deputies for discipline.

- 25. ARAMARK establishes quotas for prisoners that dictate how much work prisoners must complete before their shift ends. ARAMARK also determines from its quotas how many prisoner-employees are required to work and how many shifts are required.
- 26. If COUNTY OF ALAMEDA Sheriff's deputies are displeased with the quality or quantity of the work performed or the conduct of a prisoner-employee, they can remove their eligibility to work in the jail and subject them to disciplinary action. If ARAMARK is displeased with a prisoner-employee, it can tell the COUNTY that the prisoner-employee may not return to work for ARAMARK.
- 27. Defendants COUNTY OF ALAMEDA, SHERIFF AHERN, and ARAMARK have arranged to divide the work day so that male prisoners are assigned to longer, daytime shifts, and female prisoners are assigned to shorter, nighttime shifts. Women prisoners are not provided the same opportunity to work and earn money as their similarly situated male counterparts.
- 28. Defendants COUNTY OF ALAMEDA and SHERIFF AHERN determine which prisoners are eligible to work and place them in worker housing units. Defendant ARAMARK with guidance from COUNTY OF ALAMEDA on classification status, assigns prisoner-employees to their specific tasks, such as working in the scullery, working on the assembly lines, and sanitation. Defendant ARAMARK makes these assignments and chooses team leaders based on ARAMARK employee's evaluations of prisoner-employees' performance.
- 29. Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON, and LUIS NUNEZ-ROMERO were employed by ARAMARK to perform services pursuant to this contract. They worked with other prisoners in Santa Rita Jail under the

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supervision of ARAMARK employees and under guard of COUNTY OF ALAMEDA Sheriff's Deputies.

- 30. No prisoner-employee is compensated for their work. Plaintiffs performed and continue to perform work, including overtime, for no compensation.
- 31. Plaintiffs and other prisoner-employees of ARAMARK are coerced to work. COUNTY OF ALAMEDA Sheriff's deputies threaten plaintiffs and other prisoner-employees of ARAMARK that if they refuse to work, they will receive lengthier jail sentences or be sent to solitary confinement, where they would be confined to a small cell for 22 to 24 hours a day. COUNTY OF ALAMEDA Sheriff's deputies also threaten to terminate prisoners' employment if they need to take a sick day or are injured.
- 32. Threats from Sheriff's deputies are sometimes made in the kitchen in the presence of ARAMARK employees.
- 33. ARAMARK employees also coerce plaintiffs and other prisoneremployees to work by threatening to report them to the Sheriff's deputies for punishment if they attempt to leave work early due to illness or injury.
- 34. Such threats from COUNTY OF ALAMEDA Sheriff's deputies and ARAMARK employees cause prisoners to work through illness and injury, sometimes caused by the unsafe conditions in the industrial kitchen.
- 35. Although plaintiffs and other kitchen workers are not compensated for their work, working in the kitchen means that plaintiffs can get out of their cells for some portion of the day, which is beneficial to their physical and mental health, and obtain additional food for their own enjoyment and nutrition.
- 36. Defendants deny plaintiffs wages without any process or hearing, either prior to or following the denial, to determine why they should not receive their wages.
- 37. In late October 2019, male prisoner-employees of ARAMARK and other prisoner workers in the jail staged a worker strike to advocate for improved conditions at the jail, including more nutritious food, lower commissary prices, access to cleaning supplies, and daily exercise and recreation time. In response, Sheriff's deputies forced

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female prisoners to work all shifts in their place so that ARAMARK could meet their quotas by threatening the women that women prisoners would not be provided meals unless they worked. Plaintiffs ARMIDA RUELAS and MONICA MASON were forced to work under this threat.

- 38. ARAMARK sells the food prepared by plaintiffs to third parties for a profit. ARAMARK receives an economic windfall as a result of the uncompensated labor of prisoners confined in Santa Rita Jail.
- 39. The contract between ARAMARK and the COUNTY OF ALAMEDA permits prisoner labor to be used for the profit of a private company without compensation to the workers. Therefore, defendants COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN know or should have known that they are providing uncompensated labor in violation of state and federal law.
- 40. Plaintiff ARMIDA RUELAS worked for ARAMARK. She began working in or around June of 2019 when she was a pre-trial detainee. She continued to work following her conviction but prior to sentencing. Ms. RUELAS performed work in the kitchen's scullery washing items used for meal preparation and service and preparing meals. Her work hours vary but she has typically worked at night for four-hour shifts Monday through Friday performing work such as meal preparation and sanitation. During some days of the late October 2019 workers strike, she was forced to work long day time hours. She was never paid any wages for the work she performed.
- 41. Plaintiff DE'ANDRE EUGENE COX worked for ARAMARK while he was incarcerated, beginning his work for ARAMARK while a pre-trial detainee. He worked in the jail's kitchen, and his hours and days worked varied. On occasions he worked in excess of eight hours a day or 40 hours a week. He was never paid any wages for the work he performed.
- 42. Plaintiff BERT DAVIS worked for ARAMARK from approximately October 29, 2018 to March 2019. He was a pre-trial detainee for most, if not all of the time he worked. He worked in the jail's kitchen, performing work such as meal

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preparation, and his hours and days worked varied. On occasions, he worked in excess of eight hours a day or 40 hours a week. He was never paid any wages for the work he performed.

- 43. Plaintiff KATRISH JONES worked for ARAMARK while she was incarcerated, as a pre-trial detainee. She worked in the jail's kitchen performing work such as meal preparation and sanitation, and her hours and days worked varied. She was never paid any wages for the work she performed.
- 44. Plaintiff JOSEPH MEBRAHTU worked for ARAMARK while he was incarcerated, at times while he was a pre-trial detainee. He worked in the jail's kitchen performing work such as sanitation, and his hours and days worked varied. On occasions, he worked in excess of eight hours a day or 40 hours a week. He was never paid any wages for the work he performed.
- 45. Plaintiff DAHRYL REYNOLDS worked for ARAMARK from approximately June of 2019 to November 2019 as a pre-trial detainee. He worked in the scullery and in meal preparation. His hours and days worked vary. On occasion, he worked in excess of eight hours a day or 40 hours a week. He was never paid any wages for the work he performed.
- 46. Plaintiff MONICA MASON worked for ARAMARK and has been employed on and off from approximately May 2019 when she was a pre-trial detainee. She continued to work following her conviction but prior to sentencing. Ms. MASON performed work in the kitchen's scullery washing items used for food preparation and service. Her work hours vary, but she typically worked at night for four-hour shifts Monday through Friday and now works longer shifts on the weekends. During some days of the October 2019 workers strike, she was forced to work long day time hours. She was never paid any wages for the work she performed.
- 47. Plaintiff LUIS NUNEZ-ROMERO worked for ARAMARK. He was hired in May of 2019, and he continued to work in the kitchen until the end of October 2019. He was a detainee in immigration proceedings and has been for the duration of his

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employment. Mr. NUNEZ-ROMERO worked in the kitchen's warehouse and performed work such as food preparation. He worked six days a week for approximately eight to 12 hours a day. Previously, he worked seven days a week. He was never paid any wages for the work he performed.

48. Plaintiff SCOTT ABBEY worked for ARAMARK. He worked in the kitchen as a pre-trial detainee from approximately November 2018 to March 2019. Mr. ABBEY worked on the special diet sandwich assembly line. He typically worked five days a week. His hours varied. He was never paid any wages for the work he performed.

CLASS ALLEGATIONS

- 49. Plaintiffs bring this action on behalf of themselves and all others similarly situated pursuant to Federal Rule of Civil Procedure 23(a) on the basis that there is a well-defined community of interest in this litigation, the proposed class is easily ascertainable, and the proposed class is quite numerous.
- 50. Plaintiffs seek to represent the following class: All individuals incarcerated in Santa Rita Jail who were not duly convicted and sentenced who perform or performed services for ARAMARK CORRECTIONAL SERVICES, LLC in their jail kitchen facility any time during the period that began four years prior to the filing of the original complaint in this action until the final disposition of this action.
- 51. Plaintiffs ARMIDA RUELAS, MONICA MASON, BERT DAVIS, KATRISH JONES, DAHRYL REYNOLDS, DE'ANDRE EUGENE COX JOSEPH MEBRATHU, and SCOTT ABBEY seek to represent the following subclass: All persons incarcerated in Santa Rita Jail who perform or performed services for ARAMARK CORRECTIONAL SERVICES, LLC in their jail kitchen facility who worked as pretrial detainees, any time during the period that began when ARAMARK began suffering or permitting pretrial detainees to work until the final disposition of this action. This subclass will be known as the Pretrial Detainee Subclass.
- 52. Plaintiffs ARMIDA RUELAS, KATRISH JONES, and MONICA MASON seek to represent the following subclass: All women incarcerated in Santa Rita Jail who

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perform services for ARAMARK CORRECTIONAL SERVICES, LLC in their jail kitchen facility pursuant to a policy, procedure, and/or practice that assigns women prisoners to shorter, nighttime shifts in the jail kitchen, any time during the period that began when ARAMARK began suffering or permitting women prisoners to work until the final disposition of this action. This subclass will be known as the Women Prisoner Subclass.

- 53. Plaintiff LUIS -NUNEZ-ROMERO seeks to represent the following subclass: All detainees awaiting immigration proceedings incarcerated in Santa Rita Jail who perform services for ARAMARK CORRECTIONAL SERVICES, LLC in their jail kitchen facility any time during the period that began when ARAMARK began suffering or permitting detainees awaiting immigration proceedings to work until the final disposition of this action. This subclass will be known as the Immigration Detainee Subclass.
- 54. On information and belief, the injury and loss of money to plaintiffs and the putative class and subclasses are substantial, exceeding one million dollars and as much as several million dollars. Plaintiffs and the putative class were regularly subjected to the constitutional and statutory violations described in this Complaint. On information and belief, the legal and factual issues are common to the class and affect all class members.
- 55. Plaintiffs reserve the right to amend or modify the class and subclass descriptions with greater specificity or further division into subclasses, as well as to limit the class or subclasses to particular issues, as warranted.

Numerosity

56. The potential members of the class and of the subclasses as defined are so numerous that joinder of all of them is impracticable. While the precise number of class members has not been determined at this time, plaintiffs are informed and believe that the class is comprised of more than 100 individuals.

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57. On information and belief, ARAMARK's employment records and COUNTY OF ALAMEDA custody records will provide information as to the number and location of all class members.

Commonality and Predominance

- 58. There are questions of law and fact that are common to the class and subclasses and predominate over individualized questions. These common questions of law and fact include, without limitation:
- 59. Whether COUNTY OF ALAMEDA and GREGORY J. AHERN force detainees into involuntary servitude by forcing them to work for a private company without compensation;
- 60. Whether COUNTY OF ALAMEDA and GREGORY J. AHERN fail to provide equal protection to women incarcerated in Santa Rita Jail allowing them less out of cell time to work than their male counter parts;

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- 62. Whether ARAMARK violated the California Labor Code by not compensating plaintiffs and putative class members;
- 63. Whether ARAMARK violated the California Labor Code by not compensating plaintiffs and putative class members at the minimum wage rate established by law;
- 64. Whether ARAMARK violated the California Labor Code by not compensating plaintiffs and putative class members for all "hours worked" in excess of eight hours a day or 40 hours a week at premium overtime rates;
- 65. Whether ARAMARK violated §§17200, *et seq*. of the California Business and Professions Code by the actions alleged in this complaint;
- 66. Whether the COUNTY OF ALAMEDA violated plaintiffs' and putative class members' statutory rights through threats, coercion and intimidation;
- 67. Whether Sheriff GREGORY J. AHERN ratified the unlawful actions of ARAMARK; and

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68. Whether plaintiffs and other class members are entitled to damages, restitution, statutory penalties, premium wages, declaratory, injunctive and declaratory relief, attorneys' fees, interest, and costs, and other relief pursuant to 42 U.S.C. § 1988, California Labor Code provisions, and Business and Professions Code §§ 17200, et seq.

Typicality

69. Named plaintiffs' claims are typical of the claims of putative class and subclass members. Plaintiffs and all members of the putative class and subclasses sustained injuries and damages arising out of and caused by defendants' common course of conduct, which, as alleged herein, violates federal and California law.

Adequacy of Representation

70. Plaintiffs adequately represent and protect the interests of class and subclass members. Plaintiffs have no interests which are adverse to the class. Plaintiffs are similarly situated to other class and subclass members. Counsel who represents plaintiffs are competent and experienced in litigating civil rights class actions, wage and hour cases, and class actions generally.

Superiority of Class Action

- 71. A class action is superior to other available means for the fair and efficient adjudication of this controversy. Individual joinder of all class members is not practicable, and questions of law and fact common to the class predominate over any questions affecting only individual members of the class. Each member of the class has been damaged and is entitled to recovery by reason of the unlawful policies and practices described herein. Class members are unlikely to otherwise obtain effective representation to ensure full enforcement of their rights absent class certification.
- 72. Class action treatment will allow those similarly situated persons to litigate their claims in the manner that is most efficient and economical for the parties and the judicial system. Plaintiffs are unaware of any difficulties that are likely to be encountered in the management of this action that would preclude its maintenance as a class action.

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EXHAUSTION

73. Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, and DAHRYL REYNOLDS each filed a California Government Claim on his or her behalf and on behalf of others similarly situated regarding the matters asserted herein with the COUNTY OF ALAMEDA pursuant to California Government Code §§ 910, et seq. on August 8, 2019. The COUNTY OF ALAMEDA denied their claims on August 19, 2019.

FIRST CLAIM FOR RELIEF INVOLUNTARY SERVITUDE IN VIOLATION OF THE THIRTEENTH AMENDMENT

(42 U.S.C. § 1983)

(By ARMIDA RUELAS, MONICA MASON, and BERT DAVIS and the Pretrial Detainee Subclass and LUIS NUNEZ-ROMERO and the Immigration Detainee Subclass Against COUNTY OF ALAMEDA and GREGORY J. AHERN)

- 74. Plaintiffs incorporate by reference paragraphs 1 through 73 above as though fully set forth herein.
- 75. By virtue of the foregoing, plaintiffs and the putative subclasses were forced to perform work for defendants without pay. Plaintiffs and the putative subclasses were and continue to be coerced to work without compensation under threat of physical punishment and restraint.
- 76. The work plaintiffs performed was not a part of daily housekeeping duties in the jail's personal and communal living areas. Rather, it was forced labor for the profit of ARAMARK.

SECOND CLAIM FOR RELIEF VIOLATION OF THE TRAFFICKING VICTIMS PROTECTION ACT

(18 U.S.C. § 1589) (By ARMIDA RUELAS, MONICA MASON, and BERT DAVIS and the Pretrial Detainee Subclass and LUIS NUNEZ-ROMERO and the Immigration Detainee Subclass Against All Defendants)

- 77. Plaintiffs incorporate by reference paragraphs 1 through 76 above as though fully set forth herein.
- 78. By virtue of the foregoing, plaintiffs and the putative subclasses were forced to perform work for defendants without pay. Plaintiffs and the putative

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subclasses were and continue to be coerced to work without compensation under threat of physical punishment and restraint.

79. The work plaintiffs performed was not a part of daily housekeeping duties in the jail's personal and communal living areas. Rather, it was forced labor for the profit of ARAMARK.

THIRD CLAIM FOR RELIEF VIOLATION OF THE EQUAL PROTECTION CLAUSE OF THE FOURTEENTH AMENDMENT

(42 U.S.C. § 1983)

(By ARMIDA RUELAS, KATRISH JONES, and MONICA MASON and the Women Prisoner Subclass Against COUNTY OF ALAMEDA and GREGORY J. AHERN)

- 80. Plaintiffs incorporate by reference paragraphs 1 through 79 above as though fully set forth herein.
- 81. By virtue of the foregoing, female plaintiffs and members of the putative subclass are assigned to shifts typically lasting only four hours and occurring during nighttime hours while male prisoners are assigned to shifts typically lasting eight hours or more and occurring during daytime hours.
- 82. Out of cell time is crucial for the physical and mental health of prisoners. Further, the more hours a prisoner works, the financial compensation that they are entitled to under California law increases.
- 83. Female plaintiffs and members of the putative subclass have been denied these benefits and opportunities to earn compensation based solely on their sex.
- 84. Defendants' policy and practice does not serve important governmental objectives. Further, assigning women to work shorter, nighttime shifts while assigning men to work longer, daytime shifts is not substantially related to the achievement of important government objectives.

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FOURTH CLAIM FOR RELIEF FAILURE TO PROVIDE DUE PROCESS IN VIOLATION OF THE FOURTEENTH AMENDMENT

(42 U.S.C § 1983)

(By All Plaintiffs and the Class Against COUNTY OF ALAMEDA and GREGORY J. AHERN)

- 85. Plaintiffs incorporate by reference paragraphs 1 through 84 above as though fully set forth herein.
- 86. California, by statute, established the rights of all prisoners to receive compensation for work performed for the benefit of a for profit company.
- 87. In doing so, California established a property right in the payment of wages that cannot be denied without due process of law.
- 88. Due process of law requires a meaningful opportunity to be heard at the time the wages were due before denying prisoners wages.
- 89. Defendants routinely and deliberately deny plaintiffs and the putative class wages for their work.
- 90. Plaintiffs were provided no opportunity to be heard prior to the defendants' failure to pay wages and thus have been denied property without due process of law.

FIFTH CLAIM FOR RELIEF FAILURE TO PAY WAGES

(Cal. Lab. Code §§ 201, 202, 218)

(By All Plaintiffs and the Class Against ARAMARK and by ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, and DAHRYL REYNOLDS against COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN.)

- 91. Plaintiffs incorporate by reference paragraphs 1 through 90 above as though fully set forth herein.
- 92. By virtue of the foregoing, plaintiffs and the putative class performed work for defendants.
- 93. Defendants failed to pay plaintiffs and the putative class for their work and owe plaintiffs and the putative class wages pursuant to statutory and constitutional law.

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SIXTH CLAIM FOR RELIEF FAILURE TO PAY MINIMUM WAGE

(Cal. Lab. Code § 1194)

(By All Plaintiffs and the Class Against ARAMARK and by ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, and DAHRYL REYNOLDS against COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN.)

- 94. Plaintiffs incorporate by reference paragraphs 1 through 93 above as though fully set forth herein.
- 95. By virtue of the foregoing, plaintiffs and the putative class performed work for defendants.
- 96. Plaintiffs and the putative class were paid less than the minimum wage by defendants for all hours worked.

SEVENTH CLAIM FOR RELIEF FAILURE TO PAY OVERTIME PREMIUM WAGES

(Cal. Lab. Code § 1194)

(By All Plaintiffs and the Class Against ARAMARK and by ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, and DAHRYL REYNOLDS against COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN.)

- 97. Plaintiffs incorporate by reference paragraphs 1 through 96 above as though fully set forth herein.
 - 98. Plaintiffs performed work for defendants.
 - 99. Plaintiffs worked overtime hours.
- 100. Defendants knew or should have known that plaintiffs had worked overtime hours.
 - 101. Plaintiffs were not paid for all of the overtime hours worked.

EIGHTH CLAIM FOR RELIEF UNFAIR COMPETITION

(Cal. Bus. & Prof. Code § 17200 et seq.)

(By All Plaintiffs and the Class Against ARAMARK CORRECTIONAL SERVICES, INC.)

- 102. Plaintiffs incorporate by reference paragraphs 1 through 101 above as though fully set forth herein.
- 103. California Business and Professions Code §§ 17200, *et seq*. prohibit unfair competition, including any unlawful or unfair business act or practice.

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- 104. Defendant ARAMARK engaged in an unlawful business practice when it used uncompensated labor to produce a product for profit.
- 105. This business practice is forbidden by law and against public policy as it gives Aramark an unfair advantage over similar business.
- 106. Defendant ARAMARK continues to exploit incarcerated employees to gain market share, and plaintiffs are informed and believe that defendant ARAMARK will continue such exploitation.
- 107. As a result of defendant ARAMARK's unlawful business practice, plaintiffs lost money in the form of wages that they were rightfully owed.
- 108. The failure to pay wages to incarcerated employees also constitutes an unfair business practice because the harm to victims that results from this practice outweighs its utility to the business, the practice offends public policy, and the practice is immoral, unethical, oppressive, unscrupulous, and substantially injurious to consumers.

NINTH CLAIM FOR RELIEF VIOLATION OF CALIFORNIA BANE ACT

(Cal. Civil Code § 52.1)

(By All Plaintiffs and the Class Against ARAMARK and by ARMIDA RUELAS, DE'ANDRE EUGENE COX, BERT DAVIS, KATRISH JONES, and DAHRYL REYNOLDS against COUNTY OF ALAMEDA and SHERIFF GREGORY J. AHERN.)

- 109. Plaintiffs incorporate by reference paragraphs 1 through 108 above as though fully set forth herein.
- 110. By virtue of the foregoing, defendants and their agents and employees interfered by threats, intimidation, and/or coercion with the rights of plaintiffs, secured by the United States Constitution and the California Constitution.

PUNITIVE DAMAGES

111. By virtue of the foregoing, defendants ARAMARK and Does 1-3 acted with malice and oppression and the intent to deprive and did deprive plaintiffs and the putative class of their rights to be free from forced labor without compensation.

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DAMAGES 1 As a result of the actions of defendants and its employees, plaintiffs have 2 112. been injured and have suffered damages as follows: 3 a. They have been financially injured and damaged including, but not 4 limited to, by the loss of wages and overtime premiums due to plaintiffs and the 5 putative class: 6 b. They have suffered emotional distress. 7 8 WHEREFORE, plaintiffs request that this Court grant them relief as follows: (1) General damages, in an amount to be determined; 9 (2) Special damages, in an amount to be determined; 10 (3) Punitive damages, in an amount to be determined; 11 (4) Reasonable attorney's fees under 42 U.S.C. § 1988 and Cal. Code Civ. P. 12 §1021.5; 13 (5) Declaratory relief finding that defendants' acts and practices as described 14 herein violate the constitutional and statutory rights of plaintiffs and the 15 putative classes and subclasses; 16 (6) Injunctive relief requiring defendants to cease and desist from the acts and 17 practices described herein; 18 (7) Costs of suit; and 19 (8) Such other and further relief as the Court may deem proper. 20 /// 21 22 /// 23 /// /// 24 25 ///

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1	DEMAND FOR TRIAL BY JURY
2	Plaintiffs hereby demand a trial by jury of all issues so triable in this case.
$_3$	
4	Dated: July 10, 2020
5	SIEGEL, YEE, BRUNNER & MEHTA
6	
7	By <u>/s/ Dan Siegel</u> Dan Siegel
8	Dan Siegel
9	Attorneys for Plaintiffs
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11	JOSEPH MEBRAHTU, DAHRYL REYNOLDS, MONICA MASON, LUIS NUNEZ-ROMERO,
12	and SCOTT ABBEY
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	Ruelas v. County of Alameda, Case No. 19-cv-07637-JST
	First Amended Complaint for Damages and Declaratory and Injunctive Relief- 20

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United States District Court

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

ARMIDA RUELAS, et al.,

Plaintiffs,

v.

COUNTY OF ALAMEDA, et al.,

Defendants.

Case No. 19-cv-07637-JST

MOTIONS TO DISMISS

Re: ECF Nos. 13, 23

Before the Court are motions to dismiss filed by Defendants Alameda County and Sheriff Gregory J. Ahern ("County Defendants"), ECF No. 13, and by Defendant Aramark Correctional Services, LLC ("Aramark"), ECF No. 23. The Court will grant the motions in part and deny them in part.

BACKGROUND

Α. Factual History¹

Plaintiffs Armida Ruelas, De'Andre Eugene Cox, Bert Davis, Katrish Jones, Joseph Mebrahtu, Dahryl Reynolds, Monica Mason, and Luis Nunez-Romero are or were "pre-trial detainees, detainees facing deportation, federal detainees, and post-conviction prisoners" confined in Alameda County's Santa Rita Jail. ECF No. 1 ("Compl.") ¶ 1. Plaintiffs are or were performing "industrial food preparation services and cleaning" for Aramark pursuant to a contract between Aramark and Alameda County. *Id.* "Aramark is a private, for-profit company that sells food prepared by prisoners to third parties" outside of Alameda County. Id. This contract was

¹ For the purposes of this motion to dismiss, the Court adopts the following factual allegations

from Plaintiffs' complaint. Smith v. City of Oakland, No. 19-CV-05398-JST, ____ F.Supp.3d ____, 2020 WL 2517857, at *2 (N.D. Cal. Apr. 2, 2020)

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made possible by California Proposition 139, which allows private companies to hire county jail inmates. *Id.* ¶ 17. Alameda County contracted with Aramark "as early as July 1, 2015." *Id.* ¶ 21.²

Plaintiffs allege that Aramark's contract with Alameda County allows Aramark "to employ persons imprisoned in Santa Rita Jail without compensating them." *Id.* ¶ 21. Under the contract, "prisoners prepare and package food" in Santa Rita's kitchen "and clean and sanitize the kitchen" after preparation has finished. *Id.* ¶ 22. Plaintiffs allege that "male prisoners are assigned to longer, daytime shifts, and female prisoners are assigned to shorter, nighttime shifts." *Id.* ¶ 23. Plaintiffs also claim that they worked "under the supervision of Aramark employees and under guard of County of Alameda Sheriff's Deputies." *Id.* ¶ 24. Plaintiffs allege that "Sheriff's deputies threaten plaintiffs and other prisoner-employees of Aramark" with "lengthier jail sentences" or "solitary confinement" if they refuse to work or with termination if prisoners "need to take a sick day or are injured." *Id.* ¶ 26. As a result of these threats, Plaintiffs claim they worked "through illness and injury," sometimes caused by their unsafe working conditions. *Id.* ¶ 27.

In late October 2019, male prisoner workers, including those working for Aramark, staged a worker strike at Santa Rita Jail "to advocate for improved conditions at the jail[.]" *Id.* ¶ 30. Plaintiffs allege that Sheriff's deputies forced women prisoners, including Plaintiffs Ruelas and Mason, to cover the men's shifts "so that Aramark could meet their quotas[.]" *Id.* Deputies allegedly threatened these women by telling them they would "not be provided meals unless they worked." *Id.*

B. Procedural History

Plaintiffs filed a complaint on November 20, 2019 on behalf of themselves and the following class: "All individuals incarcerated in Santa Rita Jail who perform or performed services for ARAMARK CORRECTIONAL SERVICES, LLC in their jail kitchen facility any time during the period that began four years prior to the filing of the original complaint in this

² Plaintiffs have requested judicial notice of a declaration filed in a separate lawsuit that attaches a copy of a prior version of Aramark's contract with Santa Rita Jail. ECF No. 29 at 2. Because the Court need not address that document in its analysis, it does not consider the request.

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action until the final disposition of this action." *Id.* ¶ 42. Plaintiffs additionally seek to certify three subclasses: (1) a "Pretrial Detainee Subclass," represented by Ruelas, Davis, and Mason and comprising pre-trial detainees who perform or performed services for Aramark while incarcerated at Santa Rita Jail, *id.* ¶ 43; (2) the "Women Prisoner Subclass," represented by Ruelas, Jones, and Mason and comprising women who perform or performed services for Aramark while incarcerated at Santa Rita Jail, *id.* ¶ 44; and (3) the "Immigration Detainee Subclass," represented by Nunez-Romero and comprising all detainees awaiting immigration proceedings who perform or performed services for Aramark while incarcerated at Santa Rita Jail, *id.* ¶ 45.

Plaintiffs bring ten claims. First, the pretrial and immigration detainee subclasses allege that County Defendants violated the Thirteenth Amendment by forcing them to work without pay. *Id.* ¶¶ 67-69. Second, the pretrial and immigration detainee subclasses allege that both County Defendants and Aramark violated the federal Trafficking Victims Protection Act ("TVPA") by forcing them to work without pay "under threat of physical punishment and restraint." *Id.* ¶¶ 70-72. Third, the women prisoners subclass alleges that by assigning women inmates shorter shifts at night and assigning male inmates longer shifts during the day, the County violated the Equal Protection Clause of the Fourteenth Amendment. *Id.* ¶¶ 73-77. Fourth, all Plaintiffs allege that County Defendants' failure to provide a meaningful opportunity to be heard before denying Plaintiffs wages was a violation of the Due Process Clause of the Fourteenth Amendment. *Id.* ¶¶ 78-83.

Plaintiffs' fifth through eighth causes of action are claims for violations of the California Labor Code, brought by all Plaintiffs against all Defendants (except for the claim for failure to pay equal wages under California Labor Code Section 1197.5, which is brought solely by the women prisoner subclass). *Id.* ¶¶ 84-99. Plaintiffs bring their ninth claim exclusively against Aramark, for violation of California's Unfair Competition Law ("UCL"). *Id.* ¶¶ 100-06. Lastly, Plaintiffs allege violations of California's Bane Act by both County Defendants and Aramark. *Id.* ¶¶ 107-08. Plaintiffs seek general and special damages, punitive damages, declaratory and injunctive relief, and attorney's fees and costs. *Id.* at 18.

County Defendants moved to dismiss Plaintiffs' complaint on December 13, 2019. ECF

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No. 13. Plaintiffs filed an opposition, ECF No. 14, and County Defendants filed a reply, ECF No. 16. This Court took County Defendants' motion under submission without a hearing. ECF No. 36. Aramark moved to dismiss the complaint on January 17, 2020. ECF No. 23. Plaintiffs filed an opposition, ECF No. 28, and Aramark filed a reply, ECF No. 35. This Court held a hearing on March 4, 2020.

II. JURISDICTION

As Plaintiffs make claims under 42 U.S.C. § 1983 and 18 U.S.C. § 1589, this Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1331. The Court has supplemental jurisdiction over Plaintiffs' state law claims under 28 U.S.C. § 1367.

III. LEGAL STANDARD

A complaint must contain "a short and plain statement of the claim showing that the pleader is entitled to relief," in order to "give the defendant fair notice of what the . . . claim is and the grounds upon which it rests." Fed. R. Civ. P. 8(a)(2); *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 555 (2007) (citation omitted). "To survive a motion to dismiss, a complaint must contain sufficient factual matter, accepted as true, to 'state a claim to relief that is plausible on its face." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (quoting *Twombly*, 550 U.S. at 570). "A claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged." *Id.* "Dismissal under Rule 12(b)(6) is appropriate only where the complaint lacks a cognizable legal theory or sufficient facts to support a cognizable legal theory." *Mendiondo v. Centinela Hosp. Med. Ctr.*, 521 F.3d 1097, 1104 (9th Cir. 2008). The Court must "accept all factual allegations in the complaint as true and construe the pleadings in the light most favorable to the nonmoving party." *Knievel v. ESPN*, 393 F.3d 1068, 1072 (9th Cir. 2005).

IV. DISCUSSION

County Defendants argue that Plaintiffs' federal claims are barred because they failed to exhaust their administrative remedies under the Prison Litigation Reform Act of 1995 ("PLRA"). ECF No. 13 at 2. They additionally argue that certain Plaintiffs' state claims are barred because they failed to exhaust under the California Government Claims Act. *Id.* at 2-3. Lastly, County

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Defendants argue that Plaintiffs have failed to state any claims under the California Labor Code or the Bane Act. *Id.* Aramark argues that Plaintiffs have failed to state claims under the TVPA, the California Labor Code, the UCL, or the Bane Act. ECF No. 23 at 8-9.

A. Federal Claims

1. PLRA Exhaustion

County Defendants argue that Plaintiffs' federal claims are barred because the complaint "fails to allege Plaintiffs, or any of them, complied with any administrative remedies available to them regarding the conditions at the Jail," as required by the PLRA. ECF No. 13 at 12.

The PLRA provides that "[n]o action shall be brought with respect to prison conditions under [42 U.S.C. § 1983], or any other Federal law, by a prisoner confined in any jail, prison, or other correctional facility until such administrative remedies as are available are exhausted." 42 U.S.C. § 1997e(a). "Exhaustion is a prerequisite to all prisoner suits about prison life, whether they involve general circumstances or particular episodes, and whether they allege excessive force or some other wrong." *Gaspard v. Hedgpeth*, No. 12-CV-1058-JST (PR), 2013 WL 1819335, at *1 (N.D. Cal. Apr. 30, 2013) (citing *Porter v. Nussle*, 534 U.S. 516, 532 (2002)).

"Failure to exhaust under the PLRA is 'an affirmative defense the defendant must plead and prove." *Albino v. Baca*, 747 F.3d 1162, 1166 (9th Cir. 2014) (quoting *Jones v. Bock*, 549 U.S. 199, 204, 216 (2007)). "In the rare event that a failure to exhaust is clear on the face of the complaint, a defendant may move for dismissal under Rule 12(b)(6)." *Id.* However, "a plaintiff is not required to say anything about exhaustion in his complaint." *Id.* at 1169. County Defendants argue that because Plaintiffs allege exhaustion under the California Government Claims Act but not under the PLRA, their failure to exhaust under the latter is clear from the face of their complaint. ECF No. 16 at 6. They cite no authority for this proposition or to counter *Albino*'s holding that Plaintiffs are not required to plead exhaustion. Accordingly, their motion to dismiss on this ground is denied.

2. TVPA Claim

The pretrial and immigration detainee subclasses bring a TVPA claim against both County Defendants and Aramark, alleging that they "were forced to perform work for defendants without

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pay" and "were and continue to be coerced to work without compensation under threat of physical punishment and restraint." Compl. ¶ 71.

Subsection (a) of the TVPA imposes liability on primary offenders, or "[w]hoever knowingly provides or obtains the labor or services of a person" by one or a combination of the following four means:

- (1) by means of force, threats of force, physical restraint, or threats of physical restraint to that person or another person;
- (2) by means of serious harm or threats of serious harm to that person or another person;
- (3) by means of the abuse or threatened abuse of law or legal process; or
- (4) by means of any scheme, plan, or pattern intended to cause the person to believe that, if that person did not perform such labor or services, that person or another person would suffer serious harm or physical restraint[.]

18 U.S.C. § 1589(a)(1)-(4). Subsection (b) imposes liability on venture offenders, or any entity that "knowingly benefits, financially or by receiving anything of value, from participation in a venture which has engaged in" conduct prohibited by Subsection (a) where that entity knew or acted with "reckless disregard of the fact that the venture has engaged in" the prohibited conduct. *Id.* § 1589(b). Section 1595(a) authorizes civil remedies for violations of Section 1589. *Id.* § 1595(a).

Plaintiffs argue that County Defendants are liable as primary offenders and that Aramark is liable as both a primary and a venture offender. ECF No. 28 at 9-14. The County does not move to dismiss Plaintiffs' TVPA claim on 12(b)(6) grounds, but Aramark argues that neither it nor County Defendants are liable under either subsection. ECF No. 23 at 12-14.

a. Primary Offender Liability

Aramark argues that it cannot be held liable as a primary offender because, while Plaintiffs allege various threats and coercion by Sheriff's deputies, they do not allege "that Aramark made any threats or coerced Plaintiffs, nor could they plausibly allege such because Aramark has no role in or responsibility for Plaintiffs' conditions of imprisonment at Santa Rita." ECF No. 23 at 12. In response, Plaintiffs invoke Subsection (a)(4), which prohibits knowingly obtaining labor "by means of any scheme, plan, or pattern intended to cause the person to believe that, if that person

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did not perform such labor or services, that person or another person would suffer serious harm or physical restraint." ECF No. 28 at 10. Plaintiffs contend that Aramark's contract with the County constitutes a "scheme . . . that caused [P]laintiffs to believe they would suffer serious harm or physical restraint in the form of solitary confinement or additional time in jail." ECF No. 28 at 10.

Plaintiffs cite *Owino v. CoreCivic, Inc.*, No. 17-cv-1112 JLS (NLS), 2018 WL 2193644, at *11 (S.D. Cal. May 14, 2018) for their Section 1589(a) argument. ECF No. 28 at 10. But in that case, civil immigration detainees sued a private company that operated the detention center in which they were housed, alleging that the company had coerced them into performing uncompensated work by threatening solitary confinement if they did not comply. *Owino*, 2018 WL 2193644, at *11. Unlike in *Owino*, Aramark does not operate the Santa Rita Jail and Plaintiffs do not allege that Aramark made the threats at issue. Plaintiffs cite no other authority for the proposition that "entering into a contract to obtain uncompensated labor" from an entity "who exert[s] physical control over plaintiffs is unlawful conduct under section 1589(a)(4)." ECF No. 28 at 10. The other cases they cite, which the Court addresses below, involve Section 1589(b) liability. Accordingly, Plaintiffs have failed to allege that Aramark is a primary offender under the TVPA.

b. Venture Offender Liability

Plaintiffs next argue that Aramark is liable as a venture offender because it "knowingly receives a financial benefit from its venture with Alameda County." *Id.* Plaintiffs cite *Ricchio v. McLean*, 853 F.3d 553, 556 (1st Cir. 2017), in which the plaintiff alleged a Section 1589(b) violation by claiming that motel owner defendants had (1) established a "venture" with a man who held her captive in his motel room, and (2) "knowingly benefited, that is, 'receiv[ed something] of value,' § 1589(b), through renting space in which [the man] obtained, among other things, forced sexual labor or services from [plaintiff]." ECF No. 28 at 10. The *Ricchio* court held that, by alleging that the motel owners had refused the plaintiff's pleas for help, had seen her captor physically abuse her, and had watched her physical condition deteriorate, the plaintiff had alleged that they "acted, at the least, in reckless disregard of the fact that the venture included such conduct on [the captor's] part." 853 F.3d at 556.

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Plaintiffs also cite *Lesnik v. Eisenmann SE*, 374 F. Supp. 3d 923, 952-53 (N.D. Cal. 2019), in which Tesla and Eisenmann were held liable for a subcontractor's use of coerced immigrant labor in constructing a new Tesla facility. The *Lesnik* court held that plaintiffs had alleged that Tesla and Eisenmann had "benefited 'financially' or by 'receiving anything of value'" from the subcontractor's labor practices by alleging that those practices "were committed to fulfill a contract signed with Tesla and Eisenmann." *Id.* at 953. The court also held that the plaintiffs had alleged "that Eisenmann 'knew or should have known'" about these practices by alleging that Eisenmann had submitted false letters for the workers' visas and occasionally supervised their work. *Id.* Likewise, the plaintiffs had adequately alleged Tesla's knowledge by alleging that Tesla knew the workers were performing work prohibited by their visas and without the required licenses and that Tesla kept records of the employees' excessive work hours and job hazards. *Id.*

As in *Lesnik*, Plaintiffs have sufficiently alleged that Aramark financially benefits from a venture with the County via Aramark's contract with the County. Compl. ¶21. In order to state a claim for venture liability on Aramark's part, however, the Plaintiffs must also have stated a claim of primary liability by the County. *See Bistline v. Parker*, 918 F.3d 849, 871 (10th Cir. 2019) ("We will first address whether plaintiffs have pled facts sufficient to support a claim against a primary offender, because this is a necessary element for venture liability."). Aramark argues that "Plaintiffs have not stated, and cannot state, a cognizable claim that the County and Sheriff violated § 1589(a), because it is well-settled under California law that '[i]nmates may be required to work in accordance with prison rules." ECF No. 23 at 13 (quoting *Hunter v. Odom*, No. 19-cv-00847-JST, 2019 WL 1560458, at *2 (N.D. Cal. Apr. 10, 2019)). The Court need not address this argument, however, because Plaintiffs have failed to allege the knowledge or "reckless disregard" required to state a venture liability claim. *See* 18 U.S.C. §§ 1589(b), 1595(a).

Plaintiffs allege that they performed work "under the supervision of Aramark employees and under guard of County of Alameda Sheriff's Deputies." Compl. ¶ 24. However, unlike in

³ While the Court does not address Aramark's argument regarding the County's primary liability under the TVPA, it notes that *Hunter* involved "[p]risoners who are duly tried, convicted and sentenced for the commission of a crime," 2019 WL 1560458, at *2, not pretrial and immigration detainees like those who bring the TVPA claim in this case.

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Ricchio and Lesnik, Plaintiffs do not allege that Aramark observed the threats by Sheriff's deputies, or that the company kept any records that would reflect these threats. *Id.* ¶ 26. Plaintiffs ask the Court to "infer from these allegations that . . . Aramark witnesses these coercive tactics employed by the Deputies while they supervise plaintiffs during shifts or is otherwise aware of these tactics based on their need to fulfill quotas regardless of plaintiffs' unwilling participation." ECF No. 28 at 11. Such an inference, however, would be too speculative based on the alleged facts. See In re Gilead Scis. Sec. Litig., 536 F.3d 1049, 1055 (9th Cir. 2008) (citation omitted) ("Nor is the court required to accept as true allegations that are merely conclusory, unwarranted deductions of fact, or unreasonable inferences."). Accordingly, the Court dismisses Plaintiffs' TVPA claim against Aramark with leave to amend.

B. State Law Claims

County Defendants contend that certain Plaintiffs' California Labor Code and Bane Act claims are barred because they did not exhaust their administrative remedies prior to filing suit. ECF No. 13 at 9-10. In the alternative, County Defendants argue that Plaintiffs have not stated Labor Code claims because County Defendants "are not required to pay the Plaintiffs' wages and cannot be held liable for failure to do so or for restitution of wages not paid." *Id.* at 9.

Aramark likewise argues that Plaintiffs' Labor Code claims fail because "[u]nder California law, inmates are not employees, and services they perform in jail are governed by the Penal Code, not the Labor Code," and the Penal Code does not require compensation of inmates in county jails. ECF No. 23 at 8. Even if Plaintiffs were governed by the Labor Code, Aramark argues, they have not pleaded an employment relationship with Aramark. *Id.* at 8-9. Aramark further argues that female Plaintiffs have failed to state a claim of unequal pay and that Plaintiffs' UCL and Bane Act claims also fail. *Id.* at 9.

1. California Government Claims Act Exhaustion

County Defendants move to dismiss Plaintiffs Mebrahtu, Reynolds, Mason, and Nunez-Romero's Labor Code and Bane Act claims for failure to comply with the presentation requirements of the California Government Claims Act. ECF No. 13 at 18-20. The Government Claims Act requires claimants to file a written claim relating to "a cause of action for death or for

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injury to person or to personal property or growing crops" within sixth months of accrual, and any other claim within one year of accrual. Cal. Gov. Code § 911.2(a). "[T]he date of accrual of a cause of action to which a claim relates is the date upon which the cause of action would be deemed to have accrued within the meaning of the statute of limitations which would be applicable thereto if there were no requirement that a claim be presented" *Id.* § 901. Section 910 outlines the requirements of a claim, including that the claimant identify the "date, place and other circumstances of the occurrence or transaction which gave rise to the claim asserted." Cal. Gov. Code § 910(c). A public entity presented with a sufficient claim "must act within 45 days or the claim is deemed to have been denied." *Phillips v. Desert Hosp. Dist.*, 49 Cal. 3d 699, 707 (1989) (citing Cal. Gov. Code § 912.4). "Once a claim is denied or deemed to have been denied, the claimant may then proceed to file a lawsuit." *Id.*

The Government Claims Act applies to actions brought for "money or damages." Cal. Gov. Code § 950.2; see also City of Los Angeles v. Super. Ct., 168 Cal. App. 4th 422, 430 (Cal. Ct. App. 2008)). In these cases, "failure to file a claim is fatal to the action." City of San Jose v. Super. Ct., 12 Cal. 3d 447, 454 (1974). "The policy underlying the claims presentation requirements is to afford prompt notice to public entities. This permits early investigation and evaluation of the claim and informed fiscal planning in light of prospective liabilities." Sparks v. Kern Cty. Bd. of Supervisors, 173 Cal. App. 4th 794, 798 (Cal. Ct. App. 2009). However, "[t]he act should not be applied to snare the unwary where its purpose has been satisfied." City of S. Lake Tahoe Retirees Ass'n v. City of S. Lake Tahoe, No. 215-cv-02502-KJM (CKD), 2016 WL 4001120, at *5 (E.D. Cal. July 26, 2016) (citing Elias v. San Bernardino Cty. Flood Control Dist., 68 Cal. App. 3d 70, 74 (Cal. Ct. App. 1977)). For this reason, "a claim need not strictly comply with § 910 in order to be considered a claim." Santos v. Merritt College, No. 07-cv-5227-EMC, 2008 WL 4570708, at *3 (N.D. Cal. Oct. 14, 2008). Rather, substantial compliance is sufficient. Id.

"Because 'the purpose of [the Government Claims Act] is to provide the public entity sufficient information to enable it to adequately investigate claims and to settle them, if appropriate, without the expense of litigation,' *City of San Jose v. Superior Court*, 12 Cal. 3d 447,

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455 (1974), 'a claim need not contain the detail and specificity required of a pleading, but need only fairly describe what [the] entity is alleged to have done,' Connelly v. Cnty. of Fresno, 146 Cal. App. 4th 29, 38 (Ct. App. 2006)." Flock v. County of Alameda, No. 12-CV-01003 NC, 2012 WL 4051120, at *4 (N.D. Cal. Sept. 13, 2012). "It is well-recognized that courts should construe the Government Claims Act liberally in favor of plaintiffs so as not to harshly deny relief to injured parties." Newman v. San Joaquin Delta Cmty. Coll. Dist., No. CIV. 2:09-3441 WBS K, 2010 WL 3633737, at *5 (E.D. Cal. Sept. 14, 2010) (citing Munoz v. California, 33 Cal. App. 4th 1767, 1778 (Cal. Ct. App. 1995)).

Plaintiffs first argue that Mebrahtu, Reynolds, Mason, and Nunez-Romero are exempt from the presentation requirements because they are considered "public employees." ECF No. 14 at 11 (citing Cal. Gov. Code § 905(c), which exempts "[c]laims by public employees for fees, salaries, wages, mileage, or other expenses and allowances"). However, Plaintiffs cite no authority for the assertion that inmate-employees are "public employees," which the California Government Code defines as "any person employed by any public agency," except those elected or appointed to office. Cal. Gov. Code § 3501(d). Given that the issue at the center of this lawsuit is whether Plaintiffs' are, in fact, employees, the Court concludes that they may not skirt the Claims Act requirements by invoking this exception.

The Court will now address County Defendants' arguments as to particular claimants.

a. Mebrahtu and Reynolds

Plaintiffs Ruelas, Cox, Davis, Jones, Mebrahtu, and Reynolds submitted a claim to the County on August 12, 2019 on behalf of themselves and "all others similarly situated." ECF No. 15-2 at 6.5 In this claim, Mebrahtu alleges that he "is formerly incarcerated in Santa Rita Jail and

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⁵ Plaintiffs filed an unopposed request for judicial notice of this claim and the County's response

⁴ The claim was mailed on August 8, 2019 and received on August 12, 2019. ECF No. 15-2 at 5, 24 9. The parties do not address which date constitutes the date the claim was "presented" to the County pursuant to Section 911.2. Without deciding the issue, the Court uses August 12, 2019 for 25 the purpose of this order.

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to it. ECF No. 15. Because Defendants do not question the authenticity of the claim and it is incorporated into the complaint by reference, see Compl. ¶ 65, the Court grants this request. See Branch v. Tunnell, 14 F.3d 449, 454 (9th Cir. 1994), overruled on other grounds by Galbraith v. County of Santa Clara, 307 F.3d 1119 (9th Cir. 2002); Knievel v. ESPN, 393 F.3d 1068, 1076 (9th

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worked as an incarcerated Aramark employee in the jail kitchen for approximately eleven months between 2014 and 2018" and that he "never received any monetary compensation for his labor." *Id.* at 7. Reynolds alleges that he "is formerly incarcerated in Santa Rita Jail and worked as an incarcerated Aramark employee in the jail kitchen for approximately one year," also without monetary compensation, and that he "sustained cumulative injuries to his legs and lumbar region" as a result of "the demanding working conditions." *Id.* The County responded to the class claim on August 19, 2019, rejecting "causes of action occurring between February 12, 2019 to August 12, 2019" and returning the claim as untimely insofar as it related to causes of action occurring prior to February 12, 2019. *Id.* at 2. The County did not identify any other issues with the claim. *Id.*

County Defendants argue that Mebrahtu's claim is time-barred and that Reynolds's claim fails to state the required "date of the accident." ECF No. 13 at 18-19. Plaintiffs respond that any defects in Mebrahtu and Reynolds's claims are cured by the fact that Ruelas, Cox, Davis, and Jones presented a compliant class claim. ECF No. 14 at 12-13. Plaintiffs are correct that putative class members need not file individual claims to satisfy the Government Claims Act, *City of San Jose*, 12 Cal. 3d at 457, and Defendants concede as much, ECF No. 16 at 11. However, they argue that a class claim cannot revive claims made by class representatives that are "time barred" or "otherwise defective." *Id.* at 11-12.

To assess substantial compliance, the Court first looks to whether there is "some compliance with all of the statutory requirements," and next to whether this compliance is "sufficient to constitute substantial compliance[.]" City of San Jose, 12 Cal. 3d at 456-57 (emphasis in original). A class claim "must provide the name, address, and other specified information concerning the representative plaintiff and then sufficient information to identify and make ascertainable the class itself." Id. at 457 (emphasis in original). It appears likely that neither Mebrahtu's nor Reynolds's portion of the class claim states the "date . . . of the occurrence or

Cir. 2005).

⁶ Defendants do not dispute the sufficiency of Ruelas, Cox, Davis, and Jones's claims. ECF No. 16 at 11.

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transaction which gave rise to the claim asserted" with sufficient precision to serve as representative plaintiffs. Cal. Gov. Code § 910(c); see Martinez v. County of Sonoma, No. 15-cv-01953-JST, 2016 WL 39753, at *4 (N.D. Cal. Jan. 4, 2016) (substantial compliance where class claim provided a "narrowly-defined timeframe" of four months and alleged that named representative's injuries "occurred 'during those periods,' 'daily,' and 'regularly'"). However, because the County did not object to the sufficiency of Mebrahtu or Reynolds's claims in its response to the class claim, the County Defendants have waived this defense. Cal. Gov't Code § 910.8 ("If . . . a claim as presented fails to comply substantially with the requirements of Sections 910 and 910.2, . . . the board . . . may, at any time within 20 days after the claim is presented, give written notice of its insufficiency, stating with particularity the defects or omissions therein."); id. § 911 ("Any defense as to the sufficiency of the claim based upon a defect or omission in the claim as presented is waived by failure to give notice of insufficiency with respect to the defect or omission as provided in Section 910.8..."); see also Green v. State Ctr. Cmty. Coll. Dist., 34 Cal. App. 4th 1348, 1354 (Cal. Ct. App. 1995) ("If the public entity fails to send this notice, it waives any defenses as to the sufficiency of the claim based upon a defect or omission.") (emphasis in original).

The Court agrees with Defendants, however, that Mebrahtu cannot serve as a class representative because he has not sufficiently alleged that he suffered an injury within one year of filing the class claim. *See* Cal. Gov. Code § 911.2(a). Accordingly, the Court dismisses

Mebrahtu's Labor Code and Bane Act claims against County Defendants with leave to amend.⁷

b. Mason and Nunez-Romero

County Defendants argue that Mason and Nunez-Romero's state claims are also barred because Plaintiffs filed this lawsuit before the County responded to Mason and Nunez-Romero's government claim. ECF No. 13 at 19-20. In the complaint, Mason and Nunez-Romero allege that

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⁷ Apart from their argument that they fall under the Government Claims Act's exception for public employees, Plaintiffs do not dispute that their Labor Code and Bane Act claims are brought for "money or damages." Cal. Gov. Code § 950.2; *see also City of Los Angeles v. Super. Ct.*, 168 Cal. App. 4th 422, 430 (Cal. Ct. App. 2008)). Accordingly, the claim presentation requirement applies to these claims.

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they "filed a California Government Claim on their behalf and on behalf of others similarly situated regarding the matters asserted herein . . . on November 8, 2019." Compl. ¶ 66. Pursuant to Section 912.4, the County had 45 days to respond to that claim. Cal. Gov. Code § 912.4. Plaintiffs filed the instant complaint on November 20, 2019, just twelve days later, and do not allege that the County rejected Mason and Nunez-Romero's claim, as required by the Government Claims Act, *see id.* § 945.4.

Plaintiffs argue that this defect is not fatal to Mason and Nunez-Romero's claims, citing cases in which "courts have refused to dismiss [prematurely filed complaints] because by submitting the timely claim, 'plaintiffs had substantially complied with the claim presentation requirement." ECF No. 14 at 15 (quoting *California v. Super. Ct.*, 32 Cal. 4th 1234, 1244 (2004) (listing cases)). But the cases listed in *Superior Court* hinge on the fact that plaintiffs had "satisfied the purpose behind the [claim presentation] requirement – to give the entity the opportunity to investigate and settle the claim before suit was brought." 32 Cal. 4th at 1244; *see*, *e.g.*, *Cory v. City of Huntington Beach*, 43 Cal. App. 3d 131, 136 (Cal. Ct. App. 1974) ("[T]he city could not have been prejudiced by the premature filing of the action since the complaint was not served until the time period had run."); *Taylor v. City of Los Angeles*, 180 Cal. App. 2d 255, 263 (Cal. Ct. App. 1960) ("In the present case, the complaint was not filed too late but, rather, several days before the rejection of the claim. At the time the answer of the city was filed, the city had received every benefit which a provision for rejection prior to suit is intended to serve.").

Plaintiffs cite no cases holding that a complaint filed twelve or fewer days after a government claim was filed substantially complied with the claim presentation requirement. Because such a window cannot reasonably be seen as giving the County "the opportunity to investigate and settle the claim before suit was brought," *Super. Ct.*, 32 Cal. 4th at 1244, the Court dismisses Mason and Nunez-Romero's Labor Code and Bane Act claims against County Defendants for failure to comply with the Government Claims Act. While they may not serve as named Plaintiffs, the Court notes that they may participate in the suit as putative class members, presuming they are similarly situated to other named Plaintiffs.

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2. **Labor Code Claims**

Plaintiffs make four California Labor Code claims: (1) failure to pay wages, Cal. Labor Code §§ 201, 202, 218; (2) failure to pay minimum wage, id. § 1194; (3) failure to pay overtime wages, id.; and (4) failure to pay equal wages in violation of the California Equal Pay Act, id. § 1197.5. Compl. ¶ 84-99. Plaintiffs argue that they are entitled to wages under California Proposition 139, which allowed for-profit entities to contract with state prisons and county jails for the purpose of using inmate labor. ECF No. 24-2 at 28; ECF No. 28 at 14. Plaintiffs also argue that they are entitled to the protections of the Labor Code because they have alleged an employment relationship between themselves, Aramark, and the County. ECF No. 14 at 8; ECF No. 28 at 17.

Aramark moves to dismiss Plaintiffs' Labor Code claims on the ground that, because Plaintiffs are county jail inmates rather than state prisoners, Proposition 139 does not entitle them to compensation for their work. ECF No. 23 at 15. Alternatively, Aramark argues that Plaintiffs have not alleged an employment relationship with Aramark. ECF No. 23 at 16. County Defendants move to dismiss Plaintiffs' Labor Code claims against them on the grounds that, even if Proposition 139 does require compensation of Plaintiffs, it is Aramark, not the County, that is required to provide that compensation. ECF No. 13 at 12.

a. **Proposition 139**

In authorizing joint employment ventures with for-profit entities, Proposition 139 amended the California Constitution to provide that "[s]uch programs shall be operated and implemented pursuant to statutes enacted by or in accordance with the provisions of the Prison Inmate Labor Initiative of 1990, and by rules and regulations prescribed by the Director of Corrections and, for county jail programs, by local ordinances." ECF No. 24-2 at 2; Cal. Const. Art. 14 § 5.

⁸ Aramark has filed an unopposed request for judicial notice of Proposition 139, which the Court grants. ECF No. 24 at 2; see Reyn's Pasta Bella, LLC v. Visa USA, Inc., 442 F.3d 741, 746 n.6 (9th Cir. 2006) (courts "may take judicial notice of court filings and other matters of public record").

⁹ Aramark also moves to dismiss Plaintiffs' Equal Pay Act claim on the ground that Plaintiffs do not allege unequal pay. ECF No. 23 at 21. Plaintiffs concede this argument in their opposition. ECF No. 28 at 8. The Court thus dismisses this claim with leave to amend.

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Proposition 139 also amended California Penal Code Sections 2717 *et seq.*, which apply solely to state prisons. ECF No. 24-2 at 3-4. One of these amendments provides that "[t]he compensation of prisoners engaged in programs pursuant to contract between the Department of Corrections and joint venture employers for the purpose of conducting programs which use inmate labor shall be comparable to wages paid by the joint venture employer to non-inmate employees performing similar work for that employer." *Id.* at 4; Cal. Penal Code § 2717.8.

While Plaintiffs concede that Proposition 139 did not similarly modify the Penal Code sections applying to county jails, they argue that the Section 2717.8 language is "instructive" when it comes to joint employment ventures with county jails. ECF No. 28 at 15-16. Plaintiffs contend that "[w]ithout explicit language to that effect, it cannot be argued that prisoners in jail are excluded from being jointly employed by the county and a private employer and permitted wages." *Id.* at 16. In support of this assertion, Plaintiffs cite Proposition 139's purpose section, which focuses on the need to reimburse the state "or counties" for the costs of incarceration as well as to allow prisoners to support their families and assist in their own rehabilitation. ECF No. 24-2 at 2. Plaintiffs argue that because counties were "explicitly included" in Proposition 139's authorization of joint employment ventures, prisoners in county jails "must be permitted wages." ECF No. 28 at 16.

But the one does not follow the other. In passing Proposition 139, California voters chose to amend the state prison section of the Penal Code to mandate compensation for prisoners but *not* to amend the corresponding Penal Code section for county jails. Instead, they left the question of compensation for joint employment ventures in county jails up to municipalities. *See also* Voter Information Guide for 1990, General, ECF No. 29-3 at 67 ("[Proposition 139] allows contracting for the use of local jail inmate labor and provides that such contracts be governed by local ordinances. However, the measure does not specify the content of the local ordinances."). ¹⁰ Plaintiffs point to no local ordinance entitling them to compensation, and the Court has found

¹⁰ The Court grants Plaintiffs' unopposed request for judicial notice of the 1990 voter guide. *See* ECF No. 29; *Reyn's Pasta Bella, LLC*, 442 F.3d at 746 n.6; *Chamness v. Bowen*, No. CV 11-01479 ODW (FFMx), 2011 WL 13128410, at *5 (C.D. Cal. Mar. 30, 2011) (granting judicial notice of official voter guides).

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none. Filling in this gap with the equivalent of Section 2717.8 for county jails, as Plaintiffs ask the Court to do, would fly in the face of the statutory maxim that "a matter not covered is to be treated as not covered." Antonin Scalia & Brian A. Garner, Reading Law: The Interpretation of Legal Texts 93-100 (2012) (cited in *Shea v. Kerry*, 961 F. Supp. 2d 17, 29 n.3 (D.D.C. 2013)) (explaining canon of construction that "[n]othing is to be added to what the text states or reasonably implies (casus omissus pro omisso habendus est)").¹¹

b. Employment Relationship

Plaintiffs argue that, even if Proposition 139 does not establish their right to compensation, they are entitled to the protections of the Labor Code because they have alleged an employment relationship with Aramark and the County. ECF No. 28 at 17. But this skips over the question of whether Plaintiffs have a right to compensation underlying their claims for unpaid wages, and, if so, whether the Labor Code provides county jail inmates a means of recovering these wages.

Before addressing these questions, the Court distinguishes between two subsets of Plaintiffs: those who have been convicted of crimes and those who have not. The Court evaluates the claims separately for each group.

i. Convicted Plaintiffs

It is well established that, absent a specific statutory provision, prisoners who have been "duly convicted" of crimes "do not have a legal entitlement to payment for their work." *Serra v. Lappin*, 600 F.3d 1191, 1196 (9th Cir. 2010) (citation omitted). This is because "the Thirteenth Amendment, a general prohibition against involuntary servitude, . . . expressly excepts from that general prohibition forced labor 'as a punishment for crime whereof the party shall have been duly convicted." *Id.* (quoting U.S. Const. amend., XIII §1).¹² Where state statutes do create such an

¹¹ As Judge Katzmann notes in his useful treatise on statutory construction, "canons have their limits as guides to adjudication." Robert Katzmann, <u>Judging Statutes</u> 51 (2014). The legislative history of a statute, for example, is often a better guide to the meaning of that statute than a canon of construction. *Id.* at 50-54. In this instance, however, the Court finds no conflict between the two.

¹² The Court uses the term "convicted" to encompass all Plaintiffs for whom incarceration at Santa Rita jail, and the ensuring work in its kitchen, was "imposed as legal punishment for a crime." *See United States v. Kozminski*, 487 U.S. 931, 943 (1988).

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entitlement, "courts have consistently held that such statutes granting inmates a protected property interest in their wages may also limit and define the contours of such interest." *Ward v. Ryan*, 623 F.3d 807, 811 (9th Cir. 2010) (collecting cases). California requires that inmates in state prisons be paid for their work and mandates that "in no event shall that compensation exceed one-half the minimum wage provided in Section 1182 of the Labor Code, except as otherwise provided in [the Penal Code]." Cal. Penal Code § 2811; *see Davis v. Villagrana*, No. 1:09-cv-01897-AWI-SMS PC, 2011 WL 318328, at *3 (E.D. Cal. Feb. 1, 2011) (rejecting due process claim for wages higher than those dictated by the Penal Code).

When it comes to county jails, however, the Penal Code, like the state constitutional provision amended by Proposition 139, delegates the issue to municipalities. *See* Cal. Penal Code § 4017 (stating that "[a]ll persons confined in the county jail . . . under a final judgment of imprisonment rendered in a criminal action or proceeding [or] . . . as a condition of probation after suspension of imposition of a sentence or suspension of execution of sentence may be required by an order of the board of supervisors or city council to perform labor on the public works or ways"); *id.* § 4019.3 ("The board of supervisors may provide that each prisoner confined in or committed to a county jail shall be credited with a sum not to exceed two dollars (\$2) for each eight hours of work done by him in such county jail."). Because Plaintiffs point to no local ordinance entitling them to compensation for their work, they have not demonstrated a "legal entitlement" to such compensation. *Serra*, 600 F.3d at 1196.

Even if they had, the Labor Code does not give Plaintiffs who have been convicted of crimes a means of recovering such compensation. Plaintiffs argue that "[n]othing in the language of the California Constitution or the sections of the Penal Code dealing with jails denies prisoners in county jails the protections of the California Labor Code" and that, "absent statutory language to the contrary, prisoners in county jail are not categorically excluded from being employees under the labor code." ECF No. 28 at 15. In support, Plaintiffs cite cases applying the Labor Code's worker's compensation provisions to a worker performing court-mandated community service, *see Arriaga v. County of Alameda*, 9 Cal. 4th 1055, 1059 (1995), and to a county jail inmate who had volunteered to work in exchange for a shorter sentence, *Pruitt v. Workmen's Comp. Appeals Bd.*,

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Nevada Cty., 261 Cal. App. 2d 546, 552 (Cal. Ct. App. 1968). *Id.* Both cases, however, focus on the constitutional and legislative mandate that worker's compensation be liberally construed. *Arriaga*, 9 Cal. 4th at 1065; *Pruitt*, 261 Cal. App. 2d at 553. Moreover, the Labor Code specifically provides that "[e]ach inmate of a state penal or correctional institution" is entitled to worker's compensation – which is not at issue in this case. Cal. Labor Code § 3370(a).

Other than the worker's compensation provisions, the Court has identified just one other section of the Labor Code that explicitly references prisoners or inmates. See Cal. Labor Code § 6304.2 (classifying "any state prisoner engaged in correctional industry" an "employee" for the purposes of the occupational health and safety provisions of the Labor Code). The Penal Code, meanwhile, presumes that the Labor Code does *not* apply to duly convicted prisoners unless specifically indicated. As discussed above, the Penal Code caps compensation for state prisoners at "one-half the minimum wage provided in Section 1182 of the Labor Code, except as otherwise provided in [the Penal Code]." Cal. Penal Code § 2811. This and other provisions of the Penal Code, see, e.g., id. § 2700 (empowering Department of Corrections to require able-bodied prisoners to work as many hours "as shall be prescribed by the rules and regulations of the Director of Corrections"), are flatly inconsistent with the Labor Code. See Owino, 2018 WL 2193644, at *21 (holding that Section 2811 of the California Penal Code "expressly exempts [state prison] inmates from the Labor Code's minimum wage requirements"). So while it may be true, as Plaintiffs contend, that county jail inmates "are not categorically excluded from being employees under the labor code," ECF No. 28 at 15, neither can the Court conclude that they are included in the Labor Code unless the Penal Code says so. Because Plaintiffs point to no portion of the Penal Code indicating that Sections 201, 202, 218, or 1194 of the Labor Code apply to convicted inmates, the Court concludes that these inmates cannot invoke these sections to recover wages.

For this reason, the Court dismisses the convicted Plaintiffs' Labor Code claims. Because amendment would be futile, dismissal is without leave to amend. *See Salameh v. Tarsadia Hotel*, 726 F.3d 1124, 1133 (9th Cir. 2013).

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ii. Non-Convicted Plaintiffs

Plaintiffs who have not been convicted of crimes are protected by the Thirteenth Amendment's prohibition against involuntary servitude. *See McGarry v. Pallito*, 687 F.3d 505, 511 (2d Cir. 2012). "[A]lthough a state may subject a pretrial detainee to restrictions and conditions of the detention facility, such conditions may not violate the Constitution." *Id.* Forcing someone to work "by the use or threat of physical restraint or physical injury, or by the use or threat of coercion through law or the legal process[,]" violates the Thirteenth Amendment. *Kozminski*, 487 U.S. at 952; *see also McGarry*, 687 F.3d at 511. Defendants make no arguments as to why, despite this constitutional prohibition, pretrial detainees are not entitled to wages or Labor Code protections. The Court thus denies the motions to dismiss the claim for failure to pay wages insofar as that claim is made by non-convicted Plaintiffs. ¹³

As for these Plaintiffs' Section 1194 claims for failure to pay minimum wage or overtime, the Court must also evaluate whether they meet the employee test laid out in *Martinez v. Combs*, 49 Cal. 4th 35, 49 (2010). *Martinez* held that the Industrial Welfare Commission's ("IWC") wage orders define an "employer" subject to liability under Section 1194 as a person who "directly or indirectly, or through an agent or any other person, employs or exercises control over the wages, hours, or working conditions of any person." 49 Cal. 4th at 52, 71, 109 (quoting Wage Order No. 14) (emphasis omitted). Pursuant to the IWC, "employ" is defined to mean "to engage, suffer, or permit to work." *Id.* at 57 (quoting Wage Order No. 14). "To employ, then, under the IWC's definition, has three alternative definitions. It means: (a) to exercise control over the wages, hours or working conditions, *or* (b) to suffer or permit to work, *or* (c) to engage, thereby creating a common law employment relationship." *Id.* at 64. "Any of the three is sufficient to create an employment relationship." *Ochoa v. McDonald's Corp.*, 133 F. Supp. 3d 1228, 1233 (N.D. Cal. 2015). "While [the] plaintiff is not required to conclusively establish that defendants [a]re her

¹³ In addition to the pretrial detainee subclass, this category also includes members of the immigration detainee subclass who have not been convicted of crimes. *See Owino*, 2018 WL 2193644, at *21-22 (holding that civil immigration detainees were employees for the purposes of the California Labor Code because they had not been convicted of a crime, they met the employee test laid out in *Martinez v. Combs*, 49 Cal. 4th 35, 49 (2010), and "Defendant has not demonstrated that the Labor Code, or any case law, specifically exempts alien detainees from the Labor Code").

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joint employers at the pleading stage, [the] plaintiff must at least allege some facts in support of this legal conclusion." *Johnson v. Serenity Transp., Inc.*, 141 F. Supp. 3d 974, 988 (N.D. Cal. 2015) (quoting *Hibbs-Rines v. Seagate Techs., LLC*, No. 08-cv-05430-SI, 2009 WL 513496, at *5 (N.D. Cal. Mar. 2, 2009)).

Under the first *Martinez* prong, "control over 'any one of the three aspects – wages, hours, or working conditions – is sufficient to impute employer liability under California wage and hour law." *Haralson v. United Airlines, Inc.*, 224 F. Supp. 3d 928, 939 (N.D. Cal. 2016) (quoting *Torres v. Air to Ground Servs., Inc.*, 300 F.R.D. 386, 395 (C.D. Cal. 2014)). "Supervision of the work, in the specific sense of exercising control over how services are performed, is properly viewed as one of the 'working conditions' mentioned in the wage order." *Martinez*, 49 Cal. 4th at 76. However, a "single conclusory allegation . . . that [the plaintiff] was supervised and/or managed by [defendant] employees" is not sufficient to support an inference of control. *Haralson*, 224 F. Supp. 3d at 939-40.

Plaintiffs allege that they worked "under the supervision of ARAMARK employees and under guard of COUNTY OF ALAMEDA Sheriff's Deputies." Compl. ¶ 24. Standing alone, this conclusory allegation does not support an inference of control by Aramark. *Haralson*, 224 F. Supp. 3d at 939-40. Plaintiffs' further allegation that "Defendants divide the work day so that male prisoners are assigned to longer, daytime shifts, and female prisoners are assigned to shorter, nighttime shifts," Compl. ¶ 23, provides more support for this inference but constitutes improper group pleading. *See, e.g., Boyer v. Becerra*, No. 17-cv-06063-YGR, 2018 WL 2041995, at *7 (N.D. Cal. Apr. 30, 2018) (citation, internal quotation marks, and alterations omitted) ("Courts consistently conclude that a complaint which lump[s] together multiple defendants in one broad allegation fails to satisfy the notice requirement of Rule 8(a)(2)."); *Fagbohungbe v. Caltrans*, No. 13-cv-03801-WHO, 2014 WL 644008, at *3 n.4 (N.D. Cal. Feb. 19, 2014) ("The general allegation regarding 'defendants' is also insufficient on its face because it does not identify which specific defendants...."). Plaintiffs also allege that Aramark sets "quotas," Compl. ¶ 30, but do not explain for what or how these quotas demonstrate Aramark's control over Plaintiffs' working conditions. Rather, the complaint references these quotas in describing how the Sheriff's deputies

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forced female prisoners to work in place of male prisoners who were on strike. *Id.* Taken together, these allegations do not sufficiently allege that Aramark exercised control over Plaintiffs' wages, hours, or working conditions. While Plaintiffs make more specific allegations about County Defendants, these allegations go to the ways in which these Defendants allegedly forced Plaintiffs to work – not the control they had over this work. Accordingly, Plaintiffs have not alleged control by County Defendants, either.

Under *Martinez*'s second prong – to suffer or permit to work – "the basis of liability is the defendant's knowledge of and *failure to prevent* the work from occurring." 49 Cal. 4th at 70. In *Martinez*, defendants did not have the power to prevent the plaintiffs from working because a third party "had the exclusive power to hire and fire [the] workers, to set their wages and hours, and to tell them when and where to report to work." *Id*.

Aramark argues that Plaintiffs have not alleged "that Aramark assigned Plaintiffs to kitchen duty – or could have prevented that assignment." ECF No. 23 at 18 (citing allegations that Sheriff's deputies coerced Plaintiffs to work, Compl. ¶¶ 26, 27, 30). Aramark also quotes Plaintiffs' opposition to County Defendants' motion to dismiss, in which they argue that "[County] Defendants . . . make plaintiffs and the putative class's labor possible by selecting prisoners to work, setting their schedules, and imposing discipline on workers at their discretion." Id. (quoting ECF No. 14 at 6). Plaintiffs counter that "the defendants share responsibility for a number of aspects of the scheme that makes plaintiffs' labor possible." *Id.* at 18-19 (citing Compl. ¶ 22, 23, 29). Yet the portions of the complaint they cite for this joint responsibility improperly lump Defendants together, as discussed above, giving the Court no basis to infer Aramark's individual responsibility. See Boyer, 2018 WL 2041995, at *7. Plaintiffs also argue that the allegation of a contract between Aramark and the County to provide unpaid labor is sufficient to demonstrate that Aramark has "ultimate control over [P]laintiffs' work and therefore suffer[s] or permit[s] plaintiffs to work." ECF No. 28 at 18. Plaintiffs cite no authority for this proposition, however, which cuts against the Martinez court's rejection of the argument that the facts that defendants knew plaintiffs were working and benefitted from their work were sufficient to meet this prong. See 49 Cal. 4th at 69-70. Plaintiffs have thus not alleged that they were employees of

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Aramark via the second *Martinez* prong.

Plaintiffs have, however, sufficiently alleged that County Defendants suffered or permitted them to work. Because Plaintiffs were or are inmates confined in Santa Rita Jail, every aspect of their lives was or is controlled by the County, the Sheriff, and their agents. Plaintiffs allege that Sheriff's deputies both force them to work and "threaten to terminate prisoners' employment if they need to take a sick day or are injured." Compl. ¶ 26. This is sufficient to allege that the County Defendants suffer or permit the Plaintiffs to work. The non-convicted Plaintiffs have thus sufficiently alleged that they were employed by County Defendants for the purposes of Section 1194 and have stated claims against those defendants for failure to pay minimum wage and overtime.

Under the third *Martinez* prong, Plaintiffs can qualify as employees if they allege "a common law employment relationship." *Ochoa*, 133 F. Supp. 3d at 1235 (citing *Martinez*, 49 Cal. 4th at 64). According to California common law, the "principal test of an employment relationship is whether the person to whom service is rendered has the right to control the manner and means of accomplishing the result desired." *S.G. Borello & Sons, Inc. v. Dep't of Indus. Relations*, 48 Cal. 3d 341, 350 (1989) (citation omitted); *see also Ayala v. Antelope Valley Newspapers, Inc.*, 59 Cal. 4th 522 (2014) (quoting *Borello*, 48 Cal. 3d at 357) ("What matters is whether the hirer 'retains all necessary control' over its operations."). As explained above, Plaintiffs have made no allegations about Aramark's control over their work other than the conclusory allegation that they were supervised by Aramark employees. This allegation is insufficient to support an inference of a common law employment relationship.

Because Plaintiffs have failed to allege that they were employees of Aramark under any of the *Martinez* prongs, they have not stated Section 1194 claims for failure to pay minimum wage or overtime. Accordingly, the Court dismisses these claims as to Aramark with leave to amend.

3. UCL Claim

Aramark also moves to dismiss Plaintiffs' UCL claim on the ground that Plaintiffs have not stated any claim under other laws or statutes that could tether a UCL claim. ECF No. 23 at 21-22; see Willner v. Manpower Inc., 35 F. Supp. 3d 1116, 1132 (N.D. Cal. 2014) ("An act is

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unlawful under the UCL if it violates another law."); *Langan v. United Servs. Auto. Ass'n*, 69 F. Supp. 3d 965, 983 (N.D. Cal. 2014) (conduct may be found "unfair" if it is "tethered to [a] specific constitutional, statutory, or regulatory provision"). As discussed above, however, Plaintiffs have stated a Labor Code claim against Aramark for failure to pay non-convicted Plaintiffs wages. Because "virtually any state, federal or local law can serve as the predicate for an action under [the UCL]," *Davis v. HSBC Bank Nev., N.A.*, 691 F.3d 1152, 1168 (9th Cir. 2012), the Court concludes that Plaintiffs have stated a UCL claim and denies Aramark's motion to dismiss this claim.

4. Bane Act Claim

Lastly, both Aramark and County Defendants move to dismiss Plaintiffs' Bane Act claim. ECF No. 13 at 20; ECF No. 23 at 22. The County Defendants argue only that Plaintiffs have failed to exhaust under the California Government Claims Act. ECF No. 13 at 20-22. The Court has already addressed these arguments above. *See infra* IV.B.1 (dismissing Labor Code and Bane Act claims by Mebrahtu, Mason, and Nunez-Romero for failure to exhaust). County Defendants additionally argue that the class claim Ruelas, Cox, Davis, Jones, Mebrahtu, and Reynolds submitted to the County on August 12, 2019 did not put the County on notice of a potential Bane Act Claim. ECF No. 16 at 15-16. But the 2019 claim stated that the claimants "fear punishment and reprisals, including placement in solitary confinement, if they refuse to work" and put the County on notice that Plaintiffs sought recovery "on the basis of all applicable legal theories." ECF No. 15-2 at 7.

County Defendants cite no authority for the proposition that the language in Plaintiffs' claim was insufficient to satisfy the Government Claims Act, and the Court concludes that the claim satisfied the exhaustion requirement.¹⁴ The Court thus denies County Defendants' motion to dismiss Plaintiffs' Bane Act claim.

Next, Aramark argues that Plaintiffs have not alleged either of the required elements for a

¹⁴ In their reply brief, County Defendants also argue that Plaintiffs have alleged insufficient facts to support their Bane Act Claim. ECF No. 16 at 17. The Court disregards this argument as it was made for the first time on reply. *See Rodman v. Safeway Inc.*, 125 F. Supp. 3d 922, 930 n.6 (N.D. Cal. 2015).

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Bane Act claim against Aramark. ECF No. 23 at 22-23. The necessary elements for a Bane Act claim are "(1) intentional interference or attempted interference with a state or federal constitutional or legal right, and (2) the interference or attempted interference was by threats, intimidation or coercion." *Lawrence v. City and County of San Francisco*, 258 F. Supp. 3d 977, 994-95 (N.D. Cal. 2017) (citing *Allen v. City of Sacramento*, 234 Cal. App. 4th 41, 67 (Cal. Ct. App. 2015)); *see also* Cal. Civ. Code § 52.1(b)-(c). The right at issue must be constitutional or statutory. *Venegas v. County of Los Angeles*, 32 Cal. 4th 820, 843 (2004).

Plaintiffs have met the first element by alleging that Aramark intentionally interfered with the non-convicted Plaintiffs' right to wages under the California Labor Code. As discussed above, however, Plaintiffs' only allegations of force or coercion are by Sheriff's deputies, not Aramark. See Compl. ¶¶ 26, 27, 30. Plaintiffs have thus failed to allege the second element of this claim against Aramark. Accordingly, the Court dismisses this claim with leave to amend.

CONCLUSION

For the foregoing reasons, the Court dismisses Plaintiffs' (1) TVPA claim against Aramark; (2) Labor Code claim for failure to pay wages, but only as it pertains to convicted Plaintiffs; (3) Labor Code claims against County Defendants for failure to pay minimum wage and overtime, but only as they pertain to convicted Plaintiffs; (4) Labor Code claims against Aramark for failure to pay minimum wage and overtime; (5) Equal Pay Act claim; (6) Bane Act claim, but only against Aramark; and (7) Plaintiffs Mebrahtu, Mason, and Nunez-Romero's Labor Code and Bane Act claims against County Defendants. With the exception of the Labor Code claim for failure to pay convicted Plaintiffs wages as well as Mebrahtu, Mason, and Nunez-Romero's claims, all dismissals are with leave to amend.

IT IS SO ORDERED.

Dated: June 26, 2020

JON S. TIGAR
United States District Judge

CASE No. 21-16528

UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

ARMIDA RUELAS; DE'ANDRE EUGENE COX; BERT DAVIS; KATRISH JONES; JOSEPH MEBRAHTU; DAHRYL REYNOLDS; MONICA MASON; LOUIS NUNEZ-ROMERO; SCOTT ABBEY, AND ALL OTHERS SIMILARLY SITUATED

Plaintiffs and Appellees,

v.

COUNTY OF ALAMEDA; SHERIFF GREGORY J. AHERN; ARAMARK CORRECTIONAL SERVICES, LLC

Defendants and Appellants.

Appeal From The United States District Court, Northern District of California, Case No. 4:19-cv-07637-JST, Hon. Jon S. Tigar

EXCERPTS OF RECORD - VOLUME 3 OF 3

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PAGES 1 - 34 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA BEFORE THE HONORABLE JON S. TIGAR, JUDGE ARMIDA RUELAS, ET AL., PLAINTIFFS, NO. C-19-7637 JST VS. WEDNESDAY, MARCH 4, 2020 COUNTY OF ALAMEDA, ET AL.,) OAKLAND, CALIFORNIA MOTION TO DISMISS DEFENDANTS. REPORTER'S TRANSCRIPT OF PROCEEDINGS APPEARANCES: FOR PLAINTIFFS: SIEGEL, YEE & BRUNNER 475 14TH STREET, SUITE 500 OAKLAND, CALIFORNIA 94612 BY: EMILY ROSE JOHNS, ESQUIRE COVINGTON & BURLING LLP FOR DEFENDANT ARAMARK: 415 MISSION STREET SAN FRANCISCO, CALIFORNIA 94105 BY: CORTLIN H. LANNIN, ESQUIRE ISAAC D. CHAPUT, ESQUIRE COVINGTON & BURLING LLP 860 TENTH STREET, NW WASHINGTON, DC 20001 BY: ERIC C BOSSET, ESQUIRE REPORTED BY: DIANE E. SKILLMAN, CSR 4909, RPR, FCRR OFFICIAL COURT REPORTER TRANSCRIPT PRODUCED BY COMPUTER-AIDED TRANSCRIPTION

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1	WEDNESDAY, MARCH 4, 2020 3:01 P.M.
2	PROCEEDINGS
3	000
4	THE CLERK: YOUR HONOR, NOW CALLING CIVIL MATTER
5	19-7637 ARMIDA RUELAS, ET AL. V. COUNTY OF ALAMEDA, ET AL.
6	IF THE PARTIES COUNSEL COULD PLEASE COME FORWARD AND
7	STATE THEIR APPEARANCES FOR THE RECORD.
8	MR. BOSSET: ERIC BOSSET FOR DEFENDANT ARAMARK
9	CORRECTIONAL SERVICES, YOUR HONOR.
10	MS. JOHNS: GOOD AFTERNOON, YOUR HONOR. EMILY ROSE
11	JOHNS ON BEHALF OF THE PLAINTIFFS IN THIS MATTER.
12	MR. LANNIN: GOOD AFTERNOON, YOUR HONOR. CORTLIN
13	LANNIN FROM COVINGTON & BURLING ALSO ON BEHALF OF ARAMARK.
14	MR. CHAPUT: GOOD AFTERNOON, YOUR HONOR. ISAAC
15	CHAPUT FROM COVINGTON & BURLING FOR ARAMARK.
16	THE COURT: VERY GOOD. WELCOME.
17	THERE ARE TWO MOTIONS TO DISMISS FILED IN THIS CASE. WE
18	TOOK ONE UNDER SUBMISSION WITHOUT A HEARING AND WE LEFT THE
19	OTHER ONE ON CALENDAR. AND WE LEFT ON CALENDAR IS ARAMARK'S
20	MOTION SO THAT'S WHAT WE ARE HERE TO DISCUSS TODAY.
21	BOY, THERE'S SO MANY DIFFERENT ISSUES IN THIS CASE AND I'M
22	GOING TO GIVE YOU EACH A LOOSE 20 MINUTES TO ARGUE IT. THAT'S
23	JUST A FUNCTION OF HOW MUCH TIME I HAVE AND HOW MUCH CAPACITY
24	I HAVE TO SIT UP HERE AND LISTEN TO ARGUMENT.
25	THE CASE COULD BENEFIT FROM MORE ARGUMENT THAN THAT, BUT I

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1	SAY A LOOSE 20 BECAUSE IF I START ASKING A LOT OF QUESTIONS
2	AND INTERRUPTING YOU, AND FEEL I HAVE USED UP AN UNFAIR AMOUNT
3	OF YOUR TIME, I WILL JUST ADD FIVE MINUTES TO HEAR WHAT YOU
4	CAME TO SAY.
5	MY SUGGESTION TO YOU I THINK I THINK THIS IS SORT OF
6	EACH SIDE WINS-SOME-AND-LOSES-SOME ARGUMENT. I THINK THE
7	DEFENDANTS HAVE THE BETTER OF WHAT EXACTLY DID PROP. 139 DO.
8	I WON'T GO THROUGH ALL THE OTHER ARGUMENTS. IF I HAD
9	PREPARED BETTER, I WOULD HAVE DONE THAT. I WOULD HAVE HAD A
10	LITTLE SKELETAL OUTLINE OF MY TENTATIVE AS TO EACH OF THE
11	ISSUES. I DON'T HAVE THAT.
12	I WILL SUGGEST TO YOU THAT YOU TRY TO THINK OF WHAT YOUR
13	BEST ARGUMENT IS AND WHAT YOUR WORST ONE IS, AND MAKE AS MANY
14	AS YOU CAN IN EACH OF THOSE CATEGORIES WITHIN THE TIME THAT
15	YOU HAVE BEEN ALLOTTED. SOMETIMES PEOPLE SPEND MOST OF THEIR
16	TIME FOCUSING ON THEIR WINNING ARGUMENTS, AND THEN THEY DON'T
17	ADDRESS THEIR WEAK SPOTS.
18	ANYWAY, MR. BOSSET, EITHER YOU OR SOMEONE FROM YOUR SIDE
19	CAN GO FIRST.
20	MR. BOSSET: THANK YOU, YOUR HONOR.
21	IF THE COURT PERMITS, WHAT I WOULD LIKE TO DO IS ADDRESS
22	THE ISSUES IN THE ORDER OF THE LABOR CODE CLAIMS, THE TVPA
23	CLAIM, AND THE REMAINING DERIVATIVE STATE LAW CLAIMS.
24	THE COURT: YES.
25	MR. BOSSET: SO THE COMPLAINT ALLEGES, YOUR HONOR,

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ONLY THREE SPECIFIC FACTS RELATING TO ARAMARK.

FIRST IS THAT THE COUNTY OF ALAMEDA HAS ENTERED INTO A CONTRACT WITH ARAMARK TO PROVIDE FOOD SERVICES AT THE SANTA RITA JAIL. THE SECOND IS THAT THE CONTRACT PROVIDES FOR THE USE OF INMATE LIAISON AT THE JAIL KITCHEN. AND THE THIRD IS THAT ARAMARK HAS NOT PAID WAGES TO THE INMATES.

IT IS UNDISPUTED, YOUR HONOR, THAT THE INMATE LABOR
PROGRAM OF THE SORT AT ISSUE HERE IS EXPRESSLY AUTHORIZED BY
THE CALIFORNIA CONSTITUTION AS AMENDED BY THE PRISON INMATE
LABOR INITIATIVE OF 1990, WHICH IS ALSO KNOWN AS PROPOSITION
139. AND AS WE HAVE INDICATED IN OUR BRIEFS, YOUR HONOR,
PROPOSITION 139 DOES NOT PROVIDE FOR THE PAYMENT OF WAGES TO
INMATES OF A COUNTY JAIL, WHICH IS WHAT THE PLAINTIFFS ARE.

INSTEAD, PROPOSITION 139, AS CODIFIED IN THE CONSTITUTION,
RESERVED THAT QUESTION FOR RESOLUTION BY LOCAL GOVERNMENT
DETERMINATION. AND THE PLAINTIFFS HAVE NOT IDENTIFIED ANY
LOCAL ORDINANCE BY ALAMEDA COUNTY THAT AUTHORIZES THE PAYMENT
OF WAGES TO THEM IN THIS INSTANCE.

IN ADDITION, YOUR HONOR, THE PLAINTIFFS IN PARAGRAPH 21 OF THE COMPLAINT HAVE CONCEDED THAT THE ARAMARK CONTRACT ITSELF ALSO DOES NOT AUTHORIZE THE PAYMENT OF WAGES TO THE PLAINTIFFS FOR THE WORK IN THE SANTA RITA KITCHEN.

AND, THIRD, YOUR HONOR, ARTICLE 4 OF THE PENAL CODE, WHICH ALSO APPLIES TO COUNTY JAILS, HAS NO PROVISION THAT AUTHORIZES THE PAYMENT OF WAGES TO COUNTY JAIL INMATES.

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SO, YOUR HONOR, BOTH UNDER CONTRACT AND ON THE LAW, THE PLAINTIFFS ARE NOT ENTITLED TO ANY COMPENSATION FOR THE WORK THAT THEY PERFORMED WHILE INCARCERATED AT THE SANTA RITA JAIL. THEY CANNOT CIRCUMVENT THAT RESULT, YOUR HONOR, BY CLAIMING WAGES AND OVERTIME UNDER THE GENERALIZED PROVISIONS OF THE LABOR CODE.

THE CALIFORNIA LEGISLATURE, WHEN IT HAS WANTED TO INCLUDE INMATES IN THE PROVISIONS OF THE LABOR CODE, KNOWS HOW TO DO IT. IT HAS EXPRESSLY LEGISLATED THAT RESULT, SUCH AS IN THE EXAMPLE OF EXTENDING WORKERS COMPENSATION BENEFITS TO INMATES EXPRESSLY IN SECTION 3370 OF THE LABOR CODE.

THERE IS NO PROVISION, NO LANGUAGE, YOUR HONOR, IN THE GENERALIZED WAGE PROVISIONS OF THE LABOR CODE EXTENDING THEIR REQUIREMENTS TO INMATES. AND WE ARE NOT AWARE, AND PLAINTIFFS HAVE NOT CITED A SINGLE STATE APPELLATE COURT CASE THAT HAS HELD THAT INMATES ARE GOVERNED BY THE GENERALIZED WAGE PROVISIONS OF THE LABOR CODE, A CODE THAT HAS BEEN ON THE BOOKS FOR OVER 100 YEARS.

SO WE RESPECTFULLY SUBMIT, YOUR HONOR, THAT THIS COURT,
SITTING IN DIVERSITY, SHOULD NOT ITSELF REACH SUCH A

CONCLUSION WHICH COULD HAVE SIGNIFICANT AFFECT ON EVERY COUNTY

IN THE STATE IN THE ABSENCE OF ANY SUCH STATE AUTHORITY,
ESPECIALLY WHEN, AS WE HAVE DESCRIBED, THE RELEVANT REGIMES

UNDER WHICH INMATES ARE SUBJECT ALL UNIFORMLY PRECLUDE THE

PAYMENT OF WAGES.

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1	SO FOR THOSE REASONS, YOUR HONOR, WE THINK THAT AS A
2	MATTER OF LAW, THE LABOR CODE CLAIMS SHOULD BE DENIED.
3	IN ADDITION, YOUR HONOR, IF THE COURT WERE TO FIND
4	NONETHELESS THAT THE LABOR CODE PROVISIONS, THE GENERALIZED
5	WAGE PROVISIONS APPLIED TO INMATES, WE WOULD STILL ARGUE THAT
6	THE COMPLAINT SHOULD BE DISMISSED ON THE PLEADINGS BECAUSE THE
7	COMPLAINT HAS NOT ALLEGED THE FACTUAL CONTENT THAT WOULD
8	ENABLE THIS COURT TO INFER REASONABLY THAT ARAMARK IS THE
9	EMPLOYER UNDER THE MARTINEZ TEST ANYWAY.
10	WE DON'T THINK AS A MATTER OF LAW INMATES CAN BRING WAGE
11	CLAIMS UNDER THE LABOR CODE. BUT IF THE COURT WERE TO
12	CONCLUDE OTHERWISE, WE STILL DON'T THINK ON THE ALLEGATIONS OF
13	THIS COMPLAINT THAT THE CLAIM SHOULD SURVIVE BECAUSE ALL THEY
14	HAVE DONE
15	THE COURT: ONE ASPECT OF THE MARTINEZ TEST FOCUSES
16	ON WELL, I'M GOING TO PARAPHRASE THIS FOCUSES ON CONTROL
17	OVER WORKING CONDITIONS, CORRECT?
18	MR. BOSSET: YES, CONTROL OVER WORKING CONDITIONS AND
19	OTHER ASPECTS OF THE RELATIONSHIP. CORRECT.
20	THE COURT: YOU AND I CAN PROBABLY AGREE THAT IF I AM
21	AN INMATE AT SANTA RITA COUNTY JAIL, I DO NOT HAVE CONTROL
22	OVER MY WORKING CONDITIONS, CORRECT?
23	MR. BOSSET: YES.
24	THE COURT: AND I TAKE YOUR ARGUMENT TO BE ARAMARK
25	DOES NOT HAVE CONTROL OVER WORKING CONDITIONS, RIGHT?

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1	MR. BOSSET: EXACTLY, YOUR HONOR. PLAINTIFF CONCEDED
2	THAT.
3	THE COURT: AND WE CAN AGREE THAT NEVER MIND.
4	MR. BOSSET: WE DO AGREE ON THOSE POINTS, YOUR HONOR,
5	AND INDEED
6	THE COURT: SOMEONE HAS TO CONTROL THEIR WORKING
7	CONDITIONS, BUT I GATHER WELL, ANYWAY, WHOEVER IT IS, IT IS
8	NOT ARAMARK.
9	MR. BOSSET: THAT'S RIGHT.
10	THE COURT: WE'LL LEAVE IT THERE.
11	MR. BOSSET: THAT'S RIGHT, YOUR HONOR.
12	AND I THINK BOTH THE COMPLAINT AND THE BRIEFING FROM THE
13	PLAINTIFFS TO WHICH WE CITED INDICATE THAT AT LEAST IN THE
14	PLAINTIFFS' VIEW THEY ARE CONTROLLED IN ALL ASPECTS BY THE
15	COUNTY AND IN PARTICULAR BY THE SHERIFF'S DEPUTIES BECAUSE
16	THAT'S WHAT THEY ALLEGE IN THEIR COMPLAINT. THAT'S WHAT THEY
17	SAY IN THEIR BRIEFING AS WELL.
18	BUT FROM OUR PERSPECTIVE, THE MAIN POINT, YOUR HONOR, IS
19	IT'S NOT ARAMARK. SO WE THINK FOR THOSE REASONS THAT THE
20	LABOR CODE CLAIM SHOULD BE DENIED BOTH AS A MATTER OF LAW AND
21	ALSO ON THE PLEADINGS ITSELF, YOUR HONOR.
22	AND UNLESS THE COURT HAS ANY FURTHER QUESTIONS ON THE
23	LABOR CODE, I'LL TURN TO THE TVPA.
24	THE COURT: SURE.
25	MR. BOSSET: SO COUNT TWO OF THE COMPLAINT ALLEGES

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THAT ARAMARK HAS VIOLATED SECTION 1589 OF THE TVPA STATUTE, A FEDERAL STATUTE WHICH PROHIBITS FORCED LABOR OBTAINED THROUGH THREAT OF SERIOUS HARM OR OTHER COERCION.

YOUR HONOR, WE SUBMIT THAT THAT CLAIM IS ALSO EQUALLY
UNTENABLE BOTH AS A MATTER OF LAW AND ALSO ON THE PLEADINGS OF
THIS COMPLAINT FOR THREE INDEPENDENT REASONS.

FIRST, YOUR HONOR, AGAIN, IT IS UNDISPUTED THAT THE
CALIFORNIA CONSTITUTION AUTHORIZES THE INMATE LABOR PROGRAM AT
ISSUE IN THIS CASE INVOLVING COUNTY JAILS. AND PROPOSITION
139 SECTION 2 OF THAT INITIATIVE, WHICH THE COURT MAY TAKE
JUDICIAL NOTICE OF AND WE SUBMITTED THAT FOR JUDICIAL NOTICE,
EXPRESSLY FOUND AND DECLARED, QUOTE, "INMATES MAY BE REQUIRED
TO PERFORM WORK AND SERVICE", CLOSE QUOTE, TO ACHIEVE
GOVERNMENTAL INTERESTS THAT WERE IDENTIFIED IN SECTION 2 OF
PROPOSITION 139, INCLUDING, FOR EXAMPLE, DEFRAYING
INCARCERATION COSTS OF INMATES AT COUNTY JAILS THAT OTHERWISE
WOULD BE BORNE BY TAXPAYERS.

NEITHER PROPOSITION 139 NOR THE CONSTITUTIONAL PROVISION
THAT EMBODIES IT DIFFERENTIATES AMONG THE TYPES OF INMATES WHO
CAN BE REQUIRED TO PERFORM WORK AND SERVICES UNDER THE TERMS
OF THAT CONSTITUTIONAL PROVISION. AND SO THEY CAN BE PRETRIAL
DETAINEES WHO ARE PROPERLY INCARCERATED AND COMMITTED FOR
INCARCERATION PENDING BAIL OR FOR OTHER REASONS OR THEY MAY BE
SENTENCED PERSONS, BUT NOTHING IN THE FACE OF THE STATUTE
PRECLUDES THE REQUIREMENT THAT INMATES AT A COUNTY JAIL

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PERFORM WORK, INCLUDING PRETRIAL DETAINEES, WHICH IS THE RELEVANT SUBCLASS FOR PURPOSES OF THE TVPA CLAIM IN THIS LAWSUIT.

SO IT'S, THEREFORE, LAWFUL FOR THE COUNTY AND THE SHERIFF'S DEPARTMENT TO REQUIRE JAIL INMATES AT SANTA RITA TO PERFORM WORK. AND AS A RESULT, THEY DON'T HAVE A CLAIM THAT THEY CAN RAISE FOR A FORCED LABOR UNDER THE TVPA STATUTE WE RESPECTFULLY SUBMIT.

IN ADDITION, THERE'S A SECOND REASON, YOUR HONOR, WHY WE BELIEVE THAT NO CLAIM AS A MATTER OF LAW COULD BE ADVANCED IN THIS CASE, AND THAT'S BECAUSE THE ALLEGATIONS ARE THAT COUNTY DEFENDANTS ARE THE OFFENDERS IN THIS INSTANCE. THEY HAVE ENGAGED IN THE ALLEGED THREATS AS DESCRIBED IN THE COMPLAINT, AND THAT ARAMARK HAS SOME SORT OF DERIVATIVE TYPE OF LIABILITY, WHETHER IT'S AS PART OF A SCHEME OR AS PART OF A VENTURE, BUT THE COUNTY DEFENDANTS AS GOVERNMENTAL ENTITIES, WE SUBMIT, ARE NOT SUBJECT TO THE TVPA FOR AT LEAST TWO REASONS.

THE FIRST IS, AS A MATTER OF STATUTORY INTERPRETATION, THE TERM, QUOTE, "WHOEVER", CLOSE QUOTE, WHICH IS THE TERM USED IN 1589 TO IDENTIFY WHAT PARTY CAN BE HELD LIABLE UNDER THE TVPA IS NOT DEFINED IN THE FEDERAL DICTIONARY ACT TO INCLUDE GOVERNMENTAL ENTITIES. AND, INDEED, THE CENTRAL DISTRICT OF CALIFORNIA IN THE NUNAG-TANEDO CASE THAT WE CITED IN OUR MOVING PAPER HELD THAT GOVERNMENTAL DEFENDANT IN THAT CASE

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COULD NOT BE HELD LIABLE OR HAVE OTHERWISE VIOLATED THE TVPA FOR THAT VERY REASON.

THE COURT: I WANT TO FOLLOW UP ON SOMETHING THAT REALLY IS A COUNTY ISSUE BUT YOU MAKE THIS ARGUMENT IN YOUR MOTION, AND SO IT FEELS LIKE FAIR GAME.

I GET THE NOTION, HEY, ARAMARK DIDN'T DO THREATS OR
COERCION AND THEY HAVEN'T SUFFICIENTLY LINKED US TO ANY
THREATS OR COERCION. WHAT I WANT TO ASK ABOUT IS THE ARGUMENT
YOU MADE IMMEDIATELY BEFORE THAT WHICH IS, THERE HAS TO BE
SOME VIOLATION ON THE PART OF THE COUNTY. IF THERE'S NOT,
THEN WE ARE NOT LIABLE.

AND IN YOUR BRIEF YOU SAY CALIFORNIA INMATES ARE UNDER A LEGAL COMPULSION TO WORK. AND YOU CITE BURLESON AND HUNTER.

AND MY QUESTION IS, DO THOSE CASES INVOLVE PLAINTIFFS WHO ARE NOT SERVING A SENTENCE OF CONVICTION? BECAUSE YOU HAVE A COUNTY JAIL POPULATION THAT IS COMPRISED OF TWO DIFFERENT CATEGORY -- AT LEAST TWO DIFFERENT CATEGORIES OF PERSON.

ONE CATEGORY OF PERSON IS SERVING A SENTENCE THAT WAS
EITHER IMPOSED AS A CONDITION OF A FELONY PROBATION, SO AS A
SENTENCING JUDGE I MIGHT SAY TO SOMEBODY, I AM PLACING YOU ON
PROBATION FOR FIVE YEARS, AND AS A CONDITION OF THE PROBATION,
YOU WILL DO THE FOLLOWING THREE THINGS, AND THE THIRD ONE IS,
SERVE EIGHT MONTHS IN THE COUNTY JAIL.

OR THEY ARE SERVING A MISDEMEANOR SENTENCE AFTER HAVING BEEN CONVICTED OF A MISDEMEANOR.

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THERE'S ALSO A CATEGORY OF PERSON WHO'S SIMPLY AWAITING
TRIAL ON EITHER A FELONY OR A MISDEMEANOR, AND THEY MAY HAVE
BEEN CONVICTED OF SOMETHING AT SOME OTHER TIME, BUT THE REASON
THEY ARE IN CUSTODY IS BECAUSE THEY DIDN'T MAKE BAIL. SO
THAT'S THE TABLE SETTING FOR THE QUESTION.

THE QUESTION IS -- AND YOU MAY NOT HAVE THOUGHT ABOUT

IT -- DO BURLESON AND HUNTER AND THOSE CASES ABOUT CALIFORNIA

INMATES BEING UNDER A LEGAL COMPULSION TO WORK, DOES THAT

CHANGE AT ALL WHEN THE PERSON IS IN CUSTODY OF THE COUNTY JUST

BECAUSE THEY COULDN'T MAKE BAIL AND THEY ARE NOT SERVING A

CUSTODIAL SENTENCE?

MR. BOSSET: WE DON'T BELIEVE SO, YOUR HONOR, BECAUSE REALLY IT GOES BACK TO THE CALIFORNIA CONSTITUTIONAL PROVISION THAT EMBODIES PROPOSITION 139. BECAUSE THAT'S THE GOVERNING PROVISION HERE WHICH AUTHORIZES INMATE LABOR PROGRAMS OF THIS SORT AT THE COUNTY JAIL LEVEL. AND THE LANGUAGE OF THAT CONSTITUTIONAL PROVISION DOESN'T DISTINGUISH BETWEEN THE TYPES OF INMATES THAT YOU'VE DESCRIBED.

THE COURT: OKAY.

MR. BOSSET: ALL OF THEM CAN BE REQUIRED TO PERFORM
WORK OR SERVICES. THAT'S IN THE LANGUAGE, THE FINDINGS OF
FACT, IF YOU WILL, THE DECLARATION IN SECTION 2 OF PROPOSITION
139 THAT WAS PRESENTED TO VOTERS AND APPROVED BY VOTERS.

SO WE THINK THAT'S ACTUALLY -- BURLESON, YOU ARE RIGHT,
YOUR HONOR, INVOLVES STATE PRISONERS AND THEIR CATEGORIES OF

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PRISONERS AT ISSUE THERE MAY OR MAY NOT HAVE INCLUDED THE 1 2 ARRAY OF INMATES THAT A COUNTY JAIL HAS, INCLUDING THE TYPES 3 OF CATEGORIES YOU'VE DESCRIBED, BUT PROPOSITION 139 BY ITS TERMS APPLIES TO COUNTY JAIL INMATES. 4 5 THE COURT: YES. 6 MR. BOSSET: AND INMATES AREN'T DISTINGUISHED IN ANY 7 WAY ACCORDING TO THEIR CATEGORY. 8 THE COURT: IT'S JUST AN INTERESTING FACT, THAT 9 PERSONS WHO MAY BE INNOCENT OF ANY CRIME WOULD BE SUBJECT TO THE SAME WORK REQUIREMENTS. THAT'S ALL. 10 11 MR. BOSSET: SO THERE ARE AN ARRAY OF INTERESTS THAT 12 ARE SPECIFIED IN PROPOSITION 139 IN SUPPORT OF PASSAGE, AND 13 SOME OF THEM ARE PENOLOGICAL, SOME OF THEM ARE PUNITIVE, IF YOU WILL, BUT NOT ALL OF THEM. SOME OF THEM, LIKE DEFRAYING 14 TAXPAYER COSTS, DEFRAYING INCARCERATION COST THAT OTHERWISE 15 16 WOULD BE BORNE BY TAXPAYERS REALLY ARE LEGITIMATE ECONOMIC 17 INTERESTS THAT ARE NOT DEPENDENT ON THE STATUS OF THE INMATE, WHETHER OR NOT SHE HAS BEEN CONVICTED, BUT RATHER ARE 18 19 LEGITIMATE GOVERNMENT INTERESTS THAT ARE APPROPRIATE TO ADVANCE. AND IN ONE CASE THAT WE DID NOT CITE IN OUR PAPER 20 21 BUT I WILL IDENTIFY NOW FOR THE COURT, BELL V. WOLFISH, 441 22 U.S. 520, THE SUPREME COURT HELD THAT PRISON --23 THE COURT: WHAT YEAR? 24 MR. BOSSET: '79. JUSTICE REHNQUIST WROTE THE

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OPINION.

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THE SUPREME COURT HELD THAT PRISON AUTHORITIES MAY IMPOSE 1 2 CONDITIONS ON PRETRIAL DETAINMENT THAT ARE REASONABLY RELATED 3 TO LEGITIMATE GOVERNMENT INTERESTS. 4 THE COURT: YES, I AM FAMILIAR WITH THAT PRINCIPLE. 5 MR. BOSSET: RIGHT. AND WE THINK THAT THAT'S EXACTLY 6 WHAT PROPOSITION 139 DOES BY MANDATING OR AT LEAST 7 REQUIRING -- MANDATING THAT COUNTY JAIL INMATES, REGARDLESS OF 8 THEIR STATUS, PERFORM SOME WORK OR SERVICES FOR THE LEGITIMATE 9 GOVERNMENT INTEREST FOR DEFRAYING THE COST OF INCARCERATION. 10 THERE MAY BE ADDITIONAL PENOLOGICAL INTERESTS THAT COME 11 INTO PLAY WITH REGARD TO THAT SUBCATEGORY OF INMATES WHO ALSO 12 HAVE BEEN SENTENCED, BUT THAT DOESN'T MEAN THAT INMATES WHO 13 HAVEN'T BEEN SENTENCED CAN'T ALSO BE REQUIRED TO WORK BECAUSE OF THE OTHER LEGITIMATE INTERESTS WHICH HAVE NOTHING TO DO 14 WITH WHETHER OR NOT THEY HAVE BEEN CONVICTED. 15 16 SO FOR THAT REASON, YOUR HONOR, WE THINK THAT AS A MATTER 17 OF LAW THESE PARTICULAR INMATES CAN'T MOUNT A TVPA CLAIM. THEY CAN'T DO IT, AS I WAS SAYING WITH REGARD TO THE COUNTY 18 19 GOVERNMENT, BECAUSE THE GOVERNMENT IS NOT SUBJECT TO TVPA LIABILITY UNDER THE TERMS OF THE 1589 ITSELF. 20 21 IN ADDITION, THE GOVERNMENT CAN TAKE ADVANTAGE OF THE 22 CIVIC DUTY EXCEPTION, WHICH DOES APPLY TO 1589 ACCORDING TO 23 ONE OF THE CASES CITED BY PLAINTIFFS' COUNSEL, OWINO VERSUS 24 CORECIVIC, AND THAT PRINCIPLE ALLOWS COURTS TO REQUIRE

CITIZENS, NOT JUST INCARCERATED PERSONS, BUT CITIZENS TO

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PERFORM CERTAIN CIVIC DUTIES WHICH IN THE PAST HAVE BEEN INTERPRETED TO INCLUDE WORK.

SO IF THE COUNTY, AS WE SUBMIT, CAN'T BE HELD LIABLE UNDER THE TVPA, IT FOLLOWS THAT THERE CAN'T BE AN UNLAWFUL SCHEME OR AN UNLAWFUL VENTURE BETWEEN THEM AND ARAMARK. SO THAT'S WHY WE FEEL AS A MATTER OF LAW THERE IS NO TVPA CLAIM THAT COULD BE PLED EVEN IF THEY HAD LEAVE TO AMEND BECAUSE OF THOSE THRESHOLD ISSUES.

HAVING SAID THAT, YOUR HONOR, WE ALSO HAVE ARGUED THAT
THEY CERTAINLY HAVEN'T PLED A TVPA CLAIM AGAINST ARAMARK IN
THIS MATTER. ALL THEY PLED IS THAT WE BENEFITED. WE
BENEFITED FROM A CONTRACT THAT THE CALIFORNIA CONSTITUTION
ALLOWS. AND THEY HAVEN'T PLED THAT WE PARTICIPATED IN THE
ALLEGED THREATS THAT HAVE BEEN ATTRIBUTED TO THE SHERIFF'S
DEPUTIES, THEY HAVEN'T PLED THAT WE KNEW OF THOSE ALLEGED
THREATS, THAT WE OBSERVED THEM, THAT WE HEARD ABOUT THEM.
THEY HAVEN'T PLED THAT WE INTENDED THE PLAINTIFFS TO BELIEVE
THAT THEY WOULD BE SUBJECT TO THOSE THREATS IF THEY REFUSED TO
WORK. THAT'S A SPECIFIC REQUIREMENT OF THE SO-CALLED SCHEME
LIABILITY THAT THEY RAISED IN THEIR REPLY BRIEF.

NONE OF THOSE THINGS ARE PLED. SO EVEN IF THE COURT WERE
TO FIND THAT A TVPA CLAIM WERE VIABLE POTENTIALLY, CERTAINLY
NONE HAS BEEN PLED AGAINST ARAMARK IN THIS PARTICULAR
INSTANCE. SO WE THINK THAT THAT CLAIM ALSO SHOULD BE
DISMISSED.

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1	WE ALSO THINK AS A LABOR CODE CLAIM, IT FAILS BOTH AS A
2	MATTER OF LAW AND IT CERTAINLY FAILS IN THE ALTERNATIVE IN THE
3	PLEADINGS AS WELL.
4	BECAUSE THOSE TWO CLAIMS FAIL, YOUR HONOR, WE THINK
5	THE COURT: YOU HAVE ABOUT TWO MINUTES LEFT. YOU CAN
6	SAVE IT FOR REPLY IF YOU WANT IT, OR KEEP GOING.
7	MR. BOSSET: I WILL SAVE IT FOR REPLY.
8	THE COURT: VERY GOOD.
9	MR. BOSSET: THANK YOU.
10	THE COURT: MS. JOHNS.
11	MS. JOHNS: THANK YOU, YOUR HONOR. I WILL, IN TURN,
12	ADDRESS THE LABOR CLAIMS FIRST.
13	TO LOOK AT THE I WANT TO DRAW THE COURT'S ATTENTION TO
14	THE VOTERS GUIDE WHICH INCLUDED INFORMATION ABOUT THE PURPOSE
15	OF PROPOSITION 139 WHEN IT WAS BEING PROPOSED TO THE
16	CALIFORNIANS. AND THAT WAS INCLUDED AS EXHIBIT 2 IN MY
17	DECLARATION REGARDING THE REQUEST FOR JUDICIAL NOTICE, REQUEST
18	THE COURT TAKE JUDICIAL NOTICE OF THIS DOCUMENT.
19	IT EXPRESSLY DESCRIBES THE PURPOSE OF THESE PROGRAMS
20	FOR AND PROGRAMS MEANING THESE WORK PROGRAMS, BUT THEY ARE
21	INTENDED TO REDUCE INMATE IDLENESS, MINIMIZE THE COST OF
22	IMPRISONMENT, PROVIDE INCENTIVES FOR GOOD BEHAVIOR, PROVIDE
23	JOB TRAINING THAT WITH CONTRACTS FOR LOCAL JAIL LABOR, THAT
24	THESE MEASURES MUST ALLOW FOR THE CONTRACTING FOR THE USE OF
25	JAIL INMATE LABOR AND PROVIDE THAT SUCH CONTRACTS BE GOVERNED

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BY LOCAL ORDINANCES.

AND IN THIS CASE ARAMARK SAYS, BECAUSE ALAMEDA COUNTY

CREATED A CONTRACT WITHOUT ENGAGING IN THESE LOCAL ORDINANCES

TO DEFINE HOW THE CONTRACT MIGHT BE RUN PURSUANT TO

PROPOSITION 139 AND NOW THE CALIFORNIA CONSTITUTIONAL

AMENDMENT, THAT IT IS NOT REQUIRED TO COMPLY WITH THINGS LIKE

THE CALIFORNIA LABOR CODE OR ANY OTHER PROVISION THAT MIGHT

CALL FOR, YOU KNOW, HOW A PRISONER MIGHT BE PAID.

WHAT IS RELEVANT HERE IS THAT THERE IS NO EXPRESS

EXCLUSION OF PRISONERS FROM THE CALIFORNIA LABOR CODE. AND

WITHOUT A LOCAL ORDINANCE OR SOME OTHER LAW TO CRIB A

PRISONER'S RIGHT AND A PRETRIAL DETAINEE'S RIGHT, AND I WILL

TALK ABOUT THE DISTINCTION FOR PURPOSES OF THE TVPA AS WELL AS

FOR SOME OF THE OTHER PURPOSES IN THIS CASE, BUT WITHOUT

EXPLICIT LANGUAGE EXCLUDING THEM, CALIFORNIA HAS SAID AND YOU

CAN SEE IN SOME OF THE IMMIGRATION DETENTION CASES THAT WE

CITED, NOVOA AND OWINO, THAT THE -- YOU HAVE TO LOOK TO THE

WAGE ORDERS TO FIND OUT WHETHER OR NOT A PERSON IS AN

EMPLOYER, AN ENTITY IS AN EMPLOYER. AND THAT CAN BE AN

EMPLOYER OF AN INCARCERATED PERSON. WHETHER THAT BE AN

IMMIGRATION DETAINEE, A PRETRIAL DETAINEE, OR A SENTENCED

PRISONER IN THE CASE OF SOME OF OUR PLAINTIFFS.

THEY WORKED BOTH -- MANY OF THEM WORKED PRETRIAL AND POST CONVICTION.

THERE IS NO EXPRESS EXCLUSION FOR PRISONERS IN THE WAY --

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1	THAT THEY ARE EXCLUDED FROM THE WAGE ORDER AND THEY'RE
2	EXCLUDED FROM THE LABOR CODE. IN FACT, WE DISCUSSED THIS IN A
3	LITTLE BIT MORE DEPTH IN THE OPPOSITION TO THE MOTION THAT THE
4	COUNTY BROUGHT THAT WE ARE NOT DISCUSSING TODAY, BUT THE WAGE
5	ORDERS WOULD APPLY HERE UNLESS THERE WAS SOME STATUTE YOU
6	KNOW, STATUTE THAT COULD OVERRIDE THAT OR A LOCAL ORDINANCE
7	THAT DEFINED A DIFFERENT WAY THAT THESE PRISONERS MIGHT BE
8	PAID.
9	EVEN, YOU KNOW, CERTAINLY EVEN THEN, THAT LOCAL ORDINANCE
10	IF IT DIDN'T SUPPLY PRISONERS WITH AT LEAST MINIMUM WAGE MIGHT
11	BE, YOU KNOW, IN VIOLATION OF THE LABOR CODE.
12	WHEN YOU LOOK AT THE OTHER STATUTES THAT PROPOSITION 139
13	AMENDED, THE PENAL CODE STATUTES APPLYING TO STATE PRISONERS,
14	IT IS CLEAR THAT IN ADDITION TO JUST CONTEMPLATING MINIMUM
15	WAGE BEING PAID TO PRISONERS, THERE IT DOESN'T EXPRESSLY
16	SAY MINIMUM WAGE SEEDS TO BE PAID, IT SAYS COMPARABLE WAGE TO
17	WHAT AN INDIVIDUAL
18	THE COURT: IN THE FREE WORLD.
19	MS. JOHNS: EXACTLY.
20	AND SO THERE IS A I THINK THAT JUST SPEAKS TO THE IDEA
21	THAT, OF COURSE, PRISONERS ARE TO BE PAID MINIMUM WAGE, AND
22	EVEN WITH PROPOSITION 139, ARE TO BE PAID COMPARABLE WAGES IN
23	THE STATE PRISON CONTEXT. AND ONE COULD ARGUE A LOCAL
24	ORDINANCE THAT REQUIRED ANYTHING LESS THAN MINIMUM WAGE, LET

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ALONE COMPARABLE WAGE, WOULD BE A VIOLATION OF THE LABOR CODE

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AND CERTAINLY NOT COMPORT WITH WHAT THE VOTERS REQUIRED IN PROPOSITION 139.

ARAMARK DOESN'T PAY THE COUNTY ANY MONEY TO OFFSET, AT LEAST ACCORDING TO THEIR CONTRACT FROM WHAT I -- YOU KNOW, THE PARTS THAT I'VE FOCUSED ON, THE PARTS I'VE SEEN, AND THE CONTRACT I PROVIDED THE COURT IS NOT THE CURRENT CONTRACT, IT IS ONE THAT EXPIRED IN 2018 BUT WE BELIEVE THAT IT IS A SIMILAR CONTRACT TO WHAT WOULD BE IN OPERATION NOW AND CERTAINLY WOULD COVER THE STATUTORY PERIOD OF THE WAGE CLAIMS AT LEAST, YOU KNOW, 2018, 2017, AND 2016.

THE CONTRACT ITSELF CONTEMPLATES THE COUNTY PAYING ARAMARK FOR FOOD. THAT IS -- THAT THE PRISONERS -- THESE MEALS PRISONERS CREATE AND ARE DISTRIBUTED, NOT ONLY WITHIN ALAMEDA COUNTY, BUT TO OTHER COUNTY JAILS IN DIFFERENT COUNTIES ACROSS CALIFORNIA.

THERE IS NO OFFSET UNLESS ARAMARK IN TURN PAYS WAGES TO PRISONERS THAT GET DEDUCTED IN ORDER TO PAY FOR ROOM AND BOARD AND PAY FOR RESTITUTION AND THEN STILL GIVE THEM MONEY TO ACCOMPLISH OTHER PARTS OF PROPOSITION 139, SUCH AS SUPPORTING THEIR FAMILIES. IF ARAMARK IS NOT PAYING WAGES, AND WE'RE PAYING, YOU KNOW, THE COUNTY TO HAVE THEM PAY SOME PORTION --

THE COURT: DOESN'T THE VOTER INFORMATION GUIDE

ITSELF MAKE IT PLAIN THAT STATE PRISON INMATES ARE TO BE

TREATED ONE WAY AND ARE TO BENEFIT FROM THE PROVISIONS OF

PROPOSITION 139 AND WHAT IS TO HAPPEN WITH COUNTY JAIL INMATES

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1	IS A MATTER FOR LOCAL ORDINANCES?
2	MS. JOHNS: YES, I DO BELIEVE THAT THAT IS THE CASE.
3	DOES THAT MEAN, THOUGH, THAT LOCAL ORDINANCES COULD
4	CONTRAVENE THE STATE LABOR CODE IF AND WHEN ALAMEDA COUNTY
5	DECIDED TO CREATE A LOCAL ORDINANCE TO GOVERN THESE CONTRACTS.
6	THE COURT: HAS ALAMEDA COUNTY DONE THAT?
7	MS. JOHNS: AS FAR AS WE KNOW THEY HAVE NOT.
8	THE COURT: HERE'S I MIGHT BE MISSING SOMETHING.
9	I WANT TO MAKE SURE I'M FOLLOWING THE ARGUMENT.
10	SO THE ARGUMENT IS, PROPOSITION 139 REQUIRES THAT WAGES
11	THAT ARE PAID TO INMATE LABORERS HAVE TO BE COMPARABLE TO THE
12	WAGES PAID FOR PERSONS PERFORMING THE SAME KIND OF WORK
13	OUTSIDE OF THE PRISONS. SO IF WE WERE AT A STATE PRISON, IF
14	WE WERE AT SALINAS VALLEY STATE PRISON AND THE PRISONERS WERE
15	MAKING PENCIL CUPS FOR A PRIVATE COMPANY, THAT COMPANY WOULD
16	HAVE TO PAY THEM THE SAME THING THAT THEY PAY WORKERS WHO MAKE
17	THOSE CUPS WHO ARE NOT STATE PRISONERS.
18	MS. JOHNS: YES. THOSE WAGES WOULD BE REDUCED BY
19	THE UP TO 80 PERCENT FOR PAYMENT
20	THE COURT: EXACTLY.
21	MS. JOHNS: CERTAIN ISSUES.
22	THE COURT: THE ARGUMENT I THINK YOU MADE WAS, SO
23	THERE'S SO THERE'S THIS REQUIREMENT THEY BE COMPARABLE.
24	AND THAT TELLS US THAT IF THEY DON'T HAVE TO BE COMPARABLE,
25	THEY AT LEAST HAVE TO EARN A MINIMUM WAGE UNDER THE LABOR

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1	CODE, RIGHT?
2	MS. JOHNS: YES.
3	THE COURT: AND THAT THEY HAVE TO EARN AT LEAST
4	MINIMUM WAGE UNDER THE LABOR CODE WOULD APPLY TO COUNTY JAIL
5	INMATES.
6	MS. JOHNS: YES.
7	THE COURT: BUT THE PROBLEM THAT I AM HAVING IS THAT
8	IN ORDER TO MAKE THAT LOGICAL LEAP, I WOULD HAVE TO CONCLUDE
9	THAT THE VOTERS INTENDED PROPOSITION 139 TO APPLY IN SOME WAY
10	TO COUNTY JAIL INMATES. AND I AM LOOKING I BROUGHT THE
11	GUIDE OUT. I'M LOOKING AT THE LANGUAGE THAT SAYS THE MEASURE
12	ALLOWS CONTRACTING FOR THE USE OF LOCAL JAIL INMATE LABOR AND
13	PROVIDES THAT SUCH CONTRACTS BE GOVERNED BY LOCAL ORDINANCES,
14	HOWEVER, THE MEASURE DOES NOT SPECIFY THE CONTENT OF THE LOCAL
15	ORDINANCES.
16	IN OTHER WORDS, WE ARE PUNTING THAT QUESTION TO THE
17	COUNTIES, RIGHT?
18	MS. JOHNS: YES. I AGREE.
19	HOWEVER, IF ALAMEDA COUNTY I JUST WANT TO MAKE SURE THE
20	COURT IS AWARE THAT PRIOR TO PROPOSITION 139, PRIOR TO THIS
21	CONSTITUTIONAL AMENDMENT, THESE KINDS OF CONTRACTS THAT
22	ARAMARK AND ALAMEDA COUNTY HAVE, WERE NOT PERMITTED. THEY
23	WERE EXCLUSIVE EXPLICITLY EXCLUDED BY THE PREVIOUS LANGUAGE
24	OF ARTICLE 14 SECTION 5 OF THE CALIFORNIA CONSTITUTION.
25	AND IF THE COURT WOULD LIKE TO SEE THAT ON PAGE G-90 OF

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1	THE VOTER GUIDE, I THINK SORRY, THAT'S NOT HELPFUL. PAGE
2	136 OF THE VOTER GUIDE
3	THE COURT: YOU HAVE TO GIVE ME ONE SECOND. I HAVE
4	ADOBE
5	MS. JOHNS: I'M SORRY.
6	THE COURT: MAKING ALL THE TEXT IN YOUR ATTACHMENT
7	RECOGNIZABLE, AND IT'S NOT QUITE DONE.
8	GIVE ME THE PAGE NUMBER AGAIN.
9	MS. JOHNS: INTERNAL PAGE NUMBER IS PAGE 136, BUT THE
10	DOCKET PAGE NUMBER IS 138 OF 145.
11	(PAUSE IN THE PROCEEDINGS.)
12	THE COURT: THIS IS EXHIBIT 2 TO YOUR DECLARATION,
13	CORRECT?
14	MS. JOHNS: THAT'S CORRECT.
15	THE COURT: SO I AM ON ECF PAGE 138, WHICH IS QUITE A
16	LOT OF THINGS ON THERE.
17	MS. JOHNS: YES. SO IT SAYS
18	THE COURT: THIS IS THE FORMER SECTION 3.
19	MS. JOHNS: YES.
20	THE COURT: RIGHT?
21	MS. JOHNS: SO IT SAYS, YEAH:
22	"THE LABOR OF CONVICTS SHALL NOT BE LET OUT BY
23	CONTRACT TO ANY PERSON, COPARTNERSHIP, COMPANY, OR
24	CORPORATION, AND THE LEGISLATURE SHALL, BY LAW,
25	PROVIDE FOR THE WORKING OF CONVICTS FOR THE BENEFIT

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1	OF THE STATE."
2	THE COURT: SO PLAYING DEVIL'S ADVOCATE FOR A MOMENT,
3	WHY ISN'T THIS THE MOST REASONABLE READING OF THE CHAIN OF
4	EVENTS?
5	LET'S ASSUME THAT SECTION 3 WOULD PROHIBIT EXACTLY THE
6	KIND OF CONTRACT THAT'S AT ISSUE HERE IN A COUNTY JAIL
7	THAT'S THE WAY TO READ IT BETWEEN ARAMARK AND THESE JAIL
8	INMATES. SECTION 3 IS ELIMINATED. SO WHATEVER PROTECTION
9	FORMERLY WAS CONFERRED BY SECTION 3 IS NO LONGER AVAILABLE.
10	SECTION 3 IS REPLACED WITH THIS NEW LANGUAGE AND IN AN
11	ACCOMPANYING STATUTORY FRAMEWORK THAT ALLOWS FOR AND REGULATES
12	LABOR IN THE STATE PRISONS.
13	MS. JOHNS: AND COUNTY JAILS.
14	THE COURT: OKAY. WHERE DOES IT SAY COUNTY JAILS IN
15	THERE? REMEMBER, THE OLD SECTION 3 IS GONE.
16	MS. JOHNS: YES.
17	THE COURT: IT'S IN THE WIND.
18	MS. JOHNS: THE LANGUAGE OF THE CALIFORNIA
19	CONSTITUTION'S ARTICLE 14, SECTION 5 EXPLICITLY NOW SAYS THAT
20	THE DIRECTOR OF CORRECTIONS OR ANY COUNTY SHERIFF OR LOCAL
21	OTHER LOCAL GOVERNMENT OFFICIAL CHARGED WITH JAIL OPERATIONS
22	MAY ENTER INTO CONTRACTS WITH PUBLIC ENTITIES, NONPROFIT, AND
23	FOR OR FOR-PROFIT ORGANIZATIONS, ENTITIES, OR BUSINESSES
24	FOR THE PURPOSE OF CONDUCTING PROGRAMS WHICH USE INMATE LABOR.
25	THIS WAS ADDED AS A PART OF PROPOSITION 139.

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1	THE COURT: RIGHT.
2	MS. JOHNS: AND IT EXPLICITLY SAYS ANY COUNTY SHERIFF
3	OR OTHER LOCAL GOVERNMENT ENTITIES MAY NOW ENTER INTO THESE
4	CONTRACTS, SO THEY WERE PREVIOUSLY PROHIBITED FROM ENTERING
5	INTO.
6	THE COURT: RIGHT.
7	MS. JOHNS: IT DOES EXPLICITLY SAY THAT THESE
8	CONTRACTS SHOULD BE GOVERNED BY LOCAL ORDINANCE. HOWEVER, IF
9	THE COUNTY IS NOT GOVERNING BY LOCAL ORDINANCE, THAT DOES NOT
10	MEAN THAT THEY HAVE NOT ENTERED INTO THIS CONTRACT WHICH MAY
11	VIOLATE THE LABOR CODE.
12	IT MEANS THAT THEY ARE I BELIEVE IT MEANS THEY ARE
13	OPERATING THIS CONTRACT IN VIOLATION OF THE EXPRESS TERMS OF
14	THE CONSTITUTIONAL AMENDMENT THAT ALLOWS THE CONTRACTS. IT IS
15	MANDATORY
16	THE COURT: I NEED YOU TO SLOW DOWN A LITTLE BIT
17	MS. JOHNS: SORRY.
18	THE COURT: I CAN FOLLOW YOU, BUT DIANE SKILLMAN IS A
19	GENIUS OF A COURT REPORTER I AM SURE CANNOT TYPE THAT FAST.
20	MS. JOHNS: SHE CAN JOIN THE CLUB OF COURT REPORTERS
21	WHO
22	THE COURT: WELL, LET'S NOT DO THAT. I WILL JUST
23	TELL YOU, GENERALLY WHEN THE JUDGE SAYS THAT, JUST SAY THANK
24	YOU. AND DON'T SAY YOU ALWAYS TALK TOO FAST. REALLY.
25	OKAY. SO I ACTUALLY I THINK I GET YOUR ARGUMENT A LITTLE

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1	BETTER NOW THAN I DID BEFORE I TOOK THE BENCH. THIS LAST
2	SENTENCE OF THE NEW SECTION 5 SAYS HERE'S HOW WE ARE GOING TO
3	REGULATE THESE CONTRACTS IF THEY ARE STATE PRISON INMATES.
4	IT'S GOING TO BE THIS THING THAT WE IDENTIFY.
5	IF IT'S COUNTY JAIL INMATES, IT'S GOING TO BE SUBJECT TO
6	LOCAL ORDINANCE, YOUR ARGUMENT WOULD BE, I SEE. SO IF THERE'S
7	NO LOCAL ORDINANCE, THEN IT'S REGULATED BY NOTHING; HOW CAN
8	THAT POSSIBLY BE?
9	MS. JOHNS: PRECISELY.
10	THE COURT: RIGHT?
11	MS. JOHNS: PRECISELY.
12	THE COURT: INTERESTING.
13	MS. JOHNS: SO WHERE PRISONERS ARE NOT EXPLICITLY
14	EXEMPTED FROM THE LABOR CODE, WE ARGUE THAT WITHOUT ANY OTHER
15	AUTHORITY TO CITE TO AND WITH THE EXPRESS DESIRE OF THE VOTERS
16	BEING THAT THERE'S, AS ARAMARK SAYS, THAT THERE'S SOME OFFSET
17	TO THE COST OF INCARCERATING INDIVIDUALS, AND IN ADDITION TO
18	JUST BENEFITING THE COUNTY, THERE'S THE BENEFITING THE
19	TAXPAYERS, THERE'S AN IDEA THAT PEOPLE BE PERMITTED TO SUPPORT
20	THEIR FAMILIES TO THE EXTENT POSSIBLE. RIGHT?
21	THERE HAS TO BE SOME VERY CLEAR CONTEMPLATION THAT PEOPLE
22	ARE BEING PAID SO THAT THEY CAN PAY THE COUNTY BACK FOR THEIR
23	ROOM AND BOARD, SO THAT THEY CAN PROVIDE, YOU KNOW, THERE'S
24	RESTITUTION PAYMENTS AND COMPENSATION TO VICTIMS.
25	IF THERE'S NO MONEY COMING IN FROM THE PRIVATE ENTITY WITH

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WHOM THE COUNTY IS CONTRACTING TO THESE INDIVIDUAL PRISONERS IN THE FORM OF WAGES, THEN THERE'S NO WAY THAT PRISONERS ARE THEN OFFSETTING THE COST OF THEIR INCARCERATION. THERE'S NO WAY THAT THEY ARE PAYING VICTIM RESTITUTION, AND THERE'S NO WAY --THE COURT: THE ECONOMIST IN ME -- THIS IS A PRETTY GOOD ARGUMENT, BUT THE ECONOMIST IN ME TELLS ME THEY ARE DEFINITELY OFFSETTING THE COST OF THEIR INCARCERATION BECAUSE THE COUNTY IS ABLE TO ENTER INTO A CONTRACT WITH ARAMARK. ARAMARK IS GOING TO BE ABLE TO CHARGE THEM MUCH LESS THAN SOMEONE THAT WOULD HAVE TO PAY WAGES WOULD HAVE TO CHARGE AND STILL MAKE A PROFIT. AND SO THEY WILL MAKE THEIR MONEY AND THE COUNTY IS PAYING LESS. I'M NOT SAYING THAT'S A GOOD THING OR A BAD THING. I'M JUST SAYING AS FAR AS REDUCING THE COST OF INCARCERATION PART GOES, I THINK ARAMARK PROBABLY WINS THAT POINT. MS. JOHNS: PERHAPS THEY HAVE THAT ONE BENEFIT. BUT

MS. JOHNS: PERHAPS THEY HAVE THAT ONE BENEFIT. BUT
CERTAINLY WHEN YOU LOOK AT ALL OF THE ADDITIONAL BENEFITS THAT
THIS WAS SUPPOSED TO PROVIDE BOTH TAXPAYERS AND INDIVIDUALS
WHO ARE INCARCERATED, SIMPLY OFFERING A CONTRACT THAT IS LOWER
THAN MARKET RATE TO SANTA RITA FOR THESE -- PURCHASING THESE
MEALS DOES NOT MEET ALL OF THOSE.

THE COURT: OKAY. SO HERE'S -- I'M GOING TO

SUMMARIZE YOUR ARGUMENT IN ONE SENTENCE. YOU TELL ME IF I'M

RIGHT AND THEN WE'LL PROCEED FROM THERE.

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1	YOUR ARGUMENT IS, JUDGE, IF THERE ARE NO WAGES, THEN THE
2	PURPOSES OF PROPOSITION 139 AS CLEARLY EXPRESSED BY THE VOTERS
3	ARE COMPLETELY FRUSTRATED AND, THEREFORE, THAT IS NOT A
4	REASONABLE INTERPRETATION OF THIS CONSTITUTIONAL AMENDMENT AND
5	THESE STATUTES.
6	MS. JOHNS: AND THAT THE LABOR CODE, WITHOUT ANY
7	MUST APPLY UNLESS THERE IS SOME EXPRESS EXCEPTION.
8	THE COURT: THAT'S A FURTHER STEP OF THE ANALYSIS.
9	BUT
10	MS. JOHNS: YES.
11	THE COURT: BUT AS FAR AS IT GOES, IS WHAT I SAID
12	A CORRECT STATEMENT OF YOUR FEELINGS ABOUT THIS, YOUR POSITION
13	ABOUT THIS? I SHOULDN'T SAY FEELING.
14	MS. JOHNS: THAT IS CORRECT.
15	THE COURT: SO WHAT IS THE BEST CASE YOU HAVE FOR
16	THAT POINT?
17	MS. JOHNS: SO THE CASE IT DEALS WITH INDIVIDUALS
18	WHO ARE IN IMMIGRATION DETENTION, BUT IT'S NOVOA, I MAY NOT BE
19	PRONOUNCING THAT RIGHT, BUT I WILL SPELL IT. N-O-V-O-A VERSUS
20	GEO GROUP.
21	THE COURT: DOES THAT CASE HAVE ANYTHING TO DO WITH
22	THE VOTER INTENT?
23	MS. JOHNS: IT DOES NOT.
24	THE COURT: BECAUSE YOU ARE PLACING AN AWFUL LOT OF
25	WEIGHT ON VOTER INTENT. THAT HAS A LOT OF APPEAL, THAT

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ARGUMENT. IS THERE A CASE THAT BACKS YOU UP? 1 2 MS. JOHNS: I DO NOT HAVE A CASE AT MY FINGERTIPS 3 THAT WOULD TALK ABOUT VOTER INTENT TO SUPPORT THIS ARGUMENT, 4 YOUR HONOR. 5 THE COURT: YOUR ARGUMENT IS, SOMEBODY VOTES FOR THIS 6 AND THEN A YEAR LATER THEY FIND OUT PEOPLE ARE WORKING FOR 7 FREE FOR ARAMARK IN THE COUNTY JAIL. THEY SAY WAIT A SECOND, 8 THAT'S NOT WHAT I VOTED FOR. 9 THAT'S A PRETTY GOOD ARGUMENT, BUT I WONDER IF THERE'S A CASE. 10 11 MS. JOHNS: LET ME DIRECT YOUR ATTENTION TO A CASE 12 THAT WE DISCUSSED AGAIN IN THE OPPOSITION TO THE COUNTY'S 13 MOTION, BUT IT IS CALLED VASOUEZ V-A-S-O-U-E-Z VERSUS STATE OF 14 CALIFORNIA. IT'S 105 CAL. APP. 4TH 849, AND IT'S FROM 2003. 15 IT DEALS WITH A STATE PROGRAM, A PROGRAM IN STATE PRISON, 16 BUT IT CERTAINLY -- THAT CASE DISCUSSES THE BIT -- THE 17 INTENTION OF PROPOSITION 139 AND SAYS THAT THIS WAS AN INSTANCE, I BELIEVE, WHERE THE ENTITY THAT THE STATE WAS 18 19 CONTRACTING WITH, THE PRIVATE ENTITY WAS NOT PAYING FOR TRAINING. AND THE COUNTY -- EXCUSE ME, THE COURT SAID THAT 20 21 THEY MUST PAY FOR TRAINING. AND THAT THE -- AND THAT THE 22 STATE HAS SOME LIABILITY THERE BECAUSE THEY CAN'T, YOU KNOW, 23 THEY CAN'T SIT IDLY BY WHILE THE PRIVATE COMPANY VIOLATES 24 PROPOSITION 139. 25 BUT IN THAT CASE IT DISCUSSES THE VOTER INTENT BEHIND

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1	PROPOSITION 139 TO SOME EXTENT. AND AS A IT WAS BROUGHT AS
2	A TAXPAYER LAWSUIT SO THAT TAXPAYERS COULD IMPOSE THE BENEFITS
3	THAT PROPOSITION 139 PROVIDED
4	THE COURT: MS. JOHNS, YOU HAVE ABOUT TWO MINUTES
5	LEFT.
6	MS. JOHNS: THANK YOU.
7	CAN I ADDRESS BRIEFLY THE TVPA ARGUMENT?
8	THE COURT: SURE.
9	MS. JOHNS: I WANT TO JUST SAY PRETRIAL DETAINEES
10	CANNOT BE COMPELLED TO PARTICIPATE IN HARD LABOR ESPECIALLY
11	FOR THE BENEFIT OF A PRIVATE COMPANY BUT EVEN FOR THE BENEFIT
12	OF THE STATE.
13	AND THERE WERE CASES IN OUR ONE CASE IN PARTICULAR OUT
14	OF THE SECOND CIRCUIT THAT DEALT WITH A SIMILAR CASE WHERE AN
15	INDIVIDUAL WAS PRETRIAL AND THEY WERE BEING FORCED UNDER
16	THREAT OF, I BELIEVE IT WAS OF SOLITARY CONFINEMENT, BUT THEY
17	WERE BEING FORCED IN SOME MANNER TO PARTICIPATE IN LAUNDRY
18	SERVICES.
19	IF YOU WILL INDULGE ME ONE SECOND. THAT CASE IS MCGARRY,
20	M-C-G-A-R-R-Y <i>VERSUS PALLITO</i> , P-A-L-L-I-T-O. AND IT'S 687
21	F. 3D 505, SECOND CIRCUIT FROM 2012.
22	ALSO THAT CASE, IN ADDITION TO SAYING THAT PRETRIAL
23	DETAINEES CANNOT BE FORCED TO HARD LABOR, THAT THAT'S A
24	VIOLATION OF THE THIRTEENTH AMENDMENT AND CERTAINLY THE TVPA
25	COULDN'T FORCE PRISONERS TO WORK WHO ARE PRETRIAL IN VIOLATION

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OF THE THIRTEENTH AMENDMENT EITHER, THAT TVPA WOULDN'T ALLOW FOR THAT.

BUT IN ADDITION, IT ADDRESSES THE CIVIC DUTY EXCEPTION.

THE CIVIC DUTY EXCEPTION, OF COURSE, SAYS THAT A PERSON CAN BE

COMPELLED WITHOUT VIOLATING THE THIRTEENTH AMENDMENT TO THINGS

LIKE MILITARY SERVICE OR JURY SERVICE.

AND IN THE CONTEXT OF MORE, YOU KNOW, SMALLER SERVICES
WITHIN THE JAIL, THAT THEY CAN BE FORCED TO DO PERSONAL
HOUSEKEEPING. HOWEVER, ONCE THEY -- THEIR WORK BECOMES IN
SERVICE TO ANOTHER RATHER THAN IN SERVICE TO THE STATE OR, YOU
KNOW, THEIR OWN -- TIDYING UP THEIR OWN SPACE FOR THEIR OWN
BENEFIT, IT BECOMES COMPELLED LABOR THAT WOULD RUN AFOUL OF
THE THIRTEENTH AMENDMENT AND CERTAINLY COULD NOT BE SOMETHING
THAT THE TYPA WOULD PERMIT.

IT IS SIMPLY BECAUSE THERE WAS NO DISTINCTION CREATED WITHIN PROPOSITION 139 DOES NOT MEAN THAT PROPOSITION 139

COULD ALLOW THE STATE OF CALIFORNIA OR LOCAL JAILS TO COMPEL TO HARD LABOR PERSONS WHO WOULD NOT OTHERWISE BE PERMITTED TO BE COMPELLED TO HARD LABOR UNDER THE THIRTEENTH AMENDMENT. SO THERE IS A DISTINCTION.

AND THE CIVIC DUTY EXCEPTION DOES NOT APPLY HERE WHERE

THIS WORK IS HARD FOR THE PROFIT OF ANOTHER -- FOR THE PROFIT

OF A PRIVATE ENTITY, FOR THE PROFIT OF -- FOR THE BENEFIT OF

OTHERS, NOT SIMPLY THEMSELVES OR EVEN THE JAIL, SOLELY THE

JAIL THAT THEY ARE WORKING IN.

DIANE E. SKILLMAN, OFFICIAL COURT REPORTER, USDC

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THE COURT: GOOD. IT'S POSSIBLE THAT I'LL CONCLUDE 1 2 THAT THE COMPLAINT DOES NOT ADEQUATELY ALLEGE COERCION OR 3 THREAT, AND IF THAT IS WHERE I WIND UP ON TVPA, I WILL GIVE 4 YOU LEAVE TO AMEND. 5 DO YOU WANT TO ADDRESS THAT PART AT ALL? 6 MS. JOHNS: WELL, I THINK THAT -- NOT TO ANY GREATER 7 EXTENT THAN WE DID IN OUR BRIEFING. I THINK WE WERE VERY 8 SPARSE WITH OUR ALLEGATIONS. I LAID OUT HOW OUR ALLEGATIONS 9 DO SUPPORT THE COURT ALLOWING US TO PROCEED, BUT CERTAINLY WE 10 WOULD APPRECIATE LEAVE TO AMEND IF THE COURT FINDS WE HAVE NOT 11 SUFFICIENTLY ALLEGED --12 THE COURT: GOOD. OKAY. THANKS, MS. JOHNS. 13 MS. JOHNS: THANK YOU. THE COURT: MR. BOSSET, A MINUTE OR TWO? 14 MR. BOSSET: THANK YOU, YOUR HONOR. 15 16 SO I'LL START WITH THE COURT'S SUMMATION OF THE PLAINTIFFS' LABOR CODE ARGUMENT. AS I TOOK THE NOTES, THE 17 SUMMATION WAS IF NO WAGES, THE PURPOSE OF PROPOSITION 139 18 19 WOULD BE FRUSTRATED. I THINK ACTUALLY THE COURT HAD ANSWERED THAT PROPOSITION 20 21 WITH ITS EARLIER COMMENT THAT, IN FACT, YOU DON'T NEED TO PAY 22 INMATES DIRECTLY WAGES IN ORDER TO SATISFY THE PURPOSES OF 23 PROPOSITION 139 BECAUSE THE COUNTY, IF IT'S ABLE TO PROVIDE 24 INMATE LABOR WITHOUT COMPENSATION, CAN NEGOTIATE A LOWER PRICE

CONTRACT FROM ITS FOOD SERVICES PROVIDER, WHETHER IT BE

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1	ARAMARK OR ANYBODY ELSE SO THAT THE COST SAVINGS WOULD BE
2	CAPTURED IN THAT WAY AND WOULD, IN FACT, SATISFY THE VOTERS
3	DESIRE TO DEFRAY INCARCERATION COSTS THROUGH SOME WAY OTHER
4	THAN TAXES.
5	THE COURT: I THINK THE QUESTION OF THIS IS AN
6	EXTEMPORANEOUS COMMENT. IT'S NOT MEANT TO REFLECT ANY
7	ANALYSIS OF THESE MOTIONS, BUT JUST IN GENERAL, I THINK I ONLY
8	REACH THE QUESTION OF WHAT IS THE INTENT OF THE VOTERS IF THE
9	STATUTE ITSELF IS NOT CLEAR IN SOME WAY. AND BUT MS. JOHNS
10	IS AN ABLE LAWYER AND I THOUGHT SHE PROBABLY HAD SOME
11	INTERESTING THINGS TO SAY ON THE SUBJECT. SO AND I DO
12	THINK THAT THEME UNDERLIES SOME OF THEIR OPPOSITION.
13	ANYWAY, I DON'T HAVE MUCH MORE TO SAY ON THAT.
14	MR. BOSSET: UNDERSTAND, YOUR HONOR. THE OTHER POINT
15	THAT MS. JOHNS MADE, THE ADDITIONAL LEAP THAT IF 139 PURPOSE
16	IS FRUSTRATED IN THE WAY THAT SHE HAS DESCRIBED, THE LABOR
17	CODE HAS TO STEP IN AND FILL THE GAP. THERE'S ACTUALLY NO
18	APPELLATE DECISION EVER THAT HAS APPLIED THE WAGE PROVISIONS
19	OF THE LABOR CODE TO INMATES.
20	AND SO THIS IS NOT THE FIRST CASE FOR THAT TO HAPPEN
21	SITTING IN DIVERSITY IN THE ABSENCE OF ANY SUCH AUTHORITY.
22	NOVOA AND OWINO, THE TWO CASES CITED AS MS. JOHNS
23	ACKNOWLEDGED INVOLVED FEDERAL IMMIGRATION DETENTION
24	FACILITIES. THEY DON'T INVOLVE COUNTY JAIL INMATES AT ALL,

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THEY DON'T INVOLVE INMATES SUBJECT TO PROP 139 OR ARTICLE 4 OF

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1	THE PENAL CODE. THAT SIMPLY DOESN'T COME UP IN EITHER OF
2	THOSE CASES. THOSE CASES REALLY ARE NOT GERMANE TO THE
3	COURT'S DECISION.
4	MS. JOHNS ALSO RAISED THE <i>VASQUEZ</i> CASE. THAT CASE
5	ACTUALLY IS NOT CITED IN HER OPPOSITION BRIEF. SO IF THE
6	COURT IS INTERESTED IN A RESPONSE
7	THE COURT: IT IS CITED IN A DIFFERENT OPPOSITION
8	BRIEF.
9	MR. BOSSET: PARDON ME?
10	THE COURT: IT IS CITED IN A DIFFERENT OPPOSITION
11	BRIEF.
12	MR. BOSSET: OKAY.
13	THE COURT: THAT'S WHERE YES. I BELIEVE SHE CITES
14	IT IN OPPOSITION TO THE COUNTY'S MOTION.
15	MR. BOSSET: I SEE. WELL, IF THE COURT IS INTERESTED
16	IN A RESPONSE FROM ARAMARK ON THAT MATTER, WE WILL BE HAPPY TO
17	SUBMIT A SUPPLEMENTAL LETTER
18	THE COURT: I'LL SIMPLY MAKE A NOTE TO MYSELF. IF I
19	INVITE FURTHER BRIEFING NOW, IT WILL SIMPLY DELAY RESOLUTION
20	OF THE MOTION. IT MAY NOT TURN OUT TO BE NECESSARY.
21	I THINK I DO WANT TO LOOK AT <i>VASQUEZ</i> . IF, AFTER HAVING
22	READ IT, IT APPEARS TO ME I AM GOING TO RELY ON IT, I WILL
23	MAKE A NOTE TO GIVE YOU AN OPPORTUNITY TO SAY SOMETHING ABOUT
24	IT BRIEFLY IN WRITING.
25	MR. BOSSET: THANK YOU, YOUR HONOR. AND THE LAST

33

Case 4:19-cv-07637-JST Document 39 Filed 03/16/20 Page 33 of 34

1	CASE THAT MS. JOHNS DID REFER TO IS A SECOND CIRCUIT DECISION.
2	I BELIEVE IT'S CALLED MCGARRY.
3	THE COURT: MCGARRY, M-C-G-A-R-R-Y.
4	MR. BOSSET: RIGHT.
5	THE COURT: 2012 SECOND CIRCUIT.
6	MR. BOSSET: THAT'S NOT A TVPA CASE, YOUR HONOR.
7	MS. JOHNS DID ACKNOWLEDGE THAT'S A THIRTEENTH AMENDMENT CASE.
8	THERE IS NO THIRTEENTH AMENDMENT CLAIM AGAINST ARAMARK IN THIS
9	COMPLAINT. THERE IS A CLAIM AGAINST THE COUNTY, A
10	CONSTITUTIONAL CLAIM. WE ARE NOT HERE TO ADDRESS THAT CLAIM
11	AND WE DON'T THINK THAT THAT CASE IS REALLY ON POINT HERE
12	BECAUSE THE LANGUAGE OF THE TVPA, AS WE HAVE DESCRIBED,
13	DOESN'T INCLUDE GOVERNMENTAL ENTITIES AB INITIO.
14	SO WHETHER OR NOT THERE'S A THIRTEENTH AMENDMENT CLAIM
15	AGAINST THE COUNTY, I'M NOT HERE TO SAY. IT'S NOT AGAINST MY
16	CLIENT, BUT WE DON'T BELIEVE IT WOULD BE A THIRTEENTH
17	AMENDMENT TVPA CLAIM FOR THE REASONS THAT WE NOTED.
18	THAT'S ALL WE HAVE, YOUR HONOR.
19	THE COURT: THANK YOU.
20	MR. BOSSET: THANKS.
21	THE COURT: VERY INTERESTING CASE.
22	MR. BOSSET: THANK YOU.
23	THE COURT: THANK YOU FOR YOUR ARGUMENTS. I WILL
24	TAKE THE MOTION UNDER SUBMISSION.
25	MS. JOHNS: THANK YOU, YOUR HONOR.

Case: 21-16528, 01/26/2022, ID: 12352751, DktEntry: 21-4, Page 35 of 258

Case 4:19-cv-07637-JST Document 39 Filed 03/16/20 Page 34 of 34 $_{34}$

1	MR. BOSSET: THANK YOU.
2	THE CLERK: COURT IS IN RECESS.
3	(PROCEEDINGS CONCLUDED AT 3:48 P.M.)
4	
5	
6	CERTIFICATE OF REPORTER
7	I, DIANE E. SKILLMAN, OFFICIAL REPORTER FOR THE
8	UNITED STATES COURT, NORTHERN DISTRICT OF CALIFORNIA, HEREBY
9	CERTIFY THAT THE FOREGOING IS A CORRECT TRANSCRIPT FROM THE
. 0	RECORD OF PROCEEDINGS IN THE ABOVE-ENTITLED MATTER.
.1	
.2	Disne E. Skilman
. 3	DIANE E. SKILLMAN, CSR 4909, RPR, FCRR
4	MONDAY, MARCH 16, 2020
.5	
- 6	
.7	
. 8	
. 9	
20	
21	
22	
23	
24	
25	

```
Case 4:19-cv-07637-JST Document 29 Filed 01/31/20 Page 1 of 2
   DAN SIEGEL, SBN 056400
    ANNE BUTTERFIELD WEILLS, SBN 139845
2
    EMILYROSE JOHNS, SBN 294319
   SIEGEL, YEE, BRUNNER & MEHTA
 3
    475 14th Street, Suite 500
    Oakland, California 94612
4
    Telephone: (510) 839-1200
 5
   Facsimile: (510) 444-6698
    Emails: danmsiegel@gmail.com; abweills@gmail.com;
    emilyrose@siegelyee.com
 7
    Attorneys for Plaintiffs
 8
   ARMIDA RUELAS, DE'ANDRE EUGENE COX,
    BERT DAVIS, KATRISH JONES,
    JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
   MONICA MASON, and LUIS NUNEZ-ROMERO
10
11
                       UNITED STATES DISTRICT COURT
12
                FOR THE NORTHERN DISTRICT OF CALIFORNIA
13
14
   ARMIDA RUELAS; DE'ANDRE EUGENE
                                         ) Case No. 4:19-cv-07637-JST
    COX; BERT DAVIS; KATRISH JONES;
15
    JOSEPH MEBRAHTU; DAHRYL
                                         ) PLAINTIFFS' REQUEST FOR
    REYNOLDS; MONICA MASON; LUIS
                                         ) JUDICIAL NOTICE IN SUPPORT
16
    NUNEZ-ROMERO; and all others similarly ) OF THEIR OPPOSITION TO
17
    situated.
                                         ) DEFENDANT ARAMARK'S
                                         ) MOTION TO DISMISS PLAINTIFFS'
18
               Plaintiffs,
                                         ) COMPLAINT
19
          vs.
                                         ) Hearing: March 4, 2020
                                         ) Time: 2 p.m.
20
                                         ) Courtroom: Oakland Courthouse,
    COUNTY OF ALAMEDA; GREGORY J.
21
    AHERN, SHERIFF; ARAMARK
                                         ) Courtroom 6 – 2nd Floor
    CORRECTIONAL SERVICES, LLC; and
                                         ) 1301 Clay Street, Oakland, CA 94612
22
    DOES 1 through 10,
23
                                         ) Hon. Jon S. Tigar
               Defendants.
24
25
26
27
28
    Ruelas v. County of Alameda, No. 4:19-cv-07637-JST
    Plaintiffs' Request for Judicial Notice in Support of Plaintiffs' Opposition to County's
    and Sheriff's Motion to Dismiss
```

Case 4:19-cv-07637-JST Document 29 Filed 01/31/20 Page 2 of 2

Pursuant to Federal Rule of Evidence 201, plaintiffs seek judicial notice of the 1 Declaration of Riddic Bowers, a lieutenant employed by the Alameda County Sheriff's 2 Office, and the declaration's exhibits, filed in Mohr acher v. Alameda County heriffs 3 4 De artment, no. 3:18-cv-00050-JD, currently pending before the Honorable James Donato in the Northern District of California, which is attached as Exhibit 1 to the 5 Declaration of EmilyRose Johns, filed concurrently with this request. 6 Additionally, plaintiffs seek judicial notice of the 1990 Voters Guide for the General 8 Election attached as Exhibit 2 to the Declaration of EmilyRose Johns, filed concurrently 9 with this request. The Ninth Circuit routinely takes judicial notice of court filings and other 10 11 matters of public record. Reun s asta ella, Cv. isa A, Inc., 442 F.3d 741, 746 n.6 (9th Cir. 2006). ee also Mahoney v. essions, 871 F.3d 873, 877 n.2 (9th Cir. 2017); 12 13 eren ian v. Re u lic of Ira, 694 F.3d 1122, 1137 n. 8 (9th Cir. 2012); ur an lendale asadena Air ort Auth. v. City of ur an , 136 F.3d 1360, 1364 (9th Cir. 14 1998). Courts may take judicial notice of voter guides, which are also public records. 15 Chamness v. Bowen, No. CV1101479ODWFFMX, 2011 WL 13128410, at 5 (C.D. Cal. 16 17 Mar. 30, 2011). 18 19 Dated: January 31, 2020 20 SIEGEL, YEE, BRUNNER & MEHTA 21 By /s/EmilyRose Johns 22 EmilyRose Johns 23 24 Attorneys for Plaintiffs ARMIDA RUELAS, DE'ANDRE EUGENE 25 COX, BERT DAVIS, KATRISH JONES, JOSEPH MEBRAHTU, DAHRYL REYNOLDS, 26 MONICA MASON and LUIS NUNEZ-27 **ROMERO** 28

Ruelas v. County of Alameda, No. 4:19-cv-07637-JST Plaintiffs' Request for Judicial Notice in Support of Plaintiffs' Opposition to County's and Sheriff's Motion to Dismiss - 2

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Case 4:19-cv-07637-JST Document 29-1 Filed 01/31/20 Page 1 of 2
    DAN SIEGEL, SBN 056400
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    Attorneys for Plaintiffs
 8
    ARMIDA RUELAS, DE'ANDRE EUGENE COX,
    BERT DAVIS, KATRISH JONES,
    JOSEPH MEBRAHTU, DAHRYL REYNOLDS,
    MONICA MASON, and LUIS NUNEZ-ROMERO
10
11
                       UNITED STATES DISTRICT COURT
12
                FOR THE NORTHERN DISTRICT OF CALIFORNIA
13
    ARMIDA RUELAS; DE'ANDRE EUGENE
                                         ) Case No. 4:19-cv-07637-JST
14
    COX; BERT DAVIS; KATRISH JONES;
15
    JOSEPH MEBRAHTU; DAHRYL
                                         ) DECLARATION OF EMIL ROSE
    REYNOLDS; MONICA MASON; LUIS
                                         ) JOHNS IN SUPPORT OF
16
    NUNEZ-ROMERO; and all others similarly ) PLAINTIFFS' REQUEST FOR
17
    situated,
                                         ) JUDICIAL NOTICE
18
               Plaintiffs,
                                          ) Hearing: March 4, 2020
                                         ) Time: 2 p.m.
19
                                         ) Courtroom: Oakland Courthouse,
          vs.
                                          ) Courtroom 6 – 2nd Floor
20
                                         ) 1301 Clay Street, Oakland, CA 94612
    COUNTY OF ALAMEDA; GREGORY J.
21
    AHERN, SHERIFF; ARAMARK
    CORRECTIONAL SERVICES, LLC; and
                                         ) Hon. Jon S. Tigar
22
    DOES 1 through 10,
23
                Defendants.
24
25
26
27
28
    Ruelas v. County of Alameda, No. 4:19-cv-07637-JST
    Declaration of EmilyRose Johns in Support of Plaintiffs' Request for Judicial Notice
```

Case 4:19-cv-07637-JST Document 29-1 Filed 01/31/20 Page 2 of 2

I, EMILYROSE JOHNS, declare as follows:

- 1. I am an attorney licensed to practice in the State of California and a senior associate in the law firm Siegel, Yee, Brunner & Mehta, the attorneys for the plaintiffs in this case.
- 2. This declaration is based upon my personal knowledge, and I am competent to testify with respect to the matters stated herein.
- 3. Attached hereto as Exhibit 1 is a true copy of the Declaration of Riddic Bowers with Exhibit W, filed in *Mohr acher v. Alameda County heriff's De artment*, no. 3:18-cv-00050-JD, currently pending before the Honorable James Donato in the Northern District of California.
- 4. Attached hereto as Exhibit 2 is a true copy of the Voter Information Guide for 1990, General Election, available through the UC Hastings Scholarship Repository, at r r d r 2056 r

I declare under penalty of perjury under the laws of the United States and of the State of California that the foregoing is true and correct.

Executed on January 31, 2020, at Oakland, California.

/s/ EmilyRose Johns EmilyRose Johns

Ruelas v. County of Alameda, No. 4:19-cv-07637-JST Declaration of EmilyRose Johns in Support of Plaintiffs' Request for Judicial Notice - 2 Case: 21-16528, 01/26/2022, ID: 12352751, DktEntry: 21-4, Page 40 of 258

Case 4:19-cv-07637-JST Document 29-2 Filed 01/31/20 Page 1 of 73

Exhibit 1

GREGORY B. THOMAS, ESQ. (SBN 239870) TEMITAYO O. PETERS, ESQ. (SBN 309913) BOORNAZIAN, JENSEN & GARTHE A Professional Corporation 3 555 12th Street, Suite 1800 Oakland, CA 94607 Telephone: (510) 834-4350 Facsimile: (510) 839-1897 gthomas@bjg.com 5 opeters@bjg.com 6 Attorneys for Defendants COUNTY OF ALAMEDA GREGORY J. AHERN, BRETT M. KETELES, TOM MADIGAN, D. SKOLDQVIST, LT. HATTAWAY, SGT. CALEGARI, DEPUTY DIVINE (512), DEPUTY DEBRA FARMANIAN, DEPUTY WEATHERBEE (238), DEPUTY TANIA POPE. DEPUTY WINSTEAD, and DEPUTY CAINE 10 UNITED STATES DISTRICT COURT 11 NORTHERN DISTRICT OF CALIFORNIA 12 Case No. 3:18-cv-00050-JD 13 **DECLARATION OF RIDDIC BOWERS** JACLYN MOHRBACHER, et al., IN SUPPORT OF ALAMEDA COUNTY 14 **DEFENDANTS' OPPOSITION TO** Plaintiffs, PLAINTIFFS' MOTION FOR 15 PRELIMINARY INJUNCTION v. ALAMEDA COUNTY SHERIFF'S OFFICE, et) **DATE:** TIME: al., 17 **JUDGE:** Hon. James Donato Defendants. **COURTROOM:** 11, 9th Floor 18 450 Golden Gate Avenue San Francisco, CA 94102 19 Complaint filed January 4, 2018 20 21 I, Riddic Bowers, declare: 22 1. I am currently a lieutenant employed by the Alameda County Sheriff's Office. 23 Unless specifically stated to be made upon information and belief, I possess personal knowledge of 24 the matters set forth herein, and if called upon as a witness in this matter, I could and would competently testify thereto. 25 26 2. I began working for the Alameda County Sheriff's Office in 1989 as a sheriff's 27 technician. After I became a sworn deputy sheriff, I worked as a patrol officer, in various capacities at Santa Rita Jail, for the coroner's office, and for the contract police services. I was DECL. OF RIDDIC BOWERS IN SUPPORT OF ALAMEDA COUNTY DEFENDANTS' OPPOSITION TO

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promoted to Sergeant in 2004 and to lieutenant in 2009. I began my current position as the contracts litigation lieutenant at Santa Rita Jail in December 2017.

- In accordance with my duties as Santa Rita Jail's contracts litigation lieutenant, I
 am responsible for reviewing and maintaining all of Santa Rita Jail's vendor contracts and related
 reports.
- 4. Santa Rita Jail does not employ any medical professionals. As a result, the County of Alameda hires an independent contractor to provide comprehensive healthcare services to inmates of the County of Alameda's Santa Rita Jail.
- 5. Attached as **Exhibit T** is a true and correct copy of the Standard Services Agreement the County of Alameda entered into with California Forensic Medical Group ("CFMG"). The Standard Services agreement with CFMG is for the time period of July 1, 2016 through July 31, 2019. I am intimately familiar with this contract as a result of my general duties as Santa Rita Jail's contracts litigation lieutenant. A copy of this contract is stored at Santa Rita Jail in the course of regularly conducted business.
- 7. In accordance with the County of Alameda-CFMG contract, CFMG has full responsibility for providing comprehensive healthcare services to Santa Rita Jail's inmates.
- 8. Prior to the implementation of the County of Alameda-CFMG contract, independent contractor Corizon Health Services ("Corizon") was responsible for providing comprehensive healthcare services to Santa Rita Jail's inmates. CFMG officially became Santa Rita Jail's sole healthcare provider on October 1, 2016.
- 9. The Alameda County Public Health Department conducts a biannual Environmental Health Evaluation inspection report of Santa Rita Jail. This report is broken down into three subdivisions "Environmental Health," "Nutritional Health," and "Medical/Mental Health." Any noted deficiencies are accompanied by recommended corrective actions.
- 10. Attached as **Exhibit U** is a true and correct copy of Santa Rita Jail's 2016-2017 Environmental Health Evaluation inspection report. I am intimately familiar with this report as a result of my general duties as Santa Rita Jail's contracts litigation lieutenant. A copy of this report is stored at Santa Rita Jail in the course of regularly conducted business.

- 2 -

DECL. OF RIDDIC BOWERS IN SUPPORT OF ALAMEDA COUNTY DEFENDANTS' OPPOSITION TO PLAINTIFFS' MOTION FOR PRELIMINARY INJUNCTION - Case No. 3:18-cv-00050-JD

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- 11. Based on the 2016-2017 Environmental Health Evaluation inspection report, I am unaware of any reason to believe that Santa Rita Jail is not compliant with its 15 CCR 1000, et sq. obligations regarding its oversight of inmate "Medical/Mental Health." Any deficiencies noted in the report, if any, have been addressed as indicated in a memorandum that I drafted entitled "Santa Rita Jail 2017 Jail Health Inspection Corrective Action Report" ("Memorandum"), dated February 7, 2018. Attached as **Exhibit V** is a true and correct copy of said Memorandum.
- 12. Santa Rita Jail does not employ a registered dietitian or a nutritional expert. Instead, the County of Alameda hires Aramark Correctional Services, LLC ("Aramark"), an independent contractor, to provide food services to inmates at Santa Rita Jail.
- 13. Attached as **Exhibit W** is a true and correct copy of the Standard Services Agreement the County of Alameda entered into with Aramark on June 2, 2015. I am intimately familiar with this contract as a result of my general duties as Santa Rita Jail's contracts litigation lieutenant. A copy of this contract is stored at Santa Rita Jail in the course of regularly conducted business.
- 14. In accordance with this contract, Aramark is fully responsible for conducting Santa Rita Jail's food service program, which includes ensuring that levels of sanitation meet food standards required by the American Correctional Association, local regulations, and all food safety, sanitation, and public health codes, rules, and regulations governing food service and preparation.
- 15. Based on the 2016-2017 Environmental Health Evaluation inspection report, I am unaware of any reason to believe that Santa Rita Jail is not compliant with its 15 CCR Section 1000, et seq. obligations regarding its oversight of inmate "Nutritional Health." Any deficiencies noted in the report, if any, have been addressed as indicated by my Memorandum attached as **Exhibit V**.
- 16. For example, in accordance with my duties as the contract lieutenant, I received and reviewed documentation from Aramark indicating that all inmate diet plans and menus, including diet plans for pregnant and postpartum female inmates, have been reviewed and approved by a

- 3 -

DECL. OF RIDDIC BOWERS IN SUPPORT OF ALAMEDA COUNTY DEFENDANTS' OPPOSITION TO PLAINTIFFS' MOTION FOR PRELIMINARY INJUNCTION - Case No. 3:18-cv-00050-JD

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	registered dietitian. Attached as Exhibit X is a true and correct copy of said documentation.
١	17. Regarding feminine hygiene, prior to beginning my current role at Santa Rita Jail in
	December 2017, I was the lieutenant in charge of projects, supply, transport, and kitchen. In this
	role, I supervised all purchasing and warehouse operations, including the purchase of sanitar
	napkins to assist with feminine hygiene. In accordance with my duties I know that Santa Rita Jan
	spent \$11,882.94 in 2017 on sanitary napkins.
	I declare under penalty of perjury under the laws of the State of California that th
	foregoing is true and correct.
	Executed at DUBLEN, California on February 20 2018.
	By:
	28116\774440 Riddic Bowers
١	- 4 - DECL. OF RIDDIC BOWERS IN SUPPORT OF ALAMEDA COUNTY DEFENDANTS' OPPOSITION TO

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EXHIBIT W

Casse 34119 cov 40006507-JISTD Documenter8629-2 Fileite 6300051620 Pages 577 of f 1729

110-23

Master Contract No. 901240 Procurement Contract No. 11293

QUESTIONNAIRE FOR DETERMINING THE WITHHOLDING STATUS

INSTRUCTIONS: This questionnaire is to be completed by the County department for services contracts and must be included as part of the contract package. Be sure to answer all of the questions in Sections I and II and to complete the certifications on page 2. Sections III and IV contain supplemental questions to be answered for contractors in certain service categories.

CO	NTRACTOR NAME: <u>Aramark Correctional Services, LLC.</u> DEPT #	: _29	005	<u>41</u>	
TIT	LE/SERVICE: Food Services Delivery				
DEI	PT. CONTACT: Reynaldo Bondoc PHONE: 510-	208-	<u>976</u>	<u>57</u>	
I.	INFORMATION ABOUT THE CONTRACTOR	YE	S	N()
1.	Is the contractor a corporation or partnership?	(X)		()
2.	Does the contractor have the right per the contract to hire others to do the work agreed to in the contract?	(X)		()
3.	If the answer to BOTH questions is YES, provide the employer ID n 23-2573585 No other questions need to be answered. Withholding is not required		er l	nere	e:
4.	If the answer to question 1 is NO and 2 is YES, provide the individual security number here: No other questions need to be answered. Withholding is not require		cia	1	
5.	If the answer to question 2 is NO, continue to Section II.				
II.	RELATIONSHIP OF THE PARTIES	YE	ES	N	О
1.	Does the County have the right to control the way in which the work will be done, i.e., will the County be able to specify the sequence of steps or the processes to be followed if it chooses to do so?	()	()
2.	Is the contractor restricted from performing similar services for other businesses while he is working for the County?	()	()
3.	Will the contractor be working for more than 50% of the time for the County ($50\% = 20 \text{ hrs/wk}$: 80 hrs/mo)?	()	()
4.	Is the relationship between the County and the contractor intended to be ongoing?	()	()

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110-2	Master C Procurement				
III.	FOR CONSULTANTS, PROJECT MANAGERS, PROJECT COORDINATORS	Y	ES	NO)
1.	Is the contractor being hired for a period of time rather than for a specific project?	()	()
2.	Will payment be based on a wage or salary (as opposed to a commission or lump sum)?	()	()
IV.	FOR PHYSICIANS, PSYCHIATRISTS, DENTISTS, PSYCHOLOGISTS	Y	ES	NO)
1.	Will the agreement be with an individual who does not have an outside practice?	()	()
2.	Will the contractor work more than an average of ten hours per week? IF THE ANSWER TO QUESTION 2 IS YES, ANSWER QUESTION 3.	()	()
3.	Will the County provide more than 20% of the contractor's income?	()	()
4.	If the answer to either question 2, or if required, question 3 is NO, the entire answer is NO.				
cons	YES" answer to any of the questions in Section II, or, if applicable, Sectitutes justification for paying the contractor through the payroll system ployee for withholding purposes."				r IV
CER	TIFICATIONS:				
	reby certify that the answers to the above questions accurately reflect the sing relationship for this contract.	he a	antio	cipa	ted
Con	tractor Signature Agency Department Head/I	Des	igne	ee	
Mar	k R. Adams, Vice President Finance	5	10	Co	n
Prin	ted Name Printed Name				
6	-d-15 7/29/15	_			
Date	Page 2 of 2				

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Master Contract No. 901240 Procurement Contract No. 11293

COUNTY OF ALAMEDA STANDARD SERVICES AGREEMENT

This Agreement, dated as of _______, 2015, is by and between the County of Alameda, hereinafter referred to as the "County", and Aramark Correctional Services, LLC., hereinafter referred to as the "Contractor".

WITNESSETH

Whereas, County desires to obtain food services which are more fully described in Exhibit A hereto ("Services"); and

Whereas, Contractor is professionally qualified to provide such services and is willing to provide same to County; and

Now, therefore it is agreed that County does hereby retain Contractor to provide Food Services, and Contractor accepts such engagement, on the General Terms and Conditions hereinafter specified in this Agreement, the Additional Provisions attached hereto, and the following described exhibits, all of which are incorporated into this Agreement by this reference:

Exhibit A-1	Specific Requirements
Exhibit A-2	Deliverables/Reports
Exhibit A-3	Subcontractors
Exhibit B	Payment Terms
Exhibit C	Insurance Requirements
Exhibit D	Debarment and Suspension Certification
Exhibit E	Contract Compliance Reporting Requirements
Exhibit F	The Iran Contracting Act (ICA) of 2010
Exhibit G	Micros POS System

Definition of Services

Exhibit A

The term of this Agreement shall be from July 1, 2015 through June 30, 2018.

The compensation payable to Contractor hereunder shall not exceed nineteen million, ninety seven thousand, and one hundred forty eight dollars (\$19,097,148) for the term of this Agreement.

Case 3:19-cv-00050-JBT DDoorment80912 FHdd00000311/20 Plage60006129

Master Contract No. 901240 Procurement Contract No. 11293

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

COUNTY OF ALAMEDA

By: Signature

Name: John Glann (Printed)

Title: <u>Purchasing Agent</u>

Date: 7/29/15

ARAMARK CORRECTIONAL SERVICES, LLC.

Signature

Name: Mark R. Adams

(Printed)

Title: Vice President Finance

Date: 6-3-15

By signing above, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement.

Case 3:19-cv-070650-JBT DDoormeen88912 FHddd0910311/20 PRgge61106129

Master Contract No. 901240 Procurement Contract No. 11293

GENERAL TERMS AND CONDITIONS

1. INDEPENDENT CONTRACTOR: No relationship of employer and employee is created by this Agreement; it being understood and agreed that Contractor is an independent contractor. Contractor is not the agent or employee of the County in any capacity whatsoever, and County shall not be liable for any acts or omissions by Contractor nor for any obligations or liabilities incurred by Contractor.

Contractor shall have no claim under this Agreement or otherwise, for seniority, vacation time, vacation pay, sick leave, personal time off, overtime, health insurance medical care, hospital care, retirement benefits, social security, disability, Workers' Compensation, or unemployment insurance benefits, civil service protection, or employee benefits of any kind.

Contractor shall be solely liable for and obligated to pay directly all applicable payroll taxes (including federal and state income taxes) or contributions for unemployment insurance or old age pensions or annuities which are imposed by any governmental entity in connection with the labor used or which are measured by wages, salaries or other remuneration paid to its officers, agents or employees and agrees to indemnify and hold County harmless from any and all liability which County may incur because of Contractor's failure to pay such amounts.

In carrying out the work contemplated herein, Contractor shall comply with all applicable federal and state workers' compensation and liability laws and regulations with respect to the officers, agents and/or employees conducting and participating in the work; and agrees that such officers, agents, and/or employees will be considered as independent contractors and shall not be treated or considered in any way as officers, agents and/or employees of County.

Contractor does, by this Agreement, agree to perform his/her said work and functions at all times in strict accordance with currently approved methods and practices in his/her field and that the sole interest of County is to insure that said service shall be performed and rendered in a competent, efficient, timely and satisfactory manner and in accordance with the standards required by the County agency concerned.

Notwithstanding the foregoing, if the County determines that pursuant to state and federal law Contractor is an employee for purposes of income tax withholding, County may upon two week's notice to Contractor, withhold from payments to Contractor hereunder federal and state income taxes and pay said sums to the federal and state governments

2. INDEMNIFICATION: To the fullest extent permitted by law, Contractor shall hold harmless, defend and indemnify the County of Alameda, its Board of

Case 3:19-cv-00050-JBT DDoorment88912 FHddd0910311/80 PRgge62206129

Master Contract No. 901240 Procurement Contract No. 11293

Supervisors, employees and agents from and against any and all claims, losses, damages, liabilities and expenses, including but not limited to attorneys' fees, arising out of or resulting from the performance of services under this Agreement, provided that any such claim, loss, damage, liability or expense is attributable to bodily injury, sickness, disease, death or to injury to or destruction of property, including the loss therefrom, or to any violation of federal, state or municipal law or regulation, which arises out of or is any way connected with the performance of this agreement (collectively "Liabilities") except where such Liabilities are caused solely by the negligence or willful misconduct of any indemnitee. The County may participate in the defense of any such claim without relieving Contractor of any obligation hereunder. The obligations of this indemnity shall be for the full amount of all damage to County, including defense costs, and shall not be limited by any insurance limits.

In the event that Contractor or any employee, agent. or subcontractor of Contractor providing services under this Agreement is determined by a court of competent jurisdiction or the Alameda County Employees' Retirement Association (ACERA) or California Public Employees' Retirement System (PERS) to be eligible for enrollment in ACERA and PERS as an employee of County, Contractor shall indemnify, defend, and hold harmless County for the payment of any employee and/or employer contributions for ACERA and PERS benefits on behalf of Contractor or its employees, agents, or subcontractors, as well as for the payment of any penalties and interest on such contributions, which would otherwise be the responsibility of County.

- 3. INSURANCE AND BOND: Contractor shall at all times during the term of the Agreement with the County maintain in force, at minimum, those insurance policies and bonds as designated in the attached Exhibit C, and will comply with all those requirements as stated therein. The County and all parties as set forth on Exhibit C shall be considered an additional insured or loss payee if applicable. All of Contractor's available insurance coverage and proceeds in excess of the specified minimum limits shall be available to satisfy any and all claims of the County, including defense costs and damages. Any insurance limitations are independent of and shall not limit the indemnification terms of this Agreement. Contractor's insurance policies, including excess and umbrella insurance policies. shall include an endorsement and be primary and non-contributory and will not seek contribution from any other insurance (or self-insurance) available to County. Contractor's excess and umbrella insurance shall also apply on a primary and noncontributory basis for the benefit of the County before County's own insurance policy or self-insurance shall be called upon to protect it as a named insured.
- 4. PREVAILING WAGES: Pursuant to Labor Code Sections 1770 et seq., Contractor shall pay to persons performing labor in and about Work provided for in Contract not less than the general prevailing rate of per diem wages for work of a similar

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character in the locality in which the Work is performed, and not less than the general prevailing rate of per diem wages for legal holiday and overtime work in said locality, which per diem wages shall not be less than the stipulated rates contained in a schedule thereof which has been ascertained and determined by the Director of the State Department of Industrial Relations to be the general prevailing rate of per diem wages for each craft or type of workman or mechanic needed to execute this contract.

5. WORKERS' COMPENSATION: Contractor shall provide Workers' Compensation insurance, as applicable, at Contractor's own cost and expense and further, neither the Contractor nor its carrier shall be entitled to recover from County any costs, settlements, or expenses of Workers' Compensation claims arising out of this Agreement.

6. CONFORMITY WITH LAW AND SAFETY:

- a. In performing services under this Agreement. Contractor shall observe and comply with all applicable laws, ordinances, codes and regulations of governmental agencies, including federal, state, municipal, and local governing bodies, having jurisdiction over the scope of services, including all applicable provisions of the California Occupational Safety and Health Act. Contractor shall indemnify and hold County harmless from any and all liability, fines, penalties and consequences from any of Contractor's failures to comply with such laws, ordinances, codes and regulations.
- b. Accidents: If a death, serious personal injury or substantial property damage occurs in connection with Contractor's performance of this Agreement, Contractor shall immediately notify the Alameda County Risk Manager's Office by telephone. Contractor shall promptly submit to County a written report, in such form as may be required by County of all accidents which occur in connection with this Agreement. This report must include the following information: (1) name and address of the injured or deceased person(s): (2) name and address of Contractor's sub-Contractor, if any: (3) name and address of Contractor's liability insurance carrier; and (4) a detailed description of the accident and whether any of County's equipment, tools, material, or staff were involved.
- c. Contractor further agrees to take all reasonable steps to preserve all physical evidence and information which may be relevant to the circumstances surrounding a potential claim, while maintaining public safety, and to grant to the County the opportunity to review and inspect such evidence, including the scene of the accident.

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- 7. DEBARMENT AND SUSPENSION CERTIFICATION: (Applicable to all agreements funded in part or whole with federal funds and contracts over \$25,000).
 - a. By signing this agreement and Exhibit D, Debarment and Suspension Certification, Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations, including but not limited to 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35 and Executive Order 12549.
 - b. By signing this agreement, Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntary excluded by any federal department or agency;
 - (2) Shall not knowingly enter into any covered transaction with a person who is proposed for debarment under federal regulations, debarred. suspended, declared ineligible, or voluntarily excluded from participation in such transaction.
- 8. PAYMENT: For services performed in accordance with this Agreement, payment shall be made to Contractor as provided in Exhibit B hereto.
- 9. TRAVEL EXPENSES: Contractor shall not be allowed or paid travel expenses unless set forth in this Agreement.
- 10. TAXES: Payment of all applicable federal, state, and local taxes shall be the sole responsibility of the Contractor.
- 11. OWNERSHIP OF DOCUMENTS: Contractor hereby assigns to the County and its assignees all copyright and other use rights in any and all proposals, plans, specification, designs, drawings, sketches, renderings, models, reports and related documents (including computerized or electronic copies) respecting in any way the subject matter of this Agreement, whether prepared by the County, the Contractor, the Contractor's sub-Contractors or third parties at the request of the Contractor (collectively, "Documents and Materials"). This explicitly includes the electronic copies of all above stated documentation.

Contractor also hereby assigns to the County and its assignees all copyright and other use rights in any Documents and Materials including electronic copies stored in Contractor's Information System, respecting in any way the subject matter of this Agreement.

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Contractor shall be permitted to retain copies, including reproducible copies and computerized copies, of said Documents and Materials. Contractor agrees to take such further steps as may be reasonably requested by County to implement the aforesaid assignment. If for any reason said assignment is not effective. Contractor hereby grants the County and any assignee of the County an express royalty – free license to retain and use said Documents and Materials. The County's rights under this paragraph shall apply regardless of the degree of completion of the Documents and Materials and whether or not Contractor's services as set forth in Exhibit "A" of this Agreement have been fully performed or paid for.

In Contractor's contracts with other Contractors, Contractor shall expressly obligate its Sub-Contractors to grant the County the aforesaid assignment and license rights as to that Contractor's Documents and Materials. Contractor agrees to defend, indemnify, and hold the County harmless from any damage caused by a failure of the Contractor to obtain such rights from its Contractors and/or Sub-Contractors.

Contractor shall pay all royalties and license fees which may be due for any patented or copyrighted materials, methods or systems selected by the Contractor and incorporated into the work as set forth in Exhibit "A", and shall defend, indemnify and hold the County harmless from any claims for infringement of patent or copyright arising out of such selection. The County's rights under this Paragraph 11 shall not extend to any computer software used to create such Documents and Materials.

12. CONFLICT OF INTEREST: CONFIDENTIALITY: The Contractor covenants that it presently has no interest, and shall not have any interest, direct or indirect, which would conflict in any manner with the performance of services required under this Agreement. Without limitation, Contractor represents to and agrees with the County that Contractor has no present, and will have no future, conflict of interest between providing the County services hereunder and any other person or entity (including but not limited to any federal or state wildlife, environmental or regulatory agency) which has any interest adverse or potentially adverse to the County, as determined in the reasonable judgment of the Board of Supervisors of the County.

The Contractor agrees that any information, whether proprietary or not, made known to or discovered by it during the performance of or in connection with this Agreement for the County will be kept confidential and not be disclosed to any other person. The Contractor agrees to immediately notify the County by notices provided in accordance with Paragraph 13 of this Agreement, if it is requested to disclose any information made known to or discovered by it during the

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performance of or in connection with this Agreement. These conflict of interest and future service provisions and limitations shall remain fully effective five (5) years after termination of services to the County hereunder.

13. NOTICES: All notices, requests, demands, or other communications under this Agreement shall be in writing. Notices shall be given for all purposes as follows:

Personal delivery: When personally delivered to the recipient, notices are effective on delivery.

First Class Mail: When mailed first class to the last address of the recipient known to the party giving notice, notice is effective three (3) mail delivery days after deposit in a United States Postal Service office or mailbox. Certified Mail: When mailed certified mail, return receipt requested, notice is effective on receipt, if delivery is confirmed by a return receipt.

Overnight Delivery: When delivered by overnight delivery (Federal Express/Airborne/United Parcel Service/DHL WorldWide Express) with charges prepaid or charged to the sender's account, notice is effective on delivery, if delivery is confirmed by the delivery service. Telex or facsimile transmission: When sent by telex or facsimile to the last telex or facsimile number of the recipient known to the party giving notice, notice is effective on receipt, provided that (a) a duplicate copy of the notice is promptly given by first-class or certified mail or by overnight delivery, or (b) the receiving party delivers a written confirmation of receipt. Any notice given by telex or facsimile shall be deemed received on the next business day if it is received after 5:00 p.m. (recipient's time) or on a non-business day.

Addresses for purpose of giving notice are as follows:

To County: COUNTY OF ALAMEDA

Alameda County Sheriff's Office 1401 Lakeside Dr., 12th Floor

Oakland, CA 94612 Attn: Reynaldo Bondoc

To Contractor: Aramark Correctional Services, LLC.

1101 Market Street Philadelphia, PA 19107 Attn: Karen Russell

Any correctly addressed notice that is refused, unclaimed, or undeliverable because of an act or omission of the party to be notified shall be deemed effective

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as of the first date that said notice was refused, unclaimed, or deemed undeliverable by the postal authorities, messenger, or overnight delivery service.

Any party may change its address or telex or facsimile number by giving the other party notice of the change in any manner permitted by this Agreement.

- 14. USE OF COUNTY PROPERTY: Contractor shall not use County property (including equipment, instruments and supplies) or personnel for any purpose other than in the performance of his/her obligations under this Agreement.
- 15. EQUAL EMPLOYMENT OPPORTUNITY PRACTICES PROVISIONS: Contractor assures that he/she/it will comply with Title VII of the Civil Rights Act of 1964 and that no person shall, on the grounds of race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam-era Veteran's status, political affiliation, or any other non-merit factor, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Agreement.
 - a. Contractor shall, in all solicitations or advertisements for applicants for employment placed as a result of this Agreement, state that it is an "Equal Opportunity Employer" or that all qualified applicants will receive consideration for employment without regard to their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnamera Veteran's status, political affiliation, or any other non-merit factor.
 - b. Contractor shall, if requested to so do by the County, certify that it has not, in the performance of this Agreement, discriminated against applicants or employees because of their race, creed, color, disability, sex, sexual orientation, national origin, age, religion, Vietnam-era Veteran's status, political affiliation, or any other non-merit factor.
 - c. If requested to do so by the County. Contractor shall provide the County with access to copies of all of its records pertaining or relating to its employment practices, except to the extent such records or portions of such records are confidential or privileged under state or federal law.
 - d. Contractor shall recruit vigorously and encourage minority-owned and women-owned businesses to bid its subcontracts.
 - e. Nothing contained in this Agreement shall be construed in any manner so as to require or permit any act, which is prohibited by law.
 - f. The Contractor shall include the provisions set forth in paragraphs A through E (above) in each of its subcontracts.

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- 16. DRUG-FREE WORKPLACE: Contractor and Contractor's employees shall comply with the County's policy of maintaining a drug-free workplace. Neither Contractor nor Contractor's employees shall unlawfully manufacture, distribute, dispense, possess or use controlled substances, as defined in 21 U.S. Code § 812, including, but not limited to, marijuana, heroin, cocaine, and amphetamines, at any County facility or work site. If Contractor or any employee of Contractor is convicted or pleads nolo contendere to a criminal drug statute violation occurring at a County facility or work site, the Contractor within five days thereafter shall notify the head of the County department/agency for which the contract services are performed. Violation of this provision shall constitute a material breach of this Agreement
- 17. AUDITS: ACCESS TO RECORDS: The Contractor shall make available to the County, its authorized agents, officers, or employees, for examination any and all ledgers, books of accounts, invoices, vouchers, cancelled checks, and other records or documents evidencing or relating to the expenditures and disbursements charged to the County, and shall furnish to the County, its authorized agents, officers or employees such other evidence or information as the County may require with regard to any such expenditure or disbursement charged by the Contractor.

The Contractor shall maintain full and adequate records in accordance with County requirements to show the actual costs incurred by the Contractor in the performance of this Agreement. If such books and records are not kept and maintained by Contractor within the County of Alameda, California, Contractor shall, upon request of the County, make such books and records available to the County for inspection at a location within County or Contractor shall pay to the County the reasonable, and necessary costs incurred by the County in inspecting Contractor's books and records, including, but not limited to, travel, lodging and subsistence costs. Contractor shall provide such assistance as may be reasonably required in the course of such inspection. The County further reserves the right to examine and reexamine said books, records and data during the three (3) year period following termination of this Agreement or completion of all work hereunder, as evidenced in writing by the County, and the Contractor shall in no event dispose of, destroy, alter, or mutilate said books, records, accounts, and data in any manner whatsoever for three (3) years after the County makes the final or last payment or within three (3) years after any pending issues between the County and Contractor with respect to this Agreement are closed, whichever is later.

18. DOCUMENTS AND MATERIALS: Contractor shall maintain and make available to County for its inspection and use during the term of this Agreement, all Documents and Materials, as defined in Paragraph 11 of this Agreement. Contractor's obligations under the preceding sentence shall continue for three (3)

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years following termination or expiration of this Agreement or the completion of all work hereunder (as evidenced in writing by County), and Contractor shall in no event dispose of, destroy, alter or mutilate said Documents and Materials, for three (3) years following the County's last payment to Contractor under this Agreement.

- 19. TIME OF ESSENCE: Time is of the essence in respect to all provisions of this Agreement that specify a time for performance; provided, however, that the foregoing shall not be construed to limit or deprive a party of the benefits of any grace or use period allowed in this Agreement.
- 20. TERMINATION: The County has and reserves the right to suspend, terminate, or abandon the execution of any work by the Contractor without cause at any time upon giving to the Contractor prior written notice. In the event that the County should abandon, terminate, or suspend the Contractor's work, the Contractor shall be entitled to payment for services provided hereunder prior to the effective date of said suspension, termination, or abandonment. Said payment shall be computed in accordance with Exhibit B hereto, provided that the maximum amount payable to Contractor for its food services delivery shall not exceed \$19,097,148 in payments for services provided hereunder prior to the effective date of said suspension, termination, or abandonment.
- 21. SMALL LOCAL AND EMERGING BUSINESS PARTICIPATION: Contractor shall subcontract with the SLEB subcontractors identified in Exhibit A-3 designated small local and emerging business entities-for services to be provided under this Agreement for a total of twenty percent (20%) of the contract value of this Agreement in accordance with County's Small and Emerging Local Business provision, which includes but is not limited to:
 - a. SLEB subcontractor(s) is independently owned and operated (i.e., is not owned or operated in any way by Prime), nor do any employees of either entity work for the other.
 - b. As is applicable, Contractor shall ensure that the certification status of participating SLEB subcontractors is maintained in compliance with the SLEB Program for the term of this contract.
 - c. Contractor shall not substitute or add any small and/or emerging local business(s) listed in this agreement without prior written approval from the County. Said requests to substitute or add a small and/or emerging local business shall be submitted in writing to the County department contract representative identified under Item #13 above. Contractor will not be able to substitute the subcontractor without prior written approval from the Alameda County Auditor Controller Agency, Office of Contract Compliance (OCC).

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d. All SLEB participation, except for SLEB prime contractor, must be tracked and monitored utilizing the Elation compliance System. Contractor and Contractor's small and/or emerging local businesses participating as subcontractors on the awarded contract are required to use the Elation webbased compliance system as described in Exhibit E (Contract Compliance Reporting Requirements) to report and validate payments made by Prime Contractors to the certified small and/or emerging local businesses. It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the Elation compliance system. SLEB prime contractor with SLEB subcontractors must enter payments made to subcontractors in the Elation System and ensure that SLEB subcontractors confirm payments received.

County will be under no obligation to pay contractor for the percent committed to a SLEB subcontractor if the work is not performed by the listed small and/or emerging local business.

For further information regarding the Small Local Emerging Business participation requirements and utilization of the Alameda County Contract Compliance System contact the County Auditor-Controller's Office of Contract Compliance (OCC) via e-mail at ACSLEBcompliance@acgov.org.

- 22. FIRST SOURCE PROGRAM: For contracts over \$100,000, Contractor shall provide County ten (10) working days to refer to Contractor, potential candidates to be considered by Contractor to fill any new or vacant positions that are necessary to fulfill their contractual obligations to the County that Contractor has available during the contract term before advertising to the general public.
- 23. CHOICE OF LAW: This Agreement shall be governed by the laws of the State of California.
- 24. WAIVER: No waiver of a breach, failure of any condition, or any right or remedy contained in or granted by the provisions of this Agreement shall be effective unless it is in writing and signed by the party waiving the breach, failure, right or remedy. No waiver of any breach, failure, right or remedy shall be deemed a waiver of any other breach, failure, right or remedy, whether or not similar, nor shall any waiver constitute a continuing waiver unless the writing so specifies.
- 25. ENTIRE AGREEMENT: This Agreement, including all attachments, exhibits, and any other documents specifically incorporated into this Agreement, shall constitute the entire agreement between County and Contractor relating to the subject matter of this Agreement. As used herein, Agreement refers to and

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includes any documents incorporated herein by reference and any exhibits or attachments. This Agreement supersedes and merges all previous understandings, and all other agreements, written or oral, between the parties and sets forth the entire understanding of the parties regarding the subject matter thereof. The Agreement may not be modified except by a written document signed by both parties.

- 26. HEADINGS herein are for convenience of reference only and shall in no way affect interpretation of the Agreement.
- 27. ADVERTISING OR PUBLICITY: Contractor shall not use the name of County, its officers, directors, employees or agents, in advertising or publicity releases or otherwise without securing the prior written consent of County in each instance.
- 28. MODIFICATION OF AGREEMENT: This Agreement may be supplemented, amended, or modified only by the mutual agreement of the parties. No supplement, amendment, or modification of this Agreement shall be binding unless it is in writing and signed by authorized representatives of both parties.
- 29. ASSURANCE OF PERFORMANCE: If at any time County believes Contractor may not be adequately performing its obligations under this Agreement or that Contractor may fail to complete the Services as required by this Agreement. County may request from Contractor prompt written assurances of performance and a written plan acceptable to County, to correct the observed deficiencies in Contractor's performance. Contractor shall provide such written assurances and written plan within ten (10) calendar days of its receipt of County's request and shall thereafter diligently commence and fully perform such written plan. Contractor acknowledges and agrees that any failure to provide such written assurances and written plan within the required time is a material breach under this Agreement.
- 30. SUBCONTRACTING/ASSIGNMENT: Contractor shall not subcontract, assign or delegate any portion of this Agreement or any duties or obligations hereunder without the County's prior written approval.
 - a. Neither party shall, on the basis of this Agreement, contract on behalf of or in the name of the other party. Any agreement that violates this Section shall confer no rights on any party and shall be null and void.
 - b. Contractor shall use the subcontractors identified in Exhibit A and shall not substitute subcontractors without County's prior written approval.
 - c. Contractor shall require all subcontractors to comply with all indemnification and insurance requirements of this agreement, including.

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- without limitation, Exhibit C. Contractor shall verify subcontractor's compliance.
- d. Contractor shall remain fully responsible for compliance by its subcontractors with all the terms of this Agreement, regardless of the terms of any agreement between Contractor and its subcontractors.
- 31. SURVIVAL: The obligations of this Agreement, which by their nature would continue beyond the termination on expiration of the Agreement, including without limitation, the obligations regarding Indemnification (Paragraph 2), Ownership of Documents (Paragraph 11), and Conflict of Interest (Paragraph 12), shall survive termination or expiration.
- 32. SEVERABILITY: If a court of competent jurisdiction holds any provision of this Agreement to be illegal, unenforceable, or invalid in whole or in part for any reason, the validity and enforceability of the remaining provisions, or portions of them, will not be affected, unless an essential purpose of this Agreement would be defeated by the loss of the illegal, unenforceable, or invalid provision.
- 33. PATENT AND COPYRIGHT INDEMNITY: Contractor represents that it knows of no allegations, claims, or threatened claims that the materials, services, hardware or software ("Contractor Products") provided to County under this Agreement infringe any patent, copyright or other proprietary right. Contractor shall defend, indemnify and hold harmless County of, from and against all losses, claims, damages, liabilities, costs expenses and amounts (collectively, "Losses") arising out of or in connection with an assertion that any Contractor Products or the use thereof, infringe any patent, copyright or other proprietary right of any third party. County will: (1) notify Contractor promptly of such claim, suit or assertion; (2) permit Contractor to defend, compromise, or settle the claim; and, (3) provide, on a reasonable basis, information to enable Contractor to do so. Contractor shall not agree without County's prior written consent, to any settlement, which would require County to pay money or perform some affirmative act in order to continue using the Contractor Products.
 - a. If Contractor is obligated to defend County pursuant to this Section 33 and fails to do so after reasonable notice from County. County may defend itself and/or settle such proceeding, and Contractor shall pay to County any and all losses, damages and expenses (including attorney's fees and costs) incurred in relationship with County's defense and/or settlement of such proceeding.
 - b. In the case of any such claim of infringement, Contractor shall either, at its option, (1) procure for County the right to continue using the Contractor

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Products: or (2) replace or modify the Contractor Products so that that they become non-infringing, but equivalent in functionality and performance.

- c. Notwithstanding this Section 33. County retains the right and ability to defend itself, at its own expense, against any claims that Contractor Products infringe any patent, copyright, or other intellectual property right.
- 34. OTHER AGENCIES: Other tax supported agencies within the State of California who have not contracted for their own requirements may desire to participate in this contract. The Contractor is requested to service these agencies and will be given the opportunity to accept or reject the additional requirements. If the Contractor elects to supply other agencies, orders will be placed directly by the agency and payments made directly by the agency.
- 35. EXTENSION: This agreement may be extended for an additional two years by mutual agreement of the County and the Contractor.
- 36. SIGNATORY: By signing this agreement, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement

[END OF GENERAL TERMS AND CONDITIONS]

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ADDITIONAL PROVISIONS

I. Additional Terms

- 37. **Prohibition on Contraband**: Contractor will do its best to ensure that no contraband and/or other prohibited items make its way into the county jails through the delivery and/or preparation of food by Contractor. If not already in place, Contractor shall develop a plan and policy to address how it can limit the addition and/or placement of contraband and/or other prohibited items into its products within sixty (60) days after this Agreement becomes effective. This policy shall be presented to ACSO as soon as it is drafted. Should ACSO discover that any contraband or other prohibited items has entered the county jails through Contractor services, it shall be considered a material breach and ACSO will have the right to terminate this Agreement without notice. Moreover, Contractor agrees to fully indemnify and defend the County and ACSO for any incidents where such contraband or other prohibited items can be traced to Contractor.
- 38. Compliance with Law: Contractor shall, at its sole expense, conduct and cause to be conducted all activities in the jails and on any Alameda County property in compliance with all laws, regulations, codes, ordinances and orders of any governmental or other regulatory entity, whether presently in effect or subsequently adopted, and whether or not in the contemplation of the Parties. Contractor shall, at its sole expense, procure and maintain in force at all times during the contract any and all business and other licenses or approvals necessary to conduct the activities allowed hereunder. Nothing herein shall limit in any way Contractor's obligation to obtain any required regulatory approvals from County departments, boards or commissions or other governmental regulatory authorities or limit in any way County's exercise of its police powers, including approval of all individuals who may enter any of the County jails.
- 39. **Safety**: Contractor agrees to conduct its activities at all times in a safe and prudent manner with full regard to the jail policy and safety and the public safety and to observe all applicable rules, regulations, policies and requests of the County, ACSO, and other government agencies responsible for public safety.
- 40. **Security**: Contractor acknowledges that pursuant to entering the Agreement with the County and performing services, Contractor may be exposed to and may review, see, or observe security procedures, information, data, and/or records that constitute secure, safety, valuable, confidential and proprietary information, knowhow, jail procedures, and trade secrets, belonging to County and ACSO, or their agents, entities, or affiliates and/or third parties (hereinafter referred to as "Confidential Information") In consideration of being made privy to such Confidential Information, Contractor hereby agrees to hold the same in strict confidence, and shall take all reasonable measures to prevent unauthorized or improper disclosure or use of the Confidential Information. Contractor

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understands that disclosure of any such information, or failure to follow ACSO procedures could result in extreme harm including possibly great bodily harm or death of inmates, County staff, the public and Contractor.

41. **Regulatory Changes**: Should a change in Federal, State, and/or County laws or regulations affect the terms of this Agreement, the Parties agree to modify and/or adjust this Agreement to reflect those changes.

II. Revisions to General Terms and Conditions:

- The following is added to Provision 6, CONFORMITY WITH LAW AND SAFETY:
 - d. Contractor shall adopt and comply with the Prison Rape Elimination Act (PREA) standards, and make information available to Alameda County, as required under 28 CFR § 115.12, to demonstrate its PREA compliance. 28

CFR §115.401 requires Contractor to engage in receive a PREA audit at least once during each three-year audit cycle beginning period starting on

August 20, 2013. Contractor will make available to Alameda County Sheriff's Office Contract Monitor Contractor the auditor's final report after completion of an audit. Until the first audit report becomes available, Contractor shall demonstrate PREA compliance to Alameda County by furnishing a copy of its PREA policy to Alameda County Sheriff's Office Contract Monitor Contractor

2. Paragraph 20, TERMINATION, is amended by adding the following sentence:

County shall provide Contractor sixty (60) days' prior notice of termination pursuant to this section.

County Counsel Signature:

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EXHIBIT A

DEFINITION OF SERVICES

Contractor shall provide food services with the Specific Requirements,
Deliverables/Reports set on this Exhibit A, Exhibit A-1, and Exhibit A-2.

- a. This Exhibit A has been drafted to include the requirements contained in the Request for Proposal (RFP) No. 901240, including any addenda, the proposal response of Contractor (Response), and additional services that the County obtained through negotiations, if any. In the event of any conflict (direct or indirect) among any of the exhibits, the RFP and the Response, the more stringent requirements providing the County with the broader scope of services shall have precedence, such that this Exhibit A including all attachments, the scope of work described in the RFP and the scope of work described in Contractor's proposal shall be performed to the greatest extent feasible. This incorporation specifically excludes the liquidated damages provision, contained in Section R, beginning on page 39 and the Exhibit E.
- b. The RFP and Response may be relied upon to interpret this Contract and shall be applied in such a manner so that the obligations of the Contractor are to provide the County with the broadest scope of services for the best value.

2. Contractor project team will consist of the following Key Personnel and subcontractors, as applicable during the contract term:

Key Personnel	Title
Karen Russell	Director of Business Development
Eric Johnson	Regional President
Lori McConnell	Resident District Manager
Kristen J. Scott	Food Service Director, Operations
Brian Savannah	Food Service Director, Cook Chill Production
Cynthia Irizarry	Director of Nutritional and Operational Support
Kelly Merrick	Regional Finance Director
Ari Phillips	Human Resources Director
Joseph LeBlanc	SLEB Subcontractor (J. LeBlanc Dairy)
Anton Haddad	SLEB Subcontractor (Atlantis Food Services Corp.)

Contractor agrees that it shall not transfer or reassign the individuals identified above as Key Personnel or substitute subcontractors without the express written agreement of County, which agreement shall not be unreasonably withheld. Should such individual or individuals in the employ of Contractor no longer be employed by Contractor during the term of this Agreement, Contractor shall make a good faith effort to present to County an individual with greater or equal qualifications as a replacement subject to County's approval, which approval shall not be unreasonably withheld.

3. The approval of County to a requested change shall not release Contractor from its obligations under this Agreement.

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT A-1

SPECIFIC REQUIREMENTS

- 1. Contractor's Responsibilities
 - a. General Requirements:

The Contractor shall have full responsibility for the operation of the Alameda County Sheriff's Office (ACSO) food service program. The work detailed shall proceed with promptness and diligence and shall be executed in accordance with the highest professional workmanship standards in the field and to the satisfaction of the County of Alameda. Further, all work, materials, and services not expressly called for, but may be necessary for the complete and proper performance of the work, shall be performed or *furnished by the Contractor*.

Contractor shall be responsible for ensuring levels of sanitation meet the food standards required by ACA standards, local regulations, and Hazardous Critical Control Points (HACCP). The contractor shall adhere to all Food Safety and Sanitation Codes and Rules and Regulations as detailed by the County of Alameda's Public Health Regulations Governing Food Service/Preparation.

- b. The Contractor shall be responsible for:
 - (1) The purchase, maintenance and control of food and supplies, and the provision of meals that are to be delivered to areas specified for inmates and staff at all ACSO facilities, satellite facilities, and off-site facilities in accordance with the menu requirements, meal service requirements, quality requirements, and sanitation requirements established in this Specific Requirements and the Contract;
 - (2) The provision, supervision, and training of all contractor staff required to provide food delivery service operations to ACSO;
 - (3) The planning, scheduling, supervision, and training of all inmate food service workers:
 - (4) All contractor's employees shall be required to attend a civilian training course provided by ACSO and will be certified on up-to-date expectations, security policies, sexual harassment training, etc.

 Documentation will be kept by contractor to memorialize attendance to such training:
 - (5) The effective use of any County Jail Facility ("Jail") "cook-chill" production facility to ensure that it is utilized to its fullest capacity:

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Master Contract No. 901240 Procurement Contract No. 11293

- (6) The safe, careful, and sanitary operation of all ACSO kitchen facilities and equipment to ensure the highest levels of sanitation and maintenance are consistent with the ACSO's policies and procedures, the laws of the United States, the laws of the State of California, and the ordinances and regulations of Alameda County;
- (7) Contractor shall utilize re-usable trays in serving plated inmate meals, except for agreed upon inmate populations. Contractor shall take necessary actions to ensure trays remain in service for their lifetime by implementing inventory control measures, and ensuring proper sanitation and cleaning procedures;
- (8) Contractor shall utilize re-usable cutlery (sporks) for inmate meals as directed by the ACSO staff. Contractor shall not distribute disposable cutlery in meals delivered to housing units using re-usable cutlery;
- (9) Staying abreast of changes to all laws and regulations governing the service of inmate food services:
- (10) The compliance of all employees and inmate workers under contractor's direction, according to the policies and procedures established by ACSO; particularly those involving security operations:
- (11) The development and implementation of a continuous quality improvement program designed to immediately identify and correct any deficiencies within the contractor's food services operation; and
- (12) The development of a successful working relationship with ACSO management and staff through the continuous provision of a high quality food service program.

2. Operational Requirements

- a. Cook-Chill Production Requirements: Alameda County uses an advanced "cook-chill" production system in the food service production center that also includes a "blast-chill" component. The contractor shall be responsible for the effective use of the Alameda County "cook-chill" production facility to ensure that it is utilized to fullest capacity consistent with the County's needs while preserving the County's investment in the facility
- b. Environmental Initiatives: Contractor shall ensure recyclable and compostable items generated in kitchen and onsite office operations are separated appropriately by inmate and Contractor staff and disposed of in appropriate hauler provided collection containers. At minimum, the following materials shall be sorted, managed and disposed of to ensure recycling:

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- (1) Corrugated cardboard:
- (2) #10 tin cans:
- (3) Other packaging materials acceptable in hauler provided recycling program; and
- (4) Office paper, paperboard, newspaper and other paper products acceptable in hauler provided recycling program.

c. Food Labeling:

- (1) The contractor shall possess or develop a system, including a time coding system, where applicable, that will prevent foods from being served that do not meet the minimum quality standards.
- (2) "Cook-chill" items maintained in the Food Bank Inventory shall indicate the item name, date of production, batch number, and the date of expiration for each batch of food prepared and placed into the tumbler chiller for cooling. The final bag leaving the preparation area shall be marked with the batch number as well as notation of final bag.
- d. Temperatures: "Cook-chill" refrigerated foods will be maintained at a temperature between 28.5°F and 31°F.
- e. Use of Standardized Recipes:
 - (1) The contractor will possess, or will develop, test, and use standard recipes. Quality, consistency, portion control, and cost control will be maintained by the use of these and other means.
 - (2) All recipes will be developed with Hazardous Critical Control Points (HACCP) criteria identified. The recipe will include identified potential hazards to each food item, the identity of the critical control points and will indicate the method of control.

f. Purchasing:

(1) Contractor shall meet minimum purchasing specifications or the County of Alameda's specifications, whichever is higher, to be used in the purchase of all food products, small wares, utensils, and disposable service ware, which will include spoons (sporks).

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- (2) ACSO shall approve, in writing, any changes from the stated specifications. Proposed exceptions must be clearly detailed by the contractor and submitted in writing for review.
- (3) The minimum grade specifications are to be as follows for inmate meals: (a)

Beef – U.S.D.A. Select or better:

- (b) Pork U.S.D.A. Select or better; (c)Lamb U.S.D.A. Select or better;
- (d) Processed Meat From U.S.D.A. government inspected plants; (e) Poultry U.S.D.A. Grade B or better;
- (f) Dairy Eggs U.S.D.A. or State Graded A:
- (g) Fish and Seafood Fresh or frozen, must be packed under continuous inspection of U.S. Department of Commerce:
- (h) Canned Fruits or Vegetables U.S.D.A. Grade B or better or Fancy; and
- (i) Frozen Fruits and Vegetables U.S.D.A. Grade 6 or better.
- (4) Staff meals will utilize USDA Choice meats, as well as Grade "A" fruits and vegetables.

g. Menu:

- (1) During the term of the Agreement, the Contractor shall suggest changes to the menu. Changes in the menu should consider improved nutritional value, variety, choice, contrast, visual appeal, customer preference, contemporary food standards, and seasonal variations.
- (2) Although equipped for "cook-chill" production, Contractor is free to include "pre-cook" or other cook systems if the ACSO facilities are equipped for such production and upon approval by ACSO.
- (3) In no case will alcoholic beverages be used, consumed, or kept on the premises.
- (4) All changes in the menu must have prior written approval by the Contractor's dietitian, ACSO Contracts Captain/Lieutenant, and ACSO Inmate Medical Services Provider.

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- (5) The menu cycle used at ACSO is a four-week cycle menu. The menu must include two (2) hot meals (breakfast and dinner) and a cold meal for lunch. The Contractor shall provide proposed four-week menus.
- (6) All meals shall be served on a three (3)-compartment plastic/polymer tray suitable for use with the "cook-chill" food preparation and distribution process, and whose approval for use is reserved for the Contracts Unit of ACSO. The current tray being utilized by ACSO is 6.25" x 8.5" x 1.5" in depth.
- h. Nutritional Value and Quality:
 - (1) The Contractor shall provide well-balanced meals meeting the nutritional guidelines set forth in Title 15 Minimum Jail Standards and any applicable California and County laws.
 - (2) Nutritional Analysis All menus shall be reviewed and certified as to nutritional adequacy and compliance with specifications by a Registered Dietitian (Certified by the Commission on Dietetic Registration) provided by the proposer.
 - (3) The Contractor shall provide a nutrient analysis, recipe, ready-to-eat weight for each serving size portion, and recipes for every menu item. All recipes must be appropriate for the size of the population and all recipes must be submitted to ACSO upon request.
- i. Regular Menu Inmate Meals:
 - (1) Hot/Cold Breakfast The contractor will prepare breakfast items utilizing "cookchill" production. The Contractor shall prepare and ship breakfast meals each day at the time designated. Food items will be prepared and served with a hot and cold tray. Meals shall be prepared and shipped to appropriate locations within ACSO facilities prior to the morning court schedule to ensure all inmates receive their meal before leaving the ACSO facilities. All breakfast meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.
 - (2) Cold Lunch The Contractor shall prepare and ship lunch meals each day at the time designated, seven (7) days a week. All lunch meals will be prepared as a bag lunch to ensure portability and opportunity for consumption by courtattending inmates. All lunch meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.

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- (3) Hot Dinner Meals The contractor shall prepare and ship dinner meals each day at the time designated. All dinner meals will be served with a hot and cold tray. All dinner meals will be served and prepared utilizing Title 15 guidelines and keeping in mind maximum allowable timeframes between served meals.
- (4) Intake, Transfer, and Release Meals:
 - (a) The Contractor shall be required to prepare cold lunch meals for both male and female inmates. These meals will be primarily served to inmates awaiting processing in intake, transfer and release, work details, and outside trips.
 - (b) The Contractor shall vary the type of meat and other similar items in the sandwiches to avoid repetition.
- (5) Court Meals: The Contractor shall prepare and deliver court meals to the housing units of each facility. Each court meal must be bagged.
- (6) Medical Diet Menus: (a)

General

- The Contractor shall provide medical diet meals such as, but not limited to, allergy, diabetic, low salt, low fat, dental soft, dental liquid, pregnancy, renal, vegetarian, and special meals in accordance with ACSO procedures and as ordered by medical staff. Medical staff will continue to record special diet orders that are satisfied by the Universal Menu.
- Medical diets shall be served during normal hours in the manner specified by the physician. Arrangements shall be made to provide meals beyond the scheduled meal hours when so ordered by the physician.
- The Contractor shall prepare written four-week cycle menus for each approved medical diet. All menus will include portion sizes. The contractor shall consult with its own dietitian and Food Services Coordinator to ensure that the requirements of each diet type are met.
- (b) Medical Diet Notification: Medical diets are determined by the medical provider. Contractor shall be responsible for obtaining medical diet notifications via the medical provider.

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- (c) Medical Diet Menu Requirements. The written medical diet menu shall:
 - Identify all food items to be served to meet diet requirements.
 - Reflect portion sizes consistent with diabetic exchanges and/or food manipulation (i.e. Puree) as required to meet the diet requirements.
 - Be acceptable to ACSO as evidenced by periodic meal inspection reports.
 - Medical Diet Recipes The contractor shall develop standardized recipes to ensure consistency of medical diet menu items and will be formulated.
- (7) Menu Changes and Substitutions:
 - (a) The Contractor shall make all menu changes requested by the Commanding Officer of the facility (or her/his designee(s)) as long as the change does not increase the price per meal to ACSO and meets all menu requirements.
 - (b) To plan for such changes, the Contractor shall utilize the four-week menu cycle. Changes shall be submitted for review and approval by the Contract Administrator four (4) weeks prior to the effective date of the next menu cycle.
 - (c) Except in an emergency, the Contractor shall not change, by deletion or substitution, items on any menu that have been approved by the ACSO Contract Manager without prior written approval.
 - (d) In a situation requiring a menu substitution, the Contractor shall notify the ACSO Contract Manager 24 hours in advance. Once approved, notice shall also be forwarded to each facility.
 - (e) The Contractor shall prepare a written report and forward it to the Contract Administrator within 24 hours of the occurrence of a menu substitution. The report will document the reason for the substitution, the number of substitute meals served, the menu of the substitute meals served, the location of substitute meals served and steps the Contractor will take to prevent future substitutions.
 - (f) In the event of an emergency change, the Contractor shall make

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best efforts to meet the nutritional content for that meal. (8)

Holiday and Special Meals Menus:

- (a) The Contractor shall provide special meals related to specific holidays and themes.
- (b) At a minimum, special meal programs shall be required for the following Holidays:
 - New Year's Day;
 - Ramadan observed for practicing inmates;
 - Easter Sunday:
 - Thanksgiving Day; and
 - Christmas Day
- (c) Religious Menus The Contractor shall provide menus at all meals that comply with the religious requirements of the inmates and approved by the ACSO Detentions and Corrections Commander, Detentions and Corrections Captain ACSO's

 Contract Administrator, and Inmate Services Chaplain. Contractor must initiate diet service within no more than 24 hours of notification. Examples of religious menus include, but are not limited to, Kosher, Halal, and Ovo-Vegetarian. Contractor shall keep upto-date with current law and adjust its menus according to controlling state and federal law, including case law.

(9) Staff Menus:

(a) The health and well-being of sworn and non-sworn staff is a very high priority for ACSO. Contractor shall provide tasty, appetizing, wholesome quality meals to the staff at any County run Jail Facility. Officer's Dining Rooms will be open to staff 24-7. Beverage and Coffee service will be available 24 hours per day. Inmate labor will not be used in the production or service of these meals. Additionally, there will be defined locations in the jail and administration where the Contractor shall be responsible for stocking coffee and condiments.

Meal times for the Santa Rita Jail are:

Graveyard: 0001-0500 Day: 0900-1600 Swing: 1630-2100

Meal Times for the Glenn E. Dyer Detention Facility are:

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Graveyard: 0100-0500 Day: 0900-1400 Swing: 1630-2100

Meals served to any Jail Facility staff in the staff dining room shall be displayed in an appealing manner. The following specifications shall apply except where there is a lack of appropriate equipment.

- (b) The Contractor shall provide a separate four-week menu plan for the staff dining room.
 - The Contractor shall provide MICROS POS system for staff to pay for their meals:
 - The Contractor shall provide a Healthy Menu, utilizing a nationally recognized food distributor such as Sysco Food Services;
 - Contractor shall not utilize inmate menu items for staff:
 - Contractor shall use a standard recipes based on a business services model:
 - Contractor shall provide tableside condiments such as hot sauce, salt and pepper, soy sauce, and specialty items as required by the menu are mandatory:
 - Contractor shall provide a self-service salad bar is required for each meal period. The salad bar shall contain a minimum of three (3) dressings, a variety of 12 or more toppings to include vegetables, yogurt, cottage cheese, a lean protein source (i.e. tuna fish, cubed boneless/skinless chicken, etc.), and shredded cheese. Salad mix must have a variety of green leafy lettuces. A minimum of three (3) fruits (at least one (1) must be fresh) shall be offered. Salad bar must include yogurt mixing items (i.e. granola, nuts, raisins, etc.):
 - Contractor shall provide a deli station for each meal period.

 A variety of whole muscle lunch meats such as turkey, roast beef, and ham shall be available at each meal service. A minimum of three (3) cheeses shall be offered. These shall be natural cheeses such as Swiss, Monterey jack, and cheddar:

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- Soft Serve ice cream and/or frozen yogurt shall be offered for each meal period;
- A daily entrée shall be offered for each meal. This can be the same entree for the lunch and dinner meal. A breakfast menu shall be offered for the graveyard shift:
- The beverage station shall include a variety of milk, juices, and sodas:
- The coffee station shall include all condiments to include dairy and non-dairy creamers, to-go cups, and lids. Cups shall be paper-based. Styrofoam cups are not acceptable:
- Re-useable plates, bowls, and glasses shall be used in the staff dining. Replacement of these items shall be the responsibility of the contractor. Disposables shall be provided for staff members who are unable to take their meal breaks in the staff dining room. Disposable silverware is acceptable, but must be heavy weight:
- Meals shall be billed at a separate cost from the inmate meal; and
- Contractor MICROS POS system shall be used to maintain a log of meals served. Log shall include the name of staff or contractor who has purchased the meal. No cash is to be exchanged.

j. Special Catering:

- (1) In the event that food and/or beverages for meetings, luncheons, dinners, inmate related functions are requested, they shall be provided at cost.
- (2) Separate records of direct expenses will be maintained. Cost estimates or maximum costs shall be provided prior to the commencement of such functions. Outside labor may be brought in for such functions, as required and as approved by ACSO. k.

Meal Service:

(1) Responsibilities

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- (a) The contractor shall provide all specified meal service for inmates, staff and guests regardless of holidays, weather conditions, work stoppages, lack of availability of inmate staff or any other adverse or emergency conditions that shall occur.
- (b) If, for any reason, the contractor fails to provide food service, the ACSO shall obtain the required meal(s) from the most expedient source, and the contractor shall be responsible for any and all charges, including consequential expenses incurred by ACSO for food service. Charges will be deducted from outstanding invoices and additional damages may be imposed.
- (2) Set Up: Consistent with the food service delivery system in each facility the contractor shall be responsible to ensure that all meals are in place and ready to serve at the hours specified in that facility
- (3) Transportation: All transportation from any Jail of prepared food, whether trayed or bulk to facilities, is the responsibility of the Contractor. Consistent with the food service delivery system in each facility, contractor shall be responsible for transporting all food to the dining areas, rethermalization areas, serving areas, and for returning all trays, utensils, and equipment to the food preparation or washing areas, as appropriate. Contractor is responsible for maintaining logs and inventory of all trays which have been delivered, as well as picked up from each facility.

(4) Inmate Feeding

- (a) Time Consistent with the food service delivery system in each facility, contractor shall be responsible for serving during hours as required by the Title 15. ACSO, and American Correctional Association (ACA) guidelines.
- (b) Locations Inmates are to be fed at the designated locations. ACSO reserves the right to amend these locations, which may include the designation of additional locations, as may be required for the overall operation of the ACSO, at no additional cost.

(5) Medical Diets

- (a) The Contractor shall clearly delineate medical diet meals for inmates.
- (b) Meals shall be marked with clear and obvious markings so that the person taking the meal shall know that his/her dietary needs are being fulfilled.

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- (6) Religious Diets: The Contractor shall clearly delineate religious diet meals for inmates. Meals shall be marked with clear and obvious markings so that the person taking the meal shall know that his/her dietary needs are being fulfilled.
- (7) Appearance
 - (a) Food shall be served in such a fashion as to be appealing to the inmate and staff. It shall be served in sufficient quantity and at the appropriate temperature as to make the food offered acceptable. All equipment and utensils used in the service of meals shall be clean and free of defects that will render the food unappealing.
 - (b) The Contractor shall ensure that all foods and beverages are presented and prepared in a sanitary manner.
 - (c) The general cleanliness of the serving areas shall be the contractor's responsibility. Contractor shall be responsible for expeditiously reporting facility sanitation and maintenance issues in serving areas.
 - (d) A National Sanitation Foundation (NSF) approved ounce scale shall be provided at all food preparation serving areas to ensure portion controls.
 - (e) The Contractor shall possess or develop a system to prevent foods or beverages from deteriorating while holding. Such a system shall ensure that food quality (taste, appearance, texture, temperature) does not deviate from the minimum quality standards.
 - (f) Thermometers shall be used on service lines to ensure all foods/beverages are being held at proper temperatures. Thermometers used must be consistent in all facilities. Thermometers must be NSF and HACCP approved. The type of thermometers must be comparable to a Fluke FoodPro infrared thermometer.
 - (g) The use of instruments that indicate temperature will be subject to the inspection and approval of the County of Alameda.
- 3. Staff Requirements: Contractor shall also provide adequate, competent support staff that shall be able to service the County during normal working hours, Monday through Friday. Such representative(s) shall be knowledgeable about the contract, products offered and able to identify and resolve quickly any issues including, but not limited to, order and invoicing problems.
 - a. Account Manager

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- (1) Responsibilities: Contractor shall provide overall planning, direction and operation for the successful food service operation at the Alameda County Sheriff's facilities with particular attention to the issues of food service quality control, sanitation, and inmate vocational training.
- (2) Experience: Contractor's account manager shall have a minimum of five (5) years of managing a large scale food service operation in an institutional, commercial, industrial, or similar organization, with at least two (2) years in a major correctional facility or equivalent.

b. Cook-Chill Production Supervisor

- (1) Responsibilities: Contractor shall direct the product planning and oversee the quality control operations of the "cook-chill" production facility at the Santa Rita location. This employee should be dedicated to the "cook-chill" area only.
- (2) Experience: Contractor's Cook-Chill Production Supervisor shall have a minimum of two (2) years supervisory experience in correctional Cook/Chill techniques and applications.

4. ACA Compliance:

Contractor's quality assurance process shall be based on ACA standards and ensure compliance at every meal, every day. Compliance reviews shall be completed by Resident District Manager, Lori McConnell, on a monthly basis to be used as a continuous self-evaluation of contractor's performance. ACA Compliance operations shall be inspected by Contractor's Regional Team Members, Nutrition and Operation Support Services, Finance Officers and Operation Support Directors. Contractor shall also collect data from audits conducted by health inspectors and accreditation audits performed by the ACA and American Jail Association (AJA).

Contractors food safety Quality Assurance Review (QAR) standardization process shall be utilized to ensure perform at the highest levels of safety across all food service portfolios. OAR shall consist of site visits by objective third-party consultant EcoSure5,

(a leading food safety, and operational firm) which will evaluate the level of quality at the venue, and provide corrective action plans where necessary.

Failure to comply with and achieve ACA standards will result in a \$50,000 penalty and potential breach of contract.

5. Inmate Training Programs:

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Contractor training programs shall culminate in meaningful certification which shall be clearly delineated. Contractor shall manage the IN2WORK (I2W) Program, in conjunction with the ROP Culinary Program currently in place at the Santa Rita Jail. I2W shall be a comprehensive curriculum entailing both classroom and on-the-job components. Selected offender workers shall learn foundational food and retail skills. Each working offender shall be given their own workbook, structured pre-work. quizzes, and test on food service operations and shall be issued certifications upon their successful completion of vocational training.

Additionally, Contractor shall implement its FreshFavorites program. This program shall be used as a tool to reward appropriate behavior. FreshFavorites shall offer popular takeout-style foods to working offenders. FreshFavorites shall be paid for by offenders thus generating additional revenue for the facility. FreshFavorites shall be a key component on I2W as participation shall allow offenders the knowledge of how to prepare and serve take-out food as they would in a retail food environment. FreshFavorites shall be made fresh onsite and can be offered on a daily or weekly basis as determined by ACSO.

6. Community Outreach Initiative:

Contractor shall support inmates returning to the community. As the vast majority of inmates from County run jails return to local residences, there is a substantial need for re-entry programs that can assist these individuals in becoming positive and contributing members of the community. Contractor's support shall include programs that support this goal.

Contractor shall support reentry efforts through partnerships with one or more community agencies and the private sector to offer inmate training programs which provide employability skills and assist in job placement in the community. Contractor's efforts shall be done with the goal to help released inmates transition to gainful employment, family stability, and community engagement. In addition, Contractor will make reasonable efforts to employ, within its organization but outside the corrections setting, successful participants of reentry programs. Contractor may also provide support through grants to programs helping released inmates transition to gainful employment, family stability, and community engagement.

Contractor shall provide an annual written report on the efforts and results of meeting these community outreach initiatives.

7. Performance:

An assessment shall be imposed/assessed to Contractor in each of the following categories. The Alameda County Sheriff Office, or its designee, shall notify Contractor of any occurrence and the proposed assessment. Contractor shall have five days to respond before a final determination is made related to the assessment. After consideration of the

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response and factual situation, an assessment may be made by the County against Contractor and the full amount of the assessment shall be reflected as credit to the County in next invoice. If any credit exceeds the amount of the invoice Contractor shall submit a check for the credit balance to County with the invoice. The notice and reply period is for assessments only, it does not excuse or extend the time required under any term of this Agreement, include preapproval requirements or correction of deficiencies. This is not a liquidated damages provision and any assessment does not excuse Contractor from their obligations under this Agreement or any breach.

Type of Incident	Assessment Amounts
Late Meal Service	\$5,000 per occurrence
Improper Meal Substitutions	\$5,000 per occurrence
Inadequate Staff/Inmate Ratio	\$5,000 per occurrence
Sanitation Deficiencies	\$5,000 per occurrence
Equipment Damage	\$5,000 per occurrence
Security Breach	\$5,000 per occurrence
Failing to meet American Correctional Association Standards (ACA)	\$50,000 per occurrence
Failing to meet Alameda County Health Regulations	\$5.000 per occurrence
Failing to meet California Minimum Jail Standards Title 15	\$5,000 per occurrence

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EXHIBIT A-2

DELIVERABLES/REPORTS

- 1. Contractor shall maintain at each facility the following records, reports, and deliverables which shall be made available for monthly review by ACSO, or as specified:
 - a. A current staffing chart and work schedule for all employees, which conforms to the provisions of this agreement. Contractor shall provide written notice one (1) week in advance to the appropriate facility administrator and the contract administrator of any scheduled absence by the Contractor's General Manager, which may be required for corporate training or other matters:
 - b. A complete job description for all the positions and inmate assignments utilized at the facility:
 - c. Any vacancies due to extended illness or termination will be filled within 30 days:
 - d. Daily records of meals served for each location and for any additional satellite facilities contracts by Contractor, according to established meal count procedures and County supplied inmate labor:
 - e. Daily and/or weekly summary records documenting all applicable contractor and food service industry standard quality assurance procedures, to include the testing of temperatures in the refrigeration, cooking and serving areas, and any other records necessary to meet health care standards, inspections, or inquiries, In addition, all records (recipes, production sheets, etc.) necessary to document the minimum portion standards and nutritional adequacy of each meal served:
 - f. Nutritional analysis and recipes and portion sizes of menus:
 - g. Maintain for 72 hours a frozen sample meal of each meal trayed at the "cook-chill" Production Kitchen:
 - h. A sample meal of each served meal will be kept refrigerated for a period of three days at all facilities;
 - i. All HAACP, Cook/Chill charts, records, and perpetual cooked product inventories will be kept for a period of one year at the Cook/Chill Production Kitchen:
 - j. The required ACA documentation in order to comply with ACA standards for accreditation; and

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Master Contract No. 901240 Procurement Contract No. 11293

- k. Contractor shall provide meal counts for satellite facilities and other appropriate documentation to ACSO including but not limited to satellite facilities billing invoices in order to record the number of meals provided to each facility.
- 2. Contractor shall maintain and make available to ACSO the following required communication and reports for each ACSO facility:
 - a. Weekly reports of meals served:
 - b. Daily records of testing of meal temperatures as provided in item:
 - c. Records of nutritional analysis, recipes, and portion sizes;
 - d. A quarterly financial statement in accordance with industry standards, which shows all contractor income, expenses and profit or loss, related to this contract. This information will be treated by ACSO as confidential and will be made available only to ACSO, the ACSO Contract Administrator, the County Administrator, and/or the County Board of Supervisors;
 - e. Meal cart distribution sheets for meal carts leaving the kitchen, which shall be signed by contractor supervisors after verification of the number of meals prepared and loaded onto the meal carts. ACSO will sign for the designation dispatching of the food service carts. Jails will have random audits by the contract monitor or ACSO kitchen staff to validate meal counts:
 - f. Federal/State/local required forms relating to food services:
 - g. Analysis of nutrients showing Recommended Daily Allowance for this type of age group; and
 - h. Monthly status report on maintenance of capital equipment to include damaged equipment and equipment in need of repair or preventative maintenance.
- 3. Contractor shall have monthly scheduled meetings with the ACSO to discuss Contractor performance and other items of mutual interest to this Agreement.
- 4. Contractor shall have a two-way communication system in place during meal preparation and delivery hours to insure communication between the office personnel and the staff on the main kitchen floor.
- 5. Sustainable Food Service
 - a. Contractor shall follow a Sustainable Food Service Action Plan (the "Plan"). Contractor shall meet with the County GSA Sustainability Team regarding the Plan prior to July 1, 2015. Contractor shall finalize and implement an approved Plan by September 1, 2015

Exhibit A-2 Page 2 of 4

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Master Contract No. 901240 Procurement Contract No. 11293

- b. Contractor shall meet with County on a regular basis to review and update the Plan, including revisions to incorporate additional efforts for continuous improvement.
- c. Contractor shall provide regular reports that demonstrate how it reduces the environmental impact of food service delivery and operations associated with this Agreement.
- d. At a minimum, the Plan and reports shall identify efforts Contractor takes to minimize the generation of waste, divert waste that is generated from landfill, and strategies to minimize the life cycle environmental and social impacts associated with the provision of food. Examples of efforts the Contractor shall address include, but are not limited to:
 - (1) Packaging waste minimization:
 - (2) Food waste minimization;
 - (3) Other waste minimization actions:
 - (4) Surplus food diversion (e.g. for human or animal consumption):
 - (5) Recycling and composting implementation plan, including staff and inmate worker training, infrastructure (i.e. collection bins), and integration into work duty:
 - (6) Food sourcing strategies to minimize lifecycle greenhouse gas emissions intensity of food, such as locally grown foods, moving toward protein sources with lower emissions profiles and toward foods produced with no or low chemical inputs (e.g. fertilizers and pesticides):
 - (7) Food sourcing strategies that assure no human rights abuses though out the supply chain:
 - (8) Operational practices that conserve energy and water; and
 - (9) Additional operational or corporate practices that result in an improved environmental and social impact footprint of the food service delivery and operation for which the contractor is contracted to provided.
- e. The Contractor shall develop and track metrics that measure and evaluate achievement in meeting the goals of the plan. Metrics shall be collected on an ongoing basis, and shall be reported quarterly to ACSO and GSA.

Exhibit A-2 Page 3 of 4

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- f. Contractor shall update the Sustainable Food Service Action Plan annually in consultation with ACSO and GSA. The annual update to the plan shall incorporate a summary of the metrics from previous contract year(s), a summary of the environmental and social footprint improvements achieved, and seek to identify opportunities for continuous improvement in addressing the environmental and social impacts of the food service operation.
- g. To support sustainability goals. Contractor shall incorporate composting into their food services program. This will include, an investment of approximately \$100,000 to install composting equipment at the Santa Rita Jail kitchen facilities. The installation of this equipment is part of the services being provided under this Agreement and shall become the property of County at termination of the Agreement. However, Contractor shall remove the equipment if requested by County prior to the termination of the Contract.

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT A-3

SUBCONTRACTORS

Pursuant to the terms of this Agreement, Contractor shall use the following subcontractors:

- Atlantis Food Services, Corp.
 30470 Whipple Road, Union City, CA
 Principal: Anton Haddad
 In an amount equal to fifteen percent (15%)
- J. Leblanc Dairy 4073 Oak Hill Road, Oakland, CA Principal: Joseph C. LeBlanc In an amount equal to five percent (5%)

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT B

PAYMENT TERMS

1. County will use reasonable efforts to make payment to Contractor upon successful completion and acceptance of the following services within thirty (30) days upon receipt and approval of invoice.

2. Costs		Year l	Year 2	Year 3
Description	Unit of Measure	Unit Cost	Unit Cost	Unit Cost
SRJ-Inmate Meals	Each	\$ 1.349	\$ 1.383	\$ 1.417
SRJ-Court Meals	Each	\$ 1.349	\$ 1.383	\$ 1.417
SRJ-Staff Meals	Each	\$ 4.700	\$ 4.818	\$ 4.938
GEDDF-Inmate	Each	\$ 1.349	\$	\$
GEDDF-Staff Meals	Each	\$ 4.700	\$	\$

SLIDING SCALE Price/Meal **Population Scale** \$ 1.764 2000 2199 \$ 1.676 2200 2399 2400 2599 \$ 1.602 \$ 1.562 2600 2799 \$ 1.508 2800 2999 3000 \$ 1.460 3199 3200 3399 \$ 1.418 \$ 1.382 3400 3599 3600 3799 \$ 1.349 3999 \$ 1.323 3800 4000 4199 \$ 1.300

- 3. Invoices will be reviewed for approval by the Alameda County Sheriff Agency.
- 4. Total payment under the terms of this Agreement will not exceed the total amount of \$17,520,319. This cost includes all taxes and all other charges.
- 5. Upon award of this Agreement by County, County and Contractor shall forthwith jointly create a schedule governing the timely performance of Contractor's services hereunder. The agreed upon schedule shall be incorporated into this Agreement upon its adoption by the parties and thereafter Contractor shall perform all services under this Agreement in conformance with the schedule.

Exhibit B Page 1 of 1

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT C

COUNTY OF ALAMEDA MINIMUM INSURANCE REQUIREMENTS

Without limiting any other obligation or liability under this Agreement, the Contractor, at its sole cost and expense, shall secure and keep in force during the entire term of the Agreement or longer, as may be specified below, the following minimum insurance coverage, limits and endorsements.

	TYPE OF INSURANCE COVERAGES	MINIMUM LIMITS
A	Commercial General Liability Premises Liability; Products and Completed Operations; Contractual Liability; Personal Injury and Advertising Liability	\$1,000,000 per occurrence (CSL) Bodily Injury and Property Damage
В	Commercial or Business Automobile Liability All owned vehicles, hired or leased vehicles, non-owned, borrowed and permissive uses. Personal Automobile Liability is acceptable for individual contractors with no transportation or hauling related activities	\$1,000,000 per occurrence (CSL) Any Auto Bodily Injury and Property Damage
С	Workers' Compensation (WC) and Employers Liability (EL) Required for all contractors with employees	WC: Statutory Limits EL: \$1,000,000 per accident for bodily injury or disease

D Endorsements and Conditions

- ADDITIONAL INSURED: All insurance required above with the exception of Commercial or Business Automobile Liability, Workers' Compensation and Employers Liability, shall be endorsed to name as additional insured. County of Alameda, its Board of Supervisors, the individual members thereof, and all County officers, agents, employees, volunteers, and representatives. The Additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13.
- 2. DURATION OF COVERAGE: All required insurance shall be maintained during the entire term of the Agreement. In addition, Insurance policies and coverage(s) written on a claims-made basis shall be maintained during the entire term of the Agreement and until 3 years following the later of termination of the Agreement and acceptance of all work provided under the Agreement, with the retroactive date of said insurance (as may be applicable) concurrent with the commencement of activities pursuant to this Agreement.
- 3. REDUCTION OR LIMIT OF OBLIGATION: All insurance policies, including excess and umbrella insurance policies, shall include an endorsement and be primary and non-contributory and will not seek contribution from any other insurance (or self-insurance) available to the County. The primary and non-contributory endorsement shall be at least as broad as ISO Form 20.01. 04.13. Pursuant to the provisions of this Agreement insurance effected or procured by the Contractor shall not reduce or limit. Contractor's contractual obligation to indemnify and defend the Indemnified Parties.
- 4. INSURER FINANCIAL RATING: Insurance shall be maintained through an insurer with a A.M. Best Rating of no less than A:VII or equivalent, shall be admitted to the State of California unless otherwise waived by Risk Management, and with deductible amounts acceptable to the County. Acceptance of Contractor's insurance by County shall not relieve or decrease the liability of Contractor hereunder. Any deductible or self-insured retention amount or other similar obligation under the policies shall be the side responsibility of the Contractor.
- 5. SUBCONTRACTORS: Contractor shall include all subcontractors as an insured (covered party) under its policies or shall verify that the subcontractor, under its own policies and endorsements, has complied with the insurance requirements in this Agreement, including this Exhibit. The additional Insured endorsement shall be at least as broad as ISO Form Number CG 20 38 04 13.
- 6. JOINT VENTURES: If Contractor is an association, partnership or other joint business venture, required insurance shall be provided by one of the following methods:
 - Separate insurance policies issued for each individual entity, with each entity included as a "Named Insured" (covered party), or at minimum named as an "Additional Insured" on the other's policies. Coverage shall be at least as broad as in the ISO Forms named above.
- Joint insurance program with the association, partnership or other joint business venture included as a "Named Insured"
 CANCELLATION OF INSURANCE: All insurance shall be required to provide thirty (30) days advance written notice to the
- County of cancellation.

 8. CERTIFICATE OF INSURANCE: Before commencing operations under this Agreement, Contractor shall provide Certificate(s) of Insurance and applicable insurance endorsements, in form and satisfactory to County, evidencing that all required insurance
- of Insurance and applicable insurance endorsements, in form and satisfactory to County, evidencing that all required insurance coverage is in effect. The County reserves the rights to require the Contractor to provide complete, certified copies of all required insurance policies. The required certificate(s) and endorsements must be sent as set forth in the Notices provision.

Certificate C-1 Page 1 of 1 Form 2001-1 (Rev. 02/26/14)

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CERTIFICATE OF LIABILITY INSURANCE Page 1 of 1

DATE (MM/DD/YYYY) 05/15/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies)must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	Willis of Pennsylvania, Inc. c/o 26 Century Blvd. P. O. Box 305191 Nashville, TN 37230-5191	CONTACT NAME. PHONE (A/C, NO, EXT): 877-945-7378 E-MAIL ADDRESS. certificates@willis.com	178
	Mashville, IN 3/230-3191	INSURER(S)AFFORDING COVERAGE NAIC #	tr tr
		INSURERA ACE American Insurance Company 2266	7-003
INSURED	Aramark Correctional Services, LLC	INSURER B: Indemnity Insurance Company of North Amer 4357	5-001
	Aramark Services, Inc. Its Divisions & Subsidiaries Aramark Tower, 1101 Market Street, 30th Floor Philadelphia, PA 19107	INSURER C:	
		INSURER D:	
		INSURER E:	
		INSURER F:	

COVERAGES CERTIFICATE NUMBER: 23163276 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS. EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE INS	DL SUBR D WVD POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	rs
A	X COMMERCIAL GENERAL LIABILITY Y CLAIMS-MADE X OCCUR	HDOG27335457	10/1/2014	10/1/2015	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurence)	S 1,000,000 SIncluded
	X Liquor Law Liability				MED EXP (Any one person)	s 5,000
	X Vendors Liability				PERSONAL & ADV INJURY	\$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:				GENERAL AGGREGATE	SNone
	POLICY PRO-				PRODUCTS - COMP/OP AGG	SNone
	OTHER					S
A	AUTOMOBILE LIABILITY	ISAH08827011	10/1/2014	10/1/2015	COMBINED SINGLE LIMIT (Ea accident)	s 1,000,000
	X ANY AUTO				BODILY INJURY(Per person)	\$
	ALLOWNED SCHEDULED AUTOS AUTOS				BODILY INJURY(Per accident)	\$
	HIRED AUTOS NON-OWNED AUTOS				PROPERTY DAMAGE (Per accident)	s
	X Self-Insur X Auto Physi ed for Cal Damage					\$
	UMBRELLA LIAB OCCUR				EACHOCCURRENCE	\$
	EXCESS LIAB CLAIMS-MADE				AGGREGATE	, s
	DED RETENTION'S					\$
В	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	AOS WLRC48013570	10/1/2014	10/1/2015	X PER OTH- STATUTE : ER	
A	ANY PROPRIETOR/PARTNER/EXECUTIVE N N/	A CA & MA WLRC48013569	10/1/2014	10/1/2015	E.L. EACH ACCIDENT	s 1,000,000
Α	OFFICER:MEMBER EXCLUDED?	WI SCFC48013582	10/1/2014	10/1/2015	E.L. DISEASE - EA EMPLOYEE	s 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below				E.L. DISEASE - POLICY LIMIT	s 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
ARAMARK's General Liability and Auto Liability policies are noncancellable. Workers' Compensation
notices of cancellation are in accordance with each state law. Products/Completed Operations and
Contractual Liability are included under General Liability.

County of Alameda, its Board of Supervisors, the individual members thereof, and all County officers, agents, employees and representatives are included as Additional Insureds per policy terms & conditions.

CERTIFICATE HOLDER	CANCELLATION
	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF. NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
County of Alameda 1401 Lakeside Dr. 12th Floor Oakland, CA 94612	And Solvered Comos III

Coll:4690070 Tpl:1858331 Cert:23163276 © 1988-2014 ACORD CORPORATION. All rights reserved.

ACORD 25 (2014/01)

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Named Insured: Aramark Correctional Services, LLC Insuring Company: ACE American Insurance Company

Policy Number: HDOG27335457 Policy Effective: 10/1/2014

Endorsement No. 88

ADDITIONAL INSURED - DESIGNATED PERSON OR ORGANIZATION

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY

This endorsement modifies insurance provided in the following:

COMMERCIAL GENERAL LIABILITY COVERAGE FORM

Schedule

Name of Person or Organization

- 1) Any person, organization or entity for whose protection and benefit the Named Insured has or shall have, by contract or agreement, agreed to procure liability insurance; or
- 2) Any person, organization or entity designated as an additional insured by a Certificate of Insurance.

WHO IS AN INSURED (Section II) is amended to include as an insured the person, organization or entity shown in the Schedule above, but only with respect to liability arising out of the Named Insured's operations or work performed by the Named Insured or others acting on the Named Insured's behalf, or premises owned, managed or controlled by or rented to the Named Insured.

With respect to the insurance afforded to these additional insureds, the following is added to Section III - Limits of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

- 1. Required by the contract or agreement; or
- 2. Available under the applicable Limits of Insurance shown in the Declarations; whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

Additionally, the coverage provided to the additional insured shall not exceed, and is limited by, the scope of coverage that the Named Insured has agreed by contract or agreement to procure for the Additional Insured.

This endorsement is issued by the Company designated in the Declarations.

All other provisions of the policy remain unchanged.

Authorized Agent

MS118571212

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT D

COUNTY OF ALAMEDA DEBARMENT AND SUSPENSION CERTIFICATION

(Applicable to all agreements funded in part or whole with federal funds and contracts over \$25,000).

The contractor, under penalty of perjury, certifies that, except as noted below, contractor, its principals, and any named and unnamed subcontractor:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three years;
- Does not have a proposed debarment pending; and
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining contractor responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Standard Services Agreement. Signing this Standard Services Agreement on the signature portion thereof shall also constitute signature of this Certification.

CONTRACTOR:Ara	mark Correctional Services, I	LC	
PRINCIPAL: Mark R.		TITLE:	Vice President Finance
SIGNATURE:	lh	DATE:	6-2-15

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EXHIBIT E

COUNTY OF ALAMEDA CONTRACT COMPLIANCE REPORTING REQUIREMENTS

Upon receipt of signed contract documents, prime contractor shall immediately enter/assign subcontractors in the System, confirm payments received from the County within 5 business days in the System, immediately enter payments made to subcontractors and ensure that subcontractors confirm they received payments within 5 business days in the System. Subcontractors shall confirm their payments received from the prime contractor within 5 business days in the System.

Alameda County Contract Compliance System training and ongoing support are provided at no charge to contractors and participating sub-contractors awarded a contract as a result of this bid process for this project. Contractors having contracts with the County should schedule a representative from their office/company, along with each of their subcontractors, to attend training. The training schedule may be viewed online at http://www.elationsys.com/elationsys/ContactUs.aspx or call Elation Systems at (925) 924-0340. A special access code will be provided to contractors and subcontractors participating in this contract awarded to allow use of the System free of charge.

It is the Contractor's responsibility to ensure that they and their subcontractors are registered and trained as required to utilize the Alameda County Contract Compliance System. Training sessions are approximately one hour and will be held periodically in a number of locations throughout Alameda County.

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Master Contract No. 901240 Procurement Contract No. 11293

EXHIBIT F

COUNTY OF ALAMEDA THE IRAN CONTRACTING ACT (ICA) OF 2010

For Procurements of \$1,000,000 or more

The California Legislature adopted the Iran Contracting Act (ICA) to respond to policies of Iran in a uniform fashion (PCC § 2201(q)). The ICA prohibits persons engaged in investment activities in Iran from bidding on, submitting proposals for, or entering into or renewing contracts with public entities for goods and services of one million dollars (\$1,000,000) or more (PCC § 2203(a)). A person who "engages in investment activities in Iran" is defined in either of two ways:

- 1. The person provides goods or services of twenty million dollars (\$20,000,000) or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- 2. The person is a financial institution (as that term is defined in 50 U.S.C. § 1701) that extends twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created by the California Department of General Services (DGS) pursuant to PCC § 2201(b) as a person engaging in the investment activities described in paragraph 1 above.

By signing below, I hereby certify that as of the time of bidding or proposing for a new contract or renewal of an existing contract, neither I nor the company I own or work for are identified on the DGS list of ineligible persons and neither I nor the company I own or work for are engaged in investment activities in Iran in violation of the Iran Contracting Act of 2010.

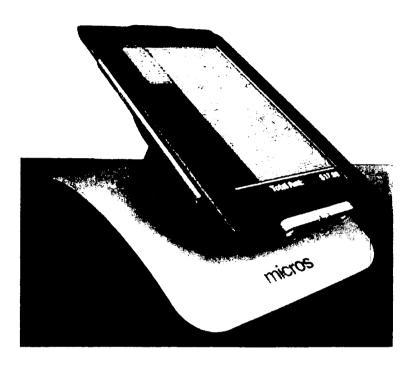
to renew a contract, but I believe I or it qualifies for	or an exceptio	n listed in PCC § 2202(c),
I have described in detail the nature of the exception	on:	
NAME:Aramark Correctional Services, LLC		
PRINCIPAL: Mark R. Adams	_ TITLE:	Vice President Finance
SIGNATURE:	_ DATE:	6-2-15

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EXHIBIT G MICROS POS SYSTEM



PDF Attached Hereto

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mWorkstation - Product Overview



MICROS mTablet and mStation



The mTablet and mStation are currently supported by the following MICROS applications:

Application	Version Supporting mTablet/mStation
Simphony 1	V1.6 MR4
RES	5.2

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mWorkstation - Product Overview



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