

# IN THE SUPREME COURT OF THE STATE OF CALIFORNIA

Frederick K. Ohlrich Clerk

THE PEOPLE OF THE STATE OF CALIFORNIA,

Plaintiff and Respondent,

**Supreme Court** Case No. S189786

ν.

REGINALD WYATT,

Defendant and Appellant.

COURT OF APPEAL, FIRST DISTRICT, DIVISION TWO Case No. A114612

APPEAL FROM A JUDGMENT OF THE SUPERIOR COURT OF ALAMEDA COUNTY Case No. C0147107 The Honorable Jon Rolefson, Judge

#### ANSWER TO THE PEOPLE'S PETITION FOR REVIEW

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# TOPICAL INDEX

People v. Wy Case No. S1		Page
ANSWER T	O THE PEOPLE'S PETITION FOR REVIEW	
QUESTION	S PRESENTED	2
STATEMEN	NT OF THE CASE	3
STATEMEN	NT OF FACTS	5
ARGUMEN	TS	6
I. II.	THE PEOPLE'S PETITION FOR REVIEW SHOULD BE DENIED BECAUSE THE COURT OF APPEAL CORRECTLY CONCLUDED THAT THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT ON SIMPLE ASSAULT AS A LESSER INCLUDED OFFENSE OF PENAL CODE SECTION 273AB.  THE TRIAL COURT PREJUDICIALLY ERRED IN INSTRUCTING THE JURY WITH CALCRIM NO. 820 BECAUSE THAT INSTRUCTION OMITS AN ELEMENT OF PENAL CODE SECTION 273AB THAT THE ASSAULT THAT LEADS TO THE CHILD'S DEATH BE BY MEANS OF FORCE "THAT TO A REASONABLE PERSON" WOULD BE LIKELY TO PRODUCE GREAT BODILY INJURY.	
III.	INVOLUNTARY MANSLAUGHTER IS A LESSER INCLUDED OFFENSE OF PENAL CODE SECTION 273AB, AND THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT, SUA SPONTE, ON THAT LESSER INCLUDED OFFENSE	14

IV.	THE TRIAL COURT PREJUDICIALLY ERRED BY FAILING TO SUA SPONTE INSTRUCT THE JURY THAT CRIMINAL NEGLIGENCE CANNOT SUPPORT AN ASSAULT CONVICTION AND THAT INJURY ALONE IS NOT SUFFICIENT TO ESTABLISH AN ASSAULT	17
V.	THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT ON JURY UNANIMITY (CALCRIM No. 3500) AND THEREBY VIOLATED DEFENDANT'S CONSTITUTIONAL RIGHT TO UNANIMOUS JURY VERDICT	20
VI.	DEFENDANT WAS DENIED HIS RIGHT TO EFFECTIVE ASSISTANCE OF TRIAL COUNSEL, AS GUARANTEED BY THE SIXTH AMENDMENT TO THE UNITED STATES CONSTITUTION AND CALIFORNIA CONSTITUTION, THROUGH HIS TRIAL COUNSEL'S FAILURE TO REQUEST AND/OR OBJECT TO SEVERAL CRUCIAL JURY INSTRUCTIONS	26
VII.	DEFENDANT'S SENTENCE OF 25 YEARS TO LIFE FOR THE UNINTENTIONAL HOMICIDE UNDER PENAL CODE SECTION 273AB VIOLATES THE FEDERAL AND STATE CONSTITUTIONAL PROSCRIPTION AGAINST CRUEL AND UNUSUAL PUNISHMENT	28
CONCLUSIO	ON	31
CERTIFICA	TE OF LENGTH	32
APPENDIX	"A" - Unpublished opinion of the Court of Appeal	
APPENDIX	"B" - Order denying the People's petition for rehearing	

### TABLE OF AUTHORITIES

	Page(s)
Cases	
<i>In re Winship</i> (1970) 397 U.S. 358	12, 19
Medina v. California (1992) 505 U.S. 437	27
Montana v. Eglehoff (1996) 518 U.S. 37	27
Neder v. United States (1999) 508 U.S. 1	13
Orlina v. Superior Court (1999) 73 Cal.App.4th 258	13-15
People v. Albritton (1998) 67 Cal.App.4th 647	12
People v. Basuta (2001) 94 Cal.App.4th 370	. 6, 12, 13
People v. Breverman (1998) 19 Cal.4th 142	10
People v. Cox (2000) 23 Cal.4th 665	16
People v. Davis (2005) 36 Cal.4th 510	24
People v. Deletto (1983) 147 Cal.App.3d 458	26
People v. Dellinger (1984) 163 Cal.App.3d 284	25
People v. Espinoza (1983) 140 Cal.App.3d 564	25
People v. Flannel (1979) 25 Cal.3d 668	18
People v. Flood (1998) 18 Cal.4th 470	12, 19
People v. Hogan (1981) 31 Cal.3d 815	27
People v. Ledesma (1987) 43 Cal.3d 171	26

People v. Lewis (2004) 120 Cal.App.4th 837
People v. Malfavon (2002) 102 Cal.App.4th 727
People v. Maury (2003) 30 Cal.4th 342
People v. McElheny (1982) 137 Cal.App.3d 396
People v. Morse (1964) 60 Cal.2d 631
People v. Norman (2007) 157 Cal.App.4th 460 20, 23, 28, 29
People v. Nye (1965) 63 Cal.2d 166
People v. Preller (1997) 54 Cal.App.4th 93
People v. Rios (2000) 23 Cal.4th 450
People v. Russo (2001) 25 Cal.4th 1124
People v. Sanchez (2001) 94 Cal.App.4th 622
People v. Sedeno (1974) 10 Cal.3d 703       26
People v. Stewart (2000) 77 Cal.App.4th 785
People v. Valenzuela (1985) 175 Cal.App.3d 381
People v. Whitehurst (1992) 9 Cal.App.4th 1045
People v. Williams (2001) 26 Cal.4th 779
People v. Wyatt (2010) 48 Cal.4th 776
Strickland v. Washington (1984) 466 U.S. 668

# Constitutions

California Constitution	
Article I, § 15	6
Article I, § 16	6
Article I, § 17	9
United States Constitution	
5th Amendment	9
6th Amendment	6
8th Amendment	9
14th Amendment	6
Statutes	
Penal Code	
§ 20	!4
§ 187, subdivision (a)	3
§ 192	. 5
§ 192, subdivision (b)	۷
§ 273ab	)3
c 1202 075	,

Rules of Court
rule 8.500(a)(2)
rule 8.500(b)(1)
Standard Jury Instructions
CALCRIM No. 820
CALCRIM No. 3500
CALJIC No. 9.36.5

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#### REGINALD WYATT,

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#### ANSWER TO THE PEOPLE'S PETITION FOR REVIEW

TO THE HONORABLE CHIEF JUSTICE AND THE HONORABLE ASSOCIATE JUSTICES OF THE CALIFORNIA SUPREME COURT:

Defendant and appellant Reginald Wyatt respectfully requests that this Court deny the People's petition for review. But, if review is granted, defendant requests that review also be granted to address the following important questions of law presented by his case (Cal. Rules of Court, rules 8.500(a)(2), 8.500(b)(1)):

#### **QUESTIONS PRESENTED**

- 1. Did the trial court prejudicially err in instructing the jury with CALCRIM No. 820 that omits an element of Penal Code section 273ab that the assault that leads to the child's death must be by means of force "that to a reasonable person" would be likely to produce great bodily injury, and thereby deprive defendant of his due process rights under the federal and state Constitutions?
- 2. Is involuntary manslaughter a lesser-included offense of Penal Code section 273ab and did the trial court prejudicially err in failing to instruct the jury on involuntary manslaughter as a lesser included offense of section 273ab?
- 3. Did the trial court prejudicially err by failing to instruct the jury that criminal negligence cannot support an assault conviction and that injury alone is not sufficient to establish an assault, and thereby deprive defendant of his due process rights under the federal and state Constitutions?
- 4. Did the trial court prejudicially err in failing to instruct on jury unanimity according to CALCRIM NO. 3500 and thereby violate defendant's constitutional right to unanimous jury verdict?
- 5. Did defendant's trial counsel render ineffective assistance under the federal and state Constitutions by failing to request and/or object to several crucial jury instructions?

6. Whether defendant's sentence of 25 years to life for unintentional homicide under Penal Code section 273ab violates the federal and state constitutional proscription against cruel and unusual punishment?

#### STATEMENT OF THE CASE

On May 18, 2003, defendant's 14-month-old son Reginald died while in the custody and care of defendant, his father. (2 RT 371-373, 379-384, 391; 3 RT 414, 468, 535.)

On March 20, 2004, an information was filed in the Superior Court of Alameda County charging defendant with one count of murder (Pen. Code, § 187, subd. (a)), and one count of assault on a child under age 8 causing death (Pen. Code, § 273ab [hereafter "child homicide"]). (1 CT 97-98.) Both counts alleged personal infliction of great bodily injury. (1 CT 98; Pen. Code, § 1203.075.) The information further alleged a prior felony conviction from Louisiana. (1 CT 98.) Defendant pled not guilty to the substantive charges and denied the special allegations. (1 CT 100.)

Jury trial began on March 20, 2006. (2 CT 179.) After the evidence concluded, and the People decided not to seek a first degree murder conviction, the jury was instructed on the charged crimes of child homicide and second degree murder. (2 CT 313; 8 RT 1442-1463.) The jury was instructed on both express malice (i.e. an intent to kill) and implied malice (i.e. acting with conscious disregard of danger to human life) murder. (2 CT 308-309.) As a lesser included offense of second degree of murder, the jury was instructed on the crime of involuntary manslaughter. (2 CT 310.)

On April 11, 2006, the jury found defendant guilty of involuntary manslaughter and child homicide. (2 CT 279-280, 326-327; Pen. Code, §§ 192, subd. (b), 273ab.) On the trial court's own motion, the great bodily injury and prior conviction allegations were stricken pursuant to Penal Code section 1385. (2 CT 279, 281.)

Defendant filed a motion for new trial on several grounds, including the ground the verdicts were "mutually inconsistent" and contrary to the law and evidence. (2 CT 332-354.)

On July 6, 2006, the trial court denied the new trial motion and sentenced defendant to prison for 25 years to life for the child homicide conviction. (2 CT 359-360, 364; 8 RT 1643-1646.) A three-year prison term for the involuntary manslaughter conviction was stayed pursuant to Penal Code section 654. (2 CT 359-360, 362; 8 RT 1656.)

Defendant timely appealed his judgment of conviction. (2 CT 366.) In an unpublished opinion filed on January 31, 2008, the Court of Appeal, First Appellate District, Division Two, reversed the child homicide conviction for insufficiency of evidence but otherwise affirmed the judgment. (*People v. Wyatt* (Jan. 31, 2008, A114612) [nonpub. opn.].) Presiding Justice Klein filed a concurring and dissenting opinion. In the Presiding Justice's opinion, the involuntary manslaughter conviction should also have been reversed for the failure to instruct on jury unanimity.

The People successfully sought review in this Court. In *People v. Wyatt* (2010) 48 Cal.4th 776, this Court reversed the Court of Appeal's judgment to the extent it found insufficient evidence to support defendant's child homicide conviction and remanded the matter to the Court of Appeal.

On remand, in an unpublished opinion filed on December 9, 2010, the Court of Appeal addressed the remaining issues on appeal. It concluded that

the trial court prejudicially erred when it failed to instruct, sua sponte, on assault as a necessarily included offense of child homicide under Penal Code section 273ab, but otherwise affirmed the judgment. A copy of the Court of Appeal's opinion is attached to this answer as Appendix "A."

On December 23, 2010, the sought a rehearing. The rehearing petition was denied by the Court of Appeal on January 6, 2011. A copy of the order denying rehearing is attached to this answer as Appendix "B."

On January 14, 2011, the People petitioned this Court for review. Defendant opposes the People's second petition for review. Defendant further requests that if this Court does grant review on the issues brought up by the People, that is also grant review to address other important issues of law presented by defendant's case, as set forth in this answer to the People's petition. (Cal. Rules of Court, rules 8.500(a)(2), 8.500(b)(1).)

#### STATEMENT OF FACTS

For the purpose of this petition, defendant adopts the statement of facts set forth in the Court of Appeal's opinion. (Appendix "A" at pp. 3-18.)

#### **ARGUMENTS**

Ι

THE PEOPLE'S PETITION FOR REVIEW SHOULD BE DENIED BECAUSE THE COURT OF APPEAL CORRECTLY CONCLUDED THAT THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT ON SIMPLE ASSAULT AS A LESSER INCLUDED OFFENSE OF PENAL CODE SECTION 273AB.

The People ask this Court to review the Court of Appeal's unpublished opinion to the extent it reversed appellant's child homicide conviction for the trial court's failure to instruct, sua sponte, on simple assault as a lesser included offense of Penal Code section 273ab. (See REV at pp. 8-12.) This Court should deny the People's petition because assault is a lesser included offense of section 273ab (*People v. Basuta* (2001) 94 Cal.App.4th 370, 392 [both simple and aggravated assaults are lesser included offenses of section 273ab]), and the Court of Appeal correctly found that the trial court prejudicially erred in failing to instruct defendant's jury on simple assault inasmuch as a reasonable jury could convict defendant of simple assault upon a finding that the force he inflicted on his son fell short of that which to a reasonable person was likely to produce great bodily injury. (Appendix "A" at pp. 20-24.)

The People's arguments are predicated on the assumption that the jury adopted the prosecution's theory of multiple blows and actual wrestling moves based on defendant's taped extrajudicial statements and expert medical testimony which, to the prosecutor and now the Attorney General, amounted to overwhelming and compelling evidence of defendant having punched, body-slammed, and head-butted a 14-month old toddler, causing internal

injuries so major and extensive as to be seen only in the most serious cases such as car accidents. Granted, the jury or some members of the jury could have adopted the prosecution's theory and convicted defendant of child homicide *in addition to* convicting defendant of first degree murder or second degree murder under this identical theory. But the jury did not convict defendant of either first degree or second degree murder on which it was instructed. The jury's verdicts are strong evidence that the jury or at least some members of the jury *actually* rejected the prosecution's theory which the Attorney General finds so persuasive.

The Court of Appeal correctly found that defendant's own testimony and that of his medical expert, Dr. Paul Herrmann, provides substantial evidence of the lesser offense. In his trial testimony, defendant denied performing any so-called "wrestling moves" on his son. He explained in his testimony that when he described these moves to the police he was not describing actions he actually took, but "make-believe wrestling moves." Defendant denied striking Reginald hard and testified that at most he pushed Reginald while playing with him.

Defendant further testified that at one point while he was playing with Reginald defendant jumped in the air and, while he came down on the bed to make it shake, Reginald rolled toward him, and defendant fell on Reginald hard, and hit Reginald in the back with his hip. It appeared to defendant that Reginald had the wind knocked out of him because he seemed unable to get his breath. However, when Reginald began breathing again, defendant thought he had recovered. Reginald did not cry. Defendant testified that other than this, he did not strike his son with any force or do anything harmful to him.

Defendant testified that he stopped playing with Reginald after he fell on him. He got him some milk, and Reginald took the milk, looked at the television and lied down. Defendant too fell asleep and it was not until he woke up that he realized Reginald was unresponsive and something was seriously wrong.

Defendant testified that the statements he made to the police regarding his conduct Reginald made it clear that he was play wrestling with Reginald, not actually hurting him. At the time he made his recorded statement, he was tired from lack of sleep and in a daze. He was under the impression that the officers knew that the wrestling he was referring to was not real. However, when the officers said it had to be something more than just falling on Reginald, defendant started "second-guessing" himself and said that maybe he did hit him harder than what I really thought.

Defendant's testimony regarding the cause of Reginald's injuries coming from his fall onto Reginald while defendant was trying to make the bed shake was corroborated by the testimony of Dr. Herrmann, who testified as an expert in the field of pathology. Based on his review of Reginald's autopsy records, Dr. Herrmann opined Reginald's injuries could have resulted from a single sharp blow to the back right side, such as from the weight of a 170-pound man falling on him. He also testified that the injuries to Reginald were *not* consistent with the child being beaten with fists because there was little bruising of the body. Dr. Herrmann testified that it was equally probable that Reginald's major injuries were caused by a single blow as by multiple blows.

With regard to Reginald's other injuries, Dr. Herrmann believed the injuries to the heart were likely due to the administration of CPR. The cause of the tear to Reginald's frenulum was as consistent with an endotracheal tube being placed in his mouth as with violent force. On cross-examination, Dr. Herrmann said he believed the chances of Reginald being injured by a person

falling on him on a bed would be much less than if the child were on the floor. It would be much less common for such extreme injuries to occur if the child was on a bed when someone fell on him. However, "it's still a likelihood or a possibility." The injuries here would be excessive to what Dr. Herrmann would expect if someone fell sideways onto the child on a bed, as compared to someone "falling free" onto the child. Dr. Herrmann did not have an opinion as to whether Reginald was physically abused.

After receiving these injuries a child might be screaming from pain or might go into shock immediately and be absolutely still. Either way, Dr. Herrmann believed a caregiver would notice a difference in the child after such injuries were sustained. Reginald's death was not immediate; he bled to death. If he went into shock, it is possible that he lay down or appeared to be going to sleep.

The Court of Appeal correctly concluded that this testimony is substantial enough to support a jury finding that defendant's actions fell short of those which a reasonable person might believe would lead to the application of force likely to "produce great bodily injury." The evidence is, however, enough to support a conviction under section 240. Defendant testified that, when he jumped on the bed to make it shake, he did not jump on Reginald and, therefore, did not apply force likely to produce great bodily injury. Rather, he jumped on the bed next to Reginald, and Reginald rolled under him as he was coming down on the bed. Dr. Herrmann's testimony provides evidence on which the jury could conclude that this act – rather than any of defendant's later actions – resulted in Reginald's death. If the jury believed defendant, it could conclude that the actions he described were of "an act which by its nature would probably and directly result in the application of physical force on another person" and that defendant was "aware of facts that would lead a

reasonable person to realize that as a direct, natural and probable result of this act that physical force would be applied to another person." (See *People v. Wyatt* (2010) 48 Cal.4th 776, 780, 786.)

The People, however, argue that the prosecution's evidence proved child abuse homicide based on the aggravated assault of Reginald and, therefore, the trial court was not required to instruct on simple assault. This argument ignores the general rule that, in determining the sufficiency of the evidence to justify the giving of an instruction under a lesser included offense, the facts must be construed in a manner that is the most favorable to appellant. (*People v. Stewart* (2000) 77 Cal.App.4th 785, 795-796.) Courts must look at the evidence's "bare legal sufficiency, not its weight." (*People v. Breverman* (1998) 19 Cal.4th 142, 177.) It does not follow, as the People suggest, that a jury could not have found that defendant committed only a simple assault. And, while it is certainly the case that the defendant also argued that the jury could acquit him on the ground that his conduct was accidental and, therefore, could not constitute an assault, this does not negate the possibility that a jury would disagree with the "accident" theory, but also find that the evidence fell short of aggravated assault.

The jury was never instructed that an assault could not be based on negligence or criminal negligence or that a negligence or criminal negligence finding could not include "intentional conduct," i.e. intentional conduct could be negligent conduct, this explains how the jury could return convictions for both "assault" child homicide and "involuntary manslaughter" based on but a single act—the bed jumping act described by defendant in his testimony. (See Argument IV, *post*.)

For all the above reasons, the People's request for review should be denied.

THE TRIAL COURT PREJUDICIALLY ERRED IN INSTRUCTING THE JURY WITH CALCRIM NO. 820 BECAUSE THAT INSTRUCTION OMITS AN ELEMENT OF PENAL CODE SECTION 273AB THAT THE ASSAULT THAT LEADS TO THE CHILD'S DEATH BE BY MEANS OF FORCE "THAT TO A REASONABLE PERSON" WOULD BE LIKELY TO PRODUCE GREAT BODILY INJURY.

Defendant argued on appeal that the trial court prejudicially erred in instructing the jury with CALCRIM No. 820 because that instruction omits an element of Penal Code section 273ab, namely that the assault that leads to the child's death be by means of force "that to a reasonable person" would be likely to produce great bodily injury. The Court of Appeal concluded the trial court correctly instructed the jury, under CALCRIM No. 820, that the force used must have appeared likely to a reasonable person to result in great bodily injury. (Appendix "A" at pp. 25-26.) The Court of Appeal erred, requiring review so that this Court can address the important question of law presented by defendant's case. (Cal. Rules of Court, rule 8.500(b)(1).)

Penal Code section 273ab defines the crime of child homicide in clear and express terms: "Any person who, having the care or custody of a child who is under eight years of age, assaults the child by means of force that to a reasonable person would be likely to produce great bodily injury, resulting in the child's death, shall be punished . . . ." (Pen. Code, § 273ab.) Instead of defining child homicide in terms of its statutory elements, the trial court defined the crime in terms of CALCRIM No. 820. (2 CT 313-315; 8 RT 1516-1518.) This instruction is defective because it fails to identify an essential element of the statutory crime – an assault "by means of force that to a

reasonable person would be likely to produce great bodily injury." (Pen. Code, § 273ab; *People v. Preller* (1997) 54 Cal.App.4th 93, 97, 98.) The error affected an element of the charged crime, thereby violating defendant's due process rights under the Fourteenth Amendment to the United States Constitution and article I, section 15 of the California Constitution. (U.S. Const., 5th & 14th Amends.; Cal. Const., art. I, § 15; *In re Winship* (1970) 397 U.S. 358, 364; *People v. Flood* (1998) 18 Cal.4th 470, 480-481, 491.)

The proscribed act in section 273ab is an assault that is "objectively likely to produce great bodily injury." (*People v. Albritton* (1998) 67 Cal.App.4th 647, 657-658.) In turn, the "objective" test requires application of a "reasonable person" test. (*People v. Stewart* (2000) 77 Cal.App.4th 785, 794.)

CALCRIM No. 820 omits this essential element. The instruction only requires a finding by the jury that "[t]he force used was likely to produce great bodily injury." (CALCRIM No. 820.) An assault may be "likely" to produce great bodily injury even though the assault, to a reasonable person using an objective test, was not likely to produce great bodily injury.

While it is unnecessary to determine how or why the creators of CALCRIM No. 820 omitted this essential element or analyze its interpretation of case law, it appears they substituted "force used was likely to produce great bodily injury" for the statutory element because *People v. Basuta* (2001) 94 Cal.App.4th 370, determined the crime of felony assault by means of force likely to cause great bodily injury, as defined in Penal Code section 245, was a necessarily included offense. (*People v. Basuta*, *supra*, 94 Cal.App.4th at p. 392; see CALCRIM No. 820, Lesser Included Offenses [Assault With Force Likely to Produce Great Bodily Injury].) The *Basuta* court, however, never actually decided whether the felony assault element was identical to the

objective reasonable person element of section 273ab, but it did note the difference in statutory language and the language used in section 273 "is more restrictive." (*People v. Basuta, supra*, 94 Cal.4th at p. 392.)

To the extent *Basuta* correctly found Penal Code section 245 felony assault is a lesser included offense of child homicide, a proposition which the defense contests under the statutory lesser included offense doctrine (*Orlina v. Superior Court* (1999) 73 Cal.App.4th 258, 261; *People v. Stewart, supra*, 77 Cal.App.4th at p. 795), because on its face section 273ab does not require an assault which, in fact, is by means likely to produce great bodily injury but only requires an assault that to a reasonable person would produce great bodily injury, section 273ab must be construed to require both elements. In other words, under *Basuta*, the People must prove the assault was to a reasonable person likely to produce great bodily injury *and* in fact likely to produce great bodily injury. The CALCRIM No. 820 instruction fails to define the crime in terms required by *Basuta*.

The trial court's failure to properly define the crime of section 273ab requires reversal because the jury was never required to determine whether the assault was, to an objective person, likely to produce great bodily injury. (Neder v. United States (1999) 508 U.S. 1, 19.) The fact the assault did produce great bodily injury or the jury found the assault was, in fact, by means likely to produce great bodily injury does not satisfy the reasonable person element of child homicide.

Review should therefore be granted.

INVOLUNTARY MANSLAUGHTER IS A LESSER INCLUDED OFFENSE OF PENAL CODE SECTION 273AB, AND THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT, SUA SPONTE, ON THAT LESSER INCLUDED OFFENSE.

Defendant also argued on appeal that the trial court prejudicially erred in failing to instruct the jury, sua sponte, on involuntary manslaughter as a lesser-included offense of Penal Code section 273ab. The Court of Appeal rejected the argument, relying on the opinion in *Orlina v. Superior Court* (1999) 73 Cal.App.4th 258, in which the court found that involuntary manslaughter is a lesser related, rather than lesser-included, offense of section 273ab. (Appendix "A" at pp. 26-28.) The Court of Appeal erred, requiring review so that this Court can address the important question of law presented by defendant's case. (Cal. Rules of Court, rule 8.500(b)(1).)

In *Orlina*, the Court of Appeal, Fourth Appellate District, Division Three, concluded the crime of involuntary manslaughter was not a lesser included offense of section 273ab. (*Orlina v. Superior Court, supra*, 73 Cal.App.4th at pp. 260-262.) The *Orlina* court expressly found that statutory involuntary manslaughter, as defined in Penal Code section 192, subdivision (b), i.e. an "unlawful killing of a human being . . . in the commission of an unlawful act, not amounting to felony," was a lesser included offense of section 273ab because the assault required under that statute is not a felony. (*Id.* at p. 261; see also *People v. Stewart* (2000) 77 Cal.App.4th 785, 796.)

The *Orlina* court believed it could not declare involuntary manslaughter as a necessarily included offense of section 273ab because involuntary manslaughter could also be committed by an "unlawful killing of a human

being . . . in the commission of a lawful act which might produce death, in an unlawful manner, or without due caution and circumspection." (*Orlina v. Superior Court, supra*, 73 Cal.App.4th at pp. 261-262.) The rationale used by the court was that section 273ab speaks to "reckless conduct" likely to produce injury, while the "second definition" of involuntary manslaughter encompasses careless or negligent conduct. (*Id.* at p. 261.) Therefore, reasoned the court, the elements of involuntary manslaughter were not necessarily encompassed within the elements of section 273ab. (*Ibid.*)

The flaw in the *Orlina* court's reasoning is four-fold. First, the crime of manslaughter is defined as "the unlawful killing of a human being without malice." (Pen. Code, § 192.) However, this Court has held that the manner in which manslaughter is established is not an element of the crime. (*People v. Rios* (2000) 23 Cal.4th 450, 454, 459, 465-466.) This applies to involuntary manslaughter as well as to voluntary manslaughter. (*Id.* at p. 466.) The *Orlina* court did not have the benefit of *Rios*, which was decided after *Orlina*.

Second, malice is not an essential element of section 273ab. (*People v. Malfavon* (2002) 102 Cal.App.4th 727, 741, 743.) Because section 273ab defines a non-malice homicide crime, non-malice manslaughter is necessarily, based on its statutory definition, a lesser included offense because there is no element of specific intent to kill or conscious disregard for life. (*People v. Rios, supra*, 23 Cal.4th at p. 466.) Indeed, when the first manner in which involuntary manslaughter may be factually established is considered, the elements are identical, as the *Orlina* court necessarily recognized.

Third, the mere fact involuntary manslaughter may be committed by another means does mean it is not a necessarily included offense. Indeed, voluntary manslaughter is a necessarily included offense for murder (*People v. Rios, supra*, 23 Cal.4th at p. 463), but voluntary manslaughter may not be

a necessarily included offense of felony-murder. The fact that voluntary manslaughter is not a lesser included offense for both murder and felony-murder does not rob it of its lesser included offense status for murder. The fact that the second manner of establishing involuntary manslaughter may not be a lesser included offense under the *Orlina* rationale does not prevent involuntary manslaughter from being a statutory lesser included offense by the first manner, if, in violation of *Rios*, the manner of commission is considered elements of the offense.

The *Orlina* rationale deals more appropriately with the question of whether, in any particular case, the defendant would be entitled to an involuntary manslaughter instruction based on the evidence. (See *People v. Rios, supra*, 23 Cal.4th at p. 468.) However, this is not really a legitimate concern in a section 273ab case or a problem because the evidence which establishes the assault necessary for section 273ab will always support an involuntary manslaughter conviction. An assault that to a reasonable person is likely to cause great bodily injury and in fact causes death is necessarily dangerous to human life *or* safety. (*People v. Cox* (2000) 23 Cal.4th 665, 671-672, 674-675.) The *Orlina* court did not have the benefit of *Cox*.

Finally, the distinction drawn in *Orlina* no longer exists in light of *Rios*, *Cox*, and *People v. Williams* (2001) 26 Cal.4th 779, another case not available to the *Orlina* court. In its historical sense, "recklessness" is "a synonym for criminal negligence." (*People v. Williams*, *supra*, 26 Cal.4th at p. 788, fn. 4.) Therefore, section 273ab does not speak to mere "reckless conduct," as the *Olina* court asserted, but to the identical conduct encompassed in section 192, i.e. "an unlawful act constituting a misdemeanor [that is] dangerous to human life *or* safety under the circumstances of its commission." (*People v. Cox*, *supra*, 23 Cal.4th at p. 675.)

For all of the above reasons, the Court of Appeal erred in following *Olina* and its rationale. Review should therefore be granted.

#### IV

THE TRIAL COURT PREJUDICIALLY ERRED BY FAILING TO SUA SPONTE INSTRUCT THE JURY THAT CRIMINAL NEGLIGENCE CANNOT SUPPORT AN ASSAULT CONVICTION AND THAT INJURY ALONE IS NOT SUFFICIENT TO ESTABLISH AN ASSAULT.

Defendant further argued on appeal that the trial court prejudicially erred because it did not instruct, sua sponte, that criminal negligence cannot support an assault conviction and that injury alone is not sufficient to establish an assault. In the alternative, defendant argued that his trial counsel was ineffective because he did not request such a jury instruction. The Court of Appeal concluded the trial court was not required, sua sponte, to give such a clarifying instruction. With respect to defendant's argument that his trial counsel was ineffective, the Court of Appeal concluded that should counsel believe such an instruction would be useful, then counsel should request it on retrial. (Appendix "A" at p. 28.) The Court of Appeal erred, requiring review so that this Court can address the important question of law presented by defendant's case. (Cal. Rules of Court, rule 8.500(b)(1).)

The jury was instructed on child homicide under Penal Code section 273ab in terms of CALCRIM No. 820. (2 CT 313-315; 8 RT 1516-1518.) This instruction was based, in part, on this Court's opinion in *People v. Williams* (2001) 26 Cal.4th 779, which interpreted the language of Penal Code section 240 and clarified the definition of assault. (See CALCRIM No. 820, Authority.) However, CALCRIM No. 820 leaves out an important principle

of law which the *Williams* court reaffirmed, that assault cannot be based on negligence or criminal negligence. (*People v. Williams, supra*, 26 Cal.4th at p. 788.) Nor does CALCRIM No. 820, unlike other CALCRIM "assault" instructions, include the principle that an assault does not require injury, but if injury did occur that fact, along with all the other evidence, may be considered in deciding whether the defendant committed an assault. (See CALCRIM Nos. 860, 861, 862.)

It is a general and commonly known principle of law, reaffirmed in *Williams*, that an assault cannot be based on negligence or criminal negligence. It is also a general and commonly known principle of law, as reflected in CALCRIM Nos. 860, 861, and 862, that an assault does not require an injury and if injury occurs it may be considered in determining whether an assault occurred and the nature of the assault. Both principles of law are essential for the jury's understanding and determination of whether an assault occurred and to prevent and protect against a jury finding an "assault" based on negligence or injury alone. Because neither of these crucial principles is contained in CALCRIM No. 820, contrary to the Court of Appeal's conclusion, the trial court erred in failing to include them sua sponte in its instructions defining the crime of child homicide under section 273ab.

Even in the absence of a request, the trial court was required to instruct on the general principles of law governing the case, i.e., those principles relevant to the issues raised by the evidence – the principles of law *commonly* or closely and openly connected with the facts of the case before the court. (*People v. Flannel* (1979) 25 Cal.3d 668, 680, 681; *People v. McElheny* (1982) 137 Cal.App.3d 396, 403.)

Furthermore, the trial court had a sua sponte duty to give amplifying or clarifying instructions "where the terms have a "technical meaning peculiar

to the law."" (*People v. McElheny, supra*, 137 Cal.App.3d at p. 403.) When the crime of "assault" is an essential element of an offense, a trial court has a sua sponte duty to instruct on its definition. (*Ibid.*) Here, "[w]hat an assault is in law was a general principle of law governing the assault charges against [defendant]." (*Ibid.*) "The legal definition of an assault is not one commonly understood by those familiar with the English language" and, as the California Supreme Court's continued attempts to define and clarify the crime illustrate (*People v. Williams, supra*, 26 Cal.4th at p. 782), "assault' indeed has a technical meaning peculiar to the law." (*People v. McElheny, supra*, 137 Cal.3d at pp. 403-404; *People v. Valenzuela* (1985) 175 Cal.App.3d 381, 393.) Both omitted principles of law – neither negligence nor injury alone may support an assault conviction – are a key part of that "technical meaning peculiar to the law."

The omissions require reversal of the section 273ab conviction because the jury was not adequately or correctly instructed on the key element of "assault," and the omissions allowed the jury to convict based solely on a finding of criminal negligence and injury or a combination of the two.

The error affected an element of the charged crime, thereby violating defendant's due process rights under the Fourteenth Amendment to the United States Constitution and article I, section 15 of the California Constitution. (U.S. Const., 5th & 14th Amends.; Cal. Const., art. I, § 15; *In re Winship* (1970) 397 U.S. 358, 364; *People v. Flood* (1998) 18 Cal.4th 470, 480-481, 491.)

Review should therefore be granted.

THE TRIAL COURT PREJUDICIALLY ERRED IN FAILING TO INSTRUCT ON JURY UNANIMITY (CALCRIM No. 3500) AND THEREBY VIOLATED DEFENDANT'S CONSTITUTIONAL RIGHT TO UNANIMOUS JURY VERDICT.

In its unpublished opinion filed on January 31, 2008, after reversing defendant's Penal Code section 273ab conviction for insufficient evidence, two justices of the Court of Appeal affirmed defendant's involuntary manslaughter conviction, concluded the trial court did not err in failing to instruct on unanimity. (*People v. Wyatt* (Jan. 31, 2008, A114612) [nonpub. opn.] at pp. 29-30.) Presiding Justice Klein filed a concurring and dissenting opinion. In the Presiding Justice's opinion, the involuntary manslaughter conviction should also have been reversed for the failure to instruct on jury unanimity.

On remand, in light of this Court's opinion in *People v. Wyatt* (2010) 48 Cal.4th 776, which reinstated defendant's section 273ab conviction, defendant requested the Court of Appeal to reconsider the earlier majority conclusion that there was no error in failing to instruct on unanimity. The Court of Appeal refused to reconsider its earlier conclusion, stating that on retrial "the trial court should heed the Third District Court of Appeal's advice in *People v. Norman* (2007) 157 Cal.App.4th 460, to the effect that 'failure to give a unanimity instruction (now CALCRIM No. 3500) is the most common kind of instructional error in criminal cases,' and its related advice that trial courts 'put CALCRIM No. 3500 on your list of standard instructions to give, then ask yourself: 'Is there some reason not to give this instruction in this case?'" (Appendix "A" at pp. 29-30.)

Review should be granted to decide whether the trial court prejudicially err in failing to instruct on jury unanimity according to CALCRIM NO. 3500 and thereby violated defendant's constitutional right to unanimous jury verdict.

In the opinion of January 31, 2008, the majority of the Court of Appeal concluded that no unanimity instruction was required either because the evidence showed "only a single discrete crime," but left "room for disagreement as to exactly how that crime was committed" (*People v. Russo* (2001) 25 Cal.4th 1124, 1132), or because the offense constituted a "continuous course of conduct" (*People v. Maury* (2003) 30 Cal.4th 342, 423). (*People v. Wyatt* (Jan. 31, 2008, A114612) [nonpub. opn.] at pp. 29-30.)

Reginald died of shock and hemorrhage due to blunt force trauma to his chest and abdomen. Based on this Court's decision in this case (*People v. Wyatt, supra*, 48 Cal.4th 776), the evidence showed two distinct acts – that defendant assaulted and fatally injured Reginald either by performing a series of wrestling moves on him before *or* after being warned by defendant's girlfriend, Tiffany Blake, that defendant was playing too rough and could injure Reginald. Blake's warning affected the means rea required for the crime of assault.

This Court noted in its opinion (*People v. Wyatt, supra*, 48 Cal.4th at pp. 781-782) that in his first recorded interview with the Oakland police, defendant explained that he got up on Sunday morning and started wrestling and playing with Reginald. Defendant picked Reginald up and threw him on the bed, and "chopped" his back with both hands. He held Reginald up and pressed the boy's stomach to his head, and then turned and flipped Reginald a distance of about four feet onto the bed. At one point, while Tiffany Blake, was still at home, defendant accidentally fell on top of Reginald while performing a move he called "comin' off the top rope." As defendant was

about to jump on the bed, Reginald rolled unexpectedly and defendant's hip came down on Reginald's stomach, along with most or all of defendant's body weight of 170 pounds. Reginald grunted as if the wind had been knocked out of him, but he did not cry and continued to smile and seemed fine. (*Id.* at pp. 781-782.)

Notably, when Blake later told defendant he was playing too rough with Reginald and could hurt him, defendant stopped. (*People v. Wyatt, supra*, 48 Cal.4th at p. 782.)

However, after Blake left for work, defendant resumed wrestling with Reginald for another 20 or 30 minutes. (*Id.* at p. 782.) Defendant told the police that during this period he might have hit his son harder because Blake was not there to interfere. Defendant "body-slammed" Reginald about four times, and used his fists to hit Reginald in the chest about 10 or 11 times. He did an "atomic elbow" to Reginald's head, hit him in the upper chest with his forearm about three times, and then hit him on the back. In addition, defendant held Reginald up by his neck, squeezed him between his legs, and twice did a "knee drop," in which he hit Reginald in the back with his knee. He also did "pretend" head butts and boxed with Reginald, and repeatedly did a "suplex," which involved grabbing Reginald and flipping him over defendant's body onto the bed. Defendant said he wanted his son to be more "active" and was trying to "toughen him up" because a kid cannot be "soft" to grow up in Oakland. (*Id.* at pp. 782-783.)

In the second interview of defendant, when the police asked what defendant was feeling when wrestling with Reginald, defendant said he was not feeling like himself or thinking about being rough, then clarified he was "stuck" on play-fighting with his son: "Like I just had a one-track mind. I was just stuck on toughening him up, playin' with Reggie, beatin' up Reggie ...

that's all that was stuck on there." (*People v. Wyatt, supra*, 48 Cal.4th at p. 783.) He further stated, "[M]y mind musta went blank, though, for me to really ... hit him hard enough ... to hurt him, and I not notice it. I wasn't payin' attention, and I wasn't thinkin'." In defendant's words, "I was hittin' him pretty hard" and "I wasn't doin' nothin' to not hit him no harder." As for why he did not heed Blake's warning about hurting Reginald, defendant admitted he was "[h]ard-headed" and "[s]tubborn" and "[d]idn't want a woman to be tellin' me how to raise my son." Although he had play-wrestled with Reginald before, this was the first time he "lost control." (*Id.* at p. 783.)

Defendant was not charged with continuing act or omission amounting to child abuse. (See *People v. Sanchez* (2001) 94 Cal.App.4th 622, 631.) Rather, he was charged with a criminal act that *caused* his son's death.

With respect to the crime prohibited by section 273ab, it is defined as follows: "Any person who, having the care or custody of a child who is under eight years of age, assaults the child by means of force that to a reasonable person would be likely to produce great bodily injury, resulting in the child's death, shall be punished by imprisonment in the state prison for 25 years to life." (Pen. Code, § 273ab, italics added.) The italicized language requires that in order to convict a defendant under section 273ab, the prosecution must prove not only that the defendant assaulted the child with the requisite degree of force, but also that the charged assault caused the child's death. (People v. Norman (2003) 109 Cal.App.4th 221, 231 ["the actual death of a child under age eight is an element of the crime" under section 273ab (italics in original)]; People v. Preller (1997) 54 Cal.App.4th 93, 96-98 [section 273ab requires degree of force that reasonable person would know was likely to result in great bodily injury, and requires that death of child result from such force]; see also CALJIC No. 9.36.5 [elements of section 273ab include death of child resulting

#### from assault].)

To establish an assault, the prosecution had to prove that defendant "acted with awareness of facts that would lead a reasonable person to realize that great bodily injury would directly, naturally, and probably result from his act." (*People v. Wyatt, supra*, 48 Cal.4th at p. 781, citing *People v. Williams* (2001) 26 Cal.4th 779, 788.)

Here, reasonable jurors could conclude that defendant did not have this requisite awareness before Blake's warnings that he was being too rough and could hurt Reginald. In every crime there must exist a union or joint operation of act and requisite mental state. (Pen. Code, § 20.)

The evidence here does not show a single discrete crime, with two possible ways in which it could have been committed. Rather, the evidence shows two distinct acts separated by a break when Blake told defendant to stop playing rough with Reginald. Each act is wholly distinguishable from the other. (See People v. Davis (2005) 36 Cal.4th 510, 561.) Indeed, under the evidence, some of the jurors could have found the "assault" causing death necessary for the section 273ab conviction based solely on defendant's wrestling with Reginald before Blake told defendant that he was playing too rough with his son and could hurt him. It was during that period of time that defendant jumped on the bed and landed on his son with full body force. This act alone could have been the cause of Reginald's death. On the other hand, other jurors could have found "assault" causing death based solely on defendant's wrestling moves after Blake's warnings, which put defendant on notice that his rough play could hurt Reginald. It was during this second and separate acts of wrestling that defendant allegedly lost control and hit Reginald harder while trying to toughen him up. Under these circumstances, juror unanimity instruction was required because the jury could have found defendant guilty of violating section 273ab without agreeing as to what act or acts constituted assault causing Reginald's death.

Furthermore, even if it is concluded that the various wrestling moves before and after Blake's warning fall within the "continuous course of conduct" exception to the unanimity instruction requirement, the evidence nevertheless shows a possible separate and distinct act that defendant fell on Reginald on the bed, thereby injuring him and causing his death. This distinct act was subject to a completely different defense than the wrestling moves. Whereas defendant denied hitting Reginald violently while play-wrestling with him, defendant claimed that he accidentally fell on Reginald while trying to jump on the bed to make it bounce.

Accordingly, there was evidence from which the jurors could find that defendant caused Reginald's death either by performing violent wrestling moves on his son, both before and after Blake's warnings, *or* falling on him while trying to jump on the bed. Indeed, there was evidence from which the jury could logically find that one act, but not another, amounted to "assault" which caused Reginald's death. The evidence showed and the parties argued that Reginald's fatal injuries had resulted from the wrestling *or* the jumping on the bed, not from both.

For the above reasons, the unanimity instruction was required because defendant's convictions could be based on two discrete acts. (See *People v. Dellinger* (1984) 163 Cal.App.3d 284, 289-302 [a unanimity instruction was required because the defendant was charged with first degree murder of a child, and the evidence showed that the child's death had been caused either by the ingestion of cocaine, or by blunt force trauma to the head, or both]; see also *People v. Espinoza* (1983) 140 Cal.App.3d 564, 567-569 [The prosecutor argued at trial that the defendant could be convicted of assault with a deadly

weapon based either on the defendant's own use of a knife, or on his confederate's use of a rifle during the same robbery. The appellate court held that a unanimity instruction should have been given, because "the physical acts involving the knife were not identical to those with the gun," so the jury could have found the defendant guilty without agreeing as to what act or acts constituted assault with a deadly weapon.].)

The error violated defendant's constitutional right to a unanimous jury verdict (*People v. Nye* (1965) 63 Cal.2d 166, 173; *People v. Morse* (1964) 60 Cal.2d 631, 656-657; Cal. Const., art. I, § 16), and may have lessened the prosecution's burden of proof on the act element of the offense (*People v. Deletto* (1983) 147 Cal.App.3d 458, 473).

Review should therefore be granted.

#### VI

DEFENDANT WAS DENIED HIS RIGHT TO EFFECTIVE ASSISTANCE OF TRIAL COUNSEL, AS GUARANTEED BY THE SIXTH AMENDMENT TO THE UNITED STATES CONSTITUTION AND CALIFORNIA CONSTITUTION, THROUGH HIS TRIAL COUNSEL'S FAILURE TO REQUEST AND/OR OBJECT TO SEVERAL CRUCIAL JURY INSTRUCTIONS.

Defendant had the right to effective assistance of trial counsel under the Sixth Amendment to the United States Constitution and article I, section 15 of the California Constitution. (*Strickland v. Washington* (1984) 466 U.S. 668; *People v. Ledesma* (1987) 43 Cal.3d 171; U.S. Const., 6th & 14th Amends.; Cal. Const., art. I, § 15.)

Here, in spite of his duty to carefully prepare and request all necessary jury instructions (*People v. Sedeno* (1974) 10 Cal.3d 703, 717, fn. 7; *People* 

- v. Whitehurst (1992) 9 Cal.App.4th 1045, 1051, fn. 2), defense counsel failed to:
- (1) Object to the given standard CALCRIM No. 820 instruction because that instruction omits an element of Penal Code section 273ab, namely that the assault that leads to the child's death be by means of force "that to a reasonable person" would be likely to produce great bodily injury. (Argument II, *ante*);
- (2) Request a jury instruction on involuntary manslaughter as a lesser included offense of Penal Code section 273ab (Argument III, *ante*);
- (3) Request a jury instruction that would expressly tell the jury that criminal negligence cannot support an assault conviction and that the jury could not find an assault based solely on establishment of an injury (Argument IV, *ante*); and
  - (4) Request an instruction on jury unanimity (Argument V, ante).

Defense counsel's omissions deprived defendant of his right to a fair trial under the federal and state Constitutions. (*Montana v. Eglehoff* (1996) 518 U.S. 37, 43 [failure to properly instruct the jury may deprive defendant of a fair trial]; *Medina v. California* (1992) 505 U.S. 437, 446 [same]; *People v. Hogan* (1981) 31 Cal.3d 815, 849 [jury instructions and jury deliberations are critical stages of every criminal case].)

Review should therefore be granted.

DEFENDANT'S SENTENCE OF 25 YEARS TO LIFE FOR THE UNINTENTIONAL HOMICIDE UNDER PENAL CODE SECTION 273AB VIOLATES THE FEDERAL AND STATE CONSTITUTIONAL PROSCRIPTION AGAINST CRUEL AND UNUSUAL PUNISHMENT.

Defendant argued on appeal that his 25 years to life sentence under Penal Code section 273ab violates the constitutional proscription against cruel and unusual punishment. Relying on *People v. Norman* (2003) 109 Cal.App.4th 221 and *People v. Lewis* (2004) 120 Cal.App.4th 837, the Court of Appeal rejected the argument, concluding the punishment was not disproportionate to the crime. (Appendix "A" at p. 29.) The Court of Appeal erred, requiring review so that this Court can address the important question of law presented by defendant's case. (Cal. Rules of Court, rule 8.500(b)(1).)

There is no question the Legislature may create a new crime of criminal homicide and that child homicide, as defined in section 273ab, is an "assault-homicide" crime. (*People v. Norman, supra*, 109 Cal.App.4th at 231; *People v. Malfavon* (2002) 102 Cal.App.4th 727, 736, 738, 740.)

If the assault to a reasonable person is likely to cause great bodily injury and causes death, the punishment of 25-years-to-life is imposed regardless of the actual nature of the assault. If the assault was done with express malice aforethought and with deliberation and premeditation, i.e. first degree murder, the punishment is 25-years to life – the same as first degree murder. If the assault was done with either express or implied malice, i.e. second degree murder, the punishment is identical to first degree murder (*People v. Norman*, *supra*, 109 Cal.App.4th at 231; *People v. Malfavon*, *supra*, 102 Cal.App.4th at p. 731), even though punishment for second degree murder is 15-years to

life. If no malice was involved in the assault, i.e., manslaughter (either voluntary or involuntary manslaughter), the punishment is still identical to first degree murder, even though punishment for manslaughter is a fixed determinate term which does not event begin to approach the severity of either a 25-year-to-life or 15-year-to life term of imprisonment.

The identical punishment that is equivalent to first degree murder punishment for different grades of criminal child homicide is cruel and/or unusual punishment when different punishments attach to different grades of criminal homicide, i.e. first degree murder, second degree murder, voluntary manslaughter and involuntary manslaughter.

Based on the conviction of involuntary manslaughter, a lesser included offense of murder, it appears the jury convicted defendant of criminal-negligence child-homicide. To punish defendant as if the jury had found that he had committed first degree murder or murder-child-homicide under these circumstances, after the jury expressly concluded defendant did not act with either express or express malice *and* the trial court took the issue of first degree murder from the jury without objection from the prosecutor, is shocking and constitutes cruel and/or unusual punishment under the federal and state constitutions. (U.S. Const., 8th Amend.; Cal. Const., art. I, § 17.)

On its face, and as applied to defendant, the 25-year-to-life punishment is cruel and/or unusual punishment. The disparity in punishment is reflected by the 3-year term imposed for involuntary manslaughter. While courts have attempted to justify 25-year-to-life punishment based on the status of the defendant (caregiver) and victim (child under age eight) (*People v. Lewis*, *supra*, 120 Cal.App.4th at p. 856; *People v. Norman*, *supra*, 109 Cal.App.4th at p. 232), status alone is insufficient to justify the disparity in punishment. In this case, a loving father negligently killed his 14 month-old-son. His

punishment is 25-years to life, the same punishment that would have been handed down to a stranger who killed Reginald with express malice and premeditation and deliberation or a parent who killed his or her child with express malice and premeditation and deliberation. The 25-year-to-life punishment imposed on defendant is absurd and it shocks the conscience of a civilized society.

Review should therefore be granted.

# **CONCLUSION**

For the above reasons this Court should deny the People's petition for review. However, if this Court grants review on the issue brought up by the People, this Court should also grant review to address other important questions of law presented by his case, as set forth in this answer. (Cal. Rules of Court, rules 8.500(a)(2), 8.500(b)(1).)

Dated: January 19, 2011

Respectfully submitted,

Waldemar D. Halka

Attorney for Defendant and Appellant Reginald Wyatt

# **CERTIFICATE OF LENGTH**

I, Waldemar D. Halka, counsel for petitioner, hereby certify pursuant to the California Rules of Court, that the word count for this document is 8,250 words. (Cal. Rules of Court, rule 8.504(d)(1).) This document was prepared in Corel WordPerfect version 11, and this is the word count generated by the program for this document.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed, at San Diego, California, on January 19, 2011.

Waldemar D. Halka

Attorney for Defendant and Appellant Reginald Wyatt

# APPENDIX "A"

# **Unpublished Opinion of the Court of Appeal**



Filed 12/9/10 On remand from Supreme Court

# NOT TO BE PUBLISHED IN OFFICIAL REPORTS

California Rules of Court, rule 8.1115(a), prohibits courts and parties from citing or relying on opinions not certified for publication or ordered published, except as specified by rule 8.1115(b). This opinion has not been certified for publication or ordered published for purposes of rule 8.1115.

# IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA

FIRST APPELLATE DISTRICT

**DIVISION TWO** 

DEC - 9 2010

Diana Herbert, Clark

Deputy Clark

THE PEOPLE,

Plaintiff and Respondent,

v.

REGINALD WYATT,

Defendant and Appellant.

A114612

(Alameda County Super. Ct. No. C147107)

## I. INTRODUCTION

After a jury trial, Reginald Wyatt (appellant) was convicted of involuntary manslaughter and assault on a child causing death. On appeal, he contends (1) the trial court improperly limited his cross-examination of a police officer during a hearing on the voluntariness of appellant's statements to officers; (2) the trial court failed to instruct sua sponte on the requirement of jury unanimity as to both counts; (3) the trial court omitted an essential element of the offense in its instruction on assault on a child causing death; (4) the trial court failed to instruct sua sponte on assault as a necessarily included offense of assault on a child causing death; (5) the trial court failed to instruct sua sponte on involuntary manslaughter as a necessarily included offense of assault on a child causing death; (6) the trial court failed to instruct the jury that criminal negligence could never support an assault conviction and that injury alone is not sufficient to establish an assault; (7) the evidence was insufficient to support the conviction for assault on a child causing death; (8) the evidence was insufficient to establish the corpus delicti for either offense;

(9) California's corpus delicti rule violates due process; (10) the jury instructions directed guilty verdicts; (11) appellant was denied his right to effective assistance of counsel; and (12) the sentence of 25 years to life constitutes cruel and/or unusual punishment.

We earlier found that the evidence was insufficient to support the conviction for assault on a child causing death, and reversed that conviction. We also rejected defendant's contentions that the trial court erred in limiting cross-examination of police officers during a *Miranda*<sup>1</sup> hearing, that the court failed to, sua sponte, instruct the jury on the need for unanimity with regard to the both the charged offenses, that the evidence was insufficient to establish the corpus delicti for either offense, that California's corpus delicti rule violates due process, and that the jury instructions in this case directed guilty verdicts. In *People v. Wyatt* (2010) 48 Cal.4th 776, 780, 786 (*Wyatt*), the California Supreme Court reversed our judgment to the extent that we found insufficient evidence to support defendant's conviction for assault on a child causing death and remanded the matter to us.

We now address the remaining issues on appeal. Because we conclude that the trial court erred when it failed to instruct, sua sponte, on assault as a necessarily included offense of assault on a child causing death, we address only those issues germane to a possible retrial, namely, that the trial court omitted an essential element of the offense in its instruction on assault on a child causing death; that the trial court failed to instruct sua sponte on involuntary manslaughter as a necessarily included offense of assault on a child causing death; and that the trial court failed to instruct the jury that criminal negligence could never support an assault conviction and that injury alone is not sufficient to establish an assault. We also consider and reject defendant's contention that the sentence of 25 years to life constitutes cruel and/or unusual punishment.

<sup>&</sup>lt;sup>1</sup> Miranda v. Arizona (1966) 384 U.S.. 436 (Miranda).

# II. FACTUAL AND PROCEDURAL BACKGROUND

Appellant was charged by information with murder (Pen. Code, § 187, subd. (a), count 1),<sup>2</sup> and assault on a child causing death (§ 273ab, count 2). The information further alleged, as to both counts, that appellant had personally inflicted great bodily injury on the victim, within the meaning of section 1203.075. The information also alleged that appellant had suffered a prior felony conviction.

During trial, the court granted appellant's motion, under section 1118.1, for judgment of acquittal as to first degree murder in count 1. With respect to count 1, the jury found appellant guilty of the lesser included offense of involuntary manslaughter. With respect to count 2, the jury found appellant guilty of the charged offense of assault on a child causing death. On its own motion, the trial court struck the great bodily injury and prior conviction allegations, pursuant to section 1385.

On July 6, 2006, the trial court sentenced appellant to 25 years to life on count 2 and to the middle term of three years on count 1, stayed pursuant to section 654.

On July 20, 2006, appellant filed a notice of appeal.

#### **Prosecution Case**

Charrikka Harris, mother of Reginald Wyatt Jr. (Reginald), met appellant in March 2001. They began a physical relationship, although Harris already had a boyfriend. Harris found out she was pregnant in July 2001, by which time appellant had another girlfriend. At first appellant seemed all right with the pregnancy, but shortly before Reginald was born, he said he did not think the baby was his and would not assume responsibility until he found out that it was his baby. After Reginald was born, appellant refused to sign his birth certificate because "it wasn't his baby." He also refused to take a paternity test or to provide any financial support.

Subsequently, appellant and Harris agreed to go on the Maury Povich Show, which was doing a show about paternity. Appellant took a paternity test before being flown to New York for the show; he and Harris were also given spending money. Povich

<sup>&</sup>lt;sup>2</sup> All further statutory references are to the Penal Code unless otherwise indicated.

announced on the show that the paternity testing showed that appellant was Reginald's father. After they returned to Oakland, appellant's attitude changed. For about two weeks, he would come to Harris's house to feed and play with Reginald. Then, he and Harris got into an argument about appellant's girlfriend and he stopped coming over.

Appellant still refused to contribute financially, and Harris went to court to try to get appellant to help support Reginald and spend time with him. Appellant then sought a restraining order against Harris. The court referred them to a mediator. The court eventually ordered visitation for appellant for five hours every Saturday. Appellant was inconsistent in his visits. Appellant was also ordered to pay \$50 per week in child support, which he did.

After Reginald's first birthday, Harris agreed to let appellant take Reginald for overnight visits. After the first overnight visit, Harris smelled marijuana on Reginald's sweater and also saw what appeared to be a burn on the back of his neck. She called the police. A paramedic looked at the mark and said it was "'an old scratch.'" Another time, she found a lump with a scab on it on Reginald's chest. She took him to the hospital.

On Saturday, May 17, 2003, after agreeing that appellant could take Reginald for the weekend, Harris met appellant and he took Reginald with the plan that Harris would pick Reginald up the next day. Appellant had asked a few days earlier if he could take custody of Reginald and whether Harris would let Reginald move in with appellant and his girlfriend. Harris said she would think about it. Reginald was then 14 months old.

Tiffany Blake was appellant's girlfriend. They lived together in Oakland and had been together since 2002. Their daughter, Valerie, was born in February 2003. On Saturday, May 17, 2003, Reginald came to spend the night with appellant, Blake, and Valerie in their apartment. It was about the third time he had spent the night with them. Reginald slept on a pallet—a makeshift bed on the floor with a comforter, blankets, and a pillow—at the side of the bed. On Sunday morning, May 18, Blake got up at around 7:00 a.m. to get ready to go to work. It was her first day back at her job after a maternity leave and she had to be at work by 10:00 a.m.

Blake left the apartment at about 9:00 a.m. to catch the bus to work. Before that, she saw appellant playing with Reginald. He was lifting Reginald up in the air over his head, spinning him around, and bouncing him down onto the bed. Reginald had a blank look on his face and Blake said to appellant, "Maybe you shouldn't do that. Maybe he doesn't like it. Maybe he's not having fun." After that, she saw Reginald sitting and watching television until she left for work.

At about 10:00 a.m., appellant called Harris and left a message that Reginald had had an asthma attack and needed his asthma machine. He sounded nervous. When appellant called back, Harris answered the phone. Appellant said Reginald could not breathe; he also said an ambulance and the police were there. Harris hung up the phone and rushed to Children's Hospital in Oakland, where she assumed Reginald would be taken. Appellant also called Blake at work between 11:00 a.m. and 12:00 p.m. Appellant told her that Reginald was not breathing and he was waiting for an ambulance. He called her back 20 to 30 minutes later on her cell phone. He was crying and said Reginald had died.<sup>3</sup>

At about 10:45 a.m., Douglas Curtis, who lived in appellant's apartment building, heard a knock at his door and saw a person there holding a baby in his arms. Another baby was sitting on the floor outside. The man said, "'Would you please dial 911? My baby is not breathing.'" The man, who looked scared, said the baby had asthma and that he had tried to call 911 but could not get through. So Curtis called 911 and, in about five or ten minutes, an ambulance and paramedics arrived.

When paramedics arrived, Reginald was lying on the sidewalk and a firefighter was administering C.P.R. Reginald was not breathing and there was no pulse. An endotracheal tube was placed in his mouth and other efforts to revive him were made, but the efforts were not successful. The paramedics then transported him to the hospital.

Oakland Police Officer Kaizer Albino obtained a statement from appellant while paramedics were still treating Reginald on the sidewalk. Appellant "was quite emotional.

<sup>&</sup>lt;sup>3</sup> Blake testified that she still visited appellant at jail and still loved him.

He was upset. His attention was focused on his son. He was not all there, so he wasn't responding to my questions." Therefore, Albino suggested they go up to appellant's apartment, which they did. In the statement obtained from appellant, appellant said he was playing with his two children that morning, after which he gave his son a cup of milk and put him down on the floor. Appellant then lay on the bed with his daughter and fell asleep. When he woke up, appellant noticed that Reginald was not breathing and had green fluid coming from his nose.

At the hospital, when doctors could not revive him, Reginald was pronounced dead. Other than a little scratch on his chin, the treating doctor saw no signs of injury or trauma on Reginald's body. Sergeant James Rullamas initially believed it was a SIDS death and asked appellant to fill out a form for the coroner's office. The form contained a question about a history of fall or accident, and appellant said Reginald fell out of his arms as he was trying to get out the door to get help. Appellant said there were no other falls or accidents.

The next day, Monday, May 19, 2003, appellant, his brother Anthony, Harris's sister, and a friend were at Harris's house when the coroner called and told Harris that the autopsy results were in and that Reginald had broken ribs, a severed liver and spleen, and had died from blunt trauma. He also said officers were en route to "pick up" appellant. Harris hung up the phone and said to appellant, "[t]hey're going to arrest you." Appellant and his brother then drove to the Oakland Police Department.<sup>4</sup>

On that Monday morning, after he learned the results of the autopsy, Sergeant Rullamas asked officers to prepare an arrest warrant and to arrest appellant for murder. Before any arrest was made, Rullamas learned that appellant had come to the police station with his brother, Oakland Police Officer Anthony Caldwell. Sergeants Rullamas and Nolan interviewed appellant after reading him his *Miranda* rights. In accordance with normal procedures, they interviewed appellant before taking a tape-recorded

<sup>&</sup>lt;sup>4</sup> After appellant was arrested, Harris visited him three days a week in jail for some time. Her family stopped speaking to her because she was in contact with him. She did not believe appellant killed Reginald "on purpose."

statement. Rullamas acknowledged that it was a difficult interview because appellant's brother was an Oakland police officer whose work Rullamas respected. However, harsh tactics were not necessary with appellant. It was "a very, very soft interview" since appellant "responded to kindness," which is "fairly unique."

Two tape-recorded interviews were made and were played for the jury during trial. In the first tape-recorded interview, which began at 6:14 p.m., appellant said that, after he got up on Sunday morning, he was wrestling and playing with Reginald. He was lifting him up and dropping him on the bed. Appellant described an accident that occurred while Blake was still home. Appellant was doing a move called "comin' off the top rope." As he jumped on the bed, Reginald rolled unexpectedly and appellant's hip came down on his stomach with most or all of appellant's body weight of 170 pounds. Reginald grunted like the wind had been knocked out of him. Blake then said he was playing too rough with Reginald and could hurt him, so he stopped. Reginald did not cry during any of this. He was laughing and then, after appellant fell on him, he still had a smile on his face.

After Blake left for work, appellant began playing with Reginald again. They played for 20 or 30 more minutes. He might have hit Reginald harder at that point in their play, since Blake was gone. He continued wrestling with Reginald, except he did not "come off the top rope" since he had jumped on him earlier. Appellant body slammed Reginald about four times, hit Reginald in the chest with his fist about 10 or 11 times, did the "atomic elbow" to his head, hit him in the upper chest with his forearm about three times, and then hit him in the back. Appellant also held Reginald around his neck while he had him up in the air, squeezed him between his legs, hit Reginald in the back twice with his knee (the knee drop), and did the body slam and pretend head butts. He boxed with Reginald and did the supplex many times, which involved flipping Reginald over his body onto the bed; that move made Reginald laugh every time. Appellant did not think he was hurting Reginald because he was playing with him.

When Rullamas had asked appellant at the hospital the previous day if there was any history of fall or accident, appellant did not tell him about the wrestling or falling on Reginald because he was just playing with him and "didn't think that had anything to do with anything." He was not trying to hide anything; he just did not think that was the cause.

Sergeant Nolan noted that Sergeant Rullamas had earlier talked about every man wanting his son to be kind of tough, to be able to take it and be a man, to which appellant responded, "[H]ere my son . . . he's not movin' around. I just wanted him to move around . . . and be active . . . . [¶] All I was tryin' to . . . just kinda toughen him up. Because this . . . it's hard out here. Y'all know how many people get killed out here, too . . . ."

When Nolan asked if he or Rullamas had made any threats or promises to appellant, appellant responded in the negative. When Nolan asked, "We treated you pretty nice?" appellant responded, "Extremely."

The interview concluded at 7:16 p.m. Rullamas and Nolan left the interview room and went over appellant's statement. Much of what appellant said did not make sense to Rullamas and he thought "there had to be some kind of anger in there, some kind of punishment, or something in there, in my mind, and I wanted to ask him about that." At 8:00 p.m., they returned to the room to discuss this with appellant. Appellant said "he was trying to toughen [Reginald] up a little bit, but that none of it was out of anger." Appellant also said that it was not an attempt to discipline his son, and that his form of discipline was just to take toys away from him. Nor did it have anything to do with any frustration he was feeling.

The officers then left the room again and called the district attorney's "call-out team." A representative from the district attorney's office came to the police station, along with her inspector, after 9:00 p.m. After Rullamas briefed them on the case and they listened to the tape recorded statement, the team wanted the officers to attempt to obtain additional information in three areas: (1) why was the child with appellant outside of the hours prescribed by the court order; (2) how many times in the past had Reginald been at appellant's apartment; and (3) what was Tiffany Blake's role in raising the child.

Therefore, the officers returned to the interview room and asked appellant additional questions. Regarding the court order for visitation, appellant said he and Harris had made plans for Reginald to start spending more time with him and he wanted Reginald to get used to living with him. He also said that Reginald had spent the night at his apartment six or seven times and that Blake helped with Reginald's care.

At 11:23 p.m., Rullamas and Nolan began a second taped interview with appellant. Appellant said he was not really thinking about anything when he was wrestling with Reginald; his mind was going blank. It was "[l]ike I just had a one-track mind. I was just stuck on toughening him up, playin' with Reggie, beatin' up Reggie," by which he meant "play fighting with him." When appellant said his mind went blank, he meant that "my mind musta went blank, though, for me to really . . . hit him hard enough . . . to hurt him, and I not notice it. I wasn't payin' attention, and I wasn't thinkin' . . . . [¶] . . . But then . . . came to a point where it got more serious than that, and I didn't notice and I wasn't thinkin' . . . that I can hurt him. I wasn't thinkin'. [¶] . . . [¶] [It got more serious] because he was hit too hard. He was hit too hard, and I wasn't . . . doin' nothin' to, you know, not hit him no harder." When asked how hard he was hitting Reginald, appellant said, "I was hittin' him pretty hard."

Appellant said he did not listen when Blake told him to stop being so rough because he was "[h]ard-headed. Stubborn. Stuck in my ways. Didn't want a woman to be tellin' me how to raise my son." Appellant said he had wrestled with Reginald before, but this was the first time he wrestled with him "like this," "[t]o this point . . . where I was outta control." Appellant thought he lost control at the time he started slamming Reginald on the bed. He said, "14 months old. Just a little baby. Shouldn'ta been playin' wit' 'im like that." When asked what made it turn from play wrestling to real wrestling, appellant said, "Just wasn't thinkin' at all. Just wasn't thinkin'."

Appellant said after he landed on Reginald, Reginald lay down and appellant said, "'Nah, it ain't time to go to sleep. Come on.' And we just kep' on playin'." Appellant also acknowledged that he felt pressures related to money, getting his barber's license,

"[j]ust the every day hustle and bustle . . . just tryin' to make it. Tryin' to stay out the way." This interview ended at 11:39 p.m. and appellant was taken to jail.

Rullamas interviewed Tiffany Blake on May 21, 2003. The jury listened to Blake's tape-recorded interview during trial. During the interview, Blake said appellant started playing with Reginald on the Sunday morning. He would lift Reginald up in the air, swing him around, and put him on the bed. Reginald was crying and so Blake told appellant not to play with him like that, that she thought he was playing too rough. She thought maybe it scared Reginald to be up in the air.

Blake said appellant had never done anything that caused her concern regarding his ability to care for his son or their daughter. He had never done anything reckless or dangerous and was a good father. They were trying to get custody of Reginald and were working on getting themselves together so they could have both children and support them financially. They were having Reginald stay over on the weekends so he could get used to living with them.

Dr. David Levin, a pathologist, performed an autopsy on Reginald's body on May 19, 2003. Reginald, who was 31 inches tall and weighed 26 pounds, died of shock and hemorrhage due to blunt force trauma to the chest and abdomen. During an external examination of the body, Dr. Levin found an abrasion on the chin and two abrasions on the neck. There was a laceration of the frenulum of the upper lip and a contusion on the chest.

Internally, Dr. Levin found an internal contusion to the forehead, hemorrhage on the surface of the heart, on the tissue behind the heart, and at the hilus of the left lung. There were multiple lacerations to the liver, which caused internal bleeding of 200 milliliters of blood into the abdominal cavity. There was also hemorrhage behind the abdominal cavity and hemorrhage in the mesentery of the small and large intestines. There were acute fractures of the fifth and sixth ribs on both the right and left side of the back of the body. There was also mild cerebral swelling.

Reginald's injuries were consistent with blunt force trauma to his back, abdomen, chest, and head. Some of the injuries could have been caused by a person who weighed

170 pounds jumping up and landing with his hip onto the midsection of the child. They also could have been caused by multiple instances of blunt force trauma. There would not necessarily be bruising, especially in softer areas like the abdomen. The laceration to the frenulum could have been caused by blunt force to the face or something being jammed into the mouth. The cerebral swelling could have been caused by blunt force trauma to the head, by changes occurring during the dying process, or by administration of a large amount of fluids by medical personnel in an attempt to regain blood pressure. The contusion on the chest could have been caused by someone attempting to administer CPR, but CPR would not have caused the fractured ribs in the back of the body.

A child who suffered these injuries would not die instantaneously and Dr. Levin would expect that the child would cry. Death could occur in less than an hour up to many hours.

Dr. James Crawford, medical director of the Center for Child Protection at Children's Hospital in Oakland, testified as an expert in pediatrics, in the medical evaluation of child abuse. Dr. Crawford reviewed Reginald's autopsy protocol. Reginald's injuries were "at the end of the bell curve," that is, at a level of injury that is uncommon in a one-year old. The types of injuries he suffered, including the multiple lacerations to the liver and the multiple sites of internal bleeding, "are seen only in the most serious events," such as children who are in car crashes or hit by motor vehicles.

The likelihood that Reginald's ribs were broken during CPR was "extraordinarily small." The fractures could conceivably have been caused by blunt force trauma to the child's back, but would have to have been "something that would have been quite violent, quite out of the ordinary," given how uncommon rib fractures are in children. Unless he was unconscious or had a profound neurological condition, a child would be expected to react to the types of injuries shown to have occurred here by crying and clearly demonstrating that he was in distress.

As to his opinion regarding how many times Reginald must have been hit in order to receive these injuries, Dr. Crawford believed there had to have been "at least multiple, and potentially many impacts." It is remotely possible that one extremely violent lateral

compression could have caused all of the significant injuries. However, it is more likely that the injuries were caused by more than one blow. Dr. Crawford explained, "[T]he fewer number of impacts that one is invoking, to explain it, the more violent those impacts have to be. So a single event would—it was, you know, to crush the child's body this way would have been an extraordinarily violent act, in order to cause all these injuries at the same time, as opposed to multiple lessers, but still dangerously violent acts, to different parts of the body." The level of violence would be equivalent to getting hit by a motor vehicle or being a passenger in a car crash.

# Defense Case

Appellant, who was 31 years old at the time of trial, testified on his own behalf. He lived in Winfield, Louisiana until he was 28 years old, at which time he moved to California. He initially lived with his brother and his stepmother in Oakland. His jobs in California included working at a bar, working at Kmart, and working at a mattress warehouse. He had prior convictions in Louisiana for battery on a police officer, possession of a weapon, and possession with intent to distribute cocaine.

When appellant met Charrikka Harris, he thought he was sterile because he had "slept with a lot of girls" and none of them got pregnant. When Harris got pregnant, he did not think the baby was his. Reginald was born on March 6, 2002. He went on the Maury Povich Show to find out if Reginald was his baby. Once he learned Reginald was his baby, he wanted to be with him. He saw Reginald almost daily for a couple of weeks, but then stopped coming by Harris's home very much and seldom saw his son, partly because he and Harris would always argue.

After appellant and Harris went to a mediator, he saw Reginald more often. When he and his girlfriend, Tiffany Blake, moved to Walnut Street in Oakland, in February 2003, he saw Reginald even more regularly because he now had a more stable residence. Reginald spent the weekend with appellant five or six times before Reginald's death. Appellant never struck Reginald except for one time when he slapped Reginald on the hand for playing with the steering wheel in the car. Appellant never had to discipline Reginald because he was a good baby and easy to care for.

On Saturday, May 17, 2003, Harris brought Reginald to appellant for a weekend visit. On Sunday morning, while Blake was getting dressed for work, appellant started playing with Reginald, swinging him up in the air and putting him on the bed. Blake told him he was playing too rough with Reginald, who was whining. After Blake left the apartment, appellant began playing with Reginald again, picking him up and tossing him on the bed. Reginald laughed while appellant did this. Appellant also put Reginald on the bed and jumped on it to make it shake, which he had done in the past.

Appellant never did any wrestling moves on his son. When he described to the police the wrestling moves he did on Reginald, it was all pretend wrestling he was talking about. He never struck Reginald hard, only pushed him while playing with him and doing "make-believe wrestling moves," such as off-the-top-rope, head butt, supplex, and an atomic elbow to the head. At one point, an accident occurred. Appellant had jumped in the air and was coming down on the bed to make it shake, when Reginald rolled toward him and appellant fell on Reginald, hitting Reginald in the back with his hip. It seemed like Reginald had the wind knocked out of him, like he could not get his breath. Then he started breathing again and appellant thought he was all right. Reginald did not cry. Other than falling on Reginald, appellant did not strike him with force or do anything harmful to him.

Appellant stopped playing after he fell on Reginald. He got Reginald some milk and sat him down on the floor on his pallet. Reginald took his milk, looked at the television, and then lay down. Appellant lay down on the bed with his daughter, Valerie, and drifted off to sleep. It was about 10:00 a.m. at that point.

When appellant woke up, he saw that Reginald was not on his pallet; he was on the floor. He tried to wake Reginald up, but he was not responsive. He was breathing faintly and appellant hit him on the back and opened his mouth in case something got stuck in there, and then tried to do CPR on him. He also called his stepmother and Harris, but neither one answered the phone. At first, he did not think to call 911 because in his hometown there was no 911. Then he tried to call 911, but could not get through. As he did CPR, some green matter came out of Reginald's nose and appellant panicked.

He picked up Reginald in one hand and Valerie in the other and started to leave the apartment, but stumbled over a diaper pail and dropped both children. Reginald's head hit the floor. He picked up both children and went to a neighbor's door, where he told the neighbor that his son was not breathing. The man said he would call 911, and the person on the line talked to appellant as he tried to do CPR again until the ambulance came.

While the paramedics were working on Reginald, a police officer asked appellant questions. Appellant did not tell the officer that he had been playing with Reginald and had fallen on top of him because appellant was focused on what was happening to his son and he also did not make a connection between falling on Reginald and his condition. While riding to the hospital, appellant learned that Reginald was dead.

Appellant spent the night at the home of his brother, Anthony Caldwell, where he only got a little bit of sleep. The next afternoon, appellant's brother drove them to Harris's house. Harris was there with her sister and one or two other people. The coroner's office called while appellant was there. Harris answered the phone; a short time later she said, "blunt trauma," and dropped the phone. She was crying and in a state of shock. As appellant tried to comfort her, Harris's sister came in and said someone had hit Reginald in the chest hard. No one accused appellant of killing Reginald, and appellant did not know what had caused Reginald's death. Appellant first learned during trial that his act of falling on Reginald could have caused his son's substantial injuries.

Caldwell suggested going to the police station because the police wanted to talk to appellant. They went to the police station and Caldwell spoke with Nolan and Rullamas who said that they were just going to ask appellant a few questions and would be through in a few hours. The officers told appellant, "'We'll take care of you,' "and also said after he answered the questions, they would let him go back home to his family. Caldwell told appellant to "cooperate with them in every way, that they [are] going to take care of you, that these [are] some good guys." Appellant did not think he needed a lawyer because the officers just wanted to talk to him. He did not realize they had already issued a warrant.

Appellant was tired from lack of sleep and his mind was in a complete daze. He told the officers that he had been playing with Reginald when he accidentally fell on his son. He also explained that he was play-wrestling with Reginald. As he described the various wrestling terms, he "just kind of took on the terms," saying, "'I body-slam him,' whatever." He thought the officers understood he was talking about play-wrestling. Then, when the officers said "it had to be [something] more [than just falling on Reginald], I feel like, well, in my mind, I start second-guessing myself, even though I knew what I was doing, I start second-guessing myself...so I start being like, well, maybe I did hit him harder than what I really thought I was...." His mind was "just shredded" with grief and appellant felt shame and guilt about what had happened. Then, given that the officers would not take him at his word, he thought maybe he was not remembering it clearly and maybe he had hit his son hard and had not realized it. He thought the officers had the facts, so he went along with what they said.

The officers did not start tape recording appellant's statement until they got him to say that he had hit his son hard while wrestling with him. Also before taping him, Sergeant Rullamas said something about every man wanting his son to be kind of tough, but appellant had only said that Reginald was good and sat still a lot, and appellant wanted him to be more active. Then, on tape, appellant said he wanted to toughen him up, by which he only meant make him more active.

After the first tape-recorded interview, the officers left the room, then came back and said "[t]his is not adding up. Something else had to happen." They also said, "[s]ometimes people lose control, and it's all right. You know, we're all human, and we make mistakes. You know, the D.A.s are having a hard time understanding this." The officers introduced a new theme of appellant's losing control and being angry when Reginald got hurt. Later that night, the officers took a second tape-recorded statement. With both statements, it seemed like everything was scripted, with the officers and appellant "[getting] the answers down" before making the recordings. Appellant explained that when he said on the second tape that his mind went blank and he lost control, he meant he had just been playing without thinking about anything and he put his

son in jeopardy by playing with him. By the end of the second interview, appellant had been convinced that he had blacked out, struck his son too hard, and killed him. In fact, appellant did not recall blacking out or hitting Reginald too hard. He was just tired and wanted to go home, and the officers would not accept his initial answers.

Anthony Caldwell testified that he is three years older than appellant. They have the same mother, but different fathers. Caldwell became a police officer in Oakland in 1999 and was an officer at the time of appellant's arrest. He and appellant grew up in a very segregated town in Louisiana where Black people knew to "stay in your place when authorities approach you for anything." Because appellant's mother worked at the school board and his uncle and brother played football, their family got more favorable treatment than other Black people. Caldwell had seen appellant interact with children and he was always fun, loving and playful; the kids loved him. He never saw appellant get angry or frustrated with young children.

Appellant was elated when he learned that Reginald was his son, and became more focused on barber college and obtaining his license. Caldwell never saw appellant express any frustration toward Reginald.

The day after Reginald died, Caldwell took appellant to Harris's house to make funeral arrangements. While they were there, the coroner called with the autopsy results. Harris started screaming, "'He beat my baby. He beat my baby.' " Caldwell called the police station and talked to Nolan, who said he needed to talk to appellant. Appellant told Caldwell to take him to the police station, which Caldwell did. Caldwell told appellant that he had nothing to hide and to just be truthful with the officers. Rullamas and Nolan said that they would take care of appellant and that he could call them when they finished the interview, in maybe two or three hours. When they said they would take care of appellant, Caldwell understood it to mean simply that they would treat him fairly. He believed he would be able to pick appellant up after the questioning, not because of anything the officers said, but because Reginald's death had so clearly been an accident. Appellant had told him that he had been playing with Reginald when he accidentally fell on him.

Patricia Street, appellant's mother, testified that appellant had been evaluated when he was in fourth grade and was classified as hyperactive. Appellant attended college briefly, but dropped out. Appellant was excited when he learned Reginald was his son.

Elayne Caldwell, appellant's stepmother,<sup>5</sup> testified that appellant lived with her for about two years starting in 2001 and sometimes took care of her granddaughter.

Appellant was always a considerate, kind, loving person. She saw appellant with Reginald on numerous occasions and appellant had nothing but love for his son, and wanted to have more time with him.

Lionell Johnson, appellant's uncle, testified that he helped raise appellant. He never knew him to have a violent temper or to do any act of violence toward a child. Appellant treated Johnson's children with love and they loved and respected him.

Dr. Paul Herrmann, a pathologist, testified as an expert in the field of pathology. He had reviewed Reginald's autopsy records and believed Reginald's injuries could have resulted from a single sharp blow to the back right side, such as from the weight of a 170-pound man falling on him. The injuries were not consistent with the child being beaten with fists because there was little bruising of the body. However, other forms of abuse, such as the child's abdomen being smashed onto one's knee would probably not leave a bruise because a knee is such a large, blunt object. If a heavy weight were dropped on the child when the child was on the floor, a large blunt object would not cause bruising, but would compress the body, with the force causing the ribs to break and the liver to be lacerated. It was equally probable that Reginald's major injuries were caused by a single blow as by multiple blows. Dr. Herrmann believed the injuries to Reginald's heart were likely due to the administration of CPR. The cause of the tear to Reginald's frenulum was as consistent with an endotracheal tube being placed in his mouth as with violent force.

<sup>&</sup>lt;sup>5</sup> In fact, Ms. Caldwell was stepmother to appellant's half-brothers, but she considered appellant her stepson too.

On cross-examination, Dr. Herrmann said he believed the chances of Reginald being injured by a person falling on him on a bed would be much less than if the child were on the floor. It would be much less common for such extreme injuries to occur if the child was on a bed when someone fell on him. However, "it's still a likelihood or a possibility." The injuries here would be excessive to what Dr. Herrmann would expect if someone fell sideways onto the child on a bed, as compared to someone "falling free" onto the child. He did not have an opinion as to whether Reginald was physically abused.

After receiving these severe injuries a child might be screaming from pain or might go into shock immediately and be absolutely still. Either way, Dr. Herrmann believed a caregiver would notice a difference in the child after such injuries were sustained. Reginald's death was not immediate; he bled to death. If he went into shock, it is possible that he lay down or appeared to be going to sleep.

#### Rebuttal

Rullamas testified on rebuttal that neither he nor anyone in his presence ever told appellant that he would be finished in a few hours; that he could go home afterwards because he needed to be with his family; or that, after he finished answering questions, he could go home. In fact, a warrant for appellant's arrest had already been issued and he was going to be arrested regardless of whether he talked to the officers. Rullamas never brought up the idea that appellant was trying to toughen up his son. Rather, appellant mentioned that his child was acting like a baby and appellant wanted to toughen him up because of the environment in Oakland. He never told appellant that he must have lost his temper or that the district attorney was having a hard time understanding how it was he lost control and that appellant should "'just say this so the D.A. can understand it better.'"

## III. DISCUSSION

# A. Prior Appellate Proceedings

In our original opinion in this matter, *People v. Wyatt* (Jan. 31, 2008, A114612) [nonpub. opn.], we reversed Wyatt's conviction on the ground that there was insufficient evidence to support the conviction for assault on a child causing death. In *Wyatt, supra*,

49 Cal.4th at page 778 our Supreme Court reversed concluding that we "misapplied the mens rea standard for assault."

The *Wyatt* court held that "a defendant may be guilty of an assault within the meaning of section 273ab if he acts with awareness of facts that would lead a reasonable person to realize that great bodily injury would directly, naturally, and probably result from his act. [Citation.] The defendant, however, need not know or be subjectively aware that his act is capable of causing great bodily injury. [Citation.] This means the requisite mens rea may be found even when the defendant honestly believes his act is not likely to result in such injury. [Citation.]" (*Wyatt, supra,* 48 Cal.4th at p. 781.)

The court went on to find that, based on its review of the record, "a rational jury could find beyond a reasonable doubt that Reginald [Wyatt's son], who was 14 months old, died at the hands of defendant, a caretaker who intentionally used force that a reasonable person would believe was likely to cause great bodily injury. [Citations.] First, defendant's own statements furnished substantial evidence that he intentionally acted to strike Reginald [citations]; by his own account, defendant was fully aware he was striking his son a number of times with his fist, forearm, knee, and elbow. Second, the physical evidence amply showed that Reginald suffered extensive injuries, including internal bleeding at multiple sites, multiple lacerations to the liver, acute rib fractures, and cerebral swelling. Third, expert testimony established that Reginald's injuries were likely caused by multiple impacts or instances of blunt force trauma, that blunt force trauma does not necessarily result in external bruising, especially in softer areas like the abdomen, and that Reginald's injuries were similar to the types of injuries seen only in the most serious events, such as when children are hit by cars or are in car crashes. Consequently, even though Reginald's body lacked external signs of significant trauma, the nature and extensiveness of his internal injuries provided sufficient evidence that defendant used an amount of force a reasonable person would believe was likely to result in great bodily injury on a young child. [Citations.] On this record, we have no trouble concluding that substantial evidence supports defendant's conviction of child abuse homicide." (Wyatt, supra, 48 Cal.4th at pp. 784-785.)

The *Wyatt* court returned this matter to us for further proceedings consistent with that opinion. We now address the remaining issues in this appeal.

# B. Sua Sponte Duty to Instruct on Lesser Included Offenses of Simple and Aggravated Assault

Appellant argues that the trial court erred in not instructing the jury on assault (§ 240) [simple assault] and aggravated assault (§ 245, subd. (a)(1) [assault by means of force likely to cause great bodily injury]) as lesser included offenses of section 273ab. We conclude that, although the trial court was not required to instruct the jury, sua sponte, on aggravated assault as a lesser included offense of section 273ab, it was required to instruct the jury on simple assault pursuant to section 240. Its failure to do so was prejudicial.

In *People v. Basuta* (2001) 94 Cal.App.4th 370, 392, the court held that both simple and aggravated assault are lesser included offenses of section 273ab. Therefore, if the record contains substantial evidence of these crimes, the trial court was required to instruct the jury on them. (*Ibid.*; see also *People v. Birks* (1998) 19 Cal.4th 108, 118.) The principles that govern our review of this issue are well settled. "In deciding whether there is substantial evidence of a lesser offense, courts should not evaluate the credibility of witnesses, a task for the jury." (*People v. Breverman* (1998) 19 Cal.4th 142, 162.) Instructions on lesser included offenses are required only if the evidence would justify a conviction of the lesser included offense. (*People v. Lopez* (1998) 19 Cal.4th 282, 287; *People v. Leach* (1985) 41 Cal.3d 92, 106

We consider the question of whether the record contains such evidence with regard to each of these offenses separately.

# 1. Simple Assault (§ 240)

Section 240 provides that "[a]n assault is an unlawful attempt, coupled with a present ability, to commit a violent injury on the person of another." CALJIC No. 9.00 sets out the elements of simple assault as follows: "In order to prove an assault, each of the following elements must be proved: [¶] 1. A person willfully [and unlawfully] committed an act which by its nature would probably and directly result in the application

of physical force on another person; [¶] 2. The person committing the act was aware of facts that would lead a reasonable person to realize that as a direct, natural and probable result of this act that physical force would be applied to another person; and [¶] 3. At the time the act was committed, the person committing the act had the present ability to apply physical force to the person of another." CALJIC No. 9.00 further provides that "The word 'willfully' means that the person committing the act did so intentionally. However, an assault does not require an intent to cause injury to another person, or an actual awareness of the risk that injury might occur to another person. To constitute an assault, it is not necessary that any actual injury be inflicted. However, if an injury is inflicted it may be considered in connection with other evidence in determining whether an assault was committed [and, if so, the nature of the assault]."

Simple assault does not, in contrast to sections 273ab and 245, involve a finding that the force involved would be likely to "produce great bodily injury." Rather, a jury could convict appellant of the lesser included offense of simple assault upon a finding that the force he inflicted on his son fell short of that which was likely to produce great bodily injury.

Appellant's own testimony and that of his medical expert, Dr. Paul Herrmann, provides substantial evidence of this lesser offense. Appellant testified that he did not perform any so-called "wrestling moves" on his son. Rather, when he described these moves to the police he was not describing actions he actually took, but "make-believe wrestling moves," such as "off-the-top-rope," "head butt," "supplex," and an "atomic elbow" to the head. Appellant denied striking his son hard. At most, he pushed him while playing with him.

Appellant testified that at one point while he was playing with his son he jumped in the air and, while he came down on the bed to make it shake, his son rolled toward him. Appellant fell on his son hard, and hit him in his back with his (appellant's) hip. It appeared to defendant that his son had the wind knocked out of him because he seemed unable to get his breath. However, when his son began breathing again, appellant

thought he had recovered. His son did not cry. Other than this, appellant testified that he did not strike his son with any force or do anything harmful to him.

Appellant testified that he stopped playing with his son after he fell on him. He got him some milk. His son took the milk, looked at the television and lay down. Appellant too fell asleep and it was not until he woke up that he realized his son was unresponsive and something was seriously wrong.

Appellant testified that the statements he made to the police regarding his conduct with his son made it clear that he was play wrestling with Reginald, not actually hurting him. At the time he made his recorded statement, he was tired from lack of sleep and in a daze. He was under the impression that the officers knew that the wrestling he was referring to was not real. However, when the officers said "it had to be [something] more [than just falling on Reginald], I feel like, well, in my mind, I start second-guessing myself, even though I knew what I was doing, I start second-guessing myself . . . so I start being like, well, maybe I did hit him harder than what I really thought I was . . . ." His mind was "just shredded" with grief and appellant felt shame and guilt about what had happened. Then, given that the officers would not take him at his word, he thought maybe he was not remembering it clearly and maybe he had hit his son hard and had not realized it. He thought the officers had the facts, so he went along with what they said.

Appellant's testimony regarding the cause of his son's injuries coming from the moment when he fell on him while trying to make the bed shake was corroborated by the testimony of Dr. Paul Herrmann, who testified as an expert in the field of pathology. Based on his review of Reginald's autopsy records, Dr. Herrmann opined Reginald's injuries could have resulted from a single sharp blow to the back right side, such as from the weight of a 170-pound man falling on him. He also testified that the injuries to Reginald were not consistent with the child being beaten with fists because there was little bruising of the body. However, other forms of abuse, such as the child's abdomen being smashed onto someone's knee would probably not leave a bruise because a knee is such a large, blunt object. If a heavy weight were dropped on the child when the child was on the floor, a large blunt object would not cause bruising, but would compress the

body, with the force causing the ribs to break and the liver to be lacerated. In sum, Dr. Herrmann testified that it was equally probable that Reginald's major injuries were caused by a single blow as by multiple blows.

With regard to Reginald's other injuries, Dr. Herrmann believed the injuries to Reginald's heart were likely due to the administration of CPR. The cause of the tear to Reginald's frenulum was as consistent with an endotracheal tube being placed in his mouth as with violent force. On cross-examination, Dr. Herrmann said he believed the chances of Reginald being injured by a person falling on him on a bed would be much less than if the child were on the floor. It would be much less common for such extreme injuries to occur if the child was on a bed when someone fell on him. However, "it's still a likelihood or a possibility." The injuries here would be excessive to what Dr. Herrmann would expect if someone fell sideways onto the child on a bed, as compared to someone "falling free" onto the child. He did not have an opinion as to whether Reginald was physically abused.

After receiving these severe injuries a child might be screaming from pain or might go into shock immediately and be absolutely still. Either way, Dr. Herrmann believed a caregiver would notice a difference in the child after such injuries were sustained. Reginald's death was not immediate; he bled to death. If he went into shock, it is possible that he lay down or appeared to be going to sleep.

This testimony, which we consider without evaluating the credibility of either appellant or Dr. Herrmann, is substantial enough to support a jury finding that appellant's actions fell short of those which a reasonable person might believe would lead to the application of force likely to "produce great bodily injury." The evidence is, however, enough to support a conviction under section 240. Appellant testified that, when he jumped on the bed to make it shake, he did not jump *on* Reginald and, therefore, did not apply force likely to produce great bodily injury. Rather, he jumped on the bed *next to* Reginald, and Reginald rolled under him as he was coming down on the bed. Dr. Herrmann's testimony provides evidence on which the jury could conclude that this act—rather than any of appellant's later actions—resulted in Reginald's death. If the jury

believed appellant, it could conclude that the actions he described were of "an act which by its nature would probably and directly result in the application of physical force on another person" and that appellant was "aware of facts that would lead a reasonable person to realize that as a direct, natural and probable result of this act that physical force would be applied to another person."

The People, however, argue that the prosecution's evidence proved child abuse homicide based on the aggravated assault of Reginald and, therefore, the trial court was not required to instruct on simple assault. This argument ignores the general rule that, in determining the sufficiency of the evidence to justify the giving of an instruction under a lesser included offense, the facts must be construed in a manner that is the most favorable to appellant. (*People v. Stewart* (2000) 77 Cal.App.4th 785, 795-796 (*Stewart*).) We look at the evidence's "bare legal sufficiency, not its weight." (*Breverman, supra,* 19 Cal.4th at p. 177.) It does not follow, as the People suggest, that a jury could not have found that appellant committed only a simple assault. And, while it is certainly the case that the appellant also argued that the jury could acquit him on the ground that his conduct was accidental and, therefore, could not constitute an assault, this does not negate the possibility that a jury would disagree with the "accident" theory, but also find that the evidence fell short of aggravated assault.

The trial court, therefore, should have instructed the jury on simple assault under section 240. Its failure to do so was prejudicial. (*People v. Watson* (1956) 46 Cal.2d 818.)

# 3. Aggravated Assault

In contrast to simple assault, the trial court was not required to instruct sua sponte on aggravated assault under section 245. As the People correctly point out, if the jury found that appellant committed an aggravated assault it would also, necessarily, find appellant guilty of child abuse homicide under section 273ab given that both offenses involve the same conduct: force likely to produce "great bodily injury." Thus, if the jury found that appellant had used such force, it would have also found that such force led to

Reginald's death and would have convicted appellant under section 273ab rather than section 245.<sup>6</sup>

# D. Omission of Element of Section 273ab

Appellant argues that the trial court erred in instructing the jury under CALCRIM No. 820 because that instruction omits an element of section 273ab, namely that the assault that leads to the child's death be by means of force "that to a reasonable person" would be likely to produce great bodily injury. Although we need not address this and the remaining issues regarding instructional error, given our conclusion that the trial court erred in failing to instruct the jury on simple assault, we do so in order to assist the parties in the event of a retrial.

The trial court instructed the jury as follows: "Now the defendant is charged in count two with killing a child under the age of eight by assaulting the child with force likely to produce great bodily injury[.] [¶] To prove that the defendant is guilty of this crime, the People must prove the following: [¶] One, that the defendant had the care or custody of the child who was under the age of eight; [¶]Two, he did an act that by its nature would directly and probably result in the application of force to the child; [¶] Three, he did that act willfully; [¶] Four, the force used was likely to produce great bodily injury; [¶] Five, when he acted, he was aware of facts that would lead a reasonable person to realize that his act, by its nature, would directly and probably result in great bodily injury to the child; [¶] And six, when he acted, he had the present ability to apply force likely to produce great bodily injury to the child; [¶] And seven, his act caused the child's death." ~(8 RT 1516-1518)~ The court then defined a number of the terms contained in this instruction. It told the jury, "Someone commits an act willfully when he does it willingly or on purpose. It's not required that he intend to break the law or hurt someone else, or gain any kind of advantage. [¶] Great bodily injury, as I said before, means significant or substantial physical injury. It's an injury that is greater than minor

<sup>&</sup>lt;sup>6</sup> For this reason, we reject defendant's contention that the trial court erred in not providing the jury with a verdict form for aggravated assault.

or moderate harm. [¶] An act causes death if:[¶] The death was the natural and probable consequence of the act; [¶] The act was a direct and substantial factor in causing the death; [¶] And the death wouldn't have happened without the act." ~(Ibid)~ Finally, the court explained that "The natural and probable consequence is one that a reasonable person would know is likely to happen if nothing unusual intervenes. In deciding whether a consequence is natural and probable, you should consider all of the circumstances established by the evidence. [¶] And a substantial factor, as I've used that term, is more than a trivial or remote factor. However, it doesn't need to be the only factor that caused death."

Appellant's argument that the court did not instruct the jury on the necessity of finding that the force used was such that a reasonable person would find it likely to produce great bodily injury does not hold up to scrutiny. The trial court certainly explained to the jury that child abuse homicide involves force that "was likely to produce great bodily injury" and that the jury could find appellant guilty of this count if it found that he "was aware of facts that would lead a reasonable person to realize that his act, by its nature would directly and probably result in great bodily injury to the child." The court also told the jury that the child's death must be the natural and probable consequence of the appellant's act, and that a "natural and probable consequence is one that a reasonable person would know is likely to happen if nothing unusual intervenes." In sum, the trial court correctly instructed the jury, under CALCRIM No. 820, that the force used must have appeared likely to a reasonable person to result in great bodily injury.

# E. Sua Sponte Duty to Instruct on Involuntary Manslaughter as Necessarily Included Offense

Wyatt contends that the trial court erred in failing to instruct the jury, sua sponte, on involuntary manslaughter as a lesser-included offense of section 273ab. We disagree.

The issue of whether involuntary manslaughter is a lesser included offense of section 273ab was addressed in *Orlina v. Superior Court* (1999) 73 Cal.App.4th 258 (*Orlina*). In that case, the court found that involuntary manslaughter is a lesser *related*,

rather than lesser-included, offense of section 273ab. (Id. at p. 262.) In reaching this conclusion, the Orlina court explained, "[s]ection 273ab provides: '[a]ny person who, having the care or custody of a child who is under eight years of age, assaults the child by means of force that to a reasonable person would be likely to produce great bodily injury, resulting in the child's death, shall be punished by imprisonment in state prison for 25 years to life. . . . 'Section 192, subdivision (b) defines involuntary manslaughter as 'the unlawful killing of a human being without malice' where it occurs 'in the commission of an unlawful act, not amounting to felony; or in the commission of a lawful act which might produce death, in an unlawful manner, or without due caution and circumspection....' [¶] One of the elements of section 273ab is an assault be committed 'by means of force that to a reasonable person would be likely to produce great bodily injury.' The corresponding element for involuntary manslaughter is that the killing occur 'in the commission of an unlawful act, not amounting to felony' or, in the alternative, 'in the commission of a lawful act which might produce death, in an unlawful manner, or without due caution and circumspection.' (§ 192, subd. (b).) An assault is an unlawful act which does not amount to a felony. (§§ 241, 240, subd. (a).) Therefore, the first alternative for involuntary manslaughter under section 192, subdivision (b) corresponds to the element specified in section 273ab. [¶] However, when we compare the second alternative for involuntary manslaughter with section 273ab, we find a distinction between 'force that to a reasonable person would be likely to produce great bodily injury' and an 'act which might produce death . . . without due caution.' Section 273ab is predicated on a probability of great bodily injury to the victim (see People v. Preller (1997) 54 Cal.App.4th 93, 98), while the second definition of involuntary manslaughter is based on the possibility of the death of the victim. Section 273ab speaks to reckless conduct, ('likely to produce' injury) while the second definition of involuntary manslaughter encompasses careless or negligent conduct ('without due caution and circumspection'). It is therefore apparent that the elements of involuntary manslaughter are not necessarily encompassed within the elements of section 273ab. Involuntary manslaughter is a lesser-related rather than a lesser-included offense of the charged

crime." (*Orlina*, *supra*, 73 Cal.App.4th at pp. 261-262; see also *Stewart*, *supra*, 77 Cal.App.4th at p. 796.)

Finding the *Orlina* court's analysis persuasive, we reject appellant's argument and find that the trial court did not have a sua sponte duty to instruct on involuntary manslaughter as a lesser included offense of section 273ab.

# F. Instruction on Criminal Negligence

Appellant contends that the trial court erred because it did not sua sponte instruct the jury that criminal negligence cannot support an assault conviction. In the alternative, he argues that defense counsel was ineffective because he did not request such an instruction.

A trial court has a sua sponte duty to give amplifying or clarifying instructions "where the terms have a "technical meaning peculiar to the law." [Citations.]" (*People v. McElheny* (1982) 137 Cal.App.3d 396, 403.) In general, however, "[a] party may not complain on appeal that an instruction correct in law and responsive to the evidence was too general or incomplete unless the party has requested appropriate clarifying or amplifying language." (*People v. Lang* (1989) 49 Cal.3d 991, 1024.)

Here, in its instructions involving involuntary manslaughter, the court instructed the jury on the meaning of criminal negligence: "[M]ore than ordinary carelessness, inattention, or mistake in judgment. The person acts with criminal negligence when: [¶] he acts in a reckless way that creates a high risk of death or great bodily injury. . . ." The court's instruction under CALCRIM No. 820 states that child abuse homicide involves force that "to a reasonable person would be likely to produce great bodily injury," a degree of force that is not the same as that involving criminal negligence. Although the trial court was not required, sua sponte to inform the jury that a violation of section 273ab cannot be based on criminal negligence, on retrial, appellant can certainly request such a clarifying instruction. Similarly, with regard to appellant's argument that the trial court should have instructed sua sponte that injury alone is not sufficient to establish an assault, although the court had no such sua sponte duty, should counsel believe such an instruction would be useful, then counsel should request it.

# G. Cruel and/or Unusual Punishment

Appellant contends that his sentence of 25 years to life, the term prescribed under section 273ab, violates the United States Constitution as well as the California Constitution proscription against cruel and unusual punishment because the sentence for section 273ab is "grossly disproportionate' to the crime . . . ." (*Harmelin v. Michigan* (1991) 501 U.S. 957, 997-998, conc. opn. of Kennedy, J.].) Although we have reversed appellant's conviction under section 273ab, we note that this claim was considered and rejected in *People v. Norman* (2003) 109 Cal.App.4th 221 (*Norman*) and *People v. Lewis* (2004) 120 Cal.App.4th 837 (*Lewis*), two cases with which we agree.

With regard to appellant's claim under the federal Constitution, the *Norman* court pointed out that, because the United States Supreme Court has held that a "sentence of life without parole is not cruel and unusual for certain nonviolent offenses, then, a fortiori, a sentence of 25 years to life is not cruel and unusual for the death of a child under age eight." (*Norman, supra* 109 Cal.App.4th at p. 230.)

In *Lewis*, the court held that under the California Constitution the "imposition of a prison term of 25 years to life for the defense described in section 273ab is not in the abstract cruel and unusual." (*Lewis, supra*, 120 Cal.App.4th at p. 856.) The *Lewis* court pointed out that, "[t]he Legislature could reasonably conclude given the particular vulnerability of the victim, the relationship of the victim to the defendant, the violent and purposeful nature of the act involved and the fact a death results, the crime described in section 273ab is a very serious one and a term of 25 years to life was appropriate." (*Lewis, supra*, 120 Cal.App.4th at p. 856.) Nor was it the case that the punishment was unconstitutional as applied even to an appellant with no criminal record, given in particular, the "amount of force" necessary to cause great bodily injury to the child. (*Ibid.*)

# H. Jury Unanimity

Given the outcome in this case, we need not revisit our earlier conclusion that the trial court was not required to instruct on jury unanimity. However, we are in agreement with Justice Kline's admonition that the trial court heed the Third District Court of

Appeals' advice in *People v. Norman* (2007) 157 Cal.App.4th 460, to the effect that "failure to give a jury unanimity instruction (now CALCRIM No. 3500) is the most common kind of instructional error in criminal cases," and its related advice that trial courts "put CALCRIM No. 3500 on your list of standard instructions to give, then ask yourself: 'Is there some reason *not* to give this instruction in this case?' " (*Id.* at p. 467).

# IV. DISPOSITION

The conviction in count 2, assault on a child causing death (§ 273ab), is reversed. In all other respects, the judgment is affirmed.

	Haerle, J.	
We concur:		
Kline, P.J.		
Richman, J.		

A114612, People v. Wyatt

# APPENDIX "B"

Order Denying Petition for Rehearing



Court of Appeal First appealate District

P.J.

# COURT OF APPEAL, FIRST APPELLATE DISTRICT 350 MCALLISTER STREET SAN FRANCISCO, CA 94102 DIVISION 2

THE PEOPLE, Plaintiff and Respondent, JAN - A MI REGINALD WYATT, Disca Harbart Dark Defendant and Appellant. by ...... Deputy Clofk A114612 Alameda County No. C147107 BY THE COURT: Respondents' petition for rehearing is denied. 

Date: \_\_\_\_\_

## **DECLARATION OF SERVICE**

I, Waldemar D. Halka, declare under penalty of perjury I am over 18 years of age; I am not a party to the action herein; my business address is P.O. Box 99965, San Diego, California 92169. I caused to be served a copy of the following document to each of the parties listed below:

# ANSWER TO THE PEOPLE'S PETITION FOR REVIEW People v. Wyatt Supreme Court Case No. S189786

Clerk of Court of Appeal First District, Division Two 350 McAllister St. San Francisco, CA 94102 Appellate Court

Hon. Jon Rolefson, Judge c/o Clerk of Alameda Superior Court

1225 Fallon Street Oakland, CA 94612 Trial Court

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Each of said copies was sealed and deposited in the United States mail, with proper postage affixed thereto and fully prepaid.

Executed under penalty of perjury at San Diego, California, on January 19, 2011.

Waldemar D. Halka