

ORIGINAL

Docket No. C061020

**IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA
FOR THE THIRD APPELLATE DISTRICT**

C061020

SERVICE EMPLOYEES INTERNATIONAL UNION, LOCAL 1000,
Plaintiff and Appellant,

v.

JOHN CHIANG, as State Controller, etc.,
Defendant and Appellant;

ARNOLD SCHWARZENEGGER, as Governor, etc. et al.,
Defendants and Respondents.

Sacramento County

Judge: Patrick Marlette

Sacramento County No. 34200980000135CUWMGDS

**JOINT APPENDIX
VOLUME VIII OF X [PAGES 1413 THROUGH 1618]**

On Appeal of an Order and Judgment
by the Sacramento Superior Court
No. 34-2009-80000135-CU-WM-GDS
The Honorable Patrick Marlette

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EXHIBIT H



Agreement

between

State of California

and

Service Employees International Union (SEIU) – Local 1000

covering

**BARGAINING UNIT 17
REGISTERED NURSE**

Effective

July 1, 2005 through June 30, 2008

Final 04/02/07

SEIU JA 001414

**BARGAINING UNIT 17
REGISTERED NURSES**

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PREAMBLE

This Memorandum of Understanding (Contract) is made and entered into by and between the State of California (State, or State Employer) pursuant to Sections 19815.4 and 3517 of the Government Code, and the Service Employees International Union, (SEIU), Local 1000, pursuant to the Ralph C. Dills Act (Dills Act) commencing with Section 3512 of the Government Code, and has as its purpose the promotion of harmonious labor relations between the State and Union; establishment of an equitable and peaceful procedure for the resolution of differences; and the establishment of rates of pay, hours of work, and other conditions of employment including health and safety. The State and Union recognize nursing professionals in Bargaining Unit 17 for their valuable contributions to the State of California and as such they will be afforded professional courtesy, dignity and respect. The term "Contract" as used herein means the written agreement provided under Section 3517.5 of the Government Code.

ARTICLE 1 - RECOGNITION

- A. Pursuant to Public Employment Relations Board decision S-SR-17, the State recognizes Service Employees International Union (SEIU) Local 1000, as the exclusive representative for Registered Nurse Bargaining Unit 17, hereinafter referred to as Unit 17. Unit 17 consists of all employees in the job classifications listed by title in Appendix "D" attached hereto and incorporated by reference as a part of this Contract. Any new classes established and assigned to Unit 17 shall be incorporated in the Contract.
- B. The State further recognizes the professional nature of the duties and responsibilities of Unit 17 employees in their contribution to the successful performance of the mission of State government.
- C. Pursuant to Government Code sections 19815, 19815.4, and 3517, SEIU Local 1000 recognizes the Director of the Department of Personnel Administration or his/her designee as the negotiating representative for the State and shall negotiate exclusively with the Director or his/her designee, except as otherwise specifically spelled out in the Contract.

ARTICLE 2 - UNION REPRESENTATION RIGHTS

2.1 Union Representatives

A. Steward Designation

A written list of Union stewards and elected bargaining unit council representatives, broken down by department, unit and designated area of representation, shall be furnished to each department and a copy sent to the State immediately after their designation. The Union shall notify the State promptly of any changes of such stewards. Union stewards shall not be recognized by the State until such lists or changes thereto are received.

B. Scope of Representation

The State recognizes and agrees to deal with designated Union stewards, elected bargaining unit council representatives and/or Union staff on the following:

1. The enforcement of this Contract;
2. Employee discipline cases, including investigatory interviews of an employee who is the subject of a non-criminal investigation;
3. Informal settlement conferences or formal hearings conducted by the Public Employment Relations Board (PERB);
4. Matters scheduled for hearing by the Victims Compensation and Government Claims Board (VCGCB);
5. Matters pending before the State Personnel Board (SPB);
6. Absence Without Leaves (AWOLs) and appeals to set aside resignations;
7. Discussions with management regarding denials of reasonable accommodation;
8. DPA statutory appeal hearings.

C. Area of Representation

A Union steward's, "area of representation" is defined as an institution, office, or building. However, the parties recognize that it may be necessary for the Union to assign a steward an area of representation for several small offices, departments, or buildings within close proximity. Disputes regarding this paragraph may be appealed directly to Step 2 (Department Head) of the grievance procedure.

2.2 Access

Union stewards, Union staff, and/or elected bargaining unit council representatives shall not be unreasonably denied nor delayed access to employees to represent them pursuant to section 2.1 A above and subject to the constraints listed below. Access shall not interfere with the work of the employees. Union stewards, Union staff or elected bargaining unit representatives seeking access to employees must notify the department head or designee in advance of the visit. The department head or designee may restrict access to certain work sites or areas for reasons of safety, security, or patient care including patient privacy; however, where access is restricted, other reasonable accommodations shall be made. Accommodation of access requests shall include considerations for privacy.

2.3 Use of State Equipment

- A. Union stewards shall be permitted reasonable use of State phones and telecommunication devices for the deaf (TDD) to make calls for Union representation purposes; provided, however, that such use of State phones shall not incur additional charges to the State or interfere with the operation of the State.

- B. Union stewards shall be permitted minimal and incidental use of State equipment for representational activities as defined in section 2.1, if said equipment is available and utilized as a normal part of his/her duties. Such use of State equipment shall not result in additional costs to the State, nor shall it interfere with the conduct of State business.
- C. Union stewards shall be permitted reasonable and occasional use of fax machines and copiers for Union representation purposes provided that such use does not result in additional cost to the State, nor interfere with State operations.
- D. Use of State equipment or the time used for activities permitted in this section shall be subject to prior notification and approval by the employee's immediate supervisor.

2.4 Distribution of Union Information

- A. The Union may use existing employee organization bulletin boards to post material related to Union business. Upon mutual agreement between an authorized Union representative and the department, Union bulletin boards shall be installed where they are accessible to employees. When required in advance, the Union shall reimburse the State for additional costs incurred. A copy of all materials posted must be distributed to the facility or office supervisor at the time of posting.
- B. The Union may, before or after work hours or during meal and rest periods, distribute Union literature. Distribution of Union information shall not be unreasonably denied or disrupt the work of others. However, if access for distribution of information is restricted for safety, security, or patient care including patient privacy, other reasonable accommodation will be made in accordance with departmental procedures.
- C. Unit 17 job stewards may continue to use existing mailboxes and in-baskets for distribution of literature. Such information will be distributed to departmental employees based on the department's policies and procedures in distributing other non-business information.
- D. The Union shall be permitted incidental and minimal use of the State electronic communication systems for communication of Union activities as the departments permit for other non-business purposes.
- E. The use of electronic communication systems (devices) are not considered private or secure information and are subject to being monitored by the department.
- F. The Union agrees that any literature posted or distributed on site will not be libelous, obscene, defamatory, or of a partisan political nature.

2.5 Use of State Facilities

The State will continue to permit use of certain facilities for Union meetings, subject to the operating needs of the State. Requests for use of such State facilities shall be made in advance to the appropriate State official. When required in advance, the Union shall reimburse the State for additional expenses, such as security, maintenance and facility management costs, or utilities, incurred as a result of the Union's use of such State facilities.

2.6 Union Steward Time Off

Upon request of an aggrieved employee, a steward shall be allowed reasonable time off during working hours, without loss of compensation, for representational purposes in accordance with section 2.1 A of this Contract, provided the employee represented is in the steward's designated area of representation. Release time for this purpose is subject to prior notification and approval by the steward's immediate supervisor. Upon mutual agreement of the parties, a reasonable number of additional stewards can also be granted reasonable time off under this section.

2.7 Employee Time Off

Employees shall be entitled to reasonable time off without loss of compensation to confer with the Union on representational matters in accordance with section 2.2 above during work hours, subject to operational need and approval of the employee's supervisor.

2.8 Union Steward Protection

The State shall be prohibited from imposing or threatening to impose reprisals, from discriminating or threatening to discriminate against Union stewards, or otherwise interfering with, restraining, or coercing Union stewards because of the exercise of any rights given by this Contract.

2.9 Union Information Packet

- A. Upon initial appointment to any position as a probationary or permanent employee, the employee shall be informed by the employer that the Union is the recognized employee organization for the employee in said classification. The State shall present the employee with a copy of the current Contract as well as a packet of Union information, both of which have been supplied by the Union.
- B. The packet of information provided by the Union shall include a pre-addressed, stamped postcard that the employee may use to notify SEIU, Local 1000 of a new appointment.

2.10 New Employee Orientation

- A. Consistent with section 2.2 of this Contract, a Union staff member and/or designee will be afforded the opportunity to meet with Unit 17 employees for up to twenty (20) minutes during any regularly scheduled new employee orientation session, for orientation of the employees to the Contract and the Union.
- B. In the event a department does not schedule new employee orientation sessions or the employee is unable to attend a scheduled orientation session, each new bargaining unit employee shall be given the opportunity to meet with a Union representative for twenty (20) minutes during normal working hours for orientation to the Contract and the Union.

It is understood that the twenty (20) minutes is for the presentation and shall not be counted against reasonable State travel time to and from the presentation.

2.11 Bargaining Unit Chair Time Off

The appropriate bargaining unit chair or vice chair, not both, shall suffer no loss in his/her regular compensation for attendance at scheduled bargaining unit negotiations with management during the term of this Contract.

ARTICLE 3 - ORGANIZATIONAL SECURITY

3.1 Union Security

The State agrees to deduct and transmit to the Union all membership dues authorized on a form provided by the Union. Effective with the beginning of the first pay period following ratification of this agreement by the Legislature and the Union, the State agrees to calculate, deduct and transmit to the Union, Fair Share fees from State employees who do not have membership dues deductions for SEIU, Local 1000, based upon an amount or formula furnished by the Union for Fair Share fees deductions. The State further agrees to recalculate, deduct and transmit Fair Share fees to the Union based upon revised amounts or formulas furnished by the Union for Fair Share fees deductions during the term of this agreement. The State and the Union agree that a system of authorized dues deductions and a system of Fair Share fee deductions shall be operated in accordance with Government Code sections 3513(h), 3513(j), 3515, 3515.6, 3515.7, and 3515.8, subject to the following provisions:

1. When Fair Share fees are in effect, an employee may withdraw from membership in the Union by sending a signed withdrawal letter to the Union with a copy to the State Controller at any time. An employee who so withdraws his/her membership shall be subject to paying a Fair Share fee, if such a fee is applicable.
2. The Union agrees to indemnify, defend and hold the State and its agents harmless against any claims made of any nature and against any suit instituted against the State arising from this section and the deductions arising therefrom.
3. The Union agrees to annually notify all State employees in Unit 17 who pay Fair Share fees of their right to demand and receive from the Union a return of part of that fee pursuant to Government Code section 3515.8.
4. No provision of this section or any disputes arising thereunder shall be subject to the grievance and arbitration procedure contained in this Contract.
5. Should a rescission election be successful, the written authorization for payroll deductions for Union membership shall remain in full force and effect during the life of this Contract except that any employee may withdraw from the Union by sending a signed withdrawal letter to the Union with a copy to the State Controllers Office within thirty (30) calendar days prior to the expiration of this Contract.

3.2 Home Addresses

A. Home Addresses – Generally

Consistent with PERB regulations and State law, the State shall continue to provide the Union with home addresses on a monthly basis for all non-law enforcement related employees covered by this Contract until it expires.

Notwithstanding any other provision of this agreement, any employee may have his/her home address withheld from the Union at any time by submitting a written request to his/her appointing power on a form provided by the State.

B. Home Address Withholding By Non-Law Enforcement Related Employees

Effective one month following ratification of this agreement by both parties, the State will no longer use an Employee Action Request form that provides Unit 17 employees who perform non-law enforcement related functions with the option of having their home address withheld from the Union. Instead, employees who perform non-law enforcement related functions will, upon request on their own initiative, be given a separate form by their appointing power that permits two (2) choices: (1) withhold their address from the Union, or (2) to cancel a previous withhold request thereby permitting release of their home address to the Union.

C. Home Address Withhold Notification to Non-Law Enforcement Related Employees

Within one month following ratification of this agreement by both parties, the State will send a letter to all existing Unit 17 employees who perform non-law enforcement related functions that have previously requested their home address remain confidential. The letter will provide said employees with the option of canceling their previous withhold request thereby permitting release of their home address to the Union.

D. Release and Use of Addresses

The State Controller's Office will send the Union a list of all Unit 17 employees who, pursuant to subsection C above, either did not respond or responded by indicating they wanted to continue withholding their home address from the Union. The State Controller's Office will also send the Union a list of all Unit 17 employees who perform law enforcement-related functions (if any). Said list(s) will contain the employees' name, agency and reporting unit.

E. Home Address Mailings By The State

The State will mail Union information once per year to the home address of law enforcement-related employees, and non-law enforcement employees who have requested their home address be withheld from the Union. Said material shall be provided by the Union. The cost of this mailing shall be paid for by the Union. The Union agrees to hold the State harmless for any annual mail that does not reach Unit 17 employees.

F. Address Confidentiality

Employee work and home addresses shall be maintained as confidential by the Union. The Union shall take all reasonable steps to ensure the security of work and

home addresses, and shall not disclose or otherwise make them available to any person, entity or organization. Employee addresses shall only be used by the Union for representational purposes.

G. Nature of Material

The Union agrees that any of its literature mailed to employees by the State will not be libelous, obscene, defamatory or of a partisan political nature or constitute a solicitation of any product or service unrelated to representation by the Union.

H. Costs Reimbursable

The Union agrees to pay necessary and reasonable costs incurred by the State Controller's Office to produce the necessary name/home/work address tape file on a monthly basis.

I. Hold Harmless and Indemnification

Notwithstanding any other provision of this agreement, the Union agrees to jointly defend this section and to hold the State of California, its subdivisions, and agents harmless in defending challenges of any nature arising as a result of this section of the agreement.

ARTICLE 4 - STATE RIGHTS

- A. Except for those rights which are expressly abridged or limited by this Contract, all rights are reserved to the State.
- B. Consistent with this Contract, the rights of the State shall include, but not be limited to, the right to determine the mission of its constituent departments, commissions and boards; to maintain efficiency of State operation; to set standards of service; to determine, consistent with Article VII of the Constitution, the Civil Service Act and rules pertaining thereto, the procedures and standards of selection for employment and promotion; to lay off, assign, schedule and train employees; to determine the methods, means and personnel by which State operations are to be conducted; to take all necessary action to carry out its mission in emergencies; to exercise control and discretion over the merits, necessity, or organization of any service or activity provided by law or executive order. The State has the right to make reasonable rules and regulations pertaining to employees consistent with this Contract, provided that any such rule shall be uniformly applied to all affected employees who are similarly situated.
- C. This Article is not intended to, nor may it be construed to, contravene the spirit or intent of the merit principle in State employment nor limit the rights of State Civil Service employees provided by Article VII of the State Constitution or by laws and rules enacted thereto. Any matters which concern the application of the merit principle to State employees are exclusively within the purview of those processes provided by Article VII of the State Constitution or bylaws and rules enacted thereto.

ARTICLE 5 - GENERAL PROVISIONS

5.1 No Strike

- A. During the term of this Agreement, neither the Union nor its agents nor any Bargaining Unit 17 employee, for any reason, will authorize, institute, aid, condone or engage in a work slowdown, work stoppage, strike, or any other interference with the work and statutory functions or obligations of the State.
- B. The Union agrees to notify all of its officers, stewards, chief stewards and staff of their obligation and responsibility for maintaining compliance with this section, including the responsibility to remain at work during any activity which may be caused or initiated by others, and to encourage employees violating this section to return to work.

5.2 No Lockout

No lockout of employees shall be instituted by the State during the term of this Contract.

5.3 Individual Agreements Prohibited

The State shall not negotiate with or enter into memoranda of understanding or adjust grievances or grant rights or benefits not covered in this Contract to any employee unless such action is with SEIU, Local 1000 concurrence.

5.4 Savings Clause

Should a court of competent jurisdiction find any provision(s) of this Contract unlawful or *invalidated by subsequently enacted legislation*, the remainder of the Contract shall continue in force.

Upon occurrence of such an event, the parties shall meet and confer as soon as practical to renegotiate the invalidated provision(s).

5.5 Reprisals

The State and SEIU, Local 1000 shall be prohibited from imposing or threatening to impose reprisals by discriminating or threatening to discriminate against employees, or otherwise interfering with, restraining, or coercing employees because of the exercise of their rights under the Ralph C. Dills Act or any right given by this Contract. The principles of agency shall be liberally construed.

5.6 Supersession

The following enumerated Government Code sections and all existing rules, regulations, standards, practices and policies which implement the enumerated Government Code sections are hereby incorporated into this Contract. However, if any other provision of this Contract alters or is in conflict with any of the Government Code sections enumerated below, the Contract shall be controlling and supercede said Government

Code sections or parts thereof and any rule, regulation, standard, practice or policy implementing such provisions. The Government Code sections listed below are cited in section 3517.6 of the Ralph C. Dills Act.

A. Government Code Sections

1. General

- 19824 Establishes monthly pay periods.
- 19839 Provides lump sum payment for unused vacation accrued or compensating time off upon separation.
- 19888 Specifies that service during an emergency is to be credited for vacation, sick leave and MSA.

2. Step Increases

- 19829 Requires DPA to establish minimum and maximum salaries with intermediate steps.
- 19832 Establishes annual Merit Salary Adjustments (MSA's) for employees who meet standards of efficiency.
- 19834 Requires MSA payments to qualifying employees when funds are available.
- 19835 Provides employees with the right to cumulative adjustments for a period not to exceed two years when MSA's are denied due to lack of funds.
- 19836 Provides for hiring at above the minimum salary limit in specified instances.
- 19837 Authorizes rates above the maximum of the salary range when a person's position is downgraded. (Red Circle Rates)

3. Vacations

- 19856 Requires DPA to establish rules regulating vacation accrual for part-time employees and those transferring from one State agency to another.
- 19858.1 Establishes vacation earning rate.
- 19863 Allows vacation use while on temporary disability (due to work-incurred injury) to augment paycheck.
- 19991.4 Provides that absence of an employee for a work-incurred compensable injury or disease is considered continuous service for the purpose of the right to vacation.

4. Sick Leave

- 19859 Defines amount earned and methods of accrual for full-time and part-time employees.
- 19862 Establishes accumulation of sick leave.

- 19863 Allows sick leave use while on temporary disability (due to work-incurred injury) to augment paycheck.
 - 19863.1 Provides sick leave credit while employee is on industrial disability leave and prescribes how it may be used.
 - 19864 Allows the DPA to provide by rule for sick leave without pay for employees who have used up their sick leave with pay.
 - 19991.4 Provides that absence of an employee for a work-incurred compensable injury or disease is considered continuous service for the purpose of the right to sick leave.
5. Uniforms, Work Clothes, and Safety Equipment
- 19850 Definitions
 - 19850.4 Provides for work clothes for purposes of sanitation or cleanliness to be maintained and owned by the State.
6. Industrial Disability Leave (IDL)
- 19869 Defines who is covered.
 - 19870 Defines "IDL" and "full pay".
 - 19871 Provides terms of IDL coverage in lieu of workers' compensation temporary disability payment.
 - 19871.1 Provides for continued benefits while on IDL.
 - 19872 Prohibits payment of temporary disability or sick leave pay to employees on IDL.
 - 19873 Inapplicability of retraining and rehabilitation provisions of Labor Code to employees covered by IDL
 - 19874 Allows employees to receive Workers' Compensation benefits after exhaustion of IDL benefits.
 - 19875 Requires three-day waiting period, unless hospitalized or disabled more than 14 days.
 - 19876 Payments contingent on medical certification and vocational rehabilitation.
 - 19877 Authorizes DPA to adopt rules governing IDL.
 - 19877.1 Sets effective date.
7. State Industrial Disability Insurance (SDI)
- 19878 Definitions.
 - 19879 Sets the amount of benefits and duration of payment.
 - 19880 Sets standards and procedures.
 - 19880.1 Allows employee option to exhaust vacation prior to SDI.
 - 19881 Bans SDI coverage if employee is receiving unemployment compensation.

- 19882 Bans SDI coverage if employee is receiving other cash payment benefits.
 - 19883 Provides for discretionary deductions from benefit check, including employer contributions; employees do not accrue sick leave or vacation credits or service credits for any other purpose.
 - 19884 Filing procedures; determination and payment of benefits.
 - 19885 Authorizes DPA to establish rules governing SDI.
8. Life Insurance
- 20796 Provides for employer contributions.
 - 21600 Establishes group term life insurance benefits.
 - 21604 Provides for Death Benefit from PERS.
 - 21605 Sets Death Benefit at \$5,000 plus fifty percent (50%) of one year's salary.
9. Health Insurance
- 22816 Provides for continuation of health plan coverage during leave of absence without pay.
 - 22825 Provides for employee and employer contribution.
 - 22825.1 Sets employer contribution.
10. Workweek
- 19843 Establishes Work Week Groups.
 - 19851 Sets 40-hour workweek and 8-hour day.
11. Overtime
- 19844 Directs DPA to establish rules regarding cash compensation and compensating time off.
 - 19848 Permits the granting of compensating time off in lieu of cash compensation within 12 calendar months after overtime worked.
 - 19849 Requires DPA to adopt rules governing overtime and the appointing power to administer and enforce them.
 - 19863 Allows use of accumulated compensable overtime while on temporary disability (due to work-incurred injury) to augment paycheck.
12. Deferred Compensation
- 19993 Allows employees to deduct a portion of their salary to participate in a deferred compensation plan.
13. Relocation Expenses
- 19841 Provides relocation expenses for involuntary transfer or promotion requiring a change in residence.

14. Travel Expenses

- 19820 Provides reimbursement of travel expenses for officers and employees of the State on State business.
- 19822 Provides reimbursement to State for housing, maintenance and other services provided to employees.

15. Leave of Absence

- 19991 Allows release time for civil service examinations.
- 19991.1 Allows leave without pay, not to exceed one year, assures right of return.
- 19991.2 Allows the appointing power to grant a two-year leave for service in a technical cooperation program.
- 19991.4 Provides that absence of an employee for work-incurred compensable injury or disease is considered as continuous service for purposes of salary adjustments, sick leave, vacation or seniority.
- 19991.6 Provides one year of pregnancy each or less as required by a permanent female employee.

16. Performance Reports

- 19992.1 Requires performance reports to be accurate.
- 19992.2 Requires the appointing power to prepare performance reports and show them to the employee.
- 19992.3 Provides for the use of performance reports in determining salary increases and decreases, layoffs, transfers, demotions and dismissals.

17. Involuntary Transfers

- 19841 Provides relocation expenses for involuntary transfer or promotion requiring a change in residence.
- 19994.1 Authorizes involuntary transfers. Requires sixty (60) days prior written notice when transfer requires change in residence.
- 19994.2 Allows seniority to be considered when two or more employees are in a class affected by involuntary transfers which require a change in residence.

18. Demotion and Layoff

- 19997.2 Provides for subdivisional layoffs in a State agency subject to DPA approval. Subdivisional reemployment lists take priority over others.
- 19997.3 Requires layoffs according to seniority in a class, except for certain classes in which employee efficiency is combined with seniority to determine order of layoff.
- 19997.8 Allows demotion in lieu of layoff.

- 19997.9 Provides for salary at maximum step on displacement by another employee's demotion, provided such salary does not exceed salary received when demoted.
- 19997.10 An employee displaced by an employee with return rights may demote in lieu of layoff.
- 19997.11 Establishes reemployment lists for laid-off or demoted employees.
- 19997.12 Guarantees same step of salary range upon recertification after layoff or demotion.
- 19997.13 Requires 30-day written notice prior to layoff and not more than sixty (60) days after seniority computed.
- 19998 Employees affected by layoff due to management-initiated changes should receive assistance in finding other placement in State service.
- 19998.1 Provides for the use of State restrictions of appointments. (SROA)

19. Incompatible Activities

- 19990 Requires each appointment power to determine activities which are incompatible, in conflict with, or inimical to their employees' duties; provides for identification of and prohibits such activities.

20. Training

- 19995.2 Provides for counseling and training programs for employees whose positions are to be eliminated by automation, technological or management-initiated changes.
- 19995.3 Provides for Department of Rehabilitation to retrain and refer disabled State employees to positions in State service.

21. Overpayment/Payroll Errors

- 19838 Provides for methods of collecting overpayments and correcting payroll errors.

22. Holidays

- 19853 Establishes Holidays
- 19854 Adds Personal Holiday

5.7 Non-Discrimination

- A. No State employee shall be discriminated against in State employment on the basis of race, color, religion, creed, age, sex, national origin, ancestry, marital status, sexual orientation, gender expression, gender identity, political affiliation, or physical or mental disability consistent with applicable State and Federal Law.

- B. At the employee's discretion, allegations of discrimination or failure to provide reasonable accommodation for a physical or mental disability may be subject to the grievance procedure up to the third level, or may be appealed to the State Personnel Board through the existing State Equal Employment Opportunity (EEO) complaint process, and/or the Department of Fair Employment and Housing, and/or the Federal Equal Employment Opportunity Commission. The filing of a grievance is not mandatory and neither the filing nor non-filing of a grievance shall be construed as a waiver of an employee's right to maintain a separate, private cause of action.
- C. No employee shall be subject to retaliation or threats of retaliation, nor shall any employee be restrained, coerced or otherwise interfered with in the exercise of his/her rights under this section. Alleged retaliation may be subject to the grievance procedure up to third level.

5.8 Sexual Harassment

- A. No State employee shall be subject to sexual harassment. The State agrees to take such actions as necessary to ensure that this purpose is achieved and shall post a statement of its commitment to this principle at all work sites. If the State makes revisions to the policy, the State will distribute the policy to all Unit 17 employees.
- B. At the employee's discretion, allegations of sexual harassment may be subject to the grievance procedure up to the third level, or may be appealed to the State Personnel Board through the existing State Equal Employment Opportunity (EEO) complaint process, and/or the Department of Fair Employment and Housing, and/or the Federal Equal Employment Opportunity Commission. The filing of a grievance is not mandatory and neither the filing nor non-filing of a grievance shall be construed as a waiver of an employee's right to maintain a separate, private cause of action.
- C. No employee shall be subject to retaliation or threats of retaliation, nor shall any employee be restrained, coerced or otherwise interfered with in the exercise of his/her rights under this section. Alleged retaliation may be subject to the grievance procedure up to third level.

5.9 Joint Labor/Management Committee on Discrimination (JLMCD)

- A. Upon the request of the State Personnel Board (SPB), the JLMCD will meet to discuss the committee recommendations from the December 2000 and November 2003 JLMCD Reports, submitted to the SPB, relating to maintaining a discrimination-free State workplace.
- B. The committee will consist of five (5) Union representatives who will represent SEIU, Local 1000 and five (5) State representatives. Selected members shall be representative of groups protected by the Federal and State civil rights legislation.
- C. Following a meeting convened by the SPB, the JLMCD shall meet to discuss requests made of the JLMCD by SPB. The State agrees that the Union representatives will be permitted 80 hours of release time during the twelve (12) months following ratification of this Contract to serve and participate on the committee without a loss of compensation. The committee will be co-chaired by one of the Union's representatives, along with a co-chair representing the State.

5.10 Labor/Management Committee

Upon request of the Union and with the concurrence of the department head or designee, a Labor/Management Committee may be established to address specific issues relating to Unit 17.

Such committees may be established according to the following guidelines:

- A. The committee will consist of equal numbers of management and Union representatives. If requested by the Union, up to three (3) Union-appointed employee representatives shall be on State Release Time to attend these committee meetings. More team members may be allowed depending on the complexity of the issue and if productive to the overall goals of the Committee. All additional members shall be on Union leave.
- B. Committee recommendations, if any, will be advisory in nature.
- C. Labor/Management Committee meetings shall not be considered Contract negotiations and shall not be considered a substitute for the grievance procedure or professional practice groups.

ARTICLE 6 - GRIEVANCE AND ARBITRATION PROCEDURE

6.1 Purpose

- A. This grievance procedure shall be used to process and resolve grievances arising under this Contract and employment-related complaints.
- B. The purposes of this procedure are:
 - 1. To resolve grievances informally at the lowest possible level.
 - 2. To provide an orderly procedure for reviewing and resolving grievances promptly.

6.2 Definitions

- A. A grievance is a dispute of one or more employees, or a dispute between the State and the Union, involving the interpretation, application, or enforcement of the terms of this Contract.
- B. A complaint is a dispute of one or more employees involving the application or interpretation of a written rule or policy not covered by this Contract and not under the jurisdiction of the State Personnel Board. Complaints shall only be processed as far as the department head or designee.
- C. As used in this procedure, the term "immediate supervisor" means a non-rank and file individual identified by the department head or designee.
- D. As used in this procedure, the term "party" means the Union, an employee, or the State.
- E. A "Union representative" refers to a Union steward or staff representative or a bargaining unit council representative.

6.3 Time Limits

Each party involved in a grievance shall act quickly so that the grievance may be resolved promptly. Every effort should be made to complete action within the time limits contained in the grievance procedure. However, with the mutual consent of the parties, the time limitation for any step may be extended.

6.4 Waiver of Steps

The parties may mutually agree to waive any step of the grievance procedure.

6.5 Presentation

At any step of the grievance procedure, the State representative may determine it desirable to hold a grievance conference. If a grievance conference is scheduled, the grievant or a Union steward, or both, may attend without loss of compensation. A Union representative or job steward may request a meeting at the first or second step.

6.6 Informal Discussion (Supervisor)

An employee grievance initially shall be discussed with the employee's immediate supervisor within fourteen (14) calendar days of the occurrence of the event or circumstances occasioning the grievance, or within fourteen (14) calendar days of the date the employee could reasonably be expected to have knowledge of the event. Within seven (7) calendar days, the immediate supervisor shall give his/her decision or response.

6.7 Formal Grievance - Step 1 (Facility Head / Department Program Manager)

- A. If an informal grievance is not resolved to the satisfaction of the grievant, a formal grievance may be filed no later than fourteen (14) calendar days after the supervisor's response.
- B. A formal grievance may be initiated and shall be in writing on a form provided by the State and shall be filed with the person designated by management as the first formal level of review. (In 24-hour facilities this will typically be the facility Labor Relations Coordinator or designee.) The grievance shall be signed, specific, contain a synopsis of the facts giving rise to the alleged violation, cite the specific Article(s) and section(s) of this Contract alleged to have been violated, contain the date of the alleged violation if applicable or known, and state the relief or remedy requested.
- C. Within fourteen (14) calendar days after receipt of the formal grievance, the person designated as the first formal level of review shall respond in writing to the grievance. No Contract interpretation or grievance settlement made at this stage of the grievance procedure shall be considered precedential. All interpretations and settlements shall be consistent with the provisions of this Contract.
- D. Upon request by either party, an additional seven (7) calendar days shall be granted under paragraph A or C above.

6.8 Formal Grievance – Step 2 (Department Head)

- A. If the grievant is not satisfied with the decision rendered pursuant to Step 1, the grievant may appeal the decision within twenty-one (21) calendar days to the department head or designee.
- B. Within twenty-one (21) calendar days after receipt of the appealed grievance, the department head or designee shall respond to the grievance.
- C. At SEIU's request, and with mutual agreement, a meeting at the work location or other mutually agreed upon location shall be held for the purpose of discussing the grievance. Time limits applying to the grievances shall be extended so that the parties can discuss more than one grievance, if necessary.
- D. The grievant or SEIU representative, or both, may attend such meetings without loss of compensation.
- E. Within twenty-one (21) calendar days after the meeting, the Department shall respond in writing to the grievance.
- F. A copy of the written response shall be sent concurrently to the SEIU, Local 1000, Headquarters, Sacramento, California, 95814.

6.9 Formal Grievance - Step 3 (Department of Personnel Administration)

- A. If the grievant is not satisfied with the decision rendered at Step 2, the grievant may appeal the decision within thirty (30) calendar days after receipt to the Director of the Department of Personnel Administration or designee.
- B. Within thirty (30) calendar days after receipt of the appealed grievance, the Director of the Department of Personnel Administration or designee shall respond in writing to the grievance.

6.10 Response

If the State fails to respond to a grievance within the time limits specified for that step, the grievant shall have the right to appeal to the next step.

6.11 Formal Grievance – Step 4

- A. If the grievance is not resolved at Step 3, within thirty (30) calendar days after receipt of the third level response, the Union shall have the right to submit the grievance to arbitration. If the grievance is not submitted to Arbitration within 30 calendar days after receipt of the third level response, it shall be considered withdrawn.
- B. Within fifteen (15) calendar days after the notice requesting arbitration has been served on the State, the Union shall contact the State to mutually select an arbitrator.

If the parties cannot mutually agree upon an arbitrator within thirty (30) calendar days after the request to select an arbitrator has been served, the Union may request the State Conciliation and Mediation Service or the Federal Mediation and Conciliation Service to submit to both parties a panel of nine (9) arbitrators.

Within fifteen (15) calendar days after receipt of the panel of arbitrators from the State Conciliation and Mediation Service or the Federal Mediation and Conciliation

Service, the Union shall contact the State in writing and request to strike names from the panel. The parties shall have ten (10) business days to meet and alternately strike names until only one name remains and this person shall be the arbitrator.

- A. The arbitration hearing shall be conducted in accordance with the Voluntary Labor Arbitration Rules of the American Arbitration Association. The cost of arbitration shall be borne equally between the parties, unless the parties mutually agree to a different arrangement.
- B. An arbitrator may, upon request of the Union and the State, issue his/her decision, opinion, or award orally upon submission of the arbitration. Either party may request that the arbitrator put his/her decision, opinion, or award in writing and that a copy be provided.
- C. The arbitrator shall not have the power to add to, subtract from, or modify this Contract. Only grievances as defined in section 6.2 A of this Article shall be subject to arbitration. In all arbitration cases, the award of the arbitrator shall be final and binding upon the parties.

6.12 Grievance Review

Upon request, the State shall meet monthly with the Union in an attempt to settle and resolve grievances. The parties shall agree at least two (2) weeks prior to each meeting on the agenda and who shall attend.

6.13 AWOL Hearing Back Pay

In any hearing of an automatic resignation (AWOL) pursuant to Government Code section 19996.2, the hearing officer shall have the discretion to award back pay. Once adopted by Department of Personnel Administration, the hearing officer's decision with respect to back pay shall be final and is neither grievable nor arbitrable under any provision of this Contract, nor may it otherwise be appealed to a court of competent jurisdiction. This provision does not alter or affect the right to bring a legal challenge or appeal of the other aspects of the hearing officer's decision as provided in law. This does not otherwise limit or expand any other authority of the hearing officer under Government Code section 19996.2.

6.14 Mini-Arbitration Procedure

The parties agree to participate in a pilot program of an expedited (mini) arbitration process. The pilot program shall begin ninety (90) days of reaching a tentative agreement and continue for one year, after which it shall terminate unless extended by mutual agreement. The parties shall meet after reaching a tentative agreement to determine the procedures necessary to implement this pilot program.

- A. The grievances to be referred to this process shall be determined by mutual agreement only. The parties agree that this process shall be reserved for those cases of limited scope and limited impact. The parties agree that this process shall be used at least four (4) times during the pilot period.

- B. The arbitrator shall be mutually selected by the parties; if the parties cannot agree upon an arbitrator, the parties shall request the State Mediation and Conciliation service to furnish a list of nine (9) arbitrators. The parties shall alternately strike names until one arbitrator remains.
- C. The arbitration shall be conducted according to the following rules and the arbitrator shall be required to abide by them:
 - 1. The arbitrator shall hear and decide as many grievances as can reasonably be presented in a normal work day.
 - 2. Prior to the arbitration, the parties must mutually agree to the questions to be placed before the arbitrator or the case will not proceed through this section.
 - 3. Only the grievant, his/her Union representative, appropriate steward, and one witness and no more than four (4) management representatives may appear at the hearing. Each party will designate no more than two (2) spokespeople per case to make an oral presentation.
 - 4. The arbitrator shall make his/her decision solely on the written record in the grievance, the grievance response(s), and any oral or documentary presentation made at the arbitration proceeding. The presentations shall be time limited, consistent with the intent of this provision to hold multiple grievance reviews in a single day. Only the arbitrator may ask the other side questions and each side waives the right to cross-examine the other. There shall be no stenographic record or transcripts.
 - 5. At the conclusion of the hearing, each party shall present an oral summation of its position. Post hearing briefs shall not be submitted.
 - 6. The arbitrator will issue a bench decision on each grievance. The decision of the arbitrator is final and binding, but shall have no precedential value whatsoever.
 - 7. The arbitrator shall have no authority to add to, delete, or alter any provisions of this Contract, or any agreements supplementary thereto, but shall limit the decision to the application of the Contract to the facts and circumstances at hand.
 - 8. The parties are limited at the expedited arbitration to presenting only the facts, documents, and arguments presented during the lower levels of the grievance process and either party may also introduce new documents or facts provided that such materials are submitted to the other party at least ten (10) days prior to the hearing.
- D. The arbitrator shall be paid a flat fee for each day of the hearing, without regard to the number of cases presented during that day's hearing. Each party shall pay one-half of the arbitrator's charges.

ARTICLE 7 – HOLIDAYS

- A. Full-time and part-time employees shall be entitled to such observed holidays with pay as provided below, in addition to any official State holidays declared by the Governor.

- B. Holidays shall include January 1, the third Monday in January, February 12, the third Monday in February, March 31, the last Monday in May, July 4, the first Monday in September, the second Monday in October, November 11, Thanksgiving Day, the day after Thanksgiving, and December 25. The holidays are observed on the actual day they occur with the following exceptions:
- (1) When November 11 falls on a Saturday, full-time and part-time employees shall be entitled to the preceding Friday as a holiday with pay.
 - (2) When a holiday falls on Sunday, full-time and part-time employees shall be entitled to the following Monday as a holiday with pay.
 - (3) If an employee's work schedule encompasses four (4) or more hours on the holiday, the employee will be compensated in accordance with this Article. An employee shall receive compensation for only the observed or actual holiday, not both.
- C. Upon completion of six (6) months of his/her initial probationary period in State service, a full-time or part-time employee shall be entitled to one (1) personal holiday per fiscal year. The personal holiday shall be credited to each full-time and part-time employee on the first day of July.
- D. The department head or designee may require five (5) days advance notice before a personal holiday is taken and may deny use subject to operational needs. When an employee is denied use of a personal holiday, the department head or designee may allow the employee to reschedule the personal holiday or shall, at the department's discretion, allow the employee to either carry the personal holiday to the next fiscal year or cash out the holiday on a straight time (hour-for-hour) basis.
- E. The department head or designee shall make a reasonable effort to grant an employee use of his/her personal holiday on the day of his/her desire subject to operational need.
- F. When an observed holiday falls on an employee's regularly scheduled day off, employees shall accrue up to eight (8) hours of holiday credit per said holiday. If an employee is required to work on an observed holiday, the employee shall be compensated at a premium rate in accordance with paragraph G, I or J below.
- G. When a full-time employee in Work Week Group 2 is required to work on an observed holiday, the employee shall receive eight hours of holiday credit and one and one-half (1½) the hourly rate for all hours worked on the observed holiday, compensable by holiday credit, cash or compensatory time off (CTO). The method of compensation shall be at the State's discretion.
- H. For the purpose of computing the number of hours worked, time during which an employee is excused from work because of a holiday shall be considered as time worked by the employee.
- I. Work Week Group E or SE Employees: When an observed holiday falls on an employee's regularly scheduled day off, employees shall accrue up to eight (8) hours of holiday credit per said holiday. If the employee is required to work on an observed holiday, the employee shall receive eight (8) hours of holiday credit and four (4) hours of informal time off.

- J. Part-time employees in Work Week Group 2 who are required to work on an observed holiday shall be entitled to compensation as follows: a pro-rated amount of holiday credit as specified in paragraph K below, and one and one-half the hourly rate for all hours worked on the observed holiday, compensable by holiday credit, cash or CTO. The method of compensation shall be at the State's discretion.
- K. Employees in Work Week Group 2 who are required to work overtime on a holiday shall be paid for all hours worked in excess of forty (40) hours in a regular workweek in accordance with the provisions of section 19.2, in addition to the premium rate described in paragraph G or J above.
- L. Employees shall receive compensation for holidays in accordance with the following:

**CHART FOR COMPUTING VACATION, SICK LEAVE, AND
HOLIDAY CREDITS FOR ALL FRACTIONAL TIME BASE EMPLOYEES
SUPERCEDES ACCRUAL RATES IN MANAGEMENT MEMORANDUM 84-20-1**

TIME BASE	HOURS OF MONTHLY VACATION CREDIT PER VACATION GROUP									HOURS OF MONTHLY SICK LEAVE AND HOLIDAY CREDIT
	7	10	11	12	13	14	16	17	18	
9/10	6.30	9.00	9.90	10.80	11.70	12.60	14.40	15.30	16.20	7.20
7/10	4.90	7.00	7.70	8.40	9.10	9.80	11.20	11.90	12.60	5.60
3/10	2.10	3.00	3.30	3.60	3.90	4.20	4.80	5.10	5.40	2.40
1/10	0.70	1.00	1.10	1.20	1.30	1.40	1.60	1.70	1.80	0.80
7/8	6.13	8.75	9.63	10.50	11.38	12.25	14.00	14.88	15.75	7.00
3/4	5.25	7.50	8.25	9.00	9.75	10.50	12.00	12.75	13.50	6.00
5/8	4.38	6.25	6.88	7.35	8.13	8.75	10.00	10.63	11.25	5.00
1/2	3.50	5.00	5.50	6.00	6.50	7.00	8.00	8.50	9.00	4.00
3/8	2.63	3.75	4.13	4.50	4.88	5.25	6.00	6.38	6.75	3.00
1/4	1.75	2.50	2.75	3.00	3.25	3.50	4.00	4.25	4.50	2.00
1/8	0.88	1.25	1.38	1.50	1.63	1.75	2.00	2.13	2.25	1.00
4/5	5.60	8.00	8.80	9.60	10.40	11.20	12.80	13.60	14.40	6.40
3/5	4.20	6.00	6.60	7.20	7.80	8.40	9.60	10.20	10.80	4.80
2/5	2.80	4.00	4.40	4.80	5.20	5.60	6.40	6.80	7.20	3.20
1/5	1.40	2.00	2.20	2.40	2.60	2.80	3.20	3.40	3.60	1.60

An employee can only earn up to a maximum of eight (8) hours holiday credit per holiday, regardless of the number of positions the employee holds within State service.

- M. Holiday Credit may be requested and taken in fifteen (15) minute increments.
- N. An employee shall be allowed to carry over unused holiday credits or be paid for the unused holiday credits, at the discretion of the department head or designee.
- O. Upon termination from State employment, an employee shall be paid for unused holiday credit.
- P. In the event that traditional, but unofficial holidays (e.g., Mother's Day, Father's Day), or religious holidays (e.g., Easter or Yom Kippur) fall on an employee's scheduled workday, the employee shall have the option to request the use of annual leave, accrued vacation, holiday credits, personal leave or CTO time, in order to secure the day off. The department head or designee shall make a reasonable effort to grant an employee the day off subject to operational need.
- Q. The parties will jointly develop a holiday compensation training program for departments.

ARTICLES 8 – LEAVES

8.1 Vacation/Annual Leave

- A. Employees shall not be entitled to vacation leave credit for the first six (6) months of service. On the first day of the monthly pay period following completion of six (6) qualifying monthly pay periods of continuous service, all full-time employees covered by this section shall receive a one-time vacation bonus of forty-two (42) hours of vacation credit. Thereafter, for each additional qualifying monthly pay period, the employee shall be allowed credit for vacation with pay on the first day of the following month as follows:

7 months to 3 years	7 hours per month
37 months to 10 years	10 hours per month
121 months to 15 years	12 hours per month
181 months to 20 years	13 hours per month
241 months and over	14 hours per month

- B. Employees may elect to enroll in the Annual Leave program to receive annual leave credit in lieu of vacation and sick leave credits. Enrollment into and out of the Annual Leave Program will occur annually during an open enrollment period during the month of April. All enrollments must be received by the employee's personnel office from April 1 to April 30. The effective date of the election shall be the first day of the June pay period.

- C. Each full-time employee shall receive credit for annual leave in lieu of the vacation and sick leave credits of this agreement in accordance with the following schedule:

1 month to 3 years	11 hours per month
37 months to 10 years	14 hours per month
121 months to 15 years	16 hours per month
181 months to 20 years	17 hours per month
241 months and over	18 hours per month

- D. Employees who elect to move to the vacation and sick leave programs will have their accrued annual leave balances converted to vacation. Employees shall have the continued use of any sick leave accrued as of the effective date of this agreement.
- E. A full-time employee who has eleven (11) or more working days of service in a monthly pay period shall earn vacation/annual leave credits as set forth above under subsection A above or C respectively. Absences from State service resulting from a temporary or permanent separation for more than eleven (11) consecutive working days which fall into two consecutive qualifying pay periods shall disqualify the second pay period.
- F. Part-time and hourly employees shall accrue proportional vacation/annual leave credits, in accordance with the chart shown in section 7 L of this Contract.
- G. Vacation/Annual Leave accrual for employees in multiple positions will be computed by combining all positions, provided the result does not exceed the amount earnable in full-time employment, and the rate of accrual shall be determined by the schedule which applies to the position or collective bargaining status under which the election was made.
- H. Annual leave that is used for purposes of sick leave is subject to the requirements set forth in section 8.2, Sick Leave, of this Contract.
- I. Workweek Group 2 employees may take vacation/annual leave credits in fifteen (15) minute increments.
- J. Workweek Group 2 employees are authorized to use existing fractional vacation/annual leave hours that may have been accumulated.
- K. Subject to operational needs, the time when vacation/annual leave shall be taken by the employee shall not be unreasonably denied. Employee vacation/annual leave requests shall be submitted and granted or denied in writing in a timely manner. Vacations/annual leave can only be cancelled when unanticipated operational needs require it.

- L. Vacation/Annual Leave requests must be submitted in accordance with departmental policies on this subject. However, when two (2) or more employees on the same shift (if applicable) in a work unit (as defined by each department head or designee) request the same vacation/annual leave time and approval cannot be given to all employees requesting it, employees shall be granted their preferred vacation/annual leave period in order of seniority (defined as total months of State service in the same manner as vacation/annual leave is accumulated). When two (2) or more employees have the same amount of State service, department seniority will be used to break the tie. Vacation/annual leave schedules, which have been established in a work unit, pursuant to the seniority provisions in this Article, shall not be affected by employee(s) entering the unit after the schedule has been established.
- M. If an employee does not use all of the vacation/annual leave that the employee has accrued in a calendar year, the employee may carry over his/her accrued vacation/annual leave credits to the following calendar year to a maximum of six hundred forty (640) hours. A department head or designee may permit an employee to carry over more than six hundred forty (640) hours of accrued vacation/annual leave hours if an employee was unable to reduce his/her accrued hours because the employee: (1) was required to work as a result of fire, flood, or other extensive emergency; (2) was assigned work of a priority or critical nature over an extended period of time; (3) was absent on full salary for compensable injury; (4) was prevented by department regulations from taking vacation/annual leave until December 31 because of sick leave; or (5) was on jury duty.
- N. By June 1 of each calendar year those employees whose vacation/annual leave balance exceeds, or could exceed by December 31, the vacation/annual leave cap of subsection M must submit to their supervisor for approval a plan to use vacation/annual leave to bring their balance below the cap. If the employee fails to submit a plan, or adhere to an approved plan, the department head or designee has the right to order an employee to take sufficient vacation/annual leave to reduce the employee's vacation/annual leave balance or potential balance on December 31 below the cap specified in subsection M.
- O. Upon termination from State employment, the employee shall be paid for accrued vacation/annual leave credits for all accrued vacation/annual leave time.
- P. An employee who returns to State service after an absence of six (6) months or longer, caused by a permanent separation, shall receive a one-time vacation credit on the first monthly pay period following completion of six (6) qualifying pay periods of continuous service in accordance with the employee's total State service before and after the absence.

8.2 Sick Leave

- A. As used in this section, "sick leave" means the necessary absence from duty of an employee because of:
 1. Illness or injury, including illness or injury relating to pregnancy.
 2. Exposure to a contagious disease which is determined by a physician or licensed practitioner to require absence from work.

3. Dental, eye, and other physical or medical examination or treatment by a licensed practitioner.
 4. Absence from duty for attendance upon the employee's ill or injured mother, father, husband, wife, domestic partner (as defined in accordance with Family Code section 297), son, daughter, brother or sister, or any person residing in the immediate household. Such absence shall be limited to six (6) work days per occurrence or, in extraordinary situations, to the time necessary for care until physician or other care can be arranged.
- B. A full-time employee who has eleven (11) or more working days of service in a monthly pay period shall be eligible for up to eight (8) hours of sick leave credit. On the first day of the monthly pay period following completion of each qualifying pay period of service, each full-time employee in Bargaining Unit 17 shall earn eight (8) hours of credit for sick leave with pay.
- C. Credit for less than full-time employees shall be computed as follows:
1. Part-time employees. On the first day of the monthly pay period following completion of each monthly pay period of continuous service, each part-time employee shall be allowed, on a pro rata basis, the fractional part of his/her appropriate accrual rate of credit for sick leave with pay in accordance with the Chart for Computing Leave and Holiday Credit for All Fractional Time Base Employees – Vacation & Sick Leave (subsection 7 L).
 2. Multiple positions under this rule:
 - a. An employee holding a position in State s er vice in addition to the primary full-time position with the State shall not receive credit for sick leave with pay for service in the additional position.
 - b. Where an employee holds two (2) or more "less than full-time positions", the time worked in each position shall be combined for purposes of computing credits for sick leave with pay, but such credits shall not exceed full-time employment credit.
- D. An employee may be required to provide a physician's or licensed practitioner' s verification of sick leave when:
1. the employee has a demonstrable pattern of sick leave abuse; or
 2. the supervisor has a reasonable belief that the absence was for an unauthorized reason. Reasonable belief means that a prudent person would also believe the absence was for an unauthorized reason.
 3. Whenever practical the verification of absence for authorized sick leave will be requested prior to the employee's return to work.

- E. The department head or designee shall approve sick leave only after having ascertained that the absence is for an authorized reason and may require the employee to submit substantiating evidence including, but not limited to, a physician's or licensed practitioner's verification. The State recognizes the confidential nature of the relationship between the health care provider and patient. However, such substantiation shall include, but not be limited to, the general nature of the employee's illness or injury and, when applicable, the anticipated length of the absence, any restrictions upon return to work that prevent the employee from performing the full range of his/her normal work assignment and anticipated future absences. If the department head or designee does not consider the evidence adequate, the request for sick leave shall be disapproved. Upon request, a denial of sick leave shall be in writing stating the reason for denial and, if applicable, any additional information which, once provided, could reverse the decision. The employee shall have five (5) working days to provide additional documentation to verify the request for sick leave prior to the decision becoming final and the absence shall be considered unapproved.
- F. Approved EIDL, IDL, SDI, ENDI shall not be included in the number of occurrences of sick time usage for purpose of calculating sick leave abuse provided the employee follows the employers established procedures for obtaining approved leave. Absence from duty resulting from approved EIDL, IDL, SDI (if applicable) shall not be subject to disciplinary action.
- G. Sick leave may be accumulated without limit.
- H. Sick leave may be requested and taken in fifteen (15) minute increments.
- I. A full-time employee whose continuity of employment is broken by a permanent separation of six (6) months or longer and is subsequently reemployed cannot be credited with any unused sick leave accumulated prior to the employee's separation and the full-time employee must complete one month of continuous service before being granted one day of sick leave credit. In addition, when a full-time employee has a break in the continuity of employment because of a permanent separation of less than six (6) months or because of a temporary separation, the full-time employee's prior unused sick leave balance is restored.
- J. When an employee's sick leave balance is zero, other leave credits such as vacation, annual leave, compensating time off (CTO), personal leave program (PLP), personal holiday, or holiday credit may be substituted with the supervisor's approval, and shall not be unreasonably denied.

8.3 Bereavement Leave

- A. A department head or designee shall authorize bereavement leave with pay for a permanent or probationary full-time State employee due to the death of his/her parent, stepparent, spouse, domestic partner that has been defined and certified with the Secretary of State's office in accordance with Family Code section 297, grandparent, grandchild, child, sister, brother, stepchild, or death of any person residing in the immediate household of the employee at the time of death. An intervening period of absence for medical reasons shall not be disqualifying when, immediately prior to the absence, the person resided in the household of the employee. Such bereavement leave shall be authorized for up to three (3) eight-hour days (24 hours) per occurrence. The employee shall give notice to his/her immediate supervisor as soon as possible and shall, if requested by the employee's supervisor, provide substantiation to support the request upon the employee's return to work.
- B. A department head or designee shall authorize bereavement leave with pay for a permanent full-time or probationary full-time employee due to the death of aunt, uncle, niece, nephew, mother-in-law, father-in-law, daughter-in-law, son-in-law, sister-in-law, or brother-in-law. Such bereavement leave shall be authorized for up to three (3) eight-hour days in a fiscal year. The employee shall give notice to his/her immediate supervisor as soon as possible and shall, if requested by the employee's supervisor, provide substantiation to support the request.
- C. If the death of a person as described above requires the employee to travel over four hundred (400) miles one-way from his/her home, additional time off with pay shall be granted for two (2) additional days which shall be deducted from accrued leave. Should additional leave be necessary, the department head or designee may authorize the use of existing leave credits or authorized leave without pay. Such requests shall not be unreasonably denied.
- D. Employees may utilize their annual leave, PLP, Holiday, vacation, CTO, or any other earned leave credits for additional time required in excess of time allowed in A. or B. above. Sick leave may be utilized for Bereavement Leave in accordance with the Sick Leave provision of this agreement.
- E. Fractional time base (part-time) employees will be eligible for bereavement leave on a pro rata basis, based on the employees' fractional time base in accordance with the Chart for Computing Leave and Holiday Credit for All Fractional Time Base Employees – Vacation & Sick Leave (included as subsection 7 L).

8.4 Parental/Adoption Leave

- A. A female permanent employee shall be entitled, upon request, to an unpaid leave of absence for purposes of pregnancy, childbirth, recovery therefrom, care for the newborn child, or for the adoption of a child for a period not to exceed one year. The employee shall provide medical/legal substantiation to support her request for pregnancy/adoption leave. The request must include the beginning and ending dates of the leave and must be requested no later than thirty (30) calendar days after the birth of the child. Any changes to the leave, once approved, are permissive and subject to the approval of the department head or designee.

- B. A male spouse or male parent, who is a permanent employee, shall be entitled, upon request, to an unpaid leave of absence for a period not to exceed one year to care for his newborn child or for his adoption of a child. The employee shall provide medical/legal substantiation to support his request for parental/adoption leave. The request must include the beginning and ending dates of the leave and must be requested no later than thirty (30) calendar days after the birth of the child. Any changes to the leave, once approved, are permissive and subject to the approval of the department head or designee.
- C. If the request for parental/adoption leave is made more than thirty (30) calendar days after the birth of the child, a permissive unpaid leave of absence may be considered by the department head or designee.
- D. Existing leave credits may be used for the purpose of assuming custody of the adopted child.
- E. During the period of time an employee is on parental/adoption leave, she/he shall be allowed to continue her/his health, dental, and vision benefits. The cost of these benefits shall be paid by the employee and the rate that the employee will pay will be the group rate.

8.5 Intentionally Excluded

8.6 Union Leave

- A. The Union shall have the choice of requesting an unpaid leave of absence or a paid leave of absence (Union Leave) for a Union bargaining council member, steward or chief steward. An unpaid leave of absence may be granted by the State pursuant to the unpaid leave of absence provisions in this Contract. A Union leave may also be granted at the discretion of the affected department head or designee in accordance with the following:
 - 1. A Union leave shall assure an employee the right to his/her former position upon termination of the leave. The term "former position" is defined in Government Code section 18522.
 - 2. The Union agrees to reimburse the affected department(s) for the full amount of the affected employee's salary, plus an additional amount equal to thirty-three percent (33%) of the affected employee's salary, for all the time the employee is off on a Union leave.
 - 3. The affected employee shall have no right to return from Union leave earlier than the agreed upon date without the approval of the employee's appointing power.
 - 4. Except in emergencies or layoff situations, a Union leave shall not be terminated by the department head or designee prior to the expiration date.
 - 5. Employees on a Union leave shall suffer no loss of compensation or benefits.
 - 6. Whether or not time for a Union leave is counted for merit purposes shall be determined by the State Personnel Board and such determination shall not be grievable or arbitrable.

7. Employees on Union leave under this provision and the Union shall waive any and all claims against the State for Workers' Compensation and Industrial Disability Leave.
8. In the event an employee on a Union leave, as discussed above, files a workers' compensation claim against the State of California or any agency thereof, for an injury or injuries sustained while on a Union leave, the Union agrees to indemnify and hold harmless the State of California or agencies thereof, from both workers' compensation liability and any costs of legal defense incurred as a result of the filing of the claim.
9. The Union leave shall normally be requested fourteen (14) calendar days prior to the date of the leave.

8.7 Unpaid Leave of Absence

- A. A department head or designee may grant an unpaid leave of absence for a period not to exceed one year. The employee shall provide substantiation to support the employee's request for an unpaid leave of absence.
- B. Except as otherwise provided in subsection C below, an unpaid leave of absence shall not be granted to any employee who is accepting some other position in State employment; or who is leaving State employment to enter other outside employment; or who does not intend to, nor can reasonably be expected to, return to State employment on or before the expiration of the unpaid leave of absence. A leave, so granted, shall assure an employee the right to his/her former position upon termination of the leave. The term "former position" is defined in Government Code section 18522.
- C. An unpaid leave of absence may be granted for, but not limited to, the following reasons:
 1. Union activity;
 2. for temporary incapacity due to illness or injury;
 3. to be loaned to another governmental agency for performance of a specific assignment;
 4. to seek or accept other employment during a layoff situation or otherwise lessen the impact of an impending layoff;
 5. education;
 6. research project;
 7. personal or family matters; or
 8. run for public office.

- D. Extensions of an unpaid leave of absence may be requested by the employee and may be granted by the department head or designee.

A leave of absence shall be terminated by the department head or designee:

1. at the expiration of the leave; or
2. prior to the expiration date with written notice at least thirty (30) work days prior to the effective date of the revocation.

8.8 Transfer of Leave Credits, Work and Family Program

The parties recognize the importance of family members in the lives of State employees, as acknowledged by the Joint Labor/Management Work and Family Advisory Committee.

- A. Upon request of an employee and upon approval of a department director or designee, leave credits (CTO, personal leave, annual leave, vacation, personal day, and/or holiday credit) shall be transferred between family members, in accordance with departmental procedures, for issues relating to Family Medical Leave, parental leave or adoption leave as indicated in the relevant articles of this agreement. Donations may be made by a child, parent, spouse, domestic partner that has been defined and certified with the Secretary of State's office in accordance with Family Code section 297, brother, sister, or other person residing in the immediate household.
- B. Upon request of an employee and upon approval of a department director or designee, leave credits (compensating time off, annual leave, vacation, personal leave, and/or holiday) may be transferred from one or more employees to another employee, in accordance with the departmental policies, when the receiving employee faces financial hardship due to injury or the prolonged illness of the employee, employee's child, parent, spouse, domestic partner that has been defined and certified with the Secretary of State's office in accordance with Family Code section 297, spouse's or domestic partner's parent, brother, sister, or other person residing in the immediate household.
- C. For the purposes of transferring leave credits the following definitions shall apply:
 1. Sick leave credits cannot be transferred.
 2. When the receiving employee faces financial hardship due to injury or the prolonged illness of the employee, employee's spouse, child, parent or spouse's parent.
 3. The receiving employee has exhausted all leave credits.
 4. The donations must be in whole-hour increments and credited as vacation or annual leave.
 5. Transfer of annual leave, vacation, compensating time off, personal leave program and holiday credits shall be allowed to cross departmental lines in accordance with the policies of the receiving department.

6. The total leave credits received by the employee shall not exceed three (3) months; however, if approved by the appointing authority, the total leave credits received may be six (6) months.
7. Donations shall be made on a form to be supplied by the State, signed by the donating employee, and verified by the donating department. When donations are used, they will be processed based on date and time received (first in, first used). Unused donations shall be returned to the appropriate donor.
8. This section is not subject to the Grievance and Arbitration Article of the Contract.

8.9 Catastrophic Leave: Natural Disaster

- A. Upon request of an employee and upon approval of a department director or designee, leave credits (compensating time off, annual leave, vacation, personal leave, and/or holiday) may be transferred from one or more employees to another employee, in accordance with departmental policies, under the following conditions:
 1. Sick leave credits cannot be transferred.
 2. When the receiving employee faces financial hardship due to the effect of the natural disaster on the employee's principal residence.
 3. The receiving employee has exhausted all leave credits.
 4. The donations must be in whole-hour increments and credited as vacation or annual leave.
 5. Transfer of annual leave, vacation, compensating time off, personal leave program and holiday credits shall be allowed to cross departmental lines in accordance with the policies of the receiving department.
 6. The total leave credits received by the employee shall not exceed three (3) months; however, if approved by the appointing authority, the total leave credits received may be six (6) months.
 7. Donations shall be made on a form to be developed by the State, signed by the donating employee and verified by the donating department. When donations are used, they will be processed based on the date and time received (first in, first used). Unused donations shall be returned to the appropriate donor on a last received, first returned basis.
 8. This section is not subject to the Grievance and Arbitration Article of this Contract.

8.10 Release Time for State Civil Service Examinations

Employees who are participating in a State civil service examination shall be granted reasonable time off without loss of compensation to participate in an examination if the examination has been scheduled during his/her normal work hours and the employee has provided reasonable (normally two working days) notice to his/her supervisor. For the purposes of this section, hiring interviews for individuals certified from employment lists shall be considered part of the examination process. The State shall accommodate a shift change request from an employee who is scheduled to work a NOC shift or the first watch on the day of an SPB examination.

8.11 Release Time for State Personnel Board Hearings

Upon two (2) working days advance notice, the State shall provide reasonable time off without loss of compensation for a reasonable number of employees to attend hearings conducted by the California State Personnel Board during the employee's normal work hours provided that the employee is either (1) a party to the hearing proceedings, e.g., an appellant, or (2) is specifically affected by the results of the hearing and has been scheduled to appear or testify by the State Personnel Board. The State shall attempt to accommodate a shift change request from an employee involved in (1) or (2) above who is scheduled to work a graveyard shift on the day of an SPB hearing.

8.12 Intentionally Excluded

8.13 Court Appearance and/or Court Subpoenas

- A. Whenever an employee is served with a court subpoena which compels his/her presence as a witness, unless he/she is a party or an expert witness, such employee shall be granted a leave of absence with pay in the amount of the difference between the employee's regular earnings and any amount he/she receives for such appearance. The time authorized and used by a Unit 17 employee who is required by the State to prepare and testify as a witness, shall be considered as time worked.
- B. A Bargaining Unit 17 employee shall be granted reasonable State release time for appearances before the Board of Registered Nurses if the employee is exonerated of all charges.
- C. This action shall not be applicable to appearances for which the employee receives compensation in excess of his/her regular pay.
- D. In the event an employee is a party to a legal action, the employee shall, upon reasonable notice and the approval of the immediate supervisor, be granted the use of his/her accrued CTO, personal, annual, vacation or unpaid leave.
- E. Upon request, and subject to operational needs, an employee on an alternate work schedule or shift other than Monday – Friday, 8am to 5pm may be placed on an existing work schedule or shift that coincides with the time he/she is required to be available in accordance with the provisions of A above.

8.14 Jury Duty

- A. An employee shall be allowed such time off without loss of compensation as is required in connection with mandatory jury duty. If payment is made for such time off, the employee is required to remit to the State jury fees received. For night jury duty and twenty-four (24) hour facilities, the State shall adjust an employee's work shift and/or work schedule (including regularly scheduled days off) to accommodate jury duty and necessary travel time requirements.
- B. An employee shall notify his/her supervisor immediately upon receiving notice of jury duty.
- C. If an employee elects to use accrued vacation leave, annual leave or compensating time off while on jury duty, the employee is not required to remit jury fees.
- D. For purposes of this section, "jury fees" means fees received for jury duty excluding payment for mileage, parking, meals or other out-of-pocket expenses.
- E. An employee may be allowed time off without loss of compensation if approved by the department head or designee for voluntary jury duty such as grand jury. If approved by the department, provisions "B" and "C" apply.

8.15 Personal Leave Program - Voluntary

The State shall continue a voluntary Personal Leave Program for bargaining unit employees. Employees may voluntarily participate in the personal leave program on a continuing basis.

- A. Each full-time employee subject to paragraph B. shall be credited with eight (8) hours of Voluntary Personal Leave on the first day of the following monthly pay period for each month in the Voluntary Personal Leave Program.
- B. Each full-time employee participating in the Voluntary PLP shall continue to work his/her assigned work schedule and shall have a reduction in pay equal to 5%. In exchange, 8 hours of leave will be credited to the employee's Voluntary Personal Leave Program monthly.
- C. Personal leave shall be requested and used by the employee in the same manner as vacation or annual leave. Requests to use personal leave must be submitted in accordance with departmental policies on vacation and annual leave. Personal leave shall not be included in the calculation of vacation/annual leave balances pursuant to Article 8 (Leaves).
- D. An employee may accumulate no more than 240 hours of Voluntary Personal Leave. When an employee reaches 240 hours of Personal Leave or would exceed 240 hours of Personal Leave with further accumulation, he/she shall be removed from the Voluntary Personal Leave Program.

When an employee is removed from the Voluntary Personal Leave Program, he/she may not participate for a minimum of 12 months and he/she is not eligible to re-enroll until his/her balance is reduced to a maximum of 120 hours.

- E. At the discretion of the State, all or a portion of unused personal leave credits may be cashed out at the employee's salary rate at the time the personal leave payment is made. It is understood by both parties that the application of this cash out

provision may differ from department to department and from employee to employee. Upon termination from State employment, the employee shall be paid for unused personal leave credits in the same manner as vacation or annual leave. Cash out or lump sum payment for any Personal Leave credits shall not be considered as "compensation" for purposes of retirement. If funds become available, as determined by the Department of Finance, for the Personal Leave Program, departments will offer employees the opportunity to cash out accrued personal leave. Upon retirement/separation, the cash value of the employee's personal leave balance may be transferred into a State of California, Department of Personnel Administration Deferred Compensation Program as permitted by Federal and State law.

- F. An employee may not use any kind of paid leave such as sick leave, vacation, or holiday time to avoid a reduction in pay resulting from the Personal Leave Program.
- G. A State employee in the Personal Leave Program shall be entitled to the same level of State employer contributions for health, vision, dental, flex-elect cash option, and enhanced survivor's benefits he or she would have received had the Personal Leave Program not occurred.
- H. The Personal Leave Program shall not cause a break in State service, a reduction in the employee's accumulation of service credit for the purposes of seniority and retirement, leave accumulation, or a merit salary adjustment.
- I. The Personal Leave Program shall neither affect the employee's final compensation used in calculating State retirement benefits nor reduce the level of State death or disability benefits the employee would otherwise receive or be entitled to receive nor shall it affect the employee's ability to supplement those benefits with paid leave.
- J. Part-time employees shall be subject to the same conditions as Stated above, on a prorated basis.
- K. The Personal Leave Program for intermittent employees shall be prorated based upon the number of hours worked in the monthly pay period.
- L. The Personal Leave Program shall be administered consistent with the existing payroll system and the policies and practices of the State Controller's Office.
- M. Employees on SDI, IDL, or Worker's Compensation for the entire monthly pay period shall be excluded from the Personal Leave Program for that month.

8.16 Family Medical Leave Act (FMLA)

- A. The State acknowledges its commitment to comply with the spirit and intent of the leave entitlement provided by the FMLA and the California Family Rights Act (CFRA) referred to collectively as "FMLA". The State and the Union recognize that on occasion it will be necessary for employees of the State to take job-protected leave for reasons consistent with the FMLA. As defined by the FMLA, reasons for an FMLA leave may include an employee's serious health condition, for the care of a child, spouse, domestic partner (as defined in Family Code section 297), or parent who has a serious health condition, and/or for the birth or adoption of a child.
- B. For the purposes of providing the FMLA benefits the following definitions shall apply:

1. An eligible employee means an employee who meets the eligibility criteria set forth in the FMLA;
2. An employee's child means any child, regardless of age, who is affected by a serious health condition as defined by the FMLA and is incapable of self care. "Care" as provided in this section applies to the individual with the covered health condition;
3. An employee's parent means a parent or an individual standing in loco parentis as set forth in the FMLA;
4. Leave may include paid sick leave, vacation, annual leave, personal leave, catastrophic leave, holiday credit, excess hours, and unpaid leave. In accordance with the FMLA, an employee shall not be required to use CTO credits, unless otherwise specified by subsection 8.8 of this Contract.
 - a. FMLA absences due to illness and/or injury of the employee or eligible family member may be covered with the employee's available sick leave credits and catastrophic leave donations. Catastrophic leave eligibility and sick leave credit usage for a FMLA leave will be administered in accordance with subsections 8.8 and 8.2 of this Contract.
 - b. Other leave may be substituted for the FMLA absence due to illness and/or injury, at the employee's discretion. An employee shall not be required to exhaust all paid leave, before choosing unpaid leave, unless otherwise required by subsection 8.8 of this Contract.
 - c. FMLA absences for reasons other than illness and/or injury (i.e., adoption or care of an eligible family member), may be covered with leave credits, other than sick leave, including unpaid leave, at the employee's discretion. Except in accordance with section 8.8 of this Contract, an employee shall not be required to exhaust all leave credits available before choosing unpaid leave to cover an FMLA absence.
- C. An eligible employee shall provide certification of the need for an FMLA leave. Additional certification may be requested if the department head or designee has reasonable cause to believe the employee's condition or eligibility for FMLA leave has changed. The reasons for the additional certification request shall be provided to the employee in writing.
- D. An eligible employee shall be entitled to a maximum of twelve (12) workweeks (480 hours) FMLA leave per calendar year and all other rights set forth in the FMLA. This entitlement shall be administered in concert with the other leave provisions in Article 8 of this Contract. Nothing in this Contract should be construed to allow the State to provide less than that provided by the FMLA.
- E. On January 1 of each year, FMLA leave shall be recorded in accordance with the calendar year. Each time an employee takes an FMLA leave, the remaining leave entitlement is any balance of the twelve (12) workweeks that has not been used during the current calendar year. Employees who have taken FMLA leave under the previous 12 month rolling period, shall be entitled to additional leave up to a total of 12 weeks for the current calendar year.

- F. An employee on FMLA leave has a right to be restored to his/her same or "equivalent" position (FMLA) or to a "comparable" position (CFRA) with equivalent pay, benefits, and other terms and conditions of employment.
- G. For the purposes of computing seniority, employees on paid FMLA leave will accrue seniority credit in accordance with the Department of Personnel Administration Rules 599.608 and 599.609.
- H. Any appeals regarding an FMLA decision should be directed to the department head or designee. FMLA is a Federal law and administered and enforced by the Department of Labor, Employment Standards Administration, Wage and Hour Division. The State's CFRA is a State law which is administered and enforced by the Department of Fair Employment and Housing. FMLA/CFRA does not supersede any Article of this Contract which provides greater family and medical leave rights. This section is not subject to grievance or arbitration.

8.17 Intentionally Excluded

8.18 Work and Family Participation

A. Family Activity

Subject to operational needs and reasonable notice to the employer, employees shall be permitted to use accrued leave credits (annual leave, vacation, personal holiday, holiday credits, CTO) for the purpose of attending school or non-school family-related activities such as sports events, recitals, 4-H, etc., in which the employee's child is participating.

However, use of such leave shall not diminish an employee's entitlement under the Family School Partnership Act (Labor Code 230.8), upon reasonable notice to the employer, employees may use up to eight (8) hours per month but not to exceed forty (40) hours per calendar year of accrued leave credits (vacation, personal holiday, annual leave, holiday credits, CTO) for the purpose of attending school or pre-school related activities in which the employee's child is participating.

Child is defined as the employee's child, stepchild, or any child the employee stands in loco parentis (to the child).

Employee leave requests for family activities shall be in accordance with the appropriate departmental procedures.

B. Family Crisis

Subject to operational needs, and upon reasonable notice to the employee's immediate supervisor, employees shall be eligible to use accumulated leave credits for the purpose of dealing with family crisis situations (e.g., divorce counseling, family or parenting conflict management, family care urgent matters and/or emergencies). If the employee has exhausted available leave credits, the employee may request unpaid leave.

Family is defined as the parent, stepparent, spouse, domestic partner that has been defined and certified with the Secretary of State's office in accordance with Family

Code section 297, child, grandchild, grandparent, brother, sister, stepchild, or any person residing in the immediate household.

If eligible, any Family Crisis Leave that meets the definition of serious health condition will run concurrently with subsection 8.16 (Family Medical Leave Act) of this Contract.

The State shall consider requests from employees to adjust work hours or schedules or consider other flexible arrangements consistent with a department's operational needs and the provisions of this Contract.

Employee requests related to family crisis or domestic violence shall be in accordance with departmental procedures and, except in emergencies, shall be made with reasonable notice to the employee's immediate supervisor.

The State shall maintain the confidentiality of any employee requesting accommodation under this section, but may require substantiation to support the employee's request.

8.19 Paid Time Off – Precinct Election Board

With prior approval of the employee's supervisor and under comparable conditions as provided for supervisors and managers in DPA rule 599.930, an employee may be granted time off for public service as a member of a Precinct Election Board. The employee shall be eligible for both regular State compensation and any fee paid by the Registrar of Voters for such service. Verification of service may be required.

8.20 Blood Donation Programs

Employees who donate blood, plasma, platelets and other blood products to certified donation centers may be allowed reasonable release time without loss of compensation when donations are made either at or in close proximity to the work site. Donation verification shall be provided upon request.

8.21, 8.22 and 8.23 Intentionally Excluded

8.24 DDS Vacation Scheduling: Two Vacation Period Scheduling Method

A. On October 1 of each year, each unit/work location shall post a vacation calendar in a prominent place readily available to Bargaining Unit 17 (Registered Nurse) employees. For the a.m. and p.m. shifts, the calendar shall clearly indicate by unit/work location (as defined by the program management) and shift, the number of level-of-care employees that may be on vacation on each day of the upcoming year. For the NOC shift, the calendar shall indicate, by program, the number of employees that may be on vacation on each day of the upcoming year. The posted vacation time shall be sufficient to permit all employees on each shift to have a vacation sometime during the year. Non-client residential programs are exempt from coverage but will be governed by terms and conditions provided under the Agreement.

- B. During the period of October 1 to October 31, all employees, without regard to bargaining unit classification or seniority, may sign up for no more than two (2) vacation periods for the upcoming calendar year. Each vacation period shall be for consecutive days. The two (2) vacation periods combined shall not exceed thirty-two (32) days of vacation days scheduled off during the vacation year, and any one vacation period shall not exceed twenty-four (24) vacation days scheduled off. Each vacation period shall be separated by at least twenty-two (22) days worked.
1. Vacation requests shall not exceed the employees' accrued vacation time balance at the time(s) the vacation(s) is taken.
 2. No other accumulated/accrued time shall be authorized for the purpose of requesting vacation time off.
 3. During the above period, management will not intervene to resolve conflicts in the vacation requests beginning November 1 and ending the close of November 30, those employees with overlapping vacation requests that would result in exceeding the authorized vacation posting shall be notified. These employees will be given the opportunity to modify their vacation choices through discussion and compromise among the affected employees. Where these discussions do not result in compromise and agreement among affected employees, the most senior employees' vacation request shall prevail if the employees are in the same bargaining unit. Conflicts between employees of different bargaining units shall be resolved by lot (coin toss). The employee has the right to be present during the coin toss. If an employee does not obtain his/her bid vacation, he/she will be provided the same duration of time off as bidden as determined by management, or the employee may bid on the remaining unbid vacation time.
- C. On December 7, program management shall post the vacation calendar for the upcoming vacation year.
- D. Program management shall post an ad hoc calendar on a quarterly basis for the purpose of identifying potential time slots.

The calendar shall be posted on or about December 20 for the January/March quarter and by the 20th day of the last month of each quarter thereafter.

1. Program management shall maintain full and unabridged discretion to determine the time slot(s) available on the ad hoc calendars and shall maintain full and unabridged prerogatives to add or delete ad hoc time slot(s) that have not been approved.
 2. The ad hoc calendar shall not be construed as an additional vacation calendar, but as contingent and tentative time slot(s) subject to cancellation for operational needs.
 3. The ad hoc time slot(s) shall be obtained on a first-come, first-served basis without regard to what type of employee time accrual is used to request the time slot(s) off.
- E. When an employee cancels a vacation period, the State shall make a reasonable effort to make all or part of the time available on the ad hoc calendar.
- F. A reasonable effort shall be made to honor vacation time when an employee transfers to another position within the facility. If it cannot be honored, the employee will be guaranteed the equivalent time off at another time, as determined by management.
- G. Nothing in this agreement shall prevent program management from granting additional time in excess of the ad hoc calendar.
- H. If an ad hoc time slot is available, an employee who elects to use a personal holiday, it shall be granted if the request is made at least five (5) calendar days in advance.

8.25 DMH Vacation Scheduling

- A. On October 1 of each year, each program or other work location shall post a vacation calendar in a prominent place. The calendar shall indicate by program and shift the number of employees that may be on vacation on each day of the upcoming calendar year. The posted vacation time shall be sufficient to permit all employees on each shift to have an opportunity to take a vacation.
- B. Between October 15 and November 15, employees shall be called upon in order of seniority to bid, subject to available posted vacation dates, one or two (2) vacation period(s) for the upcoming calendar year as follows:
1. For one vacation period, it must be consecutive days not to exceed thirty-two (32) days of vacation days scheduled off during the vacation year.
 2. For two (2) vacation periods, each vacation period shall be for consecutive days. The two (2) vacation periods combined shall not exceed thirty-two (32) days of vacation days scheduled off during the vacation year, and any one vacation period shall not exceed twenty-four (24) vacation days scheduled off. Each vacation period shall be separated by at least twenty-two (22) days worked.

As each employee chooses his/her vacation period, that vacation period shall be entered in ink on the appropriate vacation calendar. For the purpose of this

subsection, an employee's chosen vacation period may not exceed the employee's accrued vacation time balance at the time the vacation is to be taken.

- C. Beginning December 1, employees may select time off on a first-come first-serve basis from the remaining posted dates. If the selection is at least ten (10) calendar days prior to the first selected day off, the selection shall be granted. Requests for time off with less than ten (10) calendar days notice may be granted. For use of the Personal Holiday, selection from the remaining posted dates shall be granted if made at least five (5) days in advance. For the purpose of this subsection, an employee may use annual leave, vacation, CTO, holiday time, or personal holiday. Based on the operational needs of the State, additional dates may be added to, or vacant dates may be deleted from, the vacation calendar. For the purpose of this subsection, if two (2) or more employees simultaneously request the same time off and all requests cannot be granted, employees shall be granted their preferred time off by lot.
- D. Employees who successfully bid a vacation during the period mentioned in subsection B, October 15 through November 15, and are subsequently involuntarily transferred from the program or shift on which the vacation was bid shall retain that vacation period. If the employee is involuntarily transferred as a result of disciplinary action and there are no available posted dates which coincide with the employee's vacation period and the posted dates cannot be increased, the employee may choose one of the following:
 - 1. Bid another available vacation period; or
 - 2. Bump previously approved Unit 17 employee(s) time off which was requested after December 1 and is conflicting with the transferring employee's vacation period; or
 - 3. Cancel the vacation.
 - 4. Vacations scheduled under this subsection shall be considered to be bid vacations.
- E. Time off under this section will be cancelled only in the event of emergency or unanticipated staffing shortage. In the event that cancellation becomes necessary, such cancellations shall be in accordance with and in the order of the following:
 - 1. Volunteers
 - 2. Time off requested after December 1, with the last request being the first cancelled.
 - 3. Bid vacations by inverse seniority.
- F. Nothing in the section shall prevent the granting of time off in excess of the posting time off.
- G. Vacation calendars shall remain posted for the entire vacation year.
- H. A vacation period or time off which is cancelled by an employee shall become available to other employees on a first-come first-serve basis subject to subsection C.

8.26 Yountville Veterans Home (YVH) Vacation Scheduling

- A. All Unit 17 employees are encouraged to take a vacation each year. Vacations are scheduled twice a year based on requests submitted by the employee. The posted vacation time shall be sufficient to permit all employees on each shift to have an opportunity to take a vacation.

The vacation request schedule is: April – September
 October – March

- B. From the second Wednesday of January and July through the third Thursday of February and August, all level of care Registered Nurses, may sign up for one vacation period of consecutive days for the upcoming calendar period (as listed in A above). This calendar will be posted for each shift in a prominent place readily available to all employees indicating, by shift, the number of employees that may be on vacation each day.

The chosen vacation should not exceed the employee's anticipated accrued vacation time balance at the time the vacation is to be taken and be entered in ink.

Within the first thirty (30) calendar days of the bidding process, those employees with overlapping vacation requests that would exceed the authorized vacation posting shall be notified. These employees will be given the opportunity to modify their vacation selections through discussion and compromise. Management will not intervene to resolve conflicts in vacation requests during this period.

Where discussions do not result in compromise and agreement among affected employees, the most senior employees vacation request shall prevail. Seniority is defined as total months of State service in the same manner as vacation is accumulated. In the event, two (2) or more employees tie with same amount of State service, departmental seniority will prevail.

Upon management approval, levels of care and non levels of care areas/units may have their own separate calendar.

- C. By the last day in February and August, the approved vacation calendar shall be posted.
- D. Beginning March 1 and September 1, through the second Friday of March and September, those employees who do not have any vacation time granted will have an opportunity to request a vacation from the remaining vacation time by noting their request on the posted calendars. Only vacation time may be used for vacations requested during this time period. The finalized vacation calendar will be posted by the third Friday of March and September. Beginning the Monday following the third Friday, all Registered Nurses may use remaining vacation, CTO, holiday or personal holiday time to request additional time off. This request for the remaining time will be granted, daily, on a first-come first-serve basis. In the event that simultaneous requests for the same vacation time off cannot be granted, the employees shall be granted their preferred time off in order of State service seniority, with departmental service utilized as a necessary tie break, should State service be tied.
- E. Nothing in the policy shall prevent the granting of time off in excess of the posting time off.

- F. Vacation calendars and ad hoc calendars shall remain posted for the entire bid periods.
- G. Vacation periods or ad hoc days which are cancelled by an employee shall become available to other employees on a first-come first-serve basis subject to sections B and D above.
- H. Within ninety (90) days of ratification of this Contract, YVH will advertise for Permanent Intermittent and Retired Annuitant Registered Nurses for the purpose of establishing an in house registry .

8.27 Intentionally Excluded

8.28 Paid Education Leave

- A. All Unit 17 employees, with the exception of the classification of Nurse Practitioner, shall be entitled to thirty-two (32) hours of educational leave on State time during a Unit 17 employee's two year licensure period. Nurse Practitioners shall be entitled to a total of forty (40) hours of Educational Leave during a two year licensure period.
- B. Educational leave will be used at the employee's discretion with release subject to operational needs and reasonable advance notice.
- C. The intent of educational leave is earn the necessary Board of Registered Nursing approved Continuing Education Units (CEU's) required to maintain the employee's license as a registered nurse. Written evidence of CEU completion may be required by management.
- D. If a Unit 17 employee's request for Educational Leave had been denied twice in a fiscal year due to unanticipated operational needs, their paid Educational Leave shall be granted the third time, if verification of requirement of the CEU's for license renewal is provided.

8.29 Non-Paid Education or Research Leave

- A. Upon written request, the State may grant up to a one year non-paid educational leave to a permanent full-time Unit 17 employee. Educational or research leave shall be for the purpose of attending school or college or to enter training to meet continuing education requirements for meeting licensure, obtain a certificate in a specialized area of nursing, improve the quality of the employee's nursing skills, or to conduct or participate in a research project.
- B. An education or research leave shall be terminated by the department head or designee: (1) at the expiration of the leave; or (2) prior to the expiration date with written notice at least fifteen (15) work days prior to the effective date of the revocation. An education or research leave may be terminated by the employee with the approval of the department head or designee .

ARTICLE 9 - HEALTH AND WELFARE

9.1 Health Benefit Plan/Vision Service Plan

A. Consolidated Benefits (CoBen) Program Description

1. CoBen Allowance

The State agrees to pay the following contribution for the Consolidated Benefits (CoBen) Allowance.

The allowance is based on the Health Benefit party codes in a health plan administered or approved by CalPERS. To be eligible for this contribution, an employee must positively enroll in a health plan administered or approved by CalPERS.

The employer health benefits contribution for each employee shall be an amount equal to eighty percent (80%) percent of the weighted average of the Basic health benefit plan premiums for a State active civil service employee enrolled for self-alone, during the benefit year to which the formula is applied, for the four Basic health benefit plans that had the largest State active civil service enrollment, excluding family members, during the previous benefit year. For each employee with enrolled family members, the employer shall contribute an additional eighty percent (80%) percent of the weighted average of the additional premiums required for enrollment of those family members, during the benefit year to which the formula is applied, in the four Basic health benefit plans that had the largest State active civil service enrollment, excluding family members, during the previous benefit year.

When an employee is appointed to a new position or class that results in a change in eligibility for the composite rate, the effective date of the change shall be the first of the month following the date the notification is received by the State Controller's Office if the notice is received by the tenth of the month.

- 2. Unit 17 employees who first become eligible for health benefit enrollment on or after January 1, 2007, shall be subject to a two-year vesting schedule for the employer health contribution for dependents as follows:**
 - a. 50% of the normal employer dependent portion of the contribution upon initial enrollment;**
 - b. 75% of the normal employer dependent portion of the contribution upon completion of 12 months of service; and**
 - c. 100% of the normal employer dependent portion of the contribution upon completion of 24 months of service.**

3 Description of the Consolidated Benefit (CoBen) Program

Employees will be permitted to choose a different level of benefit coverage according to their personal needs, and the State's allowance amount will depend on an employee's selection of coverage and number of enrolled dependents.

The State agrees to provide the following CoBen benefits:

- a. If the employee is enrolled in both a health plan administered or approved by CalPERS and a dental plan administered or approved by DPA, the health benefit enrollment party code will determine the allowance amount.
- b. If the employee declines a health benefit plan which is administered or approved by CalPERS and certifies health coverage from another source, the employee's dental benefit enrollment party code will determine the amount of the contribution.
- c. If the employee elects not to enroll in a health plan administered or approved by CalPERS and in a dental plan administered or approved by DPA and certifies health and dental coverage from other sources the employee will receive \$155 in taxable cash per month. Cash will not be paid in lieu of vision benefits and employees may not disenroll from vision coverage. Employees do not pay an administrative fee.
- d. Permanent Intermittent (PI) employees shall only be eligible to participate in the CoBen Cash Option and receive a six-month cash payment for the first control period of each plan year.
- e. If the employee elects not to enroll in a health plan administered or approved by CalPERS and certifies health coverage from another source, but enrolls in a dental plan administered or approved by DPA, the employee may receive the difference between the applicable composite contribution and the cost of the dental plan selected and vision benefits, not to exceed \$130 per month. (The State will pay the premium cost of the dental plan and vision plan.) Cash will not be paid in lieu of vision benefits, and employees may not disenroll from vision coverage. Employees do not pay an administrative fee.
- f. If the monthly cost of any of the State's benefit plans (health, dental and vision) in which an employee elects to enroll exceeds the State's maximum allowance amount as set forth in subsections A 1 and A 2 above, the employee shall pay the difference on a pre-tax basis. If there is money left over after the cost of these benefits is deducted, the remaining amount will be paid to the employee as taxable cash.

B. Health Benefits

1. Employee Eligibility

For purposes of this section, "eligible employee" shall be defined by the Public Employees' Medical and Hospital Care Act.

2. Permanent Intermittent (PI) Employees

- a. Initial Eligibility – A permanent intermittent employee will be eligible to enroll in health benefits during each calendar year if the employee has been credited with a minimum of 480 paid hours in a PI control period. For purposes of this section, the control periods are January 1 through June 30 and July 1 through December 31 of each calendar year. An eligible permanent intermittent employee must enroll in a health benefit plan within 60 days from the end of the qualifying control period.**
- b. Continuing Eligibility – To continue health benefits, a permanent intermittent employee must be credited with a minimum of 480 paid hours in a control period or 960 paid hours in two consecutive control periods.**

3. Family Member Eligibility

For purposes of this section, “eligible family member” shall be defined by the Public Employees’ Medical and Hospital Care Act and includes domestic partners that have been certified with the Secretary of State’s office in accordance with AB 26 (Chapter 588, Statutes of 1999).

- 4. The parties agree to work cooperatively with CalPERS and the health plans to control premium increases.**

C. Dental Benefits

1. Contribution

The employer contribution for dental benefits shall be included in the Consolidated Benefits Allowance as specified in subsections A 1 and A 2 of this agreement.

2. Employee Eligibility

Employee eligibility for dental benefits will be the same as that prescribed for health benefits under subsections B 1 and B 2 of this agreement.

3. Family Member Eligibility

Family member eligibility for dental benefits is the same as that prescribed for health benefits under subsections A 2 and B 3 of this agreement.

D. Vision Benefit

1. Program Description

The employer agrees to provide a vision benefit to eligible employees and dependents. The employer contribution rates for the vision benefit shall be included in the Consolidated Benefits Allowance as specified in section A 1 and A2. The vision benefit provided by the State shall have an employee copayment of \$10 for the comprehensive annual eye examination and \$25 for materials.

2. Employee Eligibility

Employee eligibility for vision benefits is the same as that prescribed for health benefits under subsections B 1 and B 2 of this agreement.

3. Family Member Eligibility

Family member eligibility for vision benefits is the same as that prescribed for health benefits under subsections A 2 and B 3 of this agreement.

9.2 and 9.3 Intentionally Excluded

9.4 Rural Health Care Equity Program

- A. Effective July 1, 2005, the State shall continue a Rural Health Care Equity Program for Bargaining Unit 17 members, which may be administered in conjunction with a similar program for State employees in other bargaining units, for excluded employees, and for annuitants. The Department of Personnel Administration shall administer any fund involving Bargaining Unit 17 members.
1. The program shall operate in the following fashion:
 - a. The State shall contribute \$1500 per year on behalf of each bargaining unit member (employee) who lives in a defined rural area, as more definitely described in Government Code section 22877.
 - (1) For Bargaining Unit 17 members, payments shall be on a monthly basis.
 - (2) For permanent employees, as in the "Medical Reimbursement Account" situation, the employees does not have to wait for reimbursement of covered medical expenses until the full amount has been deposited.
 - b. As to any employee who enters State service or leaves State service during a fiscal year, contributions for such employee shall be made on a pro rata basis. A similar computation shall be used for anyone entering or leaving the bargaining unit (e.g., promotion in mid-fiscal year).
 - c. The money shall be available for use as defined in Government Code section (GC) 22877.
 - d. A Rural Healthcare Equity Program will be established with a separate account for Bargaining Unit 17 members, as one of several similar accounts.
 - e. Each Unit 17 employee shall be able to utilize up to \$1500 per fiscal year, pursuant to Government Code section 22877, but with the exceptions for greater utilization hereafter noted. The pro rata limitation pursuant to paragraph 1(b) is applicable here.
 - f. If an employee does not utilize the complete \$1500 pursuant to the procedures and limitations described in Government Code section 22877, then the unused monies shall be put in a "same year pool". That same year pool shall be utilized to pay those who have incurred eligible health care expenses in excess of the \$1500, but again according to the procedures and limitations in the attached bill. The monies in the same year pool would be distributed at the end, or even soon after, each fiscal year to that group of employees who had expenses in excess of \$1500 in the relevant fiscal year. Those monies shall be distributed on a pro tanto (pro rata) basis.

Any employee not in Bargaining Unit 17 all year shall receive credit under this paragraph utilizing the same pro rata formula as in paragraph 1(b) above.

If an employee is entitled to less than twenty five dollars (\$25) under this paragraph, the money shall instead go into next year's fund pursuant to paragraph g hereafter.

- g. If monies still remain after a distribution to such employees (i.e., all employees who spent more than \$1500 as provided in Government Code section 22877 were completely reimbursed), then those surplus monies shall be rolled over into the next fiscal year's funds available for distribution to employees whose expenses pursuant to statute exceed \$1500 in such subsequent year. Similar "rollovers" would occur in any years where all employees were completely reimbursed (or had payments made on their behalf) pursuant to Government Code section 22877 and monies still remained in the pool.

9.5 Employee Assistance Program

- A. The State recognizes that alcohol, nicotine, drug abuse and stress may adversely affect job performance and are treatable conditions. As a means of correcting job performance problems, the State may offer referral to treatment for alcohol, nicotine, drug, and stress-related problems such as marital, family, emotional, financial, medical, legal, or other personal problems. The intent of this section is to assist an employee's voluntary efforts to treat alcoholism or a drug-related or a stress-related problem so as to retain or recover his/her value as an employee.
- B. Each department head or designee shall designate an Employee Assistance Program Coordinator who shall arrange for programs to implement this section. Employees who are to be referred to an Employee Assistance Program Coordinator will be referred by the appropriate management personnel. An employee undergoing alcohol, nicotine, drug, or mental health treatment, upon approval, may use accrued sick leave, compensating time off credits, and vacation/annual leave credits for such a purpose. Leaves of absence without pay may be granted by the department head or designee upon the recommendation of the Employee Assistance Program Coordinator if all sick leave, holiday credits, vacation, and compensating time off have been exhausted, and the employee is not eligible to use Industrial Disability Leave or State Industrial Disability Insurance. A list of all Employee Assistance Program Coordinators shall be furnished to Union annually.
- C. In an effort to keep records concerning an employee's referral and/or treatment for alcoholism, nicotine, drug, or stress-related problems confidential, such records shall not be included in the employee's personnel file.
- D. All departments employing Unit 17 employees shall participate in the State's external Employee Assistance Program (EAP).
- E. The name and phone number of each department's Employee's Assistance Program Coordinator shall be readily available during work hours to all Unit 17 employees.

The name of the external EAP contract provider and the telephone number for employee's self-referral shall be readily available during work hours to all Unit 17 employees.

- F. Within sixty (60) days of the Contract's effective date the Union shall be provided with: (1) the name and phone number of the external EAP contract provider; (2) a list of all department Employee Assistance Program Coordinators and their respective phone numbers. The Union shall be provided with annually updated lists.
- G. A Unit 17 employee may utilize the Employee Assistance Program without the knowledge of any management representative.
- H. The records concerning an employer's referral and/or treatment shall be kept confidential. No manager, supervisor, department director, department Employee Assistance Program Coordinator or EAP provider shall disclose the nature of the employee's treatment or the reason for the employee's leave absence. Records of such referrals shall not be kept in the employee's personnel or medical record file.

9.6 Pre-Tax of Health/Dental Premium Cost

Employees who are enrolled in any health and/or dental plan which requires a portion of the premium to be paid by the employee, will automatically have their out-of-pocket premium costs taken out of their paycheck before Federal, State and social security taxes are deducted. Employees who choose not to have their out-of-pocket costs pre-taxed, must make an election not to participate in this benefit.

9.7 Alternative Pre-Retirement Death Benefit

The Union agrees to support legislation that would provide State employees with an improved "alternative pre-retirement death benefit" and for the ability for the surviving spouse and dependent children to continue to receive health and dental benefits coverage. The enhanced death benefits would also be payable to surviving spouses or dependent children who are currently receiving the former death benefit, as would health and dental coverage. (Government Code sections 21847 and 21847.5)

9.8 Intentionally Excluded

9.9 Presumptive Illness

When required by CalOSHA provisions, the State shall provide medical examinations for employees working in occupations which expose them to health risks. Examinations shall be in accordance with CalOSHA regulations.

9.10 Employee Injury on the Job

- A. In the event a disabling injury occurs to an employee while on the job, the State agrees to furnish prompt and appropriate transportation to the nearest physician or hospital. Employees may pre-designate a personal physician who would be utilized, if circumstances permit, in the event of a job related injury. The employee must obtain the physician's written consent for this designation; the designation must comply with the other requirements included in Labor Code section 4600; and, the form must be given to the State in advance of any work-related injury. Otherwise, the State will refer the injured employee for treatment to a physician of its choice.

- B. An employee who is directed by his/her supervisor to accompany or transport an injured employee to a physician or medical facility shall suffer no loss of compensation for the time spent.
- C. If the treating physician advises the injured employee to go home or the employee is admitted and remains in a hospital or clinic for treatment, the employee shall be paid for his/her full shift.
- D. The State shall not use the Department of Industrial Relations Disability Evaluation Unit Advisory Rating form as the vehicle to justify removing a worker from his/her normal work assignments.

9.11 Enhanced Industrial Disability Leave (EIDL)

- A. An employee working in the Department of Corrections and Rehabilitation who loses the ability to work as the result of an injury incurred in the official performance of his/her duties may be eligible for a financial augmentation to the existing Industrial Disability Leave Benefits. Such injury must have been directly and specifically caused by an assault by an inmate, ward, or parolee, except that the Department Director may waive these preceding restrictions. An employee who is determined to be eligible for EIDL shall receive EIDL benefits from the first full day of absence.
- B. An employee working in the Department of Developmental Services, the Department of Mental Health, or the Department of Veterans Affairs who loses the ability to work as the result of an injury incurred in the official performance of his/her duties may be eligible for a financial augmentation to the existing Industrial Disability Leave Benefits. Such injury must have been directly and specifically caused by an assault by a patient, client, inmate, or resident except that the Department Director may waive these preceding restrictions. An employee who is determined to be eligible for EIDL shall receive EIDL benefits from the first full day of absence.
- C. The final decision as to whether an employee is eligible for, or continues to be eligible for EIDL, shall rest with the department director or designee. EIDL will apply only to serious physical injuries and any complications directly related medically and attributable to the assault as determined by the department director or designee.
- D. This section relating to EIDL is grievable only through the second step (department head) of the grievance procedure as the final step of appeal and is not subject to the arbitration procedure of this Agreement.
- E. EIDL eligibility and benefits may not exceed fifty-two (52) weeks (365 calendar days) within two (2) years of the first day of lost time. The employee's full gross salary is reduced by the amount of Federal and State income tax and OASDI or Medicare to establish the "EIDL reduced gross". The intent of the EIDL program is to maintain, as closely as possible, the disabled employee's monthly take home pay. The retirement contribution is computed and deducted based on the employee's full gross salary. The EIDL benefit is subject to miscellaneous payroll deductions. Additional withholding for taxes, deferred compensation/administrative charge, tax sheltered annuity or Flex-Elect will not be withheld from EIDL payments. EIDL payments are not reported as taxable wages or other compensation on the Form W-2.

- F. EIDL may continue beyond the physician's statement that the employee's condition is "permanent and stationary" providing the employee has not exhausted his/her eligibility for EIDL benefits, the employee has been declared a "qualified injured worker", and the employee would otherwise be entitled to Vocational Rehabilitation Maintenance Allowance (VRMA). EIDL would be paid in lieu of VRMA.
- G. If the EIDL benefits are to be terminated, the employee shall be notified by letter and be advised as to the status of his/her health, retirement and miscellaneous deductions.
- H. A standard Supervisor's Report of Injury shall be submitted to the Health and Safety Officer within twenty-four (24) hours after a job-related injury. Within five (5) working days after such notice of injury, the Health and Safety Officer shall provide the injured employee with written information concerning the rights, benefits and obligations under the worker's compensation law.
- I. Within five (5) working days after denial of IDL or EIDL, the Health and Safety Officer shall provide the injured employee notice of the reason for denial.
- J. Claims of EIDL benefits must be filed within one year from the date of the incident causing the injury.

9.12 Continuation of Flexible Benefits Program

When an employee who is enrolled in the State's Flexible Benefits Program (Flex-Elect) for eligible nonrepresented employees changes employment status to that of a represented employee in the bargaining unit, the employee will maintain their flexible benefit elections through the duration of the flex plan year in lieu of the corresponding benefits provided by this Contract. At the conclusion of the flex plan year, the employee shall receive only those benefits contained in this Contract.

9.13 Long Term Care Insurance Plan

- A. Employees in classes assigned to Bargaining Unit 17 are eligible to enroll in any long term care insurance plan sponsored by the Department of Personnel Administration. The employee's spouse, parents, and the spouse's parents are also eligible to enroll in the plans, subject to the underwriting criteria specified in the plan.
- B. The long term care insurance premiums and the administrative cost to the Department of Personnel Administration and the State Controller's Office shall be fully paid by the employee and are subject to payroll deductions.

9.14 Intentionally Excluded

9.15 Industrial Disability Leave (IDL)

- A. Employees who suffer an industrial injury or illness and would otherwise be eligible for Temporary Disability (TD) benefits under the Labor Code will be entitled to IDL as described in Article 4 of the Government Code, beginning with section 19869. IDL will be paid in lieu of TD benefits.

- B. Eligible employees shall receive IDL payments equivalent to full net pay for the first twenty-two (22) workdays after the date of the reported injury.
- C. In the event that the disability exceeds twenty-two (22) workdays, the employee will receive 66 and 2/3 percent of gross pay from the twenty-third (23rd) workday of disability until the end of the fifty-second (52nd) week of disability. No IDL payments shall be allowed after two (2) years from the first day (i.e., date) of disability.
- D. The employee may elect to supplement payment from the twenty-third (23rd) workday with accrued leave credits including annual leave, vacation, sick leave, or compensating time off (CTO) in the amount necessary to approximate the employee's full net pay. Partial supplementation will be allowed, but fractions of less than one (1) hour will not be permitted. Once the level of supplementation is selected, it may be decreased to accommodate a declining leave balance but it may not be increased. Reductions to supplementation amounts will be made on a prospective basis only.
- E. Temporary Disability with supplementation, as provided for in Government Code section 19863, will no longer be available to any State employee who is a member of either the PERS or STRS during the first fifty-two (52) weeks, after the first date of disability, within a two (2)-year period.
- F. If the employee remains disabled after the IDL benefit is exhausted, then the employee will be eligible to receive TD benefits as provided for in the Labor Code and supplementation, as provided in Government Code section 19863.
- G. For an employee injured prior to January 1, 2004, IDL may continue beyond the physician's statement that the employee's condition is "permanent and stationary" providing the employee has not exhausted his/her eligibility for IDL benefits, the employee has been declared a "qualified injured worker", and the employee would otherwise be entitled to Vocational Rehabilitation Maintenance Allowance (VRMA). IDL would be paid in lieu of VRMA.
- H. All appeals of an employee's denial of IDL benefits shall only follow the procedures in the Government Code and Title 2. All disputes relating to an employee's denial of benefits are not grievable or arbitrable. This does not change either party's contractual rights which are not related to an individual's denial of benefits.

9.16 Group Legal Services Plan

Unit 17 employees shall be able to participate in the State's Group Legal Services Plan. It is understood that the plan will emphasize a choice of providers and access to legal services. The plan shall be offered on a voluntary, after-tax payroll deduction basis, and any costs associated with administering the plan shall be paid by the participating employee through a service charge.

9.17 State Disability Insurance (SDI)

- A. Beginning April 1, 2006, all employees covered by this Contract will be covered under the State Disability Insurance (SDI) benefit in lieu of a Non-Industrial Disability Insurance (NDI) and Enhanced Non-Industrial Disability Insurance (ENDI) benefit as follows:

1. Employees eligible for SDI benefits are those who are defined by section 2601, et seq. of the California Unemployment Insurance Code; such as, an employee disabled due to a non-work related illness or injury of the employee, the employee's family member, domestic partner or the birth, adoption, or foster care placement of a new child.

Eligible employees covered under the SDI program shall receive benefits pursuant to California Unemployment Insurance Code section 2655.

2. Effective July 1, 2006, the State will pay the full premiums for an employee and any applicable dependent coverage for health, dental and vision benefits for the length of the employee's disability up to a maximum of 26 weeks. The State shall recover the employee's portion of the premium paid through an accounts receivable consistent with Government Code section 19838(a)(2). Any reimbursements for overpayment shall be in monthly installments and the number of repayments shall be equal to the number of monthly overpayments. By mutual agreement, the overpayment may be satisfied by the use of leave credits, excluding sick leave. If an employee's SDI leave extends past 26 weeks, the employee shall remit the full health, dental and vision premiums directly to the healthcare providers.
3. Employees participating in the Rural Health Care Equity Program (Article 9.4) shall continue eligibility as long as they are not remitting their health, dental and vision premiums directly to the healthcare providers.
4. If an employee is released by their physician to return to work on a part-time basis, an employee may use accrued vacation, annual leave, CTO, holiday credit, personal leave (PLP), or sick leave balances only for absences from work hours for reasons unrelated to the disability which rendered them eligible for SDI benefits.
5. The parties agree to meet within ninety (90) days following ratification of the Contract to explore alternatives in the area of leave supplementation or integration to the SDI benefit.

6. This clause is subject to modification pursuant to subsection A 5 above.
 - a. SDI does not cover the first seven (7) days of any disability; therefore, sick leave, vacation, CTO, holiday, PLP, or annual leave may be used to cover this period in its entirety.
 - b. An employee may elect to supplement their SDI benefit with leave integration up to 40 hours per month. However, the employee combined SDI benefit and use of leave credits cannot exceed their regular monthly net pay. Within one week of being disabled from work, the employee or his/her representative must contact their departmental personnel office to provide the following information:
 - (1) The date the disability/illness commenced;
 - (2) The estimated duration of the disability;
 - (3) A phone number where the employee can be reached;
 - (4) The election of leave credits usage during the first week of disability;
 - (5) The number of hours in a month to be charged to leave credits;
 - (6) Whether or not the employee is planning to file for SDI;
 - (7) The election to integrate leave credits with SDI benefits;
 - c. Once the SDI benefit amount has been determined, the employee must provide a copy of the SDI award letter and the SDI check stubs to the employee's personnel office in order to ensure proper integration of benefits and payment.
- B. During the three (3) month period following ratification of this Contract by the SEIU, Local 1000 members and approval by the Legislature, there will be an open enrollment period where employees may opt out of the Annual Leave Program .
- C. All appeals of a denial of an employee's SDI benefits shall only follow the procedures in the California Unemployment Insurance Code and Title 22 of the California Code of Regulations. All disputes relating to an employee's denial of benefits are not grievable or arbitrable. This limitation does not change either party's contractual rights which are not related to the denial of an individual employee's benefits.

9.18 Intentionally Excluded

9.19 Light/Limited Duty Assignments

- A. Where the need is substantiated by a physician, the State will attempt to provide light/limited duty assignments for up to sixty (60) days: 1) in accordance with a physician's recommended instructions; 2) where and when services are needed; 3) to the extent it does not inconvenience other employees; 4) to the extent the employee can satisfactorily perform the work; and 5) where there is a prognosis for improvement. At the option of the State, the assignment may be extended beyond sixty (60) days.

It is the intent of the parties that the limited duty assignments be of the minimum necessary durations and that the maximum limited duty assignment of sixty (60) days, and any extensions, be utilized for the most severe illnesses or injuries.

- B. The State may make alternative assignments, retrain employees, or as provided under the Government Code, medically terminate an employee whose prognosis for continued employment is poor.
- C. The State reserves the right to have an employee examined by a physician of its choosing prior to granting, continuing or extending a light/limited duty assignment.
- D. Nothing in this section shall be construed to contravene the State Personnel Board's constitutional and/or statutory authority to determine the appropriate classification of assigned duties, to require reasonable accommodation of an employee or applicant with a disability, or to determine the ability of an applicant or employee to perform the essential functions of a classification or job. Complaints under this section alleging out-of-class work, denial of reasonable accommodation, discrimination based on disability, or inappropriate medical demotion, transfer or termination shall not be grievable under the grievance procedure contained in Article 6 (Grievance and Arbitration Procedures) of this Contract.

9.20 Intentionally Excluded

9.21 Reasonable Accommodation

- A. No State employee shall be unlawfully denied reasonable accommodation. The State agrees to take such actions as necessary to ensure that this purpose is achieved.
- B. Within State Personnel Board policy, the State agrees to make reasonable accommodation for the known physical and/or mental limitations of a disabled employee. Such efforts shall include the types of reasonable accommodation specified by the State Personnel Board.
- C. Alleged violations of this section shall not be grievable under the grievance procedure contained in Article 6 (Grievance and Arbitration Procedure) of this Agreement. Complaints alleging denial of reasonable accommodation shall be appealed to the State Personnel Board through the complaint procedure specified by the Board.

ARTICLE 10 – HEALTH AND SAFETY

10.1 Intentionally Excluded

10.2 Health and Safety Committees

- A. The State is committed to provide a safe and healthy work place for State employees. The Union supports a positive and strong health and safety program and shall cooperate with the State's efforts in this regard.

- B. The parties agree that Joint Union/Management Health and Safety Committees are appropriate in many areas of State employment. At the Union's request, each department shall establish at least one Joint Union/Management Health and Safety Committee. Additional Joint Union/Management Health and Safety Committees may be established as appropriate for the larger departments. The twenty-four (24) hour institutions agree to continue local work-site health and safety committees.
- C. Joint Union/Management Health and Safety Committees may consist of no more than one representative from each bargaining unit in the area served by each Joint Union/Management Health and Safety Committee. The State may appoint an equal number of State representatives.
- D. The Committee shall meet at least quarterly for the purpose of discussing safety problems and recommending appropriate actions, making recommendations from time to time on the subjects of safety, safety promotion, and how to encourage employees to be more conscious of safety.
- E. Employees appointed to serve on the Committee shall serve without loss of compensation.
- F. When an employee in good faith believes that he/she is being required to work where a clear and present danger exists, he/she will so notify his/her supervisor. "Clear and present danger" is defined as a situation, circumstance, or condition that is an immediate and recognizable physical threat to the life of or serious physical injury to the employee. The supervisor will immediately investigate the situation and either direct the employee to temporarily perform some other task or proclaim the situation safe, normally after consulting with higher level management, and direct the employee to proceed with his/her assigned duties. If the Union or the employee still believe the unsafe condition exists, the Union or the employee may file a grievance alleging a violation of this section under section 10.30 (Health and Safety Grievance Procedure) of the Contract.
- G. To the extent permitted by law, copies of all employee occupation injury reports will be furnished to the appropriate Joint Union/Management Health and Safety Committee and remain confidential.

10.3 Intentionally Excluded

10.4 Injury and Illness Prevention Programs

- A. Each department shall establish, implement and maintain an Injury and Illness Prevention Program. The program shall be in writing and distributed and/or made available to all employees.
- B. If any dispute arises with regard to this section, an employee may file a grievance. The decision reached at Step 3 (DPA) of the grievance procedure shall be final.

10.5 Safety Orientation

- A. Unit 17 employees in twenty-four (24) hour facilities shall be provided orientation which includes safety policies, procedures, CPR, and the use of safety devices, within forty-five (45) days of hire.

- B. Safety orientation in other facilities shall be provided within forty-five (45) days; however, CPR training will be made available within twelve (12) months of hire.

10.6 Intentionally Excluded

10.7 Protective Clothing and Equipment

- A. When the State determines and requires protective clothing to be worn or equipment to be used, the State shall provide the protective clothing and equipment. Protective clothing provided pursuant to this section is State owned or leased property which will be maintained as the State deems necessary.
- B. When protective clothing or equipment is provided, the employee shall wear or use the protective clothing and equipment in accordance with instructions provided by the State. Employees using State provided protective clothing or equipment shall be held responsible for the loss of and/or damage to the protective clothing and equipment other than that incurred as a result of normal wear or through no fault of the employees.
- C. If the protective clothing or equipment is determined by the State to be defective, or inadequate quality to afford proper protection or damaged to such a degree as to impair proper protection, the State shall provide replacement or repaired protective clothing and equipment at no cost to the employees.

10.8 and 10.9 Intentionally Excluded

10.10 Medical Monitoring

Medical monitoring programs may be discussed by the appropriate departmental Joint Union/Management Health and Safety Committee(s) and may take into account the status of current technology and scientific recommendations for such programs. The Health and Safety Committees may make recommendations regarding medical monitoring programs to the department head or designee.

10.11 Hazardous Materials

- A. Upon request of the Union or an employee, the State shall provide a completed MSDS for each hazardous substance in use by Unit 17 employees at the place of employment, which has been supplied to the employer by the manufacturer, producer, or seller.
- B. If not provided by the manufacturer, producer, or seller, the State shall prepare a written request asking that the MSDS be sent.
- C. An employee will receive training from his/her supervisor or from other departmental resources in the use of a hazardous substance where: (1) the manufacturer is required under Labor Code section 6390 to provide a MSDS; (2) the employee is required to use the substance; and (3) the employee has not previously been trained in its use. This provision shall be grievable only through the Director's level in the grievance procedure contained in Article 6 (Grievance and Arbitration Procedure) of this Contract.

10.12, 10.13 and 10.14 Intentionally Excluded

10.15 Personal Alarms: CDCR

The Department of Corrections and Rehabilitation shall provide to a Unit 17 employee a personal alarm transmitter which is calibrated to the zone area where the employee is assigned. The transmitter shall be tested daily to ensure operational order.

10.16 Alarm System: DDS and DMH

- A. The Departments of Developmental Services and Mental Health agree that all alarm system equipment shall be maintained and periodically tested to ensure employees' safety.
- B. DDS and DMH agree to meet with the Union, upon request, to discuss problems with the alarm system and necessary plans to correct these problems.

10.17 Institution Radios: CDCR

Within six (6) months of ratification of this Contract, the Department of Corrections and Rehabilitation Health Care Division, Labor Relations and SEIU, Local 1000 shall establish a Labor-Management Committee to review and study the necessity of institutional radios for Unit 17 employees in clinics, yard clinics, and isolated areas of institutions. The Union shall be allowed two (2) Union-appointed Unit 17 employees on State time. The Department shall be allowed an equal number of management appointed members.

10.18 Referral of Assault/Battery

The State shall refer all cases involving a ward, inmate, or patient assault and/or battery, as defined by existing laws, on an employee to the appropriate prosecuting authority.

10.19 Prevention and Management of Assaultive Behavior Training

- A. Department of Mental Health (DMH) and the Department of Developmental Services (DDS):
 - 1. Prevention and Management of Assaultive Behavior (PMAB) training shall be mandatory.
 - 2. The State shall provide all employees with an annual opportunity to attend PMAB training. All employees will be required to attend PMAB training at a minimum every two (2) years. PMAB training shall be mandatory within six (6) months of assuming employment.
 - 3. Employees shall be compensated for attending PMAB training.
 - 4. Representatives of SEIU Local 1000 (Unit 17) may meet with DDS for the purpose of discussing the need to form a statewide PMAB Committee.
 - 5. Upon request of the Union, the Department of Mental Health agrees to schedule a meeting at the headquarters level to consider the Union's suggestions relating to the PMAB program in DMH.

6. The Union shall be entitled to representation on the DMH Statewide PMAB Committee.
- B. The Department of Corrections and Rehabilitation and SEIU, Local 1000 (Unit 17) will meet to address issues relating to self defense and PMAB training.
- C. PMAB training for Unit 17 employees in departments or facilities other than those listed in paragraphs A and B above, may be offered on a space available basis and subject to arrangements being made to relieve the employees of their regular duties.

10.20 Intentionally Excluded

10.21 Workplace Violence Prevention

- A. In order to provide a safe and healthy workplace for employees, the State agrees to develop and implement "Workplace Violence Prevention" policies and programs.
- B. The State agrees to develop a model Workplace Violence Prevention Program and make the program available to all departments.
- C. State agrees to provide training on procedures for preventing workplace violence and the Union will encourage employees to use these procedures.
- D. All those Workplace Violence Prevention Programs and policies which have been adopted by departments and that meet the mutually agreed upon model program criteria to be established in sub-paragraph B. above will remain in effect during the term of the Contract.

10.22 Video Display Terminal (VDT)

- A. The State shall provide instruction in the proper operation and adjustment of VDTs and VDT workstation equipment to Unit 17 employees who are required to use VDT equipment a significant portion of their time on the job. Instruction may include the use of VDT video training tapes and/or the "Computer Users Handbook". The Union will encourage employees to properly use VDT equipment.
- B. The State shall take action as it deems necessary to mitigate glare from the workplace such as, rearrangement of the workstations to avoid glare on terminal screens from windows and ceiling luminaries, or providing anti-glare from light sources. The following equipment shall be made available as the State deems necessary to all employees that use video display equipment, but not limited, to document holders, adjustable chairs, adjustable keyboards, computer tables and supports, and foot and wrist rests.
- C. Upon request by the Union, the State agrees to meet to review and make any revisions or additions to the State's ergonomic guidelines for video display terminals.

10.23 Independent Medical Examinations

- A. Whenever the State believes that an employee, due to an illness or injury, is unable to perform his/her normal work duties, the State may require the employee to submit to an independent medical examination at State expense. The medical examination will be separate of any medical services provided under the State's Workers' Compensation Program.
- B. If the State, after the independent medical examination, determines that the employee cannot perform the essential functions of the job position, the State shall give the employee the opportunity to challenge the State's medical evaluation by supplying his/her personal medical evaluations to dispute the State's findings.

10.24 Immunization Against Diseases

The State shall offer immunization as required at State expense.

10.25 Infectious Disease Control

- A. The State shall provide in-service training in infectious disease control and isolation procedures on an annual basis utilizing the best guidelines available. Examples of guidelines the State may use are the Joint Advisory Notice issued by the Center for Disease Control. For licensed hospitals, such training shall be consistent with California Administrative Code Title 22. For other clinical settings, such training shall reflect the needs of the work environment.
- B. The State agrees that, upon request of SEIU, a special meeting of the Professional Practice Group, provided for under section 13.18, or the Health and Safety Committees provided for under section 10.2 (Health and Safety Committees) will be held at each facility to review the safety procedures, equipment, and materials relating to treating patients and clients with blood-borne diseases such as hepatitis or acquired immune deficiency syndrome.

10.26 and 10.27 Intentionally Excluded

10.28 Pest Control

- A. Whenever a department utilizes a pest control chemical in a State owned or managed building, the department will provide at least twenty-four (24) hours notice prior to application of the chemical, unless an infestation occurs which requires immediate action. Notices will be posted in worksite locations, prior to application of the chemicals and the employer shall inform employees of the chemical(s) use, prior to application.
- B. Employees who wish to review the MSDS sheet(s) for the chemical(s) being applied may do so by making their request to their immediate supervisor. Application of the chemical(s) will be done in a manner consistent with State regulations to assure the safety of tenants.
- C. Except in twenty-four (24) hour facilities, the chemical application will normally take place during hours when the building is closed for business or when employees will not directly be exposed to chemicals.

- D. For leased buildings not managed by the State, the State will include the following language in all new leases entered into after January 1, 2000:

"The Lessor shall give not less than twenty-four (24) hours prior notice to State tenants, when any pest control or repair work affecting the State occupied space may result in employee health concerns in the work environment."

10.29 Intentionally Excluded

10.30 Health and Safety Grievance

All Health and Safety grievances (filed under section 10.1 Health and Safety Committees of this Contract) deemed necessary for expedited processing shall first be appealed directly to the first formal level of the grievance procedure pursuant to the modified time limits set forth below:

A. Health and Safety Grievance - Step 1 (Facility head/Department Program Manager)

1. If the grievant is not satisfied with the informal decision rendered by his/her supervisor pursuant to section 6.6 Informal Discussion (Supervisor) of this Article, the grievant may appeal the decision within fourteen (14) calendar days after receipt of the decision to a designated supervisor or manager identified by each department head as the first formal level of appeal.
2. Within five (5) calendar days after receipt of the appealed grievance, the person designated by the department head as the first formal level of appeal shall respond in writing to the grievance.

B. Health and Safety Grievance - Step 2 (Department Head/Director)

1. If the grievant is not satisfied with the decision rendered pursuant to Health and Safety Grievance - Step 1, the grievant may appeal the decision within fourteen (14) calendar days of receipt to the department head or designee as the second formal level of appeal.
2. Within fourteen (14) calendar days after the receipt of the appealed grievance, the person designated as the second formal level of appeal shall respond in writing to the grievance.
3. If the grievance is not resolved at Health and Safety Grievance - Step 2 within thirty (30) calendar days after the receipt of the second step response, the Union shall have the right to submit the grievance to arbitration.

- C. The selection of the arbitrator shall be in accordance with section 6.11 B of this Article, and the case must be before an arbitrator within ten (10) calendar days.

10.31 through 10.34 Intentionally Excluded

10.35 Employee Self-Protection

The Department of Education Special Schools, the California Department of Veterans Affairs and the Department of Health Services shall encourage Unit 17 employees to attend training provided in self-protection, including, but not limited to, rape prevention.

10.36 Incident Debriefing

- A. Each Department with twenty-four (24) hour facilities shall develop policy governing work-related situations associated with a major incident.
- B. The policy shall include definition of a major incident, and establish procedures which provide for employee referrals for necessary services.

10.37 Wellness Programs

The State shall encourage all agencies with Unit 17 employees to develop and implement departmental and/or local wellness programs within existing budgetary and staffing resources. Wellness programs may include, but are not limited to, classes, speakers, informational materials and other services on such subjects as stress management, smoking cessation, weight reduction, nutrition, general fitness, and/or relaxation techniques. Employee participation in wellness programs shall be voluntary and on the employee's own time. Insofar as practical, wellness programs should be made available to Unit 17 employees working evening, night or other than regular day shifts.

10.38 Rest Areas

- A. Unit 17 employees shall be permitted to use non-work areas for breaks if it does not involve an additional cost; it does not involve areas restricted for health and safety reasons; it does not interfere with State business needs; or it does not negatively impact on patients, consumers, inmates, wards, or students' health and safety. The State will endeavor to retain all existing break rooms or rest areas unless the space becomes necessary for the conduct of State business. Operational needs permitting, the State shall endeavor to allow reasonable time for nurses to travel to break rooms when the facility layout prohibits a local rest area.
- B. Unit 17 employees may identify and request specific alternative locations which allow them to be removed from their daily routine and the work area for other nursing employees.

ARTICLE 11 – SALARIES

11.1 Salaries

- A. Within sixty (60) days following legislative approval, SEIU Local 1000 represented employees shall receive a one-time bonus of one thousand dollars (\$1000) as follows:
 - 1. Permanent and limited term full time employees who were on payroll on June 30, 2006, shall receive \$1000; or
 - 2. Permanent and limited term part time employees who were on payroll on June 30, 2006, shall receive \$1000; or

3. Employees holding a TAU appointment who were on payroll June 30, 2006 and who were paid for 519 or more hours (intermittent appointment) or the equivalent of 519 hours (full-time and part-time appointment) during the twelve (12) month period of July 1, 2005, through June 30, 2006, shall receive \$1000. An employee holding a TAU appointment with prior permanent status who accepts a TAU appointment without a break in service shall be entitled to the bonus under Criteria 1 and 2 above or
 4. Permanent, limited -term and seasonal intermittent employees who were on payroll June 30, 2006 and were paid for 519 or more hours during the twelve (12) month period of July 1, 2005, through June 30, 2006, shall receive \$1000.
 5. Any employee who holds multiple appointments in classifications represented by SEIU Local 1000 and/or any other bargaining unit which agreed to this bonus shall receive \$1000 if their combined time base is equal to or greater than one-quarter (1/4) time. (For example, an employee holds two appointments; both as one-quarter (1/4) time-base and in bargaining units eligible for this bonus, the employee shall receive the maximum amount, \$1000.)
 6. The bonus received by the employee shall not be considered as compensation for the purposes of retirement contributions.
- B. Any employee who holds multiple appointments in classifications represented by SEIU, Local 1000 and/or any other bargaining unit which agreed to this bonus shall receive \$1000 if their combined time base is equal to or greater than one-quarter (1/4) time. (For example, an employee holds two appointments; both as one-quarter (1/4) time-base and in bargaining units eligible for this bonus, the employee shall receive the maximum amount, \$1000.)
- C. The bonus received by the employee shall not be considered as compensation for the purposes of retirement contributions.
- D. Effective July 1, 2006, all SEIU, Local 1000 represented classifications shall receive a general salary increase of three and one-half percent (3.5%), (Excluding classifications in CDCR, Juvenile programs that are included in the Farrell settlement). The increase shall be calculated by multiplying the base salary by 1.035. The parties recognize that the actual salary increase for each classification may vary slightly due to rounding.

Classifications receiving the Plata/Plata Equity differentials (CDCR & DMH) shall have their differential adjusted downward by a dollar amount that will result in the incumbents receiving the same gross monthly salary as was received prior to the general salary increase.

E. Effective July 1, 2007, the State agrees to provide a cost of living adjustment, to all SEIU, Local 1000 classifications as follows; (Excluding classifications in CDCR, Juvenile programs that are included in the Farrell settlement)

1. The salary increase shall be equal to the total percentage change in the Consumer Price Index (CPI) for the twelve month period from April 2006 through March 2007. The specific amount of the cost of living adjustment shall be determined by the increase in the cost of living for the year using the Consumer Price Index, U.S. Department of Labor, Index CPI-W West Urban – All Urban Consumers (Not Seasonally Adjusted), Series CUUR0400SAO, United States.

2. The cost of living adjustment shall not be less than 2.0% or more than 4.0%.

e.g: If the cost of living for the year, as determined in #1 above, is less than 2.0%, the Cost of living adjustment for the year shall be established at 2.0%. If the cost of living for the year is greater than 4.0%, for the specified period, the Cost of Living Adjustment for the year shall be established at 4%. If the cost of living for the year increases by an amount between 2.0% and 4.0%, employees shall receive the specific cost of living increase rounded to the nearest tenth.

3. The parties recognize that the actual salary increase for each classification may vary slightly due to rounding.

4. The following illustrates the specific method of computation to be used in calculating the salary increase, using fictional data for illustration purposes only.

EXAMPLE for 2007 Increase (as described in #1)

CPI for March 2007 (EXAMPLE ONLY)	202.4
Less CPI for March 2006	197.1
Index Point Change	5.2
Divided by Previous CPI (March 2006)	197.1
Equals	.02637
Result multiplied by 100 (100 X .02637)	2.6
Cost of Living adjustment for 2007	2.6%

Salary adjustment effective July 1, 2007 (EXAMPLE ONLY) 2.6%

Equity Increases

Effective January 1, 2007, all classifications in Unit 17 shall have seven and one-half percent (7.5%) added to the maximum salary rate. Employees who have been at the old maximum salary rate for a minimum of twelve (12) qualifying pay periods shall receive a five percent (5%) increase. Employees at the old maximum salary rate for less than twelve (12) qualifying pay periods shall receive a new salary anniversary date based on qualifying service at the old maximum salary rate. Qualifying service toward the twelve (12) qualifying pay periods shall be in accordance with DPA Rules 599.682(b) and 599.687. Thereafter, employees shall move in accordance with MSA provisions of the agreement.

It is clearly understood that as the GSI of July 1, 2006 and the above step adjustments are implemented, the Plata/Plata equity differentials shall be reduced accordingly to achieve parity in base salary, and a Plata/Plata equity differential of ten percent (10%) above base salary.

Should the Court order any additional adjustments, the parties shall meet and confer over the appropriate adjustments, if necessary, for non-Plata classes.

11.2 Intentionally Excluded

11.3 Salary Definition

- A. For the purpose of salary actions affecting employees assigned to Bargaining Unit 17, the following definitions shall apply:
1. "Salary range" is the range of rates between, and including, the minimum and maximum rate currently authorized for the class.
 2. "Step" for employees compensated on a monthly basis is a five percent (5%) differential above or below a salary rate rounded to the nearest dollar and for employees compensated on a daily or hourly basis is a five percent (5%) differential above or below a rate rounded to the dollar and cents amount.
 3. "Rate" for employees compensated on a monthly basis is any one of the full dollar amounts found within the salary range and for employees compensated on a daily or hourly basis any one of the dollar and cents amounts found within the salary range.
 4. "Range differential" is the difference between the maximum rate of two (2) salary ranges.
 5. "Substantially the same salary range" is a salary range with the maximum salary rate less than two (2) steps higher than or the same as the maximum salary rate of another salary range.
 6. "Higher salary range" is a salary range with the maximum salary rate at least two (2) steps higher than the maximum salary rate of another salary range.
 7. "Lower salary range" is a salary range with the maximum salary rate any amount less than the maximum salary rate of another salary range.

Under paragraph (2), one step higher is calculated by multiplying the rate by 1.05 (e.g., \$2,300 x 1.05 = \$2,415). One step lower is calculated by dividing the rate by 1.05 (e.g., \$2,415 ÷ 1.05 = \$2,300).

Unless otherwise provided, the lowest salary range currently authorized for the class is used to make salary comparisons between classes. Any rate falling within the salary range for a class may be used to accomplish appropriate step differentials in movements between classes and salary ranges.

11.4 Timely Payment of Wages

The State agrees to provide timely payment of wages after an employee's discharge, layoff, or resignation consistent with applicable department and Controller's Office policies.

11.5 Release of Paychecks - NOC Shift or First Watch

The departments shall make arrangements so that NOC shift or first watch employees may pick up their pay checks during their assigned work shift which begins on the authorized pay day.

11.6 Overtime Checks

Each department with Unit 17 employees shall make a good faith effort to process employees' overtime checks in an expeditious manner. The parties understand that the issuance of overtime warrants shall not take precedence over the issuance of master payroll warrants or permanent intermittent payroll warrants.

Upon request from the Union, the State agrees to meet at the facility/office level to resolve issues where overtime checks are consistently issued after the fifteenth (15th) of the month.

11.7 Merit Salary Adjustments

Employees shall receive annual merit salary adjustments in accordance with Government Code section 19832 and applicable Department of Personnel Administration rules.

11.8 Night Shift Differential

- A. Unit 17 employees who regularly work shifts shall receive a night shift differential as set forth below:
 - 1. Employees shall qualify for the first night shift pay differential of sixty (\$.60) cents per hour where four (4) or more hours of the regularly scheduled work shift fall between 6 p.m. and 12 midnight.
 - 2. Employees shall qualify for the second night shift pay differential of seventy-five (\$.75) cents per hour where four (4) or more hours of the regularly scheduled work shift fall between 12 midnight and 6 a.m.
- B. A "regularly scheduled work shift" are those regularly assigned work hours established by the department director or design ee.

11.9 Bilingual Differential Pay

Bilingual Differential Pay applies to those positions designated by the Department of Personnel Administration as eligible to receive bilingual pay according to the following standards:

A. Definition of Bilingual Positions for Bilingual Differential Pay

1. A bilingual position salary differential purpose requires the use of a bilingual skill on a continuing basis averaging ten per cent (10%) of the time. Anyone using their bilingual skills ten percent (10%) or more of the time will be eligible whether they are using them in a conversational, interpretation, or translation setting. In order to receive bilingual differential pay, the position/employee must be certified by the using department and approved by the Department of Personnel Administration. (Time should be an average of the time spent on bilingual activities during a given fiscal year.)
2. The position must be in a work setting that requires the use of bilingual skills to meet the needs of the public in either:
 - a. A direct public contact position;
 - b. A hospital or institutional setting dealing with patient or inmate needs;
 - c. A position utilized to perform interpretation, translation, or specialized bilingual activities for the department and its clients.
3. Position(s) must be in a setting where there is a demonstrated client or correspondence flow where bilingual skills are clearly needed.
4. Where organizationally feasible, departments should ensure that positions clearly meet the standards by centralizing the bilingual responsibility in as few positions as possible.
5. Actual time spent conversing or interpreting in a second language and closely related activities performed directly in conjunction with the specific bilingual transaction will count toward the ten percent (10%) standard.

B. Rate

1. An employee meeting the bilingual differential pay criteria during the entire monthly pay period would receive a maximum one hundred dollars (\$100) per monthly pay period, including holidays.
2. A monthly employee meeting the bilingual differential pay criteria less than the entire pay period would receive the differential on a pro rata basis.
3. A fractional month employee meeting the bilingual differential pay criteria would receive the differential on a pro rata basis.
4. An employee paid by the hour meeting the bilingual differential pay criteria would receive a differential of fifty-eight cents (\$.58) per hour.
5. An employee paid by the day meeting the bilingual differential pay criteria would receive a differential of \$4.61 per day.

- C. Employees, regardless of the time base or tenure, who use their bilingual skills more than ten percent (10%) of the time on a continuing basis and are approved by the Department of Personnel Administration will receive the bilingual differential pay on a regular basis.
- D. Bilingual differential payments will become earnings and subject to contributions to the State Retirement System, OASDI, levies, garnishments, Federal and State taxes.
- E. Employees working in positions which qualify for regular bilingual differential pay as authorized by the Department of Personnel Administration may receive the appropriate pay during periods of paid time off and absences (e.g., sick leave, vacation, holidays, etc.).
- F. Employees will be eligible to receive the bilingual differential payments on the date the Department of Personnel Administration approves the departmental pay request. The effective date shall be retroactive to the date of appointment, not to exceed one year, and may be retroactive up to two (2) years, to a position requiring bilingual skills when the appointment documentation has been delayed. The effective date for bilingual pay differential shall coincide with the date qualified employees begin using their bilingual skills on a continuing basis averaging ten percent (10%) of the time, consistent with the other provisions of this section.
- G. Bilingual salary payments will be included in the calculation of lump sum vacation, sick leave and extra hour payments to employees terminating their State service appointment while on bilingual status.
- H. Employees will not receive bilingual salary compensation for overtime hours worked, except upon separation from State service, regardless of total hours during the pay period. Agencies may not include bilingual salary compensation when computing overtime rate.
- I. Employees receiving regular bilingual differential pay will have their transfer rights determined from the maximum step of the salary range for their class. Incumbents receiving bilingual pay will have the same transfer opportunities that other class incumbents are provided.
- J. The bilingual differential pay shall be included in the rate used to calculate temporary disability; industrial disability and State Industrial Disability leave benefits.

11.10 Intentionally Excluded

11.11 Labor/Management Committee: Payroll System

The parties agree to establish a Union/Management Committee to advise the State Controller on planned and anticipated changes to the State's payroll system. Topics to be explored include, but are not limited to, accuracy and timeliness of the issuance of overtime warrants, changes in earnings statements, and design of and transition to a biweekly pay system.

The committee shall be comprised of an equal number of management representatives and Union representatives. SEIU may have four (4) representatives for all nine (9) bargaining units who shall serve without loss of compensation.

11.12 Deferred Compensation Plans

Employees in Unit 17 are to be included in the State of California, Department of Personnel Administration's 401K and 457 Deferred Compensation plans.

11.13 and 11.14 Intentionally Excluded

11.15 Department of Education 10-Month Compensation

The Special Schools in the Department of Education shall use the following work schedule policy for permanent, full-time Bargaining Unit 17 employees that are scheduled to work a ten (10) month school year.

- A. The Special Schools shall guarantee the opportunity for ten (10) months of compensation (as defined by State Personnel Board Rule 9) to permanent, full-time Special Schools' employees except when budgetary or program considerations preclude it. Budgetary or program considerations are those which are mandated by the Legislature, Governor, or Superintendent of Public Instruction. This means that these employees may be scheduled either for work, CTO, holiday credits, paid or unpaid leave; so, that when all of these are considered in total for the year, each employee at the California Schools for the Deaf and California School for the Blind receives a minimum annual compensation equivalent to approximately 1,734 hours of the employee's regular (straight-time) rate of pay. Employees at the Diagnostic Centers will receive a minimum annual compensation equivalent to approximately 1,934 hours of the employee's regular (straight-time) rate of pay based upon their twenty-five (25) day extended work year. The Special Schools may provide an annual compensation greater than 1,734 hours (1,934 hours for Diagnostic Centers employees) subject to budgetary and program considerations. If an employee chooses not to work, the Schools' obligation to provide a minimum opportunity for ten (10) months compensation shall be reduced accordingly.

During recess periods, the Special Schools may utilize any combination of work, training, vacation, annual leave, CTO or dock. Priority consideration will be given first to regular work assignments, second to training and third to work not associated with their normal duties. It is understood by both parties that regular work, work not associated with their normal duties, and training may not be available. Employees may request training that enhances the Special Schools program.

B. Employees covered by this Agreement:

1. May be scheduled and use vacation or annual leave during their initial six (6) months of employment. This is an exception to the Bargaining Unit 17 Contract vacation leave provision.
2. Shall receive seventy (70) hours of vacation leave credit which will be credited to their vacation leave credit account upon commencement of the school year. This vacation leave credit shall be used to offset noncompensable absences during school recess periods. In addition, the Special Schools may allow employees to use these vacation leave credits during scheduled work periods. However, the minimum annual compensation (1,734 hours) shall be reduced by the amount of vacation used.

3. Sections B 1 and 2 shall apply to permanent, part-time employees on a pro rata basis.
 4. The seventy (70) hours of vacation leave credit (and prorated amount for permanent, part-time employees) is contingent upon an employee's continued employment for a minimum ten (10) qualifying pay periods beginning with the employee's first qualifying pay period of the school year. If an employee terminates employment prior to this ten (10) qualifying pay period duration and the Special school is unable to adjust the employee's vacation and/or CTO credit balances in order to reflect the proper vacation leave credit balance, the employee shall reimburse the Special School for the amount that is outstanding.
- C. Work scheduled during school recesses may include training and other work assignments which may involve duties not normally associated with their normal and regular duties. These assignments which involve duties not normally associated with their regular duties shall only occur during recesses.
 - D. The Special Schools have total discretion to determine the school year, including recesses, as long as the provisions of this Agreement are met.
 - E. During school recess periods, the Special Schools may schedule work, training, paid leave (e.g., annual leave, CTO or vacation) or place employees on dock. "School recess" is defined as a scheduled closing of the school during the academic year (for example: Thanksgiving, Christmas and Easter recesses). During recesses, it is the intent of the Department that all employees covered by this Agreement shall be scheduled the same number of vacation days based on the minimum accrual rate for ten (10) month employees plus or minus two (2) days (for example, if the minimum leave accrual rate for ten (10) months employees is 8.75 days per year, then during recesses the employee would be scheduled no more than 10.75 days of vacation nor less than 6.75 days of vacation).
 - F. Employees who have taken a leave of absence without pay, who have been charged with an AWOL, or who have been "docked" will not be extended compensation opportunities to the extent that they would benefit over other employees from such docks.
 - G. The Special Schools shall provide eligibility for medical and dental benefits during the months of July and August by scheduling a minimum two (2) days work, training, vacation, annual leave or CTO in July and a minimum two (2) days of work, training, vacation, annual leave or CTO in August.
 - H. If an overtime opportunity is offered to and declined by an employee, only the amount of the overtime hours offered shall be charged toward the employee's 1,734 hours. The premium that might have been earned shall not be charged toward the 1,734 hours.
 - I. Special Schools Calendar
 1. The Superintendent of a State Special School shall obtain input from Unit 17 employees during the development of the proposed academic calendar. Special School employees shall receive a copy of the proposed calendar prior to adoption of the calendar.

2. Upon the adoption of an academic calendar the State Special Schools shall provide a copy of the academic calendar to Unit 17 Special Schools employees.

11.16 Alternate Ranges (AR) 40 and 231

- A. Unit 17 employees who are assigned to positions having regular, direct responsibility for work supervision, on-the-job training, and work performance evaluation of at least two (2) inmates, wards, or resident workers who substantially replace civil service employees for a total of at least one hundred seventy-three (173) allocated hours of inmates, wards, or resident workers time per pay period may be eligible for a higher alternate salary range (Appendix F).
- B. Employees in the classifications of Registered Nurse, Registered Nurse (Forensic Facility), and Registered Nurse (Correctional Facility) who meet the above criteria are eligible for Range C or D of AR 231.
- C. Employees in other Unit 17 classifications approved by the Department of Personnel Administration who meet the above criteria are eligible for Range B of AR 40. The classes of Public Health Nurse I and II have been approved by the Department of Personnel Administration to receive AR 40.
- D. Other classes may be added to these alternate ranges during the term of this Contract only upon concurrence of the State.

11.17 Recruitment and Retention Differential

- A. Upon approval by the Department of Personnel Administration and the Department of Finance, a department may provide Unit 17 employees a recruitment and retention differential for classifications, facilities, or geographic locations.
- B. Less than full-time permanent employees shall receive the recruitment and retention differential on a pro rata basis.
- C. Permanent intermittent employees shall receive a pro rated recruitment and retention differential based on the hours worked in the pay period.
- D. The department may withdraw any recruitment and retention differential for classifications, facilities, or geographic locations for new hires with a thirty (30) day notice to SEIU.
- E. The department shall not withdraw the recruitment and retention differential for an employee receiving the recruitment and retention differential during the term of this agreement.
- F. Neither the decision to implement or not implement the recruitment and retention differential nor the amount of the recruitment and retention differential, if offered, shall be subject the grievance and arbitration procedure.

11.18 Retirement Compensation

All current monthly recruitment and retention differential payments shall be considered as compensation for purposes of retirement.

11.19 Intentionally Excluded

11.20 Recruitment and Retention, Avenal, Ironwood, Calipatria and Chuckawalla Valley State Prisons

- A. Effective July 1, 1998, employees who are employed at Avenal, Ironwood, Calipatria or Chuckawalla Valley State Prisons for twelve (12) consecutive qualifying pay periods shall be eligible for a recruitment and retention bonus of \$2,400, payable thirty (30) days following the completion of every twelve (12) consecutive qualifying pay periods.
- B. If an employee voluntarily terminates, transfers, or is discharged prior to completing twelve (12) consecutive pay periods at Avenal, Ironwood, Calipatria or Chuckawalla Valley State Prison, there will be no pro rata payment for those months at either facility.
- C. If an employee is mandatorily transferred by the department, he/she shall be eligible for a pro rata share for those months served.
- D. If an employee promotes to a different facility or department other than Avenal, Ironwood, Calipatria or Chuckawalla Valley State Prisons prior to completion of the twelve (12) consecutive qualifying pay periods, there shall be no pro rata of this recruitment and retention bonus. After completing the twelve (12) consecutive qualifying pay periods, an employee who promotes within the Department will be entitled to a pro rata share of the existing retention bonus.
- E. Part-time and intermittent employees shall receive a pro rata share of the annual recruitment and retention differential based on the total number of hours worked excluding overtime during the twelve (12) consecutive qualifying pay periods.
- F. Annual recruitment and retention payments shall not be considered as compensation for purposes of retirement contributions.
- G. Employees on IDL shall continue to receive this stipend.
- H. If an employee is granted a leave of absence, the employee will not accrue time towards the twelve (12) qualifying pay periods, but the employee shall not be required to start the calculation of the twelve (12) qualifying pay periods all over. For example, if an employee has worked four (4) months at a qualifying institution and then takes six (6) months maternity leave, the employee will have only eight (8) additional qualifying pay periods before receiving the initial payment of \$2,400.
- I. It is understood by the Union that the decision to implement or not implement annual recruitment and retention payments or monthly differentials or to withdraw authorization for such payments or differentials, and the amount of such payments or differentials, rests solely with the State and that decision is not grievable or arbitrable.

11.21 through 11.55 Intentionally Excluded

11.56 Registered Nurse Lead Differential

- A. Effective July 1, 1999, Registered Nurses (Range B or D), Registered Nurses (Correctional Facility) (Range B or D), and Registered Nurses (Forensic Facility) (Range B or D), designated "shift leads" and whose primary, regularly assigned duties by the State are to direct the work of other nursing employees on a shift for a qualifying pay period shall receive a differential of one hundred fifty dollars (\$150) per month.
- B. This lead differential shall not be considered as compensation for purposes of retirement contributions.
- C. The State shall not rotate nurses in and out of lead assignments nor arbitrarily reassign nurses receiving this differential to avoid paying this differential.

11.57 Educational Differential

- A. Registered Nurses (Range B or D), Registered Nurses (Forensic Facility) (Range B or D), Registered Nurse (Correctional Facility) (Range B or D), Surgical Nurses I and II, and Health Services Specialists who successfully complete the equivalent of fifteen (15) qualifying semester units of collegiate level job-related courses in a college or university of recognized standing shall be given an educational differential of fifty dollars (\$50) per month. Only courses on the lists established by each department for implementing this provision will qualify toward this differential.
- B. Upon request of the employee, each department employing RN's (Range B or D), RN's (Forensic Facility) (Range B or D), RN's (Correctional Facility) (Range B or D), Surgical Nurses I and II, and Health Services Specialists shall make available to all current and new Unit 17 employees a copy of the lists of those courses which qualify for this differential.
- C. Only courses completed within the previous ten (10) years shall qualify towards educational differential.
- D. The education differential shall not be considered as "compensation" for purposes of retirement contributions.
- E. The State may add courses to the qualifying list at its discretion.
- F. See Appendix B for Departmental Application procedures and for approved courses.

11.58 Arduous Pay Differential

At the discretion of the appointing authority, Bargaining Unit 17 employees who are in classifications exempt from the Fair Labor Standards Act (FLSA) (i.e., workweek group designation E or SE), shall be eligible to receive the "FLSA Exempt Employee Differential for Extremely Arduous Work and Emergencies" when performing arduous work that exceeds the normal demands of State service employment and upon meeting the criteria included in the differential (Appendix 3).

The provisions of this section shall not be grievable or arbitrable.

ARTICLE 12 – ALLOWANCES AND REIMBURSEMENTS

12.1 Business and Travel Expense

The State agrees to reimburse employees for actual, necessary and appropriate business expenses and travel expenses incurred fifty (50) miles or more from home and headquarters, in accordance with existing Department of Personnel Administration rules and as set forth below. Lodging and/or meals provided by the State or included in hotel expenses or conference fees or in transportation costs such as airline tickets or otherwise provided shall not be claimed for reimbursement. Snacks and continental breakfasts such as rolls, juice, and coffee are not considered to be meals. Each item of expenses of \$25 or more requires a receipt; receipts may be required for items of expense that are less than \$25. When receipts are not required to be submitted with the claim, it is the employee's responsibility to maintain receipts and records of their actual expenses for tax purposes. Each State agency shall determine the necessity for travel and the mode of travel to be reimbursed.

A. Meals/Incidentals: Meal expenses for breakfast, lunch, and dinner will be reimbursed in the amount of actual expenses up to the maximums. The term "incidentals" includes, but is not limited to, expenses for laundry, cleaning and pressing of clothing, and fees and tips for services, such as for porters and baggage carriers. It does not include taxicab fares, lodging taxes or the cost of telegrams or telephone calls.

1. Rates - Actual meal/incidental expenses incurred will be reimbursed in accordance with the maximum rates and time frame requirements outlined below:

Breakfast	up to	\$ 6.00	
Lunch	up to	\$10.00	
Dinner	up to	\$18.00	
Incidentals	up to	\$ 6.00	
<hr/>			
Total	up to	\$40.00	(Every full 24 hours of travel)

2. Time Frames - For continuous short-term travel of more than twenty-four (24) hours but less than thirty-one (31) days, the employee will be reimbursed for actual costs up to the maximum for each meal, incidental, and lodging expense for each complete twenty-four (24) hours of travel, beginning with the traveler's time of departure and return as follows:
 - a. On the first day of travel on a trip of more than twenty-four (24) hours:

Trip begins at or before 6 a.m.	Breakfast may be claimed
Trip begins at or before 11 a.m.	Lunch may be claimed
Trip begins at or before 5 p.m.	Dinner may be claimed
 - b. On the fractional day of travel at the end of a trip of more than twenty-four (24) hours:

Trip ends at or after 8 a.m. Breakfast may be claimed

Trip ends at or after 2 p.m. Lunch may be claimed

Trip ends at or after 7 p.m. Dinner may be claimed

If the fractional day includes an overnight stay, receipted lodging may be claimed. No meal or lodging expenses may be claimed or reimbursed more than once on any given date or during any twenty-four (24)-hour period.

- c. For continuous travel of less than twenty-four (24) hours, the employee will be reimbursed for actual expenses up to the maximum as follows:

Travel begins at or before 6 a.m. Breakfast may be claimed and ends at or after 9 a.m.:

Travel begins at or before 4 p.m. Dinner may be claimed and ends at or after 7 p.m.:

If the trip extends overnight, receipted lodging may be claimed.

No lunch or incidentals may be claimed on a trip of less than twenty-four (24) hours.

- B. Lodging: All lodging reimbursement requires a receipt from a commercial lodging establishment such as a hotel, motel, bed and breakfast inn, or public campground that caters to the general public. No lodging will be reimbursed without a valid receipt.

1. Regular State Business Travel

- a. Statewide, in all locations not listed in c. below, for receipted lodging while on travel status to conduct State business:

With a lodging receipt: Actual lodging up to \$84 plus applicable taxes.

- b. When employees are required to do business and obtain lodging in the counties of Alameda, San Francisco, San Mateo and Santa Clara, reimbursement will be for actual receipted lodging to a maximum of \$140 plus applicable taxes. When employees are required to do business and obtain lodging in the counties of Los Angeles and San Diego, actual lodging up to \$110 plus applicable taxes.

2. State Sponsored Conferences or Conventions

For receipted lodging while attending State Sponsored conferences and conventions, when the lodging is contracted by the State sponsor for the event, and the appointing authority has granted prior approval for attendance and lodging at the contracted rate and establishment: Actual lodging up to \$110 plus applicable taxes.

3. Non-State Sponsored Conferences or Conventions

For receipted lodging while attending non-State sponsored conferences and conventions, when the lodging is contracted by the sponsor for the event, and the appointing authority has granted prior approval for attendance and lodging at the

contracted rate and establishment: Actual lodging when approved in advance by the appointing authority .

Reimbursement of lodging expenses in excess of specified amounts, excluding taxes requires advance written approval from the Department of Personnel Administration. The Department of Personnel Administration may delegate approval authority to departmental appointing powers or increase the lodging maximum rate for the geographical area and period of time deemed necessary to meet the needs of the State. An employee may not claim lodging, meal, or incidental expenses within 50 miles of his/her home or headquarters.

C. Long-term Travel: Actual expenses for long term meals and receipted lodging will be reimbursed when the employee incurs expenses in one location comparable to those arising from the use of establishments catering to the long-term visitor.

1. Full Long-term Travel - In order to qualify for full long-term travel reimbursement, the employee on long-term field assignment must meet the following criteria:

- The employee continues to maintain a permanent residence at the primary headquarters, and
- The permanent residence is occupied by the employee's dependents, or
- The permanent residence is maintained at a net expense to the employee exceeding \$200 per month.

The employee on full long-term travel who is living at the long-term location may claim either:

- Reimbursement for actual individual expense, substantiated by receipts, for lodging, water, sewer, gas and electricity, up to a maximum of \$1,130 per calendar month while on the long-term assignment, and actual expenses up to \$10 for meals and incidentals, for each period of twelve (12) to twenty-four (24) hours and up to \$5 for actual meals and incidentals for each period of less than twelve (12) hours at the long-term location, or
 - Long-term subsistence rates of \$24 for actual meals and incidentals and \$24 for receipted lodging for travel of twelve (12) hours up to twenty-four (24) hours; either \$24 for actual meals or \$24 for receipted lodging for travel less than twelve (12) hours when the employee incurs expenses in one location comparable to those arising from the use of establishments catering to the long-term visitor.
2. An employee on long-term field assignment who does not maintain a separate residence in the headquarters area may claim long-term subsistence rates of up to \$12 for actual meals and incidentals and \$12 for receipted lodging for travel of twelve (12) hours up to twenty-four (24) hours at the long-term location; either \$12 for actual meals or \$12 for receipted lodging for travel less than twelve (12) hours at the long-term location.

3. Employees, with supervisor's approval, after completing the work shift remain at the job or LTA location past the Friday twelve (12)-hour clock will receive full per diem for Friday. Those staying overnight shall not receive any additional per diem regardless of the Saturday departure time. An employee returning to the temporary residence on Sunday will receive full per diem. This does not change Department of Personnel Administration policy regarding the per diem clock which starts at the beginning of the work shift on Monday. If the normal workweek is other than as stated above, the same principle applies.

The following clarifies Department of Personnel Administration policy regarding an employee leaving the LTA location on personal business:

The reference to leaving the LTA location for personal business and not claiming per diem or transportation expenses assumes that the employee stays overnight at a location other than the long-term accommodations.

- D. **Out-of-State Travel:** For short-term out-of-State travel, State employees will be reimbursed actual lodging, supported by a receipt, and will be reimbursed for actual meal and incidental expenses in accordance with above. Failure to furnish lodging receipts will limit reimbursement to the meal/incidental rate above. Long-term out-of-State travel will be reimbursed in accordance with the provisions of long-term travel above.
- E. **Out of Country Travel:** For short-term out of country travel, State employees will be reimbursed actual lodging, substantiated by a receipt, and will be reimbursed actual meals and incidentals up to the maximums published in column (B) of the Maximum Travel per Diem Allowances for Foreign Areas, section 925, U.S. Department of State Standardized Regulations and the meal/incidental breakdown in Federal Travel Regulation Chapter 301, Travel Allowances, Appendix B. Long-term out of country travel will be reimbursed in accordance with the provisions of long-term travel above, or as determined by the Department of Personnel Administration.

Subsistence shall be paid in accordance with procedures prescribed by the Department of Personnel Administration. It is the responsibility of the individual employee to maintain receipts for their actual meal expenses.

- F. **Transportation:** Transportation expenses include, but are not limited to, airplane, train, bus, taxi fares, rental cars, parking, mileage reimbursement, and tolls that are reasonably and necessarily incurred as a result of conducting State business. Each State agency shall determine the necessity for travel, and the mode of travel to be reimbursed.

1. **Mileage Reimbursement**

- a. Effective July 1, 2006, when an employee is authorized by his/her appointing authority or designee to operate a privately owned vehicle on State business the employee will be allowed to claim and be reimbursed at the Federal Standard Mileage Rate (FSMR).
- b. When an employee is required to report to an alternative work location, the employee may be reimbursed for the number of miles driven in excess of his/her normal commute.

2. **Specialized Vehicles** – Effective July 1, 2006, employees who must operate a motor vehicle on official State business and who, because of a physical disability, may operate only specially equipped or modified vehicles may claim the FSMR, with certification. Supervisors who approve claims pursuant to this subsection have the responsibility of determining the need for the use of such vehicles.
 3. **Private Aircraft Mileage** – When an employee is authorized by his/her department, reimbursement for the use of the employee's privately owned aircraft on State business shall be made at the rate of 50 cents per statute mile. Pilot qualifications and insurance requirements will be maintained in accordance with the Department of Personnel Administration Rule 599.628.1 and the State Office of Risk and Insurance Management.
 4. **Mileage to/From a Common Carrier** – When the employee's use of a privately owned vehicle is authorized for travel to or from a common carrier terminal, and the employee's vehicle is not parked at the terminal during the period of absence, the employee may claim double the number of miles between the terminal and the employee's headquarters or residence, whichever is less, while the employee occupies the vehicle. Exception to "whichever is less". If the employee begins travel one (1) hour or more before he normally leaves his home, or on a regularly scheduled day off, mileage may be computed from his/her residence.
- G. **Receipts:** Receipts or vouchers shall be submitted for every item of expense of \$25 or more. In addition, receipts are required for every item of transportation and business expense incurred as a result of conducting State business except for actual expenses as follows:
1. Railroad and bus fares of less than \$25 when travel is wholly within the State of California.
 2. Street car, ferry fares, bridge and road tolls, local rapid transit system, taxi, shuttle or hotel bus fares, and parking fees of \$10 or less for each continuous period of parking or each separate transportation expense noted in this item.
 3. Telephone, telegraph, tax, or other business charges related to State business of \$5 or less.
 4. In the absence of a receipt, reimbursement will be limited to the non-receipted amount above.
 5. Reimbursement will be claimed only for the actual and necessary expenses noted above. Regardless of the above exceptions, the approving officer may require additional certification and/or explanation in order to determine that an expense was actually and reasonably incurred. In the absence of a satisfactory explanation, the expense shall not be allowed.

12.2 Moving Expenses

Whenever an employee is reasonably required to change his/her place of residence, the State shall reimburse the employee for approved items in accordance with lodging, meal and incidental rates and time frames established in section 12.1 (Business and Travel Expense), and in accordance with the existing requirements, time frames and

administrative rules and regulations for reimbursement of relocation expenses that apply to excluded employees.

12.3 Transportation Incentives and Parking Rates

- A. The State and Union agree that the State shall encourage employees to use alternate means of transportation to commute to and from work in order to reduce traffic congestion and improve air quality.
- B. Employees working in areas served by mass transit, including rail, bus, or other commercial transportation licensed for public conveyance shall be eligible for a seventy-five percent (75%) discount on public transit passes sold by State agencies up to a maximum of sixty-five dollars (\$65) per month. Employees who purchase public transit passes on their own shall be eligible for a seventy five percent (75%) reimbursement up to a maximum of sixty-five dollars (\$65) per month. This shall not be considered compensation for purpose of retirement contributions. The State may establish and implement procedures and eligibility criteria for the administration of this benefit including required receipts and certification of expenses.
- C. Employees riding in van pools shall be eligible for seventy-five percent (75%) reimbursement of a monthly fee up to a maximum of sixty-five dollars (\$65) per month. In lieu of the van pool rider reimbursement, the State shall provide one hundred dollars (\$100) per month to each State employee who is the primary van pool driver, and meets the eligibility criteria, and complies with program procedures as developed by the State for primary van pool drivers. This shall not be considered compensation for purposes of retirement. A van pool is defined as a group of seven (7) or more people who commute together in a vehicle (State or non-State) specifically designed to carry an appropriate number of passengers. The State may establish and implement procedures and eligibility criteria for the administration of this benefit.
- D. Except for twenty-four (24) hour facilities of the DMH, DDS, CDCR, State Special Schools of the DOE and the Veteran's Homes in Barstow and Yountville and Chula Vista of the Department of Veterans Affairs, the parties agree that the State may increase parking rates in existing owned or leased lots, in urban congested areas, no more than twenty dollars (\$20) per month above the current rate charged to employees in specific locations where they park. Congested urban areas are areas such as Sacramento, San Francisco Bay, San Jose, Fresno, Los Angeles, San Bernardino, Riverside, and San Diego areas. Every effort shall be made to provide employees sixty (60) days but no less than thirty (30) days notice of a parking rate increase. The State shall not increase rates for existing parking lots where employees do not currently pay parking fees. Rates at new lots administered or leased by the State will be set at a level comparable to rates charged for similar lots in the area of the new lot, e.g., rates for open lots shall be compared to rates for open lots, rates for covered parking shall be compared for rates for covered parking.
- E. The State shall continue to offer a system to employees where parking fees may be paid with pre-tax dollars.

- F. Notwithstanding any other provision of this Contract, the Union agrees that the State may implement new policies or change existing ones in areas such as transit subsidies, vanpool/carpool incentives, walking/biking incentives, parking, parking fees, hours of work and other actions to meet the goals of transportation incentives. The State agrees to notice and meet and confer regarding the impact of such new or changed policies.

12.4 and 12.5 Intentionally Excluded

12.6 Alternate Transportation

The State will determine the appropriate means of transportation when Unit 17 employees are required to travel in the performance of their job duties. The State shall authorize transportation that is different than what was determined to be appropriate, provided:

- A. The employee submits a written request to the department at least seventy-two (72) hours prior to the travel;
- B. The department approves the request;
- C. The employee waives any overtime credits that could result from the use of alternate transportation; and
- D. The employee will bear the difference of all expenses and time that may be incurred due to the use of alternate transportation.

12.7 State Owned Housing Rental and Utility Rates

A. Housing

Annually, current rental rates for all types of State owned employee housing, including trailers and/or trailer pads, may be increased by the State as follows:

1. Where employees are currently paying rent, the State may raise such rates up to twenty-five percent (25%) each year.
2. During the term of this Contract, where no rent is being charged, the State may raise rents up to \$75 per month, or when an employee vacates State owned housing, including trailers and/or trailer pads, the State may raise rents for such housing up to the Fair Market value.
3. Employee rental of State owned housing shall not ordinarily be a condition of employment. In any instance after July 1, 1989 and annually thereafter, where rental of State housing is made a condition of employment, the State may charge the employee ten percent (10%) less than the regular rate of rent.
4. Employees renting State owned housing occupy them at the discretion of the State employer. If the State decides to vacate a State owned housing unit currently occupied by a State employee, it shall give the employee a minimum of thirty (30) days advance notice.

B. Utilities

Annually, current utility charges for all types of State owned employee housing, including trailers and/or trailer pads, may be increased by the State as follows:

1. Where employees are currently paying utility rates to the State, the State may raise such rates up to eight percent (8%) each year.
 2. Where no utilities are being charged, the State may impose such charges consistent with its costs.
 3. Where utilities are individually metered to State owned housing units, the employee shall assume all responsibility for payment of such utility rates, and any increases imposed by the utility company.
- C. Notwithstanding any of the above, the Department of Fish and Game will meet and confer with Union representatives prior to the implementation of rental increases. The department will meet and confer over any amount of necessary increases, the implementation dates, and the necessity for the increase.
- D. The Department of Fish and Game is committed to improving the quality of State owned housing under its jurisdiction. To that end, the department will seek funding authority for maintenance and improvement of department-owned housing.

This subsection is not subject to the provisions of Article 6 of this Contract.

E. Possessory Interest Taxes

The parties agree to seek a determination from the Internal Revenue Service about whether State reimbursement of employee paid possessory interest taxes constitutes a taxable reimbursement for employees who live in State owned housing as a condition of employment. The parties shall abide by this determination.

12.8 Intentionally Excluded

12.9 Overtime Meals

- A. When a Unit 17 employee is required to work overtime, management will provide employees with a meal allowance, a meal ticket or a complete meal. Should management be unable to provide a complete meal, a meal allowance or ticket not to exceed eight dollars (\$8) will be provided. Upon request of either management or the Union a committee shall be activated, comprised of no more than three (3) Union members, to explore the feasibility of providing complete meals to employees required to work overtime.
- B. To be eligible for the overtime meal allowance, or ticket, the employee must be required to report to work at least two (2) hours prior to or be required to remain at least two (2) hours past their regularly scheduled workday. No more than three (3) overtime meals, allowances, tickets may be claimed during any twenty-four (24) hour period

1. Employees who are provided an overtime meal ticket shall receive the ticket on the day it is earned. The date and time issued shall be recorded on the overtime meal ticket.
2. Employees who are provided an allowance/ticket may receive reimbursement for the receipt/ticket by attaching the receipt/ticket to a State Travel Expense Claim form. To receive reimbursement, receipt/tickets must be submitted within thirty (30) calendar days of the date the overtime meal was authorized.

C. Overtime Meal Allowances – Department of Corrections and Rehabilitation

1. Overtime meals, allowances or tickets will be earned when an employee is required to work at least two (2) consecutive hours prior to or two (2) consecutive hours after the regular work shift. If the employee is required to work for more extended periods of time, he/she shall earn an additional meal, allowance, or ticket for each additional six (6) hour period of assigned work. No more than three (3) overtime meals, allowances, or tickets will be claimed during any twenty-four (24) hour period.
2. Unit 17 employees who meet the above criteria shall be provided an overtime meal ticket (local form) on the day it is earned. The date and time of issue will be recorded on the ticket. The monetary value of each ticket, meal, or allowance shall be six dollars (\$6).
3. Employees who are on travel status, and are being reimbursed under the business and travel portion of this Contract, will not receive a meal at State expense nor be reimbursed for an overtime meal under the provision of this section.
4. The employee may use the meal ticket as provided in a and b below :
 - a. The employee chooses to use the assigned meal ticket at the employee's snack bar or dining room, using it within ninety (90) days of the date recorded on the meal ticket. If used to purchase a meal, the meal itself will constitute full and complete reimbursement. The value of the ticket at the facilities' snack bar or cafeteria shall be six dollars (\$6) but may be higher after consultations between management and the local Unit 17 steward in order that the reimbursement is sufficient to purchase a complete hot meal. If the employee does not purchase a meal, he/she may follow the procedures as outlined in b. below.
 - b. Employees issued meal tickets may receive reimbursement for the meal ticket by attaching the ticket(s) to a State Travel Expense Claim form and submitting it for payment within ninety (90) days of the issue date. Employees requesting reimbursement under this option will receive six dollars (\$6), regardless of the value assigned to the meal ticket by local management.
 - c. Employees in assignments which do not allow the State to provide a meal ticket shall be provided alternative methods, determined by the State, to receive the six dollars (\$6) reimbursement for each overtime meal allowances earned.

12.10 Replacement of Damaged Personal Clothing and/or Articles

- A. Unit 17 employees shall be reimbursed for personal clothing and/or articles which are damaged beyond repair during the course of an employee's workday. The State will not reimburse employees for damaged clothing and/or articles if the damage is caused by employee carelessness or negligence. Unit 17 employees shall exercise good judgment in the type and cost of personal clothing and articles worn while performing their duties. The State will provide reimbursement based on original receipts or current value. In both cases, depreciation will be considered in arriving at the reimbursement value of the clothing and/or articles.
- B. This provision shall also apply to items of personal equipment specifically required by the State for the performance of the job.

12.11 Annual Uniform Replacement Allowance

- A. When the State requires a uniform to be worn as a condition of employment and does not provide such a uniform, the State shall authorize a uniform replacement allowance based on actual costs substantiated with a receipt for an amount not to exceed \$450 per year. Claims for such reimbursement shall be paid in full to the employee within ninety (90) days of the submission of the receipt.
 - 1. Uniform means outer garments, which are required to be worn exclusively while carrying out the duties and responsibilities of the position and which are different from the design or fashion of the general population. This definition includes items that serve to identify the person, agency, function performed, rank, or time in service.
 - 2. In those cases where the State provides the uniform to be worn, the uniform items provided pursuant to this section are State owned or leased property which will be maintained as the State deems necessary. Employees issued State-provided uniform items shall be responsible for loss of or damage to the uniform items other than that incurred as the result of normal wear or through no fault of the employee.
 - 3. In those cases where the State does not provide the uniform to be worn, employees shall be responsible for the purchase of the required uniform as a condition of employment. After an employee has the equivalent of one full year in a permanent position, which requires a uniform, he/she must submit a request in accordance with existing departmental practice in order to receive a uniform replacement allowance.
 - 4. Employees shall wear their required uniforms only in an official capacity except that employees may wear such uniforms on the grounds of their facility and to and from their work location including associated incidental travel.
 - 5. The Uniform Replacement Allowance shall not be considered compensation for retirement purposes.

B. Single Source Vendor

1. During the life of this Contract, departments may establish a single source vendor system to replace the current uniform replacement allowance program. If a single source vendor system is established, employees shall use the system to obtain department authorization uniform replacement items. Departments that participate in a single source vendor system may establish an anniversary date for the uniform replacement credit with the vendor. Employees will receive their credit on that date based on the number of qualifying pay periods in the uniformed classification and in accordance with existing State laws, rules, and regulations.
2. Employees newly appointed (new hire to State service, promotion, transfer, or demotion from a non-uniformed classification) shall be required to purchase the uniform as a condition of employment and such purchase shall be through the single source vendor. Such employees will be eligible for a prorated uniform replacement credit on the established anniversary date, and a uniform replacement credit on each subsequent anniversary date.

12.12 Intentionally Excluded

12.13 Tools, Business Equipment, Materials, and Supplies

- A. The State shall provide all business equipment, reference materials, materials, and supplies deemed necessary by the State. Business equipment, materials, and supplies provided pursuant to this section are State owned or leased property which will be maintained as the State deems necessary. Employees issued State provided business equipment, materials, and supplies shall be held responsible for the loss of and/or damage to those items other than that incurred as the result of normal use, wear, or through no fault of the employee.
- B. Unit 17 employees may request that specific business equipment, materials, and supplies be made available for their use in the job. It is the intent of the State to provide business equipment, materials, and supplies to enable the employees to perform assigned duties and responsibilities.

12.14 through 12.17 Intentionally Excluded

12.18 License Renewal Fees

- A. The State agrees to reimburse all permanent full-time employees who are required by law to maintain a license as a condition of State employment for the actual cost of license renewal fees during the term of this Contract.
- B. Permanent part-time employees who are half time or more and who are required by law to maintain a license as a condition of State employment shall be reimbursed for the actual cost of license renewal fees on a prorated basis during the term of this Contract.

12.19 and 12.20 Intentionally Excluded

12.21 Nurse Practitioner Furnishing Number Renewal Fees

If a department requires, in writing, that Nurse Practitioners write prescriptions, the State agrees to reimburse all permanent full-time Nurse Practitioners for the actual cost of the furnishing number renewal fees each year.

ARTICLE 13 – CAREER DEVELOPMENT

13.1 Personnel and Evaluation Material

- A. There shall be only one official personnel file (OPF) and one supervisory working file regarding each Unit 17 employees.
- B. An OPF shall be maintained at a location identified by each department head or designee.
- C. Information in an employee's supervisory and OPF shall be confidential and available for inspection only to the employee's immediate supervisor or other person(s) authorized by the department head or designee in connection with the proper administration of the department's affairs or supervision of the employee; except, however, that information in an OPF may be released pursuant to court order or subpoena. An affected employee will be notified of the existence of such a court order or subpoena and provided with said copy. OPF's shall contain an inspection log where any person reviewing the file shall sign and date the log, unless excluded by law.
- D. No rank-and-file shift lead shall be authorized access to a Unit 17 employee's files, except with prior written approval of the employee.
- E. Evaluation material or material relating to an employee's conduct, attitude, or service shall not be included in his/her OPF files without being signed and dated by the author of such material. Before the material is placed in the employee's file, the department head or designee, shall provide the affected employee an opportunity to review the material, and sign and date it. An employee's signature shall not constitute agreement. A copy of the material shall be given to the employee upon request.
- F. A Unit 17 employee or his/her authorized representative(s) may review his/her files during regular office hours.
- G. Where the OPF is in location remote from the employee's work location, reasonable arrangements will be made to accommodate the employee and/or his/her authorized representative at the employee's work location.
- H. The employee shall have the right to insert in his/her files reasonable supplementary material and/or a written response to any items in the files. Responses shall remain attached to the material it supplements for as long as the material remains in the file. Reasonable supplementary material includes, but is not limited to, letters of commendation, accolades, etc.

- I. Materials relating to an employee's performance included in the employee's OPF files shall be retained for a period of time specified by each department, except that at the written request of the employee, materials of a negative nature shall be purged after two (2) years if there has been no recurring behavior. This provision, however, does not apply to formal adverse actions as defined in applicable Government Code sections or to material of a negative nature for which actions have occurred during the intervening years period, except that, by mutual agreement between a department head or designee and an employee, adverse action material may be removed.
- J. As provided for in A. above, supervisors may keep a working file on the performance and conduct of employees to provide documentation for matters such as, but not limited to, probation reports, performance appraisals, training needs, MSA reviews, bonus programs, adverse actions, employee development appraisals, or examination evaluations. Supervisory working notes placed in a supervisory working file shall generally not be kept for a period longer than one year from the date it was placed in the file. An employee and/or his/her authorized representative may, upon request, review the contents of his/her file with his/her representative and be provided a copy upon request.

13.2 Informal Performance Discussions

- A. The State and SEIU Local 1000 encourage periodic informal performance discussions between Unit 17 employees and their supervisor to discuss work performance, job satisfaction, and work-related problems. Except when immediate action is necessary for health or safety reasons, such discussions shall be held in a private setting or sufficiently removed from the hearing range of other persons.
- B. The issuance of work instructions by a supervisor does not constitute an informal performance discussion. This section shall not be construed to limit, in any manner, a supervisor's right to issue work instructions.

13.3, 13.4 and 13.5 Intentionally Excluded

13.6 Performance Appraisal

A performance appraisal is a constructive process to acknowledge the performance of an employee. An employee's evaluation shall be sufficiently specific to inform and guide the employee in the performance of his/her duties. If an employee is not given an opportunity to perform on a particular element, the supervisor will note on the performance evaluation that the factor was not applicable, and the employee's evaluation shall not be negatively impacted. Employees shall not have their evaluation negatively impacted by their use of any leaves permitted under the terms of this Contract.

1. Performance evaluations are not considered as an independent step in the disciplinary process.
2. If there is no evaluation of record within one year prior to a scheduled wage increase, the employee's overall evaluation shall be: "meets expectations".

A. PROBATIONARY REPORTS

Probationary performance reports shall be completed at sufficiently frequent intervals to keep the employee adequately informed of progress on the job. The final report may summarize the previously issued probationary performance reports.

B. ANNUAL PERFORMANCE APPRAISAL

Annual performance reports shall generally include information from the immediate twelve (12) months prior to the due date of the report, exclusive of the probationary rating period.

The performance appraisal system of each department may include annual written performance appraisals for permanent employees. Such performance appraisals may be completed at least once each twelve (12) calendar months after an employee completes the probationary period for the class in which he/she is serving.

In the absence of any current annual performance appraisal, or performance evaluation material to the contrary, the employee's performance shall be deemed satisfactory.

While in the process of completing the probationary report or annual performance appraisal, the supervisor shall personally meet with the employee to review the appraisal or report, any notes, documents, or audits utilized in preparing the report.

At the time an employee signs his/her annual appraisal, a copy will be provided to the employee. These reports, as a general rule, will be issued to the employee no later than thirty (30) days after the due date of the report.

Any performance evaluation conducted of an employee who is a participant in Union/State collective bargaining negotiations shall recognize the employee's frequent absence from his/her State job and the impact of such absences on the employee's performance. Such absences shall not be included as excessive absences. This is not intended to abrogate the right of the State to take disciplinary action against any employee who happens to be involved in such collective bargaining.

Any Unit 17 employee may grieve the content of his/her annual performance appraisal through the third (DPA) step: (1) when he/she receives a substandard rating of the performance factors, or (2) when negative comments are inconsistent with the actual ratings received, or (3) when rating factors are not used and the narrative evaluation includes negative comments. DPA shall sustain the evaluation except where supported by substantial evidence to the contrary. When a grievance is granted on this subject, the annual performance appraisal will be modified to reflect the outcome of the grievance procedure and the original performance appraisal will be removed from the file.

13.7 Performance Appraisal of Nursing Practices

When Unit 17 employees who provide hands-on-care receive a rating from a non-registered nurse supervisor of "Improvement Needed" on their Individual Appraisal Summary for nursing practices, the rating will be reviewed by a supervising registered nurse designated by the department head or designee. This section is not to be construed as a limitation on supervisory personnel responsibility for the overall evaluation of employees.

13.8 Intentionally Excluded

13.9 Letters of Instruction (LOI)/Work Improvement Discussions (WIDs)

- A. LOI/WIDs (as well as counseling memos, informal letters of reprimand, letters of warning, etc.) shall contain a specified expiration date, not to exceed one year if there has been no recurring behavior, upon which the employee may request the removal of the same. Upon request to the Appointing Authority of his/her designee, they shall be removed and destroyed, unless the employee requests the documents be returned to them for their own disposal.
- B. LOI/WIDs shall be issued in a timely fashion, generally within thirty (30) days from when the incident occurred or from date of discovery of the incident that forms the basis for the LOI/WID.
- C. In cases where departmental staff are investigating an employee in a situation which adverse action potentially may follow, and the decision is made to give the employee an LOI/WID, the LOI/WID shall be issued in a timely fashion, generally within thirty (30) days from the decision to give the employee an LOI. This will not prevent the parties from negotiating a formal adverse action down to an LOI/WID.
- D. This provision shall not be circumvented by calling the document by another title such as: Letter of Informal Discussion, Employee Counseling Record, Letters of Contact, or Expectations of Work Performance memos. These types of "minor" corrective memos are to be placed in the employee's supervisory file, but not in the OPF.
- E. The employee shall have the right to submit a rebuttal to any LOI/WID, or any such comment referred to in Article 14.5 A. and D. above and/or Article 14, section 4 E of this Contract. The rebuttal shall be submitted no later than thirty (30) days after issuance of the LOI/WID to the employee unless mutually agreed by the appointing authority's authorized representative and the employee or his/her representative to extend this time frame. The rebuttal shall be attached to the applicable LOI/WID and shall remain in the files only as long as the underlying document.
- F. Disputes concerning this section are grievable to Step 3 (DPA) pursuant to Article 6, of the Grievance and Arbitration Procedure of this Contract.
- G. Upon the employee's written request, all official Notices of Adverse Action, all documentation leading to or supporting or opposing such action, and all SPB decisions rendered in such cases will be purged from the employee's file(s) after three (3) years if there has been no recurring behavior.

- H. Although any performance problem may be addressed in an employee's annual performance evaluation, the evaluation shall contain no reference to the issuance of an LOI/WID or adverse action.

13.10 Intentionally Excluded

13.11 Registered Nurse Career Ladder

No later than ninety (90) days following the ratification of this Agreement by both parties, a Joint Labor/Management Committee shall be established to review career opportunities and development of mechanisms for nurses to obtain upward mobility and satisfaction in State government. This could include Nurse Mentoring and other proactive programs. The committee shall consist of four members designated by the Union and four members designated by the State. Union members shall attend committee meetings without loss of compensation. The committee shall review and analyze current career ladders and opportunities available to nurses.

The committee shall prepare a full written report with recommendations made to the Director of the Department of Personnel Administration no later than January 1, 2008. If the parties agree and funds are available, joint recommendation may be implemented prior to the expiration of this Agreement.

13.12 Employment Opportunities

Departments shall make employment opportunity information available to Unit 17 employees by posting such information on a bulletin board that is accessible to Unit 17 employees twenty-four (24) hours per day at institutions staffed on a seven (7) day/week, twenty-four (24) hour/day basis.

13.13 through 13.17 Intentionally Excluded

13.18 Professional Practice Groups

The purpose of professional practice groups is to provide an orderly process through which nurses' services may participate regularly as a group to:

1. Establish, maintain and improve the standard of nursing practices;
2. Function as a central group to assist in:
 - a. maintaining competence in nursing practices;
 - b. increasing the scope of practice of registered nurses by exposure to new skills, trends, and developments of practice within the profession; and
 - c. recognizing and accepting responsibility for recommending improvements to nursing practice;
3. Participate actively in efforts to define and upgrade the standards of nursing practice, education, orientation, ethics, conduct, and achievement as required by the appropriate licensing board;

4. Serve as a centralized group for receiving individual or group concerns pertaining to nursing and channeling this input for study, evaluation and consideration; and
 5. Improve communications between members of the profession, related treatment/health care disciplines, and management and supervisors regarding new trends and changes in nursing practices such as a result of legislation, science or new applications and interpretation of existing laws.
- A. It is the intent of the State to support the establishment of PPG's on either a facility or regional basis. All Registered Nurses employed at a facility are members of the PPG. The size, composition, and frequency of meetings for registered nurse PPG's shall be determined by facility management, which may include multi-disciplinary Professional Practice Groups (PPG). Facilities which currently have multi-disciplinary PPG's may continue their inter-disciplinary PPG's. These meetings shall be open. Subject to supervisory approval based on operational need and with reasonable advance notice, an employee shall be permitted to attend a PPG meeting. The process of selecting officers shall include an election of representative rank-and-file registered nurses and may also include direct appointments by management. Direct appointments by management may not exceed one-half of the total officers. Prior approval of agendas may be required. Each PPG may elect officers, publish agendas in advance and distribute their minutes and notice of meetings only within the facility. Each professional practice group shall prepare minutes and provide a copy to management. Upon request, facility management may review the minutes prior to distribution.
 - B. Professional Practice Groups shall be able to use State facilities, clerical support and mail systems consistent with current practices, workload and other facility priorities. Registered Nurses participating in Professional Practice Groups shall suffer no loss of compensation and shall receive no overtime as a result of attendance at any Professional Practice Group meeting or assignments made by a Professional Practice Group.
 - C. Professional Practice Groups may submit recommendations to facility management. Management shall acknowledge the receipt of the recommendations and respond on a case by case basis as determined by management. It is understood by both parties that effective two-way communications improve morale and productivity.
 - D. No Professional Practice Group shall discuss any subject that falls within the mandatory or permissive scope of bargaining as it relates to wages, hours, working conditions, classification studies, or a subject of any grievance or complaint. PPG's may, however, provide suggestions to appropriate department management on improvements to in-service training, and the appropriateness of uniform requirements. Professional Practices Groups are also appropriate forums to discuss issues such as appropriate trauma and crisis counseling for special situations, the need for hospice training where applicable and in accordance with job requirements. No Professional Practice Group communications, written or oral, may occur with any agency or organization other than the facility management without prior approval of the facility director or designee.
 - E. The Department of Corrections and Rehabilitation, DJJ shall support the formation of Professional Practices Groups at all of its facilities.

- F. The Department of Corrections and Rehabilitation, Adult Facilities shall support the establishment of Professional Practices Groups using criteria in this provision as guidelines. A detailed agenda will be submitted to management for approval at least ten (10) days prior to any meeting. Employees shall not receive any overtime for attending this meeting.
- G. The Department of Veteran's Affairs shall support the establishment of Professional Practice Groups at all of its facilities by October 2, 2002.
- H. The Department of Health Services shall support Professional Practice Groups. PPG meetings shall be open.
- I. The Department of Education shall schedule at least one meeting during each year of this Agreement and the school year between representatives of the registered nurse staff of the two (2) schools for the deaf and the three (3) diagnostic schools. The Department shall publish the meeting schedule by September 1 each year of this agreement. Unit 17 representatives will have at least thirty (30) days to submit agenda items. Additional meetings shall be scheduled at the Department's discretion. For these meetings, representatives may be required to travel in conjunction with other staff during planned school activities and be required to use Departmental designated accommodations and facilities. A detailed agenda will be submitted for approval to the Department at least ten (10) work days prior to the meeting. Employees shall suffer no loss of compensation and shall receive no overtime compensation as a result of these meetings. If a representative's workweek cannot be modified due to operational needs, any weekend meeting shall be considered work time and compensated on an hour-for-hour basis.
- J. All departments that currently utilize Professional Practices Groups shall reaffirm, in writing, and publish, the importance of the Professional Practices Group and encourage Unit 17 employees to attend the meetings. The date, time, and location, of the meetings shall be included in the notice. This information shall be provided to new employees during the formal orientation process.
- K. Subsections A and E of this section are not subject to the Grievance and Arbitration Article.

13.19 through 13.23 Intentionally Excluded

13.24 Orientation

- A. Departments will provide an on-the-job orientation for all Unit 17 employees hired after the effective date of this Contract. The orientation will take place within thirty (30) days of employment.
- B. Through the PPG's, Unit 17 employees may provide recommendations for content of the orientation program provided to nursing staff.

13.25 Mandatory Training

- A. Unit 17 employees who are approved by the State to attend training courses required by the department shall be granted time off without loss of compensation when the course is attended during the affected employee's scheduled work hours. If attendance at such courses is required by the department during an employee's off-duty hours, such attendance shall be considered time worked.
- B. Continuing education necessary for professional licensing shall not be considered mandatory training for purposes of this section unless a specified course required by the department incidentally meets the continuing education requirements. Nothing in this section shall relieve employees of any requirement to maintain professional licenses, certificates, registrations, etc.
- C. Upon satisfactory completion of mandatory training, the State agrees to reimburse Unit 17 employees for the expenses incurred. Reimbursement shall be limited to:
 - 1. Tuition and/or registration fees;
 - 2. Cost of course-required books;
 - 3. Toll and parking fees in accordance with Article 12 (Allowances and Reimbursements), section 12.1 (Business and Travel Expense);
 - 4. Transportation or mileage expenses from the employee's headquarters in accordance with Article 12, section 12.1;
 - 5. Lodging and subsistence expenses in accordance with Article 12, section 12.1.
- D. The departments shall establish reasonable policies and procedures with regard to the method by which an employee obtains the necessary advance authorization for monetary reimbursement and/or release time approval.

13.26 Non-Mandatory Training

- A. For purposes of this section, "non-mandatory" training is training or education where attendance is generally requested/initiated by an employee and is not required by the department. With prior and express authorization by the department head or designee, the State may reimburse Unit 17 employees for up to one hundred percent (100%) of the cost for course-required books or tuition and/or provide an amount of time off without loss of compensation for attendance at non-mandatory training. Release time without loss of compensation may be for up to one hundred percent (100%) of the time required for course attendance. Both parties agree and understand that different amounts of reimbursement and release time may be provided to employees in the same or similar situations.
- B. The departments shall establish policies and procedures with regard to the method by which an employee obtains the necessary advance authorization for monetary reimbursement and/or release time approval.

13.27 In-Service Training

- A. The Department of Corrections and Rehabilitation shall determine the in-service training necessary for their Unit 17 employees and, upon request, shall seriously consider input from the Union. In-service training may include, but not be limited to, such topics as ward/inmates supervision, working relationship with wards/inmates, and ward/inmate disciplinary procedures.
- B. Departments other than those noted in section A, shall develop and offer job-related in-service training on an annual basis. Each department shall develop its training plan and, upon request, shall seriously consider input from the Union. The training plans shall be published annually and distributed to all employees and the Union.
- C. All departments employing Registered Nurses with professional practice groups shall reaffirm, in writing, to each Hospital or Developmental Center Executive Director, Warden or Superintendent or appropriate administrator the importance of the Professional Practice Groups particularly as it relates to in-service training.

13.28 Education and Training Opportunities and Resources

- A. Departments shall provide information on education opportunities, training, and training resources. This shall include the sharing of in-service continuing education course information on a regional basis between departments. Such information shall also be available to the Union upon request.
- B. A list of resource contacts regarding nursing careers in State service shall be included as Appendix 2 to this Contract.

13.29 Research Projects

- A. With the approval of the department, a Unit 17 employee may use State facilities for the purpose of conducting research when the employee is pursuing continuing education credits, is involved in a personal research project, or other departmentally approved training. The use of State facilities shall not result in increased costs to the State nor shall the rights of clients, patients, inmates, wards, or students be compromised.
- B. Upon request of a SEIU Local 1000 Unit 17 representative, the department will provide the identification of those major funded research projects in the area of health care delivery that are being currently conducted or considered.

ARTICLE 14 – CLASSIFICATION

14.1 Classification Changes

The Department of Personnel Administration (hereafter DPA) and the Service Employees International Union Local 10000 (hereafter SEIU) recognize the benefits of working cooperatively in the establishment of new Unit 17 Classifications or modifying existing classifications.

- A. When DPA desires to establish a new classification and assigns it to Bargaining Unit 17 or modifies an existing one that is in Bargaining Unit 17, DPA shall send a Preliminary Official Notice to the Union during DPA's preparatory stages of the proposals. This preliminary notification shall include a description of the change(s) intended to be achieved by the proposal, a rough draft of the class specification under consideration, and the anticipated impact, if any, on Unit 17 employees. The preliminary notification shall be submitted to the Union a minimum of thirty (30) working days prior to the notification described in section B below. The Union may request to meet and discuss with DPA regarding these classification proposals. Such meetings shall be for the purpose of discussing the classification proposal and for the Union to provide input and/or discuss the impact to its membership.
- B. The DPA shall notify and submit to the Union the final classification proposal at least thirty (30) work days prior to the date the State Personnel Board (SPB) is scheduled to adopt it.
- C. If the Union requests in writing within ten (10) work days of receipt of the notice, the DPA shall meet with the Union to discuss the final proposal. If the Union does not respond to the notice, or if the Union does not meet with DPA within five (5) work days from their date of request, the classification proposal shall be deemed agreeable to the Union and be placed on the SPB's consent calendar.
- D. The DPA shall meet and confer, if requested in writing, within ten (10) work days from the date the SPB approved the classification change, regarding only the compensation provisions of the classification. DPA shall not implement the proposed or revised classification until DPA and SEIU meet and confer regarding the compensation.
- E. Neither the classification nor the compensation provisions shall be subject to the grievance and arbitration procedure in Article 6 (Grievance and Arbitration Procedure).

14.2 Out of Classification Grievances and Position Allocation Hearing Process

A. Definitions

- 1. An employee is working "out-of-class" when he/she spends a majority (i.e., more than 50 percent [50%]) of his/her time over the course of at least two (2) consecutive work weeks performing duties and responsibilities associated with a higher level existing classification that do not overlap with the classification in which said employee holds an appointment.

Duties that are appropriately assigned to incumbents in the employee's current classification are not out-of-class. Duties appropriately assigned are based on the definition and typical tasks enumerated in the California State Personnel Board specification.

Training and Development assignments are not out-of-class work.

2. For purposes of this section, a classification is at a "higher level" if the maximum salary of the highest salary range (excluding alternate range criteria other than deep class criteria) is any amount more than the maximum salary of the highest range of the class in which the employee holds an appointment.
3. When an employee is performing the duties of a vacant position properly assigned to a higher class or the duties of an absent employee whose position is properly assigned to a higher classification, the employee shall be considered to be working out-of-class.

B. Authorization and Rate of Pay

1. Notwithstanding Government Code sections 19818.8, and 19818.16, an employee may be temporarily required to perform out-of-class work by his/her department for up to 120 calendar days in any twelve (12) consecutive calendar months when it determines that such an assignment:
 - a. Is of unusual urgency, nature, volume, location, duration, or other special characteristics; and,
 - b. Cannot feasibly be met through use of other civil service or administrative alternatives.
2. Departments may not use out-of-class assignments to avoid giving civil service examinations or to avoid using existing eligibility lists created as the result of a civil service examination.
3. When an employee is assigned out-of-class work, he/she shall receive the rate of pay he/she would have received pursuant to Title 2 Cal. Code Regs sections 599.673, 599.674, or 599.676 if appointed to the higher classification.
4. Out-of-class work may be discontinued by departments at any time; however, departments may not rotate employees in and out of out-of-class assignments to avoid payment of out-of-class compensation.
5. Out-of-class pay shall not be considered as part of the employee's base pay when computing the rate due upon promotion to a higher level.

C. Out-of-Class Grievances and Allocation Appeals

1. The grievance and arbitration procedure described in subsection D below shall be the exclusive means by which alleged out-of-class assignments shall be remedied, including requests for review by the Department of Personnel Administration referenced in Government Code section 19818.16 or the State Victims Compensation and Government Claims Board.

2. The grievance and arbitration procedure described in this section shall be the exclusive means for appealing position allocation or reallocation referenced in Government Code sections 19818.6 and 19818.20.
3. Employees may not separately file out-of-class grievances and position allocation or reallocation grievances pertaining to the same duties and responsibilities.
4. The only remedy that shall be available (whether claiming out-of-class work or position misallocation) is retroactive pay for out-of-class work. Said pay shall be limited to out-of-class work performed (a) during the one year calendar period before the employee's grievance was filed; and (b) the time between when the grievance was filed and finally decided by an arbitrator.
5. Arbitrators shall not have the authority to order reclassification (reallocation) of a grievant's position or discontinuance of out-of-class work assignments.

D. Grievance Procedure and Time Limits

1. An employee's grievance initially shall be discussed with the employee's supervisor.
2. If the grievance is not resolved to the satisfaction of the grievant a formal grievance may be filed on a form provided by the State within:
 - a. Fourteen (14) calendar days after receipt of the decision rendered by the supervisor; or
 - b. Twenty-one (21) calendar days after the date the employee's duties allegedly changed such that he/she stopped working out of classification or his/her position became misallocated.

However, under no circumstances may the period in which to bring the grievance be extended beyond the twenty-one (21) calendar days in Item b. above.

3. Out-of-class and misallocation grievances shall be filed with a designated supervisor or manager identified by each department head as the department level of appeal in the usual grievance procedure found in Article 6.
4. The person designated by the department head as the department level of appeal shall respond to the grievance in writing within forty-five (45) calendar days after receipt of the grievance.
5. If the grievant is not satisfied with the decision rendered by the person designated by the department head at the department level of appeal, he/she may appeal the decision in writing within twenty-one (21) calendar days after receipt to the Director of the Department of Personnel Administration.
6. The Director of the Department of Personnel Administration or designee shall respond to the grievance in writing within sixty (60) calendar days after receipt of the appealed grievance.

7. If the grievance is not resolved by the Department of Personnel Administration, the Union shall have the right to submit the grievance to arbitration in accordance with Article 6, section 6.11.
8. Article 6, section 6.11 (Arbitration Level) shall apply to out-of-class and misallocation grievances except as otherwise provided in this section.
- E. The arbitrator's decision regarding out-of-class and misallocation grievances shall be final and binding on the parties. Said awards shall not be subject to challenge or review in any forum, administrative or judicial, except as provided in Code of Civil Procedure section 1286.2 et seq.
- F. Government Code section 19818.8 (a), a person shall not be assigned to perform the duties of any class other than that to which his or her position is allocated, except as permitted by section 19050.8.

14.3 Intentionally Excluded

14.4 Duty Statements/Post Orders

- A. Upon appointment to a Unit 17 position, departments shall provide each Unit 17 employee with a duty statement which describes the duties the employee is expected to perform. Duty statements shall be consistent with the Unit 17 employee's classification specification.
- B. In CDCR duty statements may be included in the Post Orders.
- C. Disputes over whether or not the duty statement is consistent with the class specifications shall be resolved through the grievance procedure. The decision reached at Step 3 (DPA) of the grievance procedure shall be final.

14.5 through 14.7 Intentionally Excluded

14.8 Contracting Out

A. Purpose

The purpose of this section is to guarantee that the State does not incur unnecessary, additional costs by contracting out work appropriately performed at less expense to the State by bargaining unit employees, consistent with the terms of this section. In achieving this purpose the parties do not intend this section to expand the State's ability to contract out for personal services. The parties agree that this section shall not be interpreted or applied in a manner which results in a disruption of services provided by State departments.

B. Policy Regarding Personal Services Contracts and Cost Savings

Except in extremely unusual or urgent, time-limited circumstances, or under other circumstances where contracting out is recognized or required by law, Federal mandate, or court decisions/orders, the State must make every effort to hire, utilize and retain bargaining unit employees before resorting to the use of private contractors. Contracting may also occur for reasons other than cost savings as recognized or required by law, Federal mandate, or court decisions/orders.

C. Information Regarding Contracts To Be Let

1. Departments will provide the Union's designated representative with copies of Requests for Proposals (RFPs) and Invitations for Bid (IFBs) for personal services contracts when released for publication if they call for services found in bargaining unit class specifications.
2. To the extent that a department is preparing to enter into a contract (or amend a contract) and it does not require an RFP or IFB, the department shall provide the Union's designated representative with a copy of the Standard Form 215 (or its departmental equivalent) if and when the Form 215 is completed but no less than five (5) business days thereafter provided the contract is/will be for services found in bargaining unit class specifications. If the Form 215 contains confidential or proprietary information, it shall be redacted as discussed below in subsection D (1).
3. The purpose of this subsection C is to provide the Union with notice and an opportunity to present alternatives which mitigate or avoid the need for contracting out, while still satisfying the needs of the State to provide services. Directors (or their designee) shall therefore meet with the Union for this purpose, if requested by the Union.

D. Review of Personal Services Contracts In Existence

1. Upon request of the Union each department shall submit copies of any or all personal services contracts that call for services found in bargaining unit class specifications. For each contract, departments shall provide additional documents establishing the number, scope, duration, justification, total costs of all such contracts, and payment of all overhead and administrative costs paid through each contract, provided it does not disclose confidential or proprietary information, in which case it shall be redacted as discussed below. The requested contract and related information shall be provided as soon as reasonably possible. The parties expect that this shall be provided no more than twenty-one (21) calendar days following the request by the Union, or longer if approved by the Union and the Department. This shall include contracts that may otherwise be protected from public disclosure, if they provide for services found in bargaining unit class specifications. However, the State may redact those portions of protected contract(s) that are proprietary, necessary to protect the competitive nature of the bid process, and that which does not pertain to the costing of personnel services found in bargaining unit classifications. The goal shall be to protect against disclosure of information which should remain confidential, while at the same time providing the Union with sufficient information

to determine whether unnecessary, additional costs are being incurred by contracting out work found in bargaining unit class specifications. Costing information provided to the Union for protected contracts shall include total personnel costs for personnel services found in bargaining unit classifications plus any overhead charges paid to the contractor for these services, provided such disclosure does not breach confidentiality requirements or include proprietary information.

2. Within ten (10) workdays after receipt of the personal services contracts and associated documents as provided for in paragraph D (1) above, the Union and the department shall begin reviewing the contracts. The Union and the Department shall examine the contracts based on the purpose of this section, the terms of the contracts, all applicable laws, Federal mandates and court decisions/orders. In this regard, the Union and the Department will consider which contracts should and can be terminated immediately, which contracts will take additional time to terminate, which contracts may continue (for how long and under what conditions) and how (if necessary and cost effective) to transition contract employees or positions into civil service. All determinations shall be through express mutual agreement of the Union and the Department.
3. The Union and the Department will continue to meet as necessary to examine personal services contracts which have been let.
4. If savings are generated by the termination of personal services contracts under this provision, it is the intent of the State to implement agreements of the Union and the Department for utilization of said savings. Such agreements may include:
 - a. Contributing toward position reductions which would otherwise be accomplished by the layoff, salary reduction or displacement of bargaining unit employees.
 - b. Enabling the employment of bargaining unit employees for services currently performed by contractors;
 - c. Enabling of the conversion to bargaining unit civil service employment of qualified contract employees who wish to become State employees, as otherwise permitted by law, regulations, provisions of the contracts and resolutions by the State Personnel Board.
 - d. Providing timely, adequate and necessary recruitment efforts. These efforts may include focused recruitment, publicizing in professional journals, use of the media, job fairs, expedited hiring, expedited background checks, spot testing authorized by the SPB, State employee registries, and recruitment and retention incentives.
 - e. Such other purposes as may be mutually agreed upon.

E. Displacement Avoidance

1. The objective of this subsection is to ensure that bargaining unit employees have preference over contract employees consistent with, but not limited to the following principles.
 - a. The duties at issue are consistent with the bargaining unit employee's classification;
 - b. The bargaining unit employee is qualified to perform the job; and,
 - c. There is no disruption in services.
2. To avoid or mitigate bargaining unit employee displacement for lack of work, the appointing power shall review all existing personal services contracts to determine if work consistent with the affected employee's classification is being performed by a contractor. Displacement includes layoff, involuntary demotion, involuntary transfer to a new class, involuntary transfer to a new location requiring a change of residence, and time base reductions. If the Union and the Department that review personal services contracts determine that the terms and purpose of the contract permit the State to assign the work to a bargaining unit employee who would otherwise be displaced, this shall be implemented consistent with the other terms of this section. The State and the Union shall meet and confer for purposes of entering into an agreement about the means by which qualified employees are notified and provided with such assignments. This shall include developing a process that ensures that savings realized by terminating the contract and reassigning the work to a bargaining unit employee to avoid displacement, are utilized to offset that employee's moving and relocation costs, the amount of which shall be consistent with the Moving/Relocation section of the parties' collective bargaining agreement.

F. Nothing in this section shall be interpreted or applied in such a manner as to interfere with the State or Federal court orders, the authority of the State or Federal courts or the authority of the special masters or receiver.

G. Relationship Between This Section and Related Statutes

The State is mindful of the constitutional and statutory obligations (e.g., Government Code § 19130) as it pertains to restriction on contracting out. Thus, nothing in this section is intended to interfere with pursuit of remedies for violation of these obligations as provided by law (e.g., Public Contract Code § 10337).

14.9 through 14.18 Intentionally Excluded

14.19 New Classifications

The State acknowledges the value of nurses and is interested in retaining nurses and encouraging them to grow and promote within their unique and dynamic field. In recognition of this and pursuant to the classification provisions contained in section 14.1 (Classification Changes), the State shall:

Within six (6) months of ratification of this agreement by both parties, and upon request of the Union, the Department of Health Services (DHS), Office of Labor

Relations, will meet to discuss the findings of the 2003 draft specification revision. Two (2) DHS Bargaining Unit 17 employees will be released for this meeting. Every effort will be made to meet by January 1, 2007.

14.20 Classification Reviews

The State shall establish a joint labor management committee consisting of three (3) representatives from SEIU and three (3) representatives from management to explore two (2) class specifications or specification series. SEIU representatives on the committee shall serve without loss of compensation.

The State and SEIU mutually agree the committee will focus solely on the class definition, typical tasks, and minimum qualifications of the class specification. The parties also agree the classification committee shall not be used as a forum for discussion of salary-related issues. SEIU may initiate discussions on classifications to be addressed by the committee by providing to the State relevant data and justification that indicate changes may be needed in the specification or specification series.

The joint labor management committee shall complete one classification review prior to the commencement of a committee to address a subsequent classification review. It is the intent of the parties to complete the classification reviews prior to the expiration of this Contract; however, the primary goal of each committee is to ensure the review undertaken results in an accurate classification specification.

The State and SEIU recognize that classification proposals reflecting recommendations developed by the committee require approval by the Department of Personnel Administration and the State Personnel Board.

This section is not subject to the grievance and arbitration procedure of this agreement.

ARTICLE 15 – TRANSFER

15.1 Appeal of Involuntary Transfer

- A. An involuntary transfer which reasonably requires an employee to change his/her residence may be grieved under Article 6 only if the employee believes it was made for the purpose of harassing or disciplining the employee. If the appointing authority or the Department of Personnel Administration disapproves the transfer, the employee shall be returned to his/her former position; shall be paid the regular travel allowance for the period of time he/she was away from his/her original headquarters; and his/her moving costs both from and back to the original headquarters shall be paid in accordance with the Department of Personnel Administration laws and rules.
- B. An appeal of an involuntary transfer which does not reasonably require an employee to change his/her residence shall not be subject to the grievance and arbitration procedure. It shall be subject to the complaint procedure if the employee believes it was made for the purpose of harassing or disciplining the employee.

15.2 and 15.3 Intentionally Excluded

15.4 Transfer

- A. The parties recognize that when the State deems it necessary to fill a vacant position, the needs of the State must be given first priority. The needs of the State include the right to fill vacant positions using existing eligible or promotional lists, involuntary transfers, reassignments or other selection methods for reasons such as affirmative action, special skills, abilities or aptitudes.
- B. The parties also recognize the desirability of permitting a permanent employee to transfer within his/her department and classification to another location which the employee deems to be desirable. To this end, permanent full-time employees may apply for an Employee Opportunity Transfer to a position at another location within his/her department in accordance with the following procedure:
 - 1. Employees desiring an Employee Opportunity Transfer shall apply in writing to his/her department head or designee in a manner prescribed by the department. Such transfer requests shall be to permanent positions in the same department within his/her current classification.
- C. Whenever a department head or designee elects to fill a vacancy through an Employee Opportunity Transfer, a permanent employee who already has an Employee Opportunity Transfer application to that location on file with the department shall be selected. If there is more than one employee with an Employee Opportunity Transfer application to the same location on file, one of the top three (3) employees with the greatest amount of department service by class shall be selected. When an employee is formally interviewed, the department head or designee will notify the employee of the non-selection.
- D. Permanent employees who wish to submit Employee Opportunity Transfer applications may do so during a thirty (30) calendar day open period, to be scheduled once every six (6) months by each department. No employee shall submit more than four (4) Employee Opportunity Transfer applications during an open period.

ARTICLE 16 – LAYOFF

16.1 Layoff and Reemployment

- A. Application. Whenever it is necessary because of a lack of work or funds, or whenever it is advisable in the interest of economy to reduce the number of permanent and/or probationary employees (hereinafter known as "Employees") in any State agency, the State may lay off employees pursuant to this section.
- B. Order of Layoff. Employees shall be laid off in order of seniority pursuant to Government Code sections 19997.2 through 19997.7 and applicable State Personnel Board and Department of Personnel Administration rules.

- C. Notice. Employees compensated on a monthly basis shall be notified thirty (30) calendar days in advance of the effective date of layoff. Where notices are mailed, the thirty (30) calendar day time period will begin to run on the date of the mailing of the notice. The State agrees to notify the Union no later than sixty (60) calendar days prior to the actual date of layoff. The notice to the Union shall also include the reason for the layoff, the area of the layoff, the anticipated classifications affected, the total number of employees in each affected classification, the estimated number of surplus employees in each classification and the proposed effective date of the layoff.
- D. Grievance and Arbitration. Any dispute regarding the interpretation or application of any portion of this layoff provision shall be resolved solely through the grievance and arbitration procedure.
- E. Transfer or Demotion in Lieu of Layoff. The State may offer affected employees a transfer or a demotion in lieu of layoff pursuant to Government Code sections 19997.8 through 19997.10 and applicable Department of Personnel Administration rules. If an employee refuses a transfer or demotion, the employee shall be laid off.
- F. Reemployment. In accordance with Government Code sections 19997.11 and 19997.12, the State shall establish a reemployment list by class for all employees who are laid off. Such lists shall take precedence over all other types of employment lists for the classes in which employees were laid off. Employees shall be certified from department or sub-divisional reemployment lists in accordance with section 19056 of the Government Code.
- G. State Service Credit for Layoff Purposes. In determining seniority scores, one point shall be allowed for each qualifying monthly pay period of full-time State service regardless of when such service occurred. A pay period in which a full time employee works eleven (11) or more days will be considered a qualifying pay period except that when an absence from State service resulting from a temporary or permanent separation for more than eleven (11) consecutive working days falls into two (2) consecutive qualifying pay periods, the second pay period shall be disqualified. Veterans will receive additional credits in accordance with Government Code section 19997.6.
- H. Departmental Vacancies. Departments filling vacancies shall offer positions to employees facing layoff, demotion in lieu of layoff or geographic transfer in accordance with current State Restriction of Appointment procedures.
- I. Employees who are affected by layoff, reduction in time-base or other similar circumstances under this Article will be entitled to continuation of health, dental, and vision benefits pursuant to Public Law 99-272, Title X, Consolidation Omnibus Reconciliation Act (COBRA).

16.2 Reducing the Adverse Effects of Layoff

Whenever the State determines it necessary to layoff employees, the State and SEIU Local 1000 shall meet in good faith to explore alternatives to laying off employees such as, but not limited to, voluntary reduced work time, retraining, early retirement and unpaid leaves of absence.

16.3 Alternative to Layoff

The State may propose to reduce the number of hours an employee works as an alternative to layoff. Prior to the implementation of this alternative to layoff, the State will notice and meet and confer with SEIU Local 1000 to seek concurrence of the usage of this alternative.

16.4 through 16.6 Intentionally Excluded

16.7 Continuation of Benefits

Unit 17 employees who are affected by layoff, reduction in time base or other similar circumstances under this Article will be entitled to continuation of health, dental, and vision benefits pursuant to Public Law 99-272, Title X, Consolidation Omnibus Reconciliation Act (COBRA).

ARTICLE 17 – RETIREMENT

17.1 First Tier Retirement Formula (2% @ 55)

- A. The Union and the State agree to participate in the First-Tier retirement plan as prescribed by law.
- B. The table below lists the current First Tier age/benefit factors.

AGE AT RETIREMENT	CURRENT FACTORS
50	1.100
51	1.280
52	1.460
53	1.640
54	1.820
55	2.000
56	2.063
57	2.125
58	2.188
59	2.250
60	2.313
61	2.375
62	2.438
63 and over	2.500

- C. There are factors for attained quarter ages, such as 52 ¾. These improved age/benefit factors apply for service rendered on and after the effective date of the 1999-2001 Memorandum of Understanding between the State and the Union. The improved factors also apply to past service that is credited under the First Tier and the Modified First Tier.
- D. The amount of member contributions required of employees covered under these factors continues to be five percent (5%) of monthly compensation in excess of \$513.
- E. Miscellaneous and industrial members in the First Tier retirement or the Alternate Retirement Plan (ARP) subject to social security shall contribute five percent (5%) of monthly compensation in excess of \$513 for retirement. Miscellaneous and industrial members in the First Tier retirement or the ARP not subject to social security shall contribute six percent (6%) of monthly compensation in excess of \$317 for retirement.
- F. New employees hired on or after January 1, 2007, will, after completion of participation in the ARP, be subject to the 2% at 55-retirement formula with benefits based on the highest average monthly pay rate during thirty-six (36) consecutive months of employment. Employees in employment prior to January 1, 2007, will remain subject to the 2% at 55 retirement formula with benefits based on the highest average monthly pay rate during twelve (12) consecutive months of employment.
- G. The State and Union agree to support legislation that changes the method of computing the average annual compensation earnable for new Miscellaneous and Industrial Members hired on or after January 1, 2007, inclusive of those in the ARP.

17.2 Second-Tier Retirement Plan

The Union and the State agree to participate in the second-tier retirement plan as prescribed by law.

17.3 First Tier Eligibility For Employees In Second Tier

- A. The Union and the State (parties) agree that the legislation implementing this agreement shall contain language to allow employees who are currently in the Second Tier retirement plan to elect to be covered under the First Tier, as described in this article. The parties further agree that the provisions of this article will be effective only upon the CalPERS board adopting a Resolution that will employ, for the June 30, 1998 valuation and thereafter, 95% of the market value of CalPERS' assets as the actuarial value of the assets, and to amortize the June 30, 1998 excess assets over a twenty (20) year period beginning July 1, 1999. The parties agree to jointly request the CalPERS board to extend the twenty (20) year amortization period in the event the cost of these benefits or unfavorable returns on investments results in an increased employer contribution by the State.

- B. The legislative language would allow an employee to exercise the Tier 1 right of election during a period following the effective date of this agreement. An employee who makes this election would then be eligible to purchase past Second Tier service. The parties will work with CalPERS to establish more flexible purchase provisions for employees. These include, but are not limited to, increasing the installment period from 96 months (8 years) to 144 months (12 years), and allowing employees to purchase partial amounts of service.
- C. New employees who meet the criteria for CalPERS membership would have the right to be covered under the First Tier plan within one hundred eighty (180) days of the date of their appointment. If a new employee does not make an election for First Tier coverage, he/she would be enrolled in the Second Tier plan.
- D. Employees who purchase their past service would be required to pay the amount of contributions they would have paid had they been First Tier members during the period of service that they are purchasing. As required by CalPERS law, the amount will then include interest at six percent (6%), annually compounded.

17.4 2.5% @ 55 Retirement Formula for Safety Members

- A. The Union and the State agree to participate in the State safety retirement formula as prescribed by law.
- B. The table below lists the current State safety age/benefit factors.

AGE AT RETIREMENT	CURRENT FACTORS
50	1.700
51	1.800
52	1.900
53	2.000
54	2.225
55 and over	2.500

- C. There are factors for attained quarter ages, such as 52 ¾. These improved age/benefit factors apply for service rendered on and after the effective date of the 1999-2001 Memorandum of Understanding between the State and the Union. The improved factors also apply to past service that is credited under the State Safety retirement category.
- D. The amount of member contributions required of employees covered under these factors continues to be six percent (6%) of monthly compensation in excess of \$317.
- E. State safety members shall contribute six percent (6%) of monthly compensation in excess of \$317 for retirement.

- F. New employees hired on or after January 1, 2007, will, be subject to the 2.5% @ 55 retirement formula with retirement benefits based on the highest average monthly pay rate during thirty-six (36) consecutive months of employment. Employees in employment prior to January 1, 2007, will remain subject to the 2.5% @ 55 retirement formula with benefits based on the highest average monthly pay rate during twelve (12) consecutive months of employment.
- G. The State and Union agree to support legislation that changes the method of computing the average annual compensation earnable for new State safety members hired on or after January 1, 2007.

17.5 Intentionally Excluded

17.6 State Safety Retirement

- A. Enrollment in the State Safety Retirement category shall be prospective only and prior service shall remain under the miscellaneous retirement category.
- B. Nothing in this section shall be subject to the grievance and arbitration procedures.

17.7 Intentionally Excluded

17.8 Employer-Paid Employee Retirement Contributions

The purpose of this Article is to implement the provisions contained in section 414(h)(2) of the Internal Revenue Code concerning the tax treatment of employee retirement contributions paid by the State of California on behalf of employees in the bargaining unit. Pursuant to section 414(h)(2) contributions to a pension plan, although designated under the plan as employee contributions, when paid by the employer in lieu of contributions by the employee, under circumstances in which the employee does not have the option of choosing to receive the contributed amounts directly instead of having them paid by the employer, may be excluded from the gross income of the employee until these amounts are distributed or made available to the employee.

Implementation of section 414(h)(2) is accomplished through a reduction in wages pursuant to the provisions of this section.

- A. Definitions: Unless the context otherwise requires, the definitions in this section govern the construction of this section.
 1. "Employees." The term "employees" shall mean those employees of the State of California in Bargaining Unit 17 who make employee contributions to the CalPERS retirement system.
 2. "Employee Contributions." The term "employee contributions" shall mean those contributions to the CalPERS retirement system which are deducted from the salary of employees and credited to individual employee's accounts.
 3. "Employer." The term "employer" shall mean the State of California.

4. "Gross Income." The term "gross income" shall mean the total compensation paid to employees in Bargaining Unit 17 by the State of California as defined in the Internal Revenue Code and rules and regulations established by the Internal Revenue Service.
5. "Retirement System." The term "retirement system" shall mean the CalPERS retirement system as made applicable to the State of California under the provisions of the Public Employees' Retirement Law (California Government Code section 20000, et seq.).
6. "Wages." The term "wages" shall mean the compensation prescribed in this Agreement.

B. Pick Up Of Employee Contributions

1. Pursuant to the provisions of this Agreement, the employer shall make employee contributions on behalf of employees and such contributions shall be treated as employer contributions in determining tax treatment under the Internal Revenue Code of the United States. Such contributions are being made by the employer in lieu of employee contributions.
2. Employee contributions made under Paragraph A of this section shall be paid from the same source of funds as used in paying the wages to affected employees.
3. Employee contributions made by the employer under Paragraph A of this section shall be treated for all purposes other than taxation in the same manner and to the same extent as employee contributions made prior to the effective date of this Agreement.
4. The employee does not have the option to receive the employer contributed amounts paid pursuant to this Agreement directly instead of having them paid to the retirement system.

C. Wage Adjustment

Notwithstanding any provision in this Agreement to the contrary, the wages of employees shall be reduced by the amount of employee contributions made by the employer pursuant to the provisions hereof.

D. Limitations To Operability

This Article shall be operative only as long as the State of California pick up of employee retirement contributions continues to be excludable from gross income of the employee under the provisions of the Internal Revenue Code.

E. Non-Arbitrability

The parties agree that no provisions of this Article shall be deemed to be arbitrable under the grievance and arbitration procedure contained in this Agreement.

17.9 Intentionally Excluded

17.10 1959 Survivors' Benefits – Fifth Level

- A. Employees who are members of the Public Employees' Retirement System (PERS) will be covered under the Fifth Level of the 1959 Survivors' Benefit, which provides a death benefit in the form of a monthly allowance to the eligible survivor in the event of death before retirement. This benefit will be payable to eligible survivors of current employees who are not covered by Social Security and whose death occurs on or after the effective date of the memorandum of understanding for this section.
- B. Pursuant to Government Code section 21581 (c) the contribution for employees covered under this new level of benefits will be two dollars (\$2) per month as long as the combined employee and employer cost for this program is \$4 per month or less per covered member. If the total cost of this program exceeds \$4 per month per member, the employee and employer shall share equally in the cost of the program. The rate of contribution for the State will be determined by the PERS board.
- C. The survivors' benefits are detailed in the following schedule:
 - 1. A spouse who has care of two (2) or more eligible children, or three (3) or more eligible children not in the care of spouse.....\$1,800
 - 2. A spouse with one eligible child, or two (2) eligible children not in the care of the spouse.....\$1,500
 - 3. One eligible child not in the care of the spouse; or the spouse, who had no eligible children at the time of the employee's death, upon reaching age 60.....\$ 750

17.11 and 17.12 Intentionally Excluded

17.13 Exclusion of Sustained Superior Accomplishment

The parties agree that payments made under the sustained superior accomplishment award program will not be considered as compensation for purposes of retirement.

ARTICLE 18 – PERMANENT INTERMITTENT APPOINTMENTS

18.1 Permanent Intermittents

- A. Except as otherwise provided in this agreement, a permanent intermittent position or appointment is a position or appointment in which the employee is to work periodically or for a fluctuating portion of the full-time work schedule. A permanent intermittent employee may work up to 1,500 hours in any calendar year based upon Government Code section 19100 et seq. The number of hours and schedule of work shall be determined based upon the operational needs of each department.

- B. State Personnel Board Rule 277 is one of the many employment alternatives the appointing power may use to fill vacant positions within a competitive selection process. When filling permanent full-time vacancies, a department shall consider eligible permanent intermittent employees within the classification.
- C. Each department may establish an exclusive pool of permanent intermittent employees based upon operational need.
- D. Each department shall endeavor to provide a permanent intermittent employee with seven (7) calendar days but in no case less than seventy-two (72) hours notice of their work schedule, except when they are called in to fill in for unscheduled absences or for unanticipated operational needs.
- E. Upon mutual agreement, a department head or designee may grant a permanent intermittent employee a period of non-availability not to exceed twelve (12) months during which the employee may not be given a waiver. The period of non-availability may be revoked based on operational needs. An employee on non-available status who files for unemployment insurance benefits shall be immediately removed from such status.
- F. A permanent intermittent employee will become eligible for leave credits in the following manner:
 - 1. **Sick Leave** - A permanent intermittent employee who has completed 160 hours of paid employment will be eligible for up to eight (8) hours of sick leave credit with pay. The hours in excess of 160 hours in a qualifying monthly pay period shall not be counted or accumulated. On the first day of the qualifying monthly pay period following the completion of each period of paid employment, the permanent intermittent employee shall earn eight (8) hours of credit for sick leave with pay subject to the following provisions:
 - a. Sick leave may be requested and taken in fifteen (15) minute increments.
 - b. A permanent intermittent employee shall not be removed from scheduled work hours because he/she is on sick leave.
 - c. The administration of sick leave for permanent intermittent employees shall be in accordance with Article 8, section 8.2, Sick Leave.
 - 2. **Vacation Leave** - A permanent intermittent employee will be eligible for vacation leave credit with pay on the first day of the following qualifying monthly pay period following completion of nine hundred-sixty (960) hours of compensated work. Thereafter, a permanent intermittent employee will be eligible for vacation credit with pay in accordance with the schedule in Article 8, section 8.1A, on the first day of the qualifying monthly pay period following completion of each period of one hundred-sixty (160) hours of paid employment. The hours in excess of one hundred-sixty (160) hours in a qualifying monthly pay period shall not be counted or accumulated. When it is determined that there is a lack of work, a department head or designee may:
 - a. Pay the permanent intermittent employee in a lump-sum payment for accumulated vacation leave credits; or

- b. By mutual agreement, schedule the permanent intermittent employee for vacation leave; or
 - c. Allow the permanent intermittent employee to retain his/her vacation credits; or
 - d. Effect a combination of a, b, or c above.
 - e. A permanent intermittent employee will be subject to the provisions of section 8.1, Vacation Annual/Leave.
3. **Annual Leave** - A permanent intermittent employee will be eligible for annual leave credit with pay, on the first day of the following qualifying monthly pay period following completion of nine hundred-sixty (960) hours of compensated work. Thereafter, a permanent intermittent employee will be eligible for annual leave credit with pay in accordance with the schedule in Article 8, section 8.1 C, on the first day of the qualifying monthly pay period following completion of each period of one hundred-sixty (160) hours of paid employment. The hours in excess of one hundred-sixty (160) hours in a qualifying monthly pay period shall not be counted or accumulated. When it is determined that there is a lack of work, a department head or designee may:
- a. Pay the permanent intermittent employee in a lump-sum payment for accumulated annual leave credits; or
 - b. By mutual agreement, schedule the permanent intermittent employee for annual leave; or
 - c. Allow the permanent intermittent employee to retain his/her annual leave credits; or
 - d. Effect a combination of a, b, or c above.
 - e. A permanent intermittent employee will be subject to the provisions of section 8.1, Vacation/Annual Leave.

4. Holidays

- a. A permanent intermittent employee will be eligible for holiday pay on a pro rata basis, based on hours worked during the pay period for observed holidays specified in Article 7 of this Contract in accordance with the following chart. If a permanent intermittent employee works on the holiday, the employee shall also receive his/her hourly rate of pay for each hour worked unless the provisions of section 19.2, B apply.

Hours on Pay Status During Pay Period	Holiday Compensation in Hours for Each Holiday
0-10.9	0
11-30.9	1
31-50.9	2
51-70.9	3
71-90.9	4
91-110.9	5
111-130.9	6
131-150.9	7
151 or over	8*

*Notwithstanding any other provision, an employee can only accrue up to eight (8) hours of holiday credit per holiday.

- b. When a permanent intermittent (PI) employee in work week group 2 is required to work on an observed holiday, and the employee works one hundred-fifty-one (151) or more hours in that pay period, the employee shall receive holiday compensation in accordance with section 7.G.
5. **Bereavement Leave** – A permanent intermittent employee may only be granted bereavement leave in accordance with Article 8, section 8.3, if scheduled to work on the day(s) for which the leave is requested and only for the number of hours the employee is scheduled to work on the day or days. A permanent intermittent employee shall not be removed from scheduled work hours because he/she is on bereavement leave.
6. **Jury Duty** – A permanent intermittent employee shall only be granted jury duty leave in accordance with section 8.14 if the employee is scheduled to work on the day(s) in which the service occurs and only for the number of hours the employee is scheduled to work on the day or days. If payment is made for such time off, the employee is required to remit to the State the fee(s) received. A permanent intermittent employee shall not be removed from scheduled work hours because he/she is on jury duty. When night jury duty is required of a permanent intermittent employee, the employee shall be released without loss of compensation for such portion of required time that coincides with the permanent intermittent employee's work schedule. This includes any necessary travel time.

7. **State Disability Insurance (SDI)** – Permanent intermittent employees shall be covered under the State Disability Insurance (SDI) benefit in accordance with section 9.17.
- G. Monthly paid permanent intermittent employees shall be paid by the 15th of each month.
- H. **Dental Benefits** – A permanent intermittent employee will be eligible for dental benefits during each calendar year if the employee has been credited with a minimum of four hundred-eighty (480) paid hours in one of two control periods. To continue benefits, a permanent intermittent employee must be credited with a minimum of four hundred-eighty (480) paid hours in a control period or nine hundred-sixty (960) paid hours in two consecutive control periods. For the purposes of this section, the control periods are January 1 through June 30 and July 1 through December 31 of each calendar year. An eligible permanent intermittent employee must enroll in a dental benefit plan within sixty (60) days from the end of the qualifying control period.
- I. **Health Benefits** – A permanent intermittent employee will be eligible for health benefits during each calendar year if the employee has been credited with a minimum of four hundred-eighty (480) paid hours in one of two control periods. To continue benefits, a permanent intermittent employee must be credited with a minimum of four hundred-eighty (480) paid hours in a control period or nine hundred-sixty (960) paid hours in two consecutive control periods. For the purposes of this section, the control periods are January 1 through June 30 and July 1 through December 31 of each calendar year. An eligible permanent intermittent employee must enroll in a health benefit plan within sixty (60) days from the end of the qualifying control period.
- J. **Vision Service Plan** – A permanent intermittent employee will be eligible for the State's vision services plan during each calendar year if the employee has been credited with a minimum of four hundred-eighty (480) paid hours in one of two control periods. To continue benefits, a permanent intermittent employee must be credited with a minimum of four hundred-eighty (480) paid hours in a control period or nine hundred-sixty (960) paid hours in two consecutive control periods. For the purposes of this section, the control periods are January 1 through June 30 and July 1 through December 31 of each calendar year. An eligible permanent intermittent employee must enroll in the vision service plan within sixty (60) days from the end of the qualifying control period.
- K. Permanent intermittent employees will be entitled to continuation of health, dental, and vision benefits pursuant to Public Law 99-272, Title X, Consolidated Omnibus Reconciliation Act (COBRA).
- L. **Flex Elect Program** – Permanent Intermittent employees may only participate in the Pre-Tax Premium and/or the Cash Option for medical and/or dental insurance. Permanent intermittent employees choosing the Pre-Tax Premium must qualify for State medical and/or dental benefits. Permanent intermittent employees choosing the Cash Option will qualify if they work at least one-half time, have an appointment for more than six months, and receive credit for a minimum of four hundred-eighty (480) paid hours within the six-month control period of January 1 through June 30 of the plan year in which they are enrolled.

- M. The call-in/scheduling of a permanent intermittent employee and the hours of work an individual permanent intermittent employee may receive shall be applied without prejudice or personal favoritism. Each work site shall post the permanent intermittent schedule and record of permanent intermittent hours worked per week on an ongoing and weekly basis.
- N. A permanent intermittent employee that is offered a permanent full-time or part-time job within a department shall not be denied release from their permanent intermittent employee position by management.
- O. All remaining conditions of employment that relate to the permanent intermittent employee shall be administered in accordance with existing rules and regulations, unless modified by this Contract.

ARTICLE 19 – HOURS OF WORK AND OVERTIME

19.1 Workweek

The regular workweek of full-time Unit 17 employees shall be forty (40) hours and eight (8) hours per day. Workweeks and workdays of a different number of hours may be scheduled by the State in order to meet the varying needs of the State.

19.2 Overtime

- A. Overtime is defined as any authorized time worked in excess of forty (40) hours per week.
- B. Notwithstanding any other Contract provision or law to the contrary, time during which a Unit 17 employee is excused from work because of sick leave shall be counted as hours worked within the workweek for purposes of determining if overtime has been earned.
- C. Payment for authorized overtime may be by cash payment or compensating time off (CTO), at the discretion of the State.
- D. Rate of payment for authorized overtime, whether cash or CTO, shall be at one and one-half (1-1/2) times the regular rate of pay for each hour of overtime worked, or fraction thereof rounded in accordance with the workweek group.
- E. If the State does not schedule CTO within one year from the date the overtime was earned, the State must provide cash payment for the overtime or may, at the request of the employee, extend the time the employee can take CTO.

For the purposes of this Contract section, authorized overtime is defined as overtime pre-approved by a designated supervisor. When an employee attempts to reach the designated supervisor for approval no later than thirty (30) minutes before the end of his/her shift, in order to request approval for overtime to complete mandated duties, failure of the supervisor to respond to the request or Contract within thirty (30) minutes shall be construed as approved overtime authorization. Attempts for authorization must be supported by documentation as determined by departmental policy.

- F. A Unit 17 employee may initiate a request for scheduling CTO which will not be denied without a work-related reason.
- G. Both parties agree and understand that a different type of overtime payment (cash or CTO) may be provided to employees at different times and may even be different from employees in the same or similar situations.
- H. Employees in classes assigned to Work Week Group 2 shall be compensated for ordered overtime of at least fifteen (15) minutes at any one time. Overtime will be credited on a one-quarter (¼) hour basis with a full quarter of an hour credit granted if seven (7) minutes is worked. Smaller fractional units will not be accumulated.
- I. In the Department of Mental Health and Department of Developmental Services an employee shall have the choice of cash or CTO for overtime hours worked. Management shall have the option each fiscal year to compensate employees up to forty (40) hours with CTO. Prior to working overtime, the employee or the employer shall be notified if the overtime is to be paid in CTO. Employees may accrue up to one hundred (100) hours of compensating time off. All hours in excess of the one hundred (100) hour CTO maximum shall be compensated in cash. If cash compensation is paid to an employee for accrued CTO, such compensation shall be paid at the regular rate earned by the employee at the time the employee receives such payment. Employees shall have the right to hold up to forty (40) hours of accrued CTO exempt from mandatory buyout.

19.3 Rest Periods

- A. One rest period of fifteen (15) minutes shall be scheduled by the supervisor during each four (4) hour segment worked by the employee. Employees shall be permitted to take breaks except when required to meet an unforeseen business necessity.
- B. A rest period shall not be granted during the first or last hour of the work shift. Rest periods may not be accumulated, nor may they be used for overtime purposes.
- C. With the approval of his/her supervisor, the employee may take the break away from the employee's work area provided the employee is back in the work assignment at the end of the rest period.

19.4 Meal Periods

- A. Except for employees who are assigned to a straight eight (8) hour shift, full-time employees will be allowed a meal period of not less than thirty (30) minutes nor more than sixty (60) minutes which shall be determined by the State.
- B. Meal periods shall not be counted as part of total hours worked except for those employees who are required by the State to perform assigned duties or remain at their work station during meal periods. When employees are required to work through their meal period, the State shall either adjust the employee's workweek schedule or credit the employee for the time worked.

19.5 Set Up and Shut Down Time

Time deemed necessary by the State for Unit 17 employees to set up and shut down a State function shall be included in the work day of such an employee.

19.6 Show Up Time

- A. The provisions of this section shall apply only to Unit 17 employees in Workweek Group 2.
- B. An employee who shows up for work at an assigned starting time and has not been notified by the employer prior to reporting not to so report, shall be guaranteed at least four (4) hours of work or shall be paid a minimum of four (4) hours at the employee's appropriate rate of pay.
- C. When a training session is scheduled on an employee's authorized day off and the training session is canceled without prior notice to the employee, the employee shall be guaranteed at least four (4) hours of work or shall be paid for a minimum of four (4) hours at the employee's regular rate of pay.
- D. When a training session is scheduled on an employee's scheduled work day and outside the employee's scheduled work shift, and the employee is required to attend and the training session is canceled without prior notice, the employee shall be compensated for the actual time from the beginning or end of his/her shift to the notice of cancellation.

19.7 Report Preparation Time

In the 24 hour institutions there are numerous reports required by the hospital and/or licensing and/or JACHO accreditation, where applicable. Many of these reports can only be performed by the Unit 17 professional licensed staff. In the interest of allowing Unit 17 employees to do thorough and timely reports, their day shall take into consideration the time necessary to complete these reports.

19.8 Flexible Work Hours and Alternate Work Schedules

- A. Departments shall establish policies for flexible work hours and alternate work schedules for Unit 17 employees who desire to participate. It is understood, however, that all Unit 17 employees will comply with any sign-in procedures established by a department. Requests for participation in a flexible work hour or alternative work schedule program shall not be unreasonably denied.

At the request of the Union, the departments agree to schedule a meeting at each facility to discuss Union proposals related to flexible hours and alternate work schedules, for level of care employees. Additional meetings may be scheduled with mutual agreement.

- B. "Flexible work hours" allow for the change of work schedules on a daily basis. An "alternate work schedule" is a fixed work schedule other than regular/standard work hours.
- C. A regular alternate work schedule shall not exceed twelve (12) hours per work day.

- D. The affected employees shall be surveyed to determine the preferred work schedule. In the instance of a twelve (12) hour day work week schedule, the choice shall be between 6 o'clock to 6 o'clock and 7 o'clock to 7 o'clock. A simple majority vote shall determine which 12 hour schedule will prevail. The State may start the shift at thirty minutes to the hour. The survey shall be jointly conducted by the Union and the Department designee. A written tabulation of the results shall be submitted to the Union. Atascadero State Hospital may continue its twelve (12) hour day scheduling program on a pilot basis for the term of this Contract.
- E. Alternate work schedules include, but are not limited to four (4) consecutive ten (10) hour days (also known as "4 ten 40's) and ("9 eight 80's) with each week utilizing consecutive days.
- F. Any denial of requests made under this section shall be in writing. In addition, permanent changes or cancellations to flexible work hours, alternate work schedules or reduced work time schedules shall not be made without prior adequate notice of at least thirty (30) calendar days to affected employees.

19.9 Exchange Of Days Off

- A. Unit 17 employees shall be permitted to exchange hours of work with other employees in the same classification, performing the same type of duties within the same work area(s) provided:
 1. The exchange and repayment shall occur within ninety (90) calendar days from date of approval;
 2. The employees make a written request to their supervisor(s) at least twenty-four (24) hours prior to the exchange;
 3. The supervisor(s) approves the exchange; and
 4. The employee(s) exchanging hours of work shall waive consideration for any additional compensation (e.g., overtime, holiday credit/pay, shift differential) which they would not have otherwise received.
- B. Employees who fail to adhere to the agreed upon conditions of their exchange shall be denied subsequent requests to exchange days off.

19.10 Intentionally Excluded

19.11 Call Back Time

- A. An employee in Workweek Group 2 who has completed a normal work shift, when ordered back to work, shall be credited with a minimum of four (4) hours work time provided the call back to work is without having been notified prior to completion of the work shift, or the notification is prior to completion of the work shift and the work begins more than three (3) hours after the completion of the work shift.
- B. When such an employee is called back under these conditions within four (4) hours of the beginning of a previous call or an additional call is received while still working on an earlier call back, the employee shall not receive an additional four (4) hours credit for the new call back.

- C. When such an employee is called back within four (4) hours of the beginning of the employee's next shift, call back credit shall be received only for the hours remaining before the beginning of the employee's next shift.
- D. When staff meetings, training sessions, or work assignments are regularly scheduled on an employee's authorized day off, the employee shall receive call back compensation. When staff meetings and training sessions are regularly scheduled on an employee's normal work day and outside the employee's normal work shift, overtime compensation shall be received in accordance with the rules governing overtime.

19.12 Standby Pay

- A. "Standby" is defined as the express and absolute requirement that an employee be available during specified off-duty hours to receive communication regarding a requirement to return to work. It shall not be considered standby when employees are contacted or required to return to work but have not been required to be available for receipt of such contact.
- B. Each department that requires employees to be on standby as defined in section A above shall establish procedures with regard to how contact is to be made (e.g., electronic paging device, phone) and with regard to response time while on standby.
- C. An employee who is required to be on standby status will be compensated in the following manner: For every four (4) hours on standby, an employee shall receive one hour of compensating time off.
- D. No standby credit will be earned if the employee is called back to work and receives call back credit.
- E. CTO credited as a result of standby shall not be considered time worked for purposes of qualifying for overtime.

19.13 Intentionally Excluded

19.14 Overtime Scheduling - California Department of Corrections and Rehabilitation

The California Department of Corrections and Rehabilitation (CDCR) shall make every effort to reduce the amount of mandatory overtime and mandatory holdovers, distribute overtime fairly amongst employees of the same classification(s) and provide employees notice of possible or actual unanticipated overtime assignments at least ninety (90) minutes in advance. CDCR Fire Camps shall be excluded from this section.

When an overtime assignment becomes available, either expected or unexpected, the CDCR shall make every effort to fill the assignment by the use of the Voluntary Overtime Roster (VOR). The VOR shall consist of Bargaining Unit 17 (BU 17) nursing staff (by classification) who desire to work overtime. The VOR shall be supplied, at a minimum, once a month, listing all the known and anticipated overtime assignments. When the need arises to fill an overtime assignment and there are no names listed on the VOR,

the supervisor shall attempt to fill through Permanent Intermittent Employees (PIE's), Retired Annuitants, on duty full and part time BU 17 employees, and Contract nursing registry, in this order. After these avenues have been exhausted, a BU 17 classification employee may be mandated to work overtime as outlined below.

Voluntary Overtime

- A. BU 17 classification employees shall be assigned voluntary overtime by Departmental seniority, on a rotational basis by classification. Seniority scores will be determined by counting one point for each month of full time qualifying service, i.e., from full time hire date, less any time off for unpaid leave, suspensions, etc. In the event of ties, total State service will be used to determine seniority scores.
- B. The CDCR shall establish lists of BU 17 employees by classification in seniority score order. BU 17 employees may sign up for voluntary overtime by adding their name to the VOR.

To ensure equitable volunteer overtime opportunity, BU 17 employees shall be provided an opportunity to choose a voluntary overtime slot once. Thereafter, all other BU 17 employees will be provided the same volunteer overtime opportunity once, assuring each BU 17 employee is provided an opportunity for one sign up before returning to the most senior employee and beginning the process again. (i.e., the rotation will again start at the top of the seniority list and work its way down.)

- C. If a specific position was indicated for the voluntary request, and was changed or no longer needed, the nursing supervisor will make all reasonable attempts to notify the affected BU 17 employee. If the BU 17 employee arrives to find the position changed or no longer needed, the BU 17 employee shall not be required to work that position, but may be offered an alternate assignment. If no alternate assignment is available, the BU 17 employee may choose to leave.
- D. Once a BU 17 employee has signed up for a voluntary overtime, it is their responsibility to work that position, unless they have given the nursing supervisor, or their designee, 72 hours notice to enable the timely scheduling of a replacement.
- E. A BU 17 RN may "bump" a scheduled registry nurse at any time during the month, provided they give the nursing supervisor, or their designee, 72 hours notice to enable them to notify the Registry that they will not be needed for the affected position.

Involuntary Overtime

- A. BU 17 employees (by classification) shall be assigned involuntary overtime on a rotating basis by inverse seniority.
- B. Each facility shall establish and maintain an up-to-date list, by inverse seniority of all full and part time BU 17 employees (by classification). Staff shall only be assigned an involuntary slot once, until the entire list has been depleted.
- C. The State will attempt to refrain from assigning mandatory overtime on a BU 17 employee's regular day off (RDO). For the purpose of this section, an employee's RDO begins immediately after completion of their normal shift before the RDO.

- D. It is not the State's intent to mandate BU 17 employees to work involuntary overtime in classifications other than their own. Consistent with that expressed intent, a BU 17 employee may only be mandated to work in another classification when all other appropriate and possible staffing efforts have been exhausted and it is operationally necessary. (This expressed intent, however, does not preclude BU 17 employees from volunteering to work overtime in classifications other than their own, when it is appropriate.)
- E. Management shall make every attempt to schedule BU 17 employees:
- no more than five involuntary overtime shifts per month; or,
 - in excess of sixteen (16) hours continuously; or,
 - in excess of two overtime shifts within an employee's scheduled work week; or,
 - More than two consecutive calendar days.
- F. A mandated holdover of two (2) hours or more is considered a mandated overtime.
- G. While on vacation, pre-approved absence, or on full work day absence due to sick leave(*), Union leave or State release time, or any other authorized absence from the facility, BU 17 employees will not be considered for mandatory overtime. Upon return to work, the BU 17 employee will return to the involuntary rotation in seniority order.
- *This includes instances where an employee was unable to complete their regular shift due to illness and had to be released from duty to go home.
- H. In accordance with section 5.10 (Labor Management Committee), CDCR's Labor Management Committee will address overtime issues within this section.

19.15 Overtime Scheduling (Excluding CDCR)

- A. The Departments recognize and understand the importance of reducing overtime to Unit 17 employees. To this end, the Departments will make every effort to schedule staff in a manner that will reduce the need for mandatory overtime. Both parties agree that mandatory overtime is an undesirable method of providing staff coverage.
- B. There shall be no mandatory overtime on an employee's RDO or pre-approved day off, except:
1. In an emergency situation such as a natural disaster; or
 2. During a State of emergency declared by the State or Federal authorities; or
 3. During an emergency situation declared by a Superintendent, Executive Director or designee; or
 4. During a severe internal emergency (e.g., an incident which necessitates assistance from an outside agency or a health care crisis); or

5. When the employee's shift relief does not report for work or gave less than two (2) hours notice of intent not to report for work, an employee may be mandated if no volunteer is available.
 6. When all other options have been exhausted.
- C. Except in cases of emergency or planned program activity employees shall not be required to:
1. work more than six (6) mandatory overtime shifts of at least two (2) hours of duration in a month; or
 2. work in excess of sixteen (16) hours continuously in a forty-eight (48) hour period; or
 3. Work in excess of two (2) mandatory overtime shifts per work week.
 4. When an employee is required to work twelve (12) to sixteen (16) hours that employee shall not be mandated to work overtime the next calendar day.
- D. Upon request, and where practical, the State shall, upon consultation with the Union, establish a system to request and utilize qualified volunteers to perform overtime work from within the appropriate work area(s). Through the establishment of such a system, the State will endeavor to reduce the amount of mandatory overtime and number of mandatory holdovers, distribute overtime fairly insofar as circumstances of health and safety permit, and provide employees notice of possible or actual overtime assignments. The State shall also consider the use of intermittents, in-house registries, or float pools.
- E. Before an employee is required to work mandatory overtime, every reasonable effort will be made to find an acceptable volunteer within the program where the employee works. Overtime shall first be offered to level-of-care employees for level-of-care overtime assignments before allowing other BU 17 classifications to work overtime.
- F. Upon request of an employee who has been on duty continuously for sixteen (16) hours, the employer shall have the option to:
- a. Allow the employee to take the next shift off on vacation, CTO, or Holiday credit as staffing permits.
 - b. Adjust the employee's shift starting time to provide a ten (10) hour break between shifts.
 - c. Allow the employee to take two (2) hours off without pay at the start of the next shift to provide a ten (10) hour break. Management will take into account the employee's preference.
- G. The Department of Veterans Affairs - Yountville agrees to meet with the Union regarding the mandatory overtime policy for the Veteran's Home.
- H. The Department of Developmental Services:
- a. Facilities that utilize the "red dot-blue dot" system for assigning overtime will count time worked, as a result of either a red dot or blue dot assignment, toward the mandatory overtime limitations.

- b. At management's discretion all RN's at a facility may be included in the mandatory overtime distribution process.
- I. In accordance with section 5.10 (Labor/Management Committee), each Department's Labor Management Committee will address overtime issues within this section.

19.16 Change in Shift Assignment

- A. The State will provide Unit 17 employees with fifteen (15) calendar days advance notice of permanent shift changes when the change is made at other than the employee's request. Upon request, the department or its designee will provide the employee with a reason for the shift change. It is the intent of the State not to arbitrarily make temporary shift changes for punitive reasons or to avoid the payment of overtime.
- B. Unit 17 employees wishing to change shifts within a facility or program, if employed in twenty-four (24) hour facility, shall submit a written request to the facility/program management or designee. When management determines that a vacancy on the requested shift is available to a Unit 17 employee, the supervisor shall consider employees with shift change requests based on the needs of the clients/patients/wards/students/inmates, seniority, employee skills and abilities, performance and attendance, staffing requirements, and needs of the facility.
- C. Unit 17 employees wishing to change shifts within the same ward or unit, if employed in a twenty-four (24) hour facility, shall submit a written request to the facility/program management or designee. When management determines that a vacancy on the requested shift is available to a Unit 17 employee, the supervisor shall consider employees with shift change requests based on the needs of the clients/patients/wards/students/inmates, seniority, performance and attendance, staffing requirements, and needs of the facility.

19.17 Mixed Shift Work Weeks

- A. A mixed shift work week is one in which an employee is regularly scheduled to work more than one shift or watch in fulfilling his/her normal forty (40) hour work week schedule.
- B. Within thirty (30) days of receiving a written request from SEIU Local 1000, the State agrees to meet at the local worksite to discuss issues relating to the scheduling of mixed shift work weeks. At these local meetings, the parties shall seriously consider alternative scheduling methods for mixed shift work weeks as well as the following alternatives in lieu of full-time mixed shift work week assignments: using in-house registries, outside registries and intermittent work. Up to three (3) representatives of the Union shall be released without loss of compensation for these meetings.

19.18 Rescinding Approved Time Off

- A. Approval for the use of accrued compensating time off (CTO), holiday credit, personal holiday, or vacation/annual leave credits shall not be rescinded unless the State determines the employee's presence is necessary for coverage, workload, or the continuation of services. The State shall provide advance notice of such cancellation, whenever possible.

- B. When scheduled CTO, holiday time off or vacation/annual leave is rescinded the State shall give priority consideration to the employee's request to reschedule the rescinded time off.
- C. If the employee suffers a financial loss from the cancellation of vacation/annual, holiday time off or CTO time approved in writing, the employee may submit a Victims Compensation and Government Claims Board claim for nonrefundable expenditures which can be verified. The department shall support the claim, whenever possible.

19.19 Work Week Group Definitions

- A. Work Week Group "2" applies to those classifications in State service subject to the provisions of the Fair Labor Standards Act (FLSA).

Overtime for employees subject to the provisions of the FLSA is defined as: "all hours worked in excess of forty (40) hours in a period of one hundred sixty-eight (168) hours or seven (7) consecutive twenty-four (24) hour periods."

- B. Work Week Group "E" includes classes that are exempted from coverage under the FLSA because of the "white-collar" (administrative, executive, professional) exemptions. To be eligible for this exemption a position must meet both the "salary basis" and the "duties" test.

Consequently, Work Week Group "E" applies to classes and positions with no minimum or maximum number of hours in an average workweek. Exempt employees are paid on a "salaried" basis, and the regular rate of pay is full compensation for all hours worked to perform assigned duties. However, these employees shall receive up to eight (8) hours holiday credit when ordered to work on a holiday. A "salaried" employee may not receive any form of overtime compensation, whether formal or informal.

- C. Work Week Group "SE" applies to those positions that under the FLSA are statutorily exempted, (physicians, attorneys, teachers) from coverage.

19.20 through 19.23 Intentionally Excluded

19.24 Floating

Floating of Unit 17 staff may be utilized to avoid and/or minimize mandatory overtime assignments. Those programs where it is determined that special client/patient care is required (e.g., SNF, ICU), Unit 17 employees will be provided appropriate orientation prior to the start of the assignment. Where necessary and practical, a mentoring assignment will be made. The facility will attempt to float between program/unit with similar client/patient needs.

Registered Nurses shall not be floated to replace a non-licensed function.

19.25 Travel Time

Notwithstanding any other Contract provision, departmental policy or practice, the travel time of employees who are covered by Work Week Group 2 shall only be considered as time worked if it meets the definitions and requirements of travel time in sections 785.34 through 785.41 of Title 29 of the Code of Federal Regulations.

19.26 Workweek Correctional Institutions

- A. Notwithstanding any other provisions of this article, any Unit 17 employee desiring to work an alternate number of hours during the workweek (i.e., 12-hour shifts) will do so with the understanding that overtime shall be computed on a forty (40) hour work week. Hours worked in excess of the pay period due to an employee's normal work-schedule will be treated as excess hours.
- B. Vacation and sick leave (or annual leave) hours will continue to be accumulated in accordance with Article 8 (Leaves). Vacation and sick leave (or annual leave) hours used will be charged based on an employee's scheduled work shift.
- C. A maximum of eight (8) hours shall be credited for each holiday and personal holiday earned.

ARTICLE 20 – POST AND BID

20.1 through 20.5 Intentionally Excluded

20.6 Post and Bid Procedure for Vacant Registered Nurse Positions – Veteran's Homes

A. Vacant Positions

As Registered Nurse positions become available, or vacant, they shall be posted. The post and bid process is designed as a method to advertise and fill current position vacancies with existing employees.

B. Posted Positions

Positions shall be posted in a prominent place where such notices are customarily posted on each unit and, in addition, may be advertised by each Veteran's Home newsletter, e-mail bulletin board and any other method of advertisement. The posted notice shall be on a form designed for that purpose and shall include the following posted criteria:

- 1 Identification posting number
2. Level of position
3. Unit (or ward) or other assignment
4. Shift
5. Days off or rotation pattern and cycle

6. Time base and or tenure
7. Deadline for bid submittal
8. Typical assigned duties if not a level of care Registered Nurse position
9. Description of duties to be performed (knowledge, skills and abilities)

Each notice shall remain posted for no less than ten (10) calendar days.

C. Bidding

Employees may bid on the posted position by filling out a bid form provided by the State. Bid forms shall be submitted in triplicate with the employee submitting the original to the appropriate central office, a copy to the Union, and the employee retaining a copy. Bid forms shall include the identification posting number, the employee's name, classification, seniority points, current work location and business telephone number. The form must be dated and signed by the employee to indicate certification that the worksite has been visited.

D. Assignment

Within twenty (20) calendar days after the posting of notice of vacancy, the position shall be assigned to the eligible bidding employee with the most State service seniority. However, in emergencies or where severe staffing shortages exist in the employee's incumbent program, assignment may be delayed up to forty five (45) calendar days after the posting of the notice.

E. Deletions and Changes

If a bid position is deleted due to reduced allocations or for other reasons, then the employee in that position may bid on any vacant posted position.

If, because of coverage or other legitimate operational need, it is determined that a bid position's posting criteria must be altered in any respect, the employee filling that position shall be notified of the proposed changes and the reason for such change in writing. If the employee desires to remain in the altered position, he/she shall notify management of that desire within five (5) calendar days and shall remain in the position. A position shall not be considered to be altered when training is conducted on a shift other than the employee's regularly scheduled shift and the employee is required to attend.

F. Floating

If it becomes necessary to float employees to provide coverage, each work location (unit and shift) shall establish a rotational system that distributes floating on an equitable basis. The RN Shift Lead shall not be included in floating.

G. Transfers

Employees holding bid positions shall not, except in cases of emergency, be involuntarily transferred or moved except as otherwise provided in this section.

H. Denial of Bid

Employees who have adverse actions taken against them shall lose their right to hold a bid position and/or to bid on any positions for a period of up to six (6) months if such position or bid is meaningfully related to the cause of action. If, on appeal, the employee is exonerated, his/her right to bid and/or hold positions shall be restored. Employees who are charged with wrongdoing which is also grounds for adverse action may lose their right to bid and/or hold a bid position for a period of up to six (6) months, if such position or bid is meaningfully related to the cause of action. A hearing before the Veteran's Home Administrator or designee may be requested by the employee.

Employees who receive yearly evaluations which have two (2) or more categories marked below standard may lose their right to hold and/or bid positions for up to six (6) months, subject to review in three (3) months. If the evaluation is overturned by a reviewing officer or as a result of a grievance decision, the employee shall have his/her right to bid and hold positions restored. The reason for denial to bid shall be in writing and given to the employee.

Employees losing their right to bid or hold positions as outlined above may be administratively transferred at the discretion of the State. Employees who have been absolved of wrongdoing as stated above, shall be accorded one successful bid so long as this bid is exercised within three (3) months of the decision absolving the employee.

I. Limits on Bid

An employee may not make more than one successful bid each twelve (12) months except that if an employee's bid position is altered and the employee elects not to stay in the position, or if an employee is granted a bid under the provisions in subsection I above, these bids shall not be counted under this subsection. Exceptions to this limitation may be granted. Employees on probationary status shall not be eligible to bid on posted positions.

Management may deny a bid which is submitted by an employee who is on limited duty status if it is determined that the duties of the posted position are in conflict with the work limitation(s) described by his/her physician. Upon request of the employee, a meeting will be held with the Veteran's Home Administrator or designee prior to the final decision regarding the employee's ability to bid.

J. Nepotism

No bid shall be denied based solely on personal relationships. An employee may lose his/her right to hold and/or bid a position based on the Department's nepotism policy in accordance with the following:

1. If such bid or position creates a nepotistic situation, notice must be given to the employee.
2. Assignments not in conformance with this subsection shall be corrected by transfer or other appropriate action within ninety (90) days.
3. Nothing in this subsection shall prohibit the employee and/or the Union from filing a grievance.

K. Meet and Discuss

Either party may request a meet and discuss regarding any problem or concern with the Post and Bid procedure. This request will be honored by the non-requesting party in the form of a meeting within thirty (30) days of the request.

L. Post and Bid Review

At the Yountville Veterans Home, management shall designate an official who shall be responsible for the administration of the Post and Bid provision. Upon request, the Post and Bid administrator shall meet periodically with SEIU representatives for the purpose of reviewing compliance with the Post and Bid provision.

M. For purpose of this Agreement, "seniority" is defined as one point for each qualifying month of full-time State service.

N. Implementation and Applicability

The provisions of this section will be implemented six (6) months after ratification of the Agreement by both the State and the Union membership.

20.7 Post and Bid Procedure for Vacant Positions – DDS & DMH

A. Vacant Positions

As management determines that Registered Nurse positions become available, or vacant, they shall first be reviewed by the State to determine whether they shall be posted or filled without posting.

If the State determines to fill the position without posting, the position may be filled by hire, transfer, promotion, or any other method allowed by the Civil Service System. Such positions may be advertised where appropriate, but will be filled through the sole discretion of the State. As positions become vacant and determinations are made by the State, the excess of non-posted positions over posted positions shall not exceed two (2) at any hospital or developmental center at any time. In no case shall more than sixty percent (60%) of the filled Registered Nurse positions in a hospital/developmental center be held by employees through successful bids.

The post and bid process is designed as a method to advertise and fill current position vacancies with existing employees. The filling of vacancies by either promotion from an eligible list or external lateral transfers is not subject to the post and bid procedure.

B. Posted Positions

Those positions which are determined to be posted shall be posted in a prominent place where such notices are customarily posted on each unit and, in addition, may be advertised in each hospital's/developmental center's publication. The posted notice shall be on a form designed for that purpose and shall include the following posting criteria:

1. Identification posting number
2. Level of position
3. Program and unit (or ward) or other assignment
4. Shift
5. Days off or rotation pattern and cycle
6. Time base
7. Deadline for bid submittal
8. Indication of an "incentive bid position"
9. Location where bid is to be submitted

Each notice shall remain posted for no less than seven (7) calendar days.

C. Bidding

Employees may bid on the posted position by filling out a bid form provided by the State. Bid forms shall be submitted in triplicate with the employee submitting the original to the appropriate central office, a copy to the Union, and the employee retaining a copy. Bid forms shall include the identification posting number, the employee's name, classification, seniority points, current work location and business telephone number. The form must be dated and signed by the employee to indicate certification that the worksite has been visited.

Posted positions shall be available for bid only to those employees in the civil service classification specified on the posted notice.

D. Assignment

Within fifteen (15) calendar days after the posting of notice of vacancy, the position shall be assigned to the eligible bidding employee with the most seniority. However, in emergencies or where severe staffing shortages exist in the employee's incumbent program, assignment may be delayed up to sixty (60) calendar days after the posting of notice. If no bids are received, management shall withdraw the bid notice. The withdrawn notice does not count against either party's ratios or 60/40 position count. These positions may be filled:

1. In accordance with subsection A above, or
2. Hire, promotion, reinstatement, transfer from within the facility or from another State hospital/developmental center or other State agency.

If that position is filled or committed within sixty (60) days of withdrawal of posting under (2) above, it shall not count in the 50/50 posting ratios.

E. Incentive Bid Position

A vacant position that is posted two (2) consecutive times and remains unbid may be identified as an "incentive position" on the third consecutive posting. In a program identified as a "designated program" an unbid position may be identified as an incentive position on the second consecutive posting.

An employee who successfully bids an incentive position and remains in the position for one year shall be accorded super-seniority for their next successful bid. When two (2) or more employees with super-seniority bid, the position shall be awarded as follows:

1. Length of super-seniority
2. Seniority
3. By lot

Incentive positions that are not bid upon may be filled through internal transfer from within the hospital without counting in the posting ratios or position counts. He/she is then eligible to receive super-seniority in the same manner as an employee who bid the position. Employees who successfully bid an incentive position and are bidding in-place (same unit and shift as the posted position) shall not be eligible to earn the super-seniority. In "designated programs" the super-seniority eligibility shall be limited to positions awarded to employees from outside the program only.

The facility shall provide the Union with a weekly listing of "designated programs."

An employee in an incentive position that is deleted or altered in accordance with subsection E shall retain the eligibility to earn super-seniority if he/she elects to remain in the altered or changed position. Employee absences due to illness or injury shall not be counted after the fourteenth (14th) consecutive calendar day toward the one year qualifying period to earn super-seniority.

F. Deletions and Changes

If a bid position is deleted due to reduced allocations or for other reasons, then the employee in that position may bid on any vacant posted position.

If, because of coverage or other legitimate operational need, it is determined that a bid position's posting criteria must be altered in any respect, the employee filling that position shall be notified of the proposed changes and the reason for such change in writing. If the employee desires to remain in the altered position, he/she shall notify management of that desire within five (5) calendar days and shall remain in the position. A position shall not be considered to be altered when training is conducted on a shift other than the employee's regularly scheduled shift and the employee is required to attend.

G. Floating

If it becomes necessary to float employees to provide coverage, each work location (unit and shift) shall establish a rotational system that distributes floating on an equitable basis.

H. Exempt Positions

When a non-licensed employee receives his/her license and is subsequently appointed to the Registered Nurse classification, he/she shall not be considered as a new hire for purposes of counting positions under subsections A, C, D, or E.

I. Transfers

Employees holding bid positions shall not, except in cases of emergency, be involuntarily transferred or moved except as otherwise provided in this section.

J. Denial of Bid

Employees who have adverse actions taken against them shall lose their right to hold a bid position and/or to bid on any positions for a period of up to six (6) months if such position or bid is meaningfully related to the cause of action. If, on appeal, the employee is exonerated, his/her right to bid and/or hold positions shall be restored. Employees who are charged with wrongdoing, which is also grounds for adverse action, may lose their right to bid and/or hold a bid position for a period of up to six (6) months, if such position or bid is meaningfully related to the cause of action. A hearing before the Executive Director or designee is required prior to such denial.

Employees who receive yearly evaluations which have two (2) or more categories marked below standard may lose their right to hold and/or bid positions for up to six (6) months, subject to review in three (3) months. If the evaluation is overturned by a reviewing officer or as a result of a grievance decision, the employee shall have his/her right to bid and hold positions restored.

Employees losing their right to bid or hold positions as outlined above may be administratively transferred at the discretion of the State. Employees who have been absolved of wrongdoing as stated above, shall be accorded super-seniority for one successful bid so long as this bid is exercised within three (3) months of the decision absolving the employee.

K. Limits on Bid

An employee may not make more than one successful bid each twelve (12) months except that if an employee's bid position is altered and the employee elects not to stay in the position, or if an employee is granted a bid under the provisions in subsection J above, these bids shall not be counted under this subsection. Exceptions to this limitation may be granted. Employees on probationary status shall not be eligible to bid on posted positions.

Management may deny a bid which is submitted by an employee who is on limited duty status if it is determined that the duties of the posted position are in conflict with the work limitation(s) described by his/her physician. Upon request of the employee, a meeting will be held with the Executive Director or designee prior to the final decision regarding the employee's ability to bid.

L. Nepotism

No bid shall be denied based solely on personal relationships. An employee may lose his/her right to hold and/or bid a position based on the Department's nepotism policy in accordance with the following:

1. If such bid or position creates a nepotistic situation, notice must be given to the Union.
2. Representatives of the Union and the State shall meet and review the situation.
3. Assignments not in conformance with this subsection shall be corrected by transfer or other appropriate action within ninety (90) days.
4. Nothing in this subsection shall prohibit the employee and/or the Union from filing a grievance.

M. Meet and Discuss

Either party may request a meet and discuss regarding any problem or concern with the Post and Bid procedure. This request will be honored by the non-requesting party in the form of a meeting within thirty (30) days of the request.

- N. At each hospital or developmental center, management shall designate an official who shall be responsible for the administration of the Post and Bid provision. Upon request, the Post and Bid administrator shall meet periodically with SEIU Local 1000 representatives for the purpose of reviewing compliance with the Post and Bid provision. At the request of the local SEIU Local 1000 job steward or representative, the Post and Bid administrator shall provide information relative to the specific post and bid request.

At each facility, the Post and Bid administrator shall maintain information relative to the post and bid process specific to Unit 17 employees. This report shall include, at a minimum, a month by month record of all post and bid and management discretion positions filled, including position regular days off, cycle schedule, shift and location; identify positions posted, bids received and awarded, positions posted receiving no bids and those subsequently filled without counting against management discretion.

- O. For purposes of this Agreement, "seniority" is defined as one point for each qualifying month of full-time State service.

P. Implementation and Applicability

The provisions of this section will be implemented six (6) months after ratification of the agreement by both the State and the Union membership. As used in this section, the term "Registered Nurse" refers to the classification of "Registered Nurse" in the Department of Developmental Services and to the classification of "Registered Nurse (Forensic Facility)" in the Department of Mental Health or Department of Developmental Services.

20.8 Shift Assignment/Regular Days Off Preference Assignment by Seniority/(DJJ)

The CDCR, DJJ proposes to discontinue the existing language for assigning shift/regular days off assignment/preference as practiced from incorporation of this Contract made effective July 8, 2002. In place of existing practice and language, the Department intends to meet with the Union at the facilities to explore innovative schedules of shifts and regular days off, which will then be incorporated into the Post and Bid process. Such options may include, but not be limited to, alternate work schedules and rotating premium regular days off. Both parties agree to commence the meet and confer no later than thirty (30) days following the ratification of this Contract, unless mutually agreed to extend this time period due to scheduling needs. Should the parties be unable to come to an agreement locally, the matter may be elevated by individual facilities to the Departmental level.

Exemption to the Post and Bid Process shall include: Intensive Treatment Program nurses, Infection Control nurse, Utilization Review nurse, Sick and Vacation Relief, Special Program at Preston, ICF/DMH Program at SYCRCC and CTC/MH Programs. Exemptions shall be reviewed one year from the date of implementation.

Within ninety (90) days of ratification of this agreement by both parties, the California Department of Corrections and Rehabilitation (CDCR), Office of Labor Relations, will meet and confer with the Union to clarify and/or establish a Post and Bid process for Registered Nurses at Division of Juvenile Justice (DJJ) institutions.

20.9 Post and Bid Assignments by Seniority – CDCR

A. Introduction

The Post and Bid (P&B) process is designed as a method to allow employees to secure an assignment based on seniority. Contained in this section are the provisions for the "24 Month P&B Process" which allows employees to bid twenty four (24) month assignments and the "Interim Vacancy Bidding Process" which addresses vacancies that occur while the twenty four (24) month assignments are in effect.

1. The Chief Medical Officer (CMO) or their designee shall have responsibility for implementation and maintenance of this procedure at each facility and shall ensure compliance.
2. The word "assignment" as used in this section is synonymous with that of "position".
3. Participation in the P&B process is limited to eligible employees. An eligible employee:
 - a. Must be a permanent full-time RN: probationary employees are excluded.
 - b. Must be permanently assigned to and work at the institution. Eligible employees may participate only in their institution's P&B process. There shall be no inter-institution bidding on assignments.

4. Excluded Assignments

Specialty areas shall be excluded from the P&B process. Such areas shall be dialysis, PACU, ICU, utilization management, and surgical assignments. Those RN qualifying posts which are considered specialty areas shall be counted as neutral assignments, that is, they shall not be counted in either Management's or Union's positions.

5. Special Qualifications

Employees bidding on assignments which require specialized training shall meet the skills, knowledge, and abilities prior to the bid being placed. These special qualifications shall be defined in the bid notice.

6. Seniority

For purposes of this Agreement, "seniority" is defined as one point for each qualifying month of full-time Departmental Unit 17 service, with ties broken by one point for each qualifying month of full-time State service.

7. Limits on Bid

An employee may not make more than one successful open assignment bid each twelve (12) months except when an employee's bid assignment is substantially modified and the employee elects not to stay in the position or as provided in section "G 1 and 2 or if an employee is granted a bid under the provisions of subsection "I". If these occur, the employee shall have the right to bid again. Exceptions to this limitation may be granted.

B. Twenty-four (24) Month Bid Process

1. There shall be seventy five percent (75%) of the RN qualifying post assignments (excluding specialty areas) in the California Department of Corrections and Rehabilitation (CDCR) allotted according to seniority at each facility that provides healthcare that is not a licensed acute care hospital.
 - a. At licensed acute care hospitals (CMF, CIM, CMC, COR) there shall be 80% of the RN qualifying post assignments (excluding specialty areas) allotted according to seniority.
 - b. Any new seniority positions as a result of the increase in percentages of the total seniority shall be posted for bid within ninety (90) calendar days of the ratification of the Contract by both parties. The term for these positions will end at the same time as the institution's original post and bid period.
2. Participation in the twenty-four (24) month P&B process is voluntary. The choice not to participate shall result in management assigning the individual to an assignment that remains unfilled after this bid process is completed.
3. Timeframes
 - a. The twenty-four (24) month bid cycle begins in the month of October 2002, for those employees who wish to participate. An updated seniority roster and a listing of all available assignments open for bid shall be posted no later than October 1st every other year.

- b. Unless otherwise contested by October 15, an employee's seniority as posted on October 1, shall determine the employee's placement on the seniority list.
- c. All approved bid request forms must be completed and submitted, in accordance with the BIDDING provision below, no later than 4 p.m. on November 1, or 4 p.m. on the following Monday if the date falls on the weekend. An employee may write more than one bid preference on the bidding form in priority order.
- d. An employee may voluntarily withdraw from participation in the twenty-four (24) month Bid Process by submitting a written request to his/her supervisor. Employees who withdraw will be assigned at management's discretion. These assignments shall be counted neutral; that is, they shall not be counted in either Management's or Union's positions.
- e. Failure on the part of the employee to submit a request form by 4 p.m. on November 1, shall result in a no preference indicated (NPI) for the employee. The employee will then be assigned an assignment at management's discretion. These assignments shall be counted neutral; that is, they shall not be counted in either Management's or Union's positions.
- f. At the end of the bid period, management will make the assignments based on the highest seniority of the bidders. Any assignment that does not receive a bid shall be filled at management's discretion.
- g. The new assignments will begin the second Monday in January first watch.
- h. The time frames will be agreed upon at the local level by the Union and Management.

C. Interim Vacancy Bidding Process

- 1. The interim bidding process is designed as a method to provide current employees the opportunity to move to vacant assignments, if Management determines to fill the vacant position, created while the twenty-four (24) month assignments are in place, using seniority as the deciding factor on who will secure an assignment that is available by bid. The vacant assignments that have been determined by Management to be filled by bid shall be subject to the terms and conditions of this section.
- 2. As RN assignments become available, they shall first be reviewed by the State to determine whether they will be filled, posted for bid, or filled without posting.
- 3. The filling of vacancies by either promotions from eligible list or external lateral transfers are not subject to P&B.
- 4. If the State determines to fill the assignment without posting, the assignment may be filled by hire, transfer, promotion, or any other method allowed by the Civil Service System. Such assignments may be advertised where appropriate, but will be filled through the sole discretion of the State.

5. The excess of non-posted assignments over posted assignments at each institution shall not exceed two (2) at any time. In no case shall more than seventy percent (70%) of the filled RN assignments (as defined above) be held by employees through successful bids.
6. Each notice shall remain posted as provided for in "POSTING ASSIGNMENTS" for no less than fifteen (15) calendar days. Employees may bid for these assignments using the "BIDDING" process below. All bids must be submitted by 4 p.m. on the fifteenth (15th) day of posting.
7. At the end of the fifteen (15) day bid period, the eligible bidded employee with the highest seniority score shall be placed in the assignment. Any assignment that received no bid shall be filled at management's discretion and shall be counted neutral.

D. Posting Assignments

Those assignments, which are determined to be posted, shall be posted in a prominent place where such notices are customarily posted. The posted notice shall be dated and on a form designed for that purpose and shall include the following criteria:

1. Identification posting number
2. Unit (or ward) or other assignment
3. Shift
4. Days off or rotation pattern and cycle
5. Time base
6. Deadline for bid submittal and where to be submitted
7. Special qualifications (if any)

E. Bidding

1. Employees may bid on the posted assignment by filling out a bid form provided by the State. The bidding employee shall submit the completed bid form to the following:
 - a. the original to the location designated on the bid form,
 - b. a copy to the Union designated steward, and
 - c. the bidding employee.

Bid forms shall include the identification posting number, the employee's name, classification, seniority points, current work location, and business telephone number. The form must be dated and signed by the employee.

2. An otherwise eligible employee absent from the work site during the bid process or such reasons, including but not limited to EIDL; SDI; Worker's Compensation; leave of absence; annual military leave; illness, etc., may participate in the bid process. Employees must assume the assignment within sixty (60) days of the posting of the bid results. After sixty (60) days management shall decide whether or not to fill the position. If management fills the position it shall be counted neutral until the next twenty-four (24) month bid cycle.
3. In the event the employee is unable to assume the assignment within the sixty (60) calendar days, the employee may be placed in another assignment at management's discretion.

F. Other Factors

1. Short term absences of not more than sixty (60) calendar days from the employee's assignment, including special assignments, injuries on the job, and acting assignments, will not preclude the employee's return to the assignment after being determined they qualify to resume such duties.
 - a. If absence is more than sixty (60) calendar days, the appointing authority may authorize an employee's return to the assignment or same watch/RDO's if the absence was generated by a management decision.
 - b. An employee exceeding the sixty (60) calendar day limit for an absence due to EIDL will be assigned to the same assignment or same watch/RDO for the remainder of the bid period.
 - c. An employee exceeding the sixty (60) calendar day limit for any other reason will at least be assigned commensurate with his/her watch preference.
2. A waiting list will be established with those employees who have been removed from an assignment as a result of a correction to a seniority date or due to management error in assigning the employee.
3. Employees who laterally transfer after November 1 will be precluded from the bid process until the next open bid period.

G. Deletions and Changes

1. If a bid assignment is deleted due to reduced allocations or for other reasons, and there is an employee in the deleted assignment, then the employee may bid on any vacant posted assignment.
2. If because of coverage or other legitimate operational need, it is determined that a bid assignment's posting criteria must be altered, the employee filling that assignment shall be notified of the proposed changes and the reason for such change in writing. If the employee desires to remain in the altered assignment, he/she shall notify management of that desire within five (5) calendar days and shall remain in the assignment. An assignment shall not be considered altered when the training is conducted on a shift other than the employee's regularly scheduled shift and the employee is required to attend.

H. Transfers

Employees holding bid assignments shall not, except in cases of emergency or as otherwise provided for in this section, be involuntarily transferred to another assignment.

I. Denial of Bid

1. Employees who have adverse action taken against them shall lose their right to hold a bid assignment and/or bid on any assignments for a period of up to six (6) months if such assignment or bid is meaningfully related to the cause of action. If the employee is exonerated on appeal, his/her right to bid or hold assignments shall be restored. Employees who are charged with wrongdoing which is also grounds for adverse action may lose their right to bid and/or hold a bid assignment for a period of up to six (6) months, if such assignment of bid is meaningfully related to the cause of action. A meeting before the Director or designee is required prior to such denial.
2. An employee may be temporarily removed from the bid assignment pending a personnel/EEO investigation, but will be assigned to substantially similar start/stop time and RDO if possible. Once the investigation has been concluded and if the charges have not been substantiated, the employee shall be returned to their bid assignment.
3. Employees who receive yearly evaluations which have two (2) or more categories marked below standard may lose their right to hold and/or bid assignments for up to six (6) months, subject to review in three (3) months. If the evaluation is overturned by a reviewing officer or as a result of a grievance decision, the employee shall have his/her right to bid and hold assignments restored. The reason for denial to bid shall be in writing and given to the employee.
4. Employees losing their right to bid or hold assignment as outlined above may be administratively transferred to the same watch without regard to RDO. Employees who have been absolved of wrongdoing as stated above, shall be accorded one successful bid so long as this bid is exercised within three (3) months of the decision absolving the employee.
5. If for some reason, other than specified previously, it becomes necessary to change an employee who has exercised his/her eligibility for a bid assignment, that employee shall be job changed to a new post possessing similar RDO's on the same watch, if available and if requested by the employee.
6. Management may deny a bid which is submitted by an employee who is on limited duty status if it is determined that the duties of the posted position are in conflict with the work limitation(s) described by his/her physician. Upon request of the employee, a meeting will be held with the Director or designee prior to the final decision regarding the employee's ability to bid.

J. Floating

If it becomes necessary to temporarily float employees to another Unit 17 position in order to provide coverage, each work location (unit and shift) shall establish a rotational system that distributes floating on an equitable basis. The RN Shift Lead shall not be included in floating.

K. Involuntary Removal

Management may remove an employee from a bid position when the employee fails to demonstrate that they have the knowledge and skills required to perform the duties of the position. The employee shall be placed in a position with the same RDO's and substantially similar start/stop times. The vacated position shall be subject to the interim bid process.

L. Nepotism

No bid shall be denied based solely on personal relationships. An employee may lose his/her right to hold and/or bid a position based on the Department's nepotism policy in accordance with the following:

1. If such bid or position creates a nepotism situation, notice must be given to the employee.
2. Assignments not in conformance with this subsection shall be corrected by transfer or other appropriate action within ninety (90) days.
3. Nothing in this subsection shall prohibit the employee and/or the Union from filing a grievance.

M. Disputes

1. Disputes concerning this section shall be grievable to the Director's level of review and shall not be arbitrable;
2. Either party may request a meet and discuss regarding any problem or concern with the Post and Bid procedure. This request will be honored by the non-requesting party in the form of a meeting within thirty (30) days of the request;
3. An employee alleging seniority date errors/disputes and is unable to resolve the problem with verbal communication shall submit his/her complaint to the first formal level of review within the normal time frames specified in the grievance process;
4. Errors in favor of the employee will result in the adjustment of the employee's seniority date. The employee shall then have first preference on the first available bid position; or
5. The employee has the right to go on a waiting list for the next available slot matching the employee's bid for the watch and RDO.

N. Implementation and Applicability

Contractual right to Post and Bid for Shift Preference/RDO's shall remain in effect with no position changes at those affected institutions until Article 21.2 A is implemented and the yearly bid process begins in October 2002. Implementation of the Post and Bid process at new institutions will begin the first October following activation (receipt of inmates).

ARTICLE 21 – MISCELLANEOUS

21.1 Telecommute/Telework Program

- A. Where operational considerations permit, a department may establish a telework program. If the telework arrangement conforms to telework criteria established in the department's telework policy and guidelines, no employee's request for telework shall be unreasonably denied. Upon request by the employee, the denial and the reason for denial shall be in writing. Such programs shall operate within the policies, procedures, and guidelines established by the Telework Advisory Group, as described in the Telecommuting Work Option: Information Guidelines and Model Policy, June 1992.
- B. Formal written telework or telecommuting policies and programs already adopted by departments before the date of this Contract will remain in effect during the term of this Contract. Upon the request of the Union, the departments will provide a copy of their formal written telework policy.
- C. Departments that desire to establish a telework or telecommuting policy and/or program or departments desiring to change an existing policy and/or program shall first notify the Union. Within thirty (30) calendar days of the date of such notification, the Union may request to meet and confer over the impact of a telework or telecommuting policy and/or program or change in an existing telework or telecommuting policy and/or program.
- D. Where operational considerations permit, departments shall consider implementing telework opportunities as a recruitment and retention strategy.

21.2 through 21.18 Intentionally Excluded

21.19 Nursing Policy and Procedures Manual

Within the Departments of Education, Veterans' Affairs, Department of Corrections and Rehabilitation, Mental Health, and Developmental Services, each Department will establish Nursing Policy and Procedures Manual(s), which shall include, but not be limited to, provisions on doctors orders, validated standard medication procedures, medical protocol, and record keeping. This provision applies only to those work areas where hands-on-care is provided. A manual shall be provided at each facility where hands-on-care is provided and such manual(s) shall be freely accessible to Unit 17 employees.

21.20 Labor/Management Committee - Nurse Utilization

- A. Any department with Unit 17 employees shall upon request by Unit 17 establish a joint labor/management committee to review the current utilization and scope of practice of registered nurses. The committee shall review applicable Federal and State regulations for the purpose of developing recommendations regarding organizational, regulatory and legislative actions necessary to assure the full participation of registered nurses in the department's treatment programs. The committee shall consist of no less than two (2) representatives from Unit 17 and no less than three (3) representatives from department management. Employees shall suffer no loss of compensation as a result of participation in the labor/management committee meetings. Each party shall be responsible for the expenses of their participants. The parties shall meet and confer prior to implementing any recommendations pertaining to issues within the scope of practice. Management shall invite subject matter experts to speak on specific items.
- B. For facility issues, a subcommittee of the labor/management committee may be convened at each facility identified by Unit 17. For purposes of the subcommittee, in lieu of the statewide labor/management committee Unit 17 representatives, the Union may appoint two (2) facility representatives to participate. Subcommittee issues may include, but are not limited to, housekeeping duties, janitorial duties, in-service training and Professional Practice Groups.

21.21 Contract Violation Waiver

Waiver of any violation of this Contract, or failure to enforce any of the terms shall not constitute a waiver of the right to future enforcement of any of the terms.

21.22 Licensure

The State of California requires that registered nurses, as health care providers, will be currently licensed.

The State and the registered nurses it employs are committed to the highest levels of patient care in terms of the patient's health and safety. Accordingly, the parties agree that the registered nurse shall not practice, nor shall the registered nurse be required to practice, in any manner, which places the registered nurse's license in jeopardy.

This section is not arbitrable; however, it may be grieved to the third (DPA) level.

21.23 Recruitment and Retention Committee

The State and the Union recognize the immediate need to retain existing Registered Nurses and recruit and retain additional Registered Nurses. For this purpose, the State and the Union shall agree to utilize the existing Labor/Management Committee format identified in section 5.10 of this Agreement.

Upon request by the Union, the State agrees to convene meetings with the Union for the express agenda to examine the recruitment and retention of Registered Nurses. For purpose of these meetings, held on a department-wide basis, the Union shall be allowed

three rank-and-file participants who shall be appointed by the Union and serve without loss of compensation. Union staff may participate in these meetings. Written reports of recommendations shall be submitted to the respective department director (or designee) with a copy to DPA and the Union.

The goals of the meetings may include, but are not limited to:

1. Identify work sites and divisions where there exists retention and recruitment difficulties for Registered Nurses;
2. Devise strategies and plans for resolving identified recruitment and retention problems, including but not limited to, the development or improvement of recruitment and retention programs;
3. Review may include but not be limited to: preceptorship, participation at job fairs, college presentations, new graduate programs, and re-entry programs;
4. Formulate recommendations for improving Registered Nurse recruitment and retention including methods and procedures to help resolve weekend and holiday-time staffing issues and avoid the need for overtime work;
5. Make recommendations for the improvement of staff morale and the enhancement of professional recognition of Registered Nurses.

ARTICLE 22 AND ARTICLE 23 INTENTIONALLY EXCLUDED

ARTICLE 24 – ENTIRE AGREEMENT AND DURATION

24.1 Entire Agreement

- A. This Agreement sets forth the full and entire understanding of the parties regarding the matters contained herein, and any other prior or existing understanding or agreement by the parties, whether formal or informal, regarding any such matters are hereby superseded. Except as provided in this Agreement, it is agreed and understood that each party to this Agreement voluntarily waives its rights to negotiate with respect to any matter raised in negotiations or covered in this Agreement, for the duration of the Agreement.

With respect to other matters within the scope of negotiations, negotiations may be required during the term of this Agreement as provided in subsections B and C below.

- B. The parties agree that the provisions of this subsection shall apply only to matters which are not covered in this Agreement.

Except for the Department of Developmental Services, the Department of Mental Health, the Department of Corrections and Rehabilitation, and the Department of Veteran's Affairs the parties recognize that during the term of this Agreement it may be necessary for the State to make changes in areas within the scope of negotiations. Where the State finds it necessary to make such changes, the State shall notify SEIU Local 1000 of the proposed change thirty (30) days prior to its proposed implementation.

The parties shall undertake negotiations regarding the impact of such changes on the employees in Unit 17, when all three of the following exist:

1. Where such changes would affect the working conditions of a significant number of employees in Unit 17;
2. Where the subject matter of the change is within the scope of representation pursuant to the Ralph C. Dills Act.
3. Where SEIU Local 1000 requests to negotiate with the State.

Any agreement resulting from such negotiations shall be executed in writing and shall become an addendum to this Contract. If the parties are in disagreement as to whether a proposed change is subject to this subsection, such disagreement may be submitted to the arbitration procedure for resolution. The arbitrator's decision shall be binding. In the event negotiations on the proposed change are undertaken, any impasse which arises may be submitted to mediation pursuant to section 3518 of the Ralph C. Dills Act.

- C. The parties agree that the provisions of this subsection shall apply only to matters which are not covered in this Agreement.

In the Department of Developmental Services, Department of Mental Health, California Department of Corrections and Rehabilitation, and the Department of Veteran's Affairs, the parties recognize that during the term of this Agreement it may be necessary for the State to make changes in working conditions which are within the scope of negotiations. Where the State finds it necessary to make such changes, the State shall notify SEIU of the proposed change thirty (30) days prior to its proposed implementation and meet and confer pursuant to the following paragraph prior to the implementation of the change, except in cases of emergency as provided in Government Code section 3516.5.

The parties shall meet and confer regarding the impact working condition changes would have on the employees in Unit 17 when all three of the following exist:

1. Where such change would significantly affect the working conditions of a large number of employees in Unit 17. The phrase "large number" shall mean:

A majority of the employees in a State hospital, a developmental center, prison, veteran's hospital or a Department of Mental Health psychiatric program in a correctional facility.

A majority of the employees in a Unit 17 classification such as Registered Nurse or Health Services Specialist. Notwithstanding C.1. above, impact negotiations under the terms of this provision will be conducted on:

- a. A closure of an entire program within the State hospital or developmental center;
- b. A closure of a living unit or residence that will not be accomplished utilizing the provisions of this Agreement;
- c. A closure of a specialty unit within a Veteran's Home;

- d. A change in work week schedule for all Unit 17 employees in a program or in a CDCR Acute Care Hospital, Correctional Treatment Center, Outpatient Housing Unit, Skilled Nursing Facility or their adjacent work areas; California Department of Veteran's Affairs facility.
2. Where the subject matter of the change is subject to negotiations pursuant to the Ralph C. Dills Act.
3. Where SEIU requests to negotiate with the State.

Any agreement resulting from such negotiations shall be executed in writing and shall become an addendum to this Agreement. If the parties are in disagreement as to whether a proposed working condition change is subject to this section, such disagreement may be submitted to the arbitration procedure for resolution. The arbitrator's decision shall be binding. In the event negotiations on the proposed change are undertaken, any impasse which arises may be submitted to mediation pursuant to section 3518 of the Ralph C. Dills Act.

24.2 Duration

- A. The terms of this Contract shall be July 1, 2005 to June 30, 2008.
- B. In the six-month period prior to the expiration date of this Contract, the complete Contract will be subject to renegotiation.

SIDE LETTERS, APPENDIXES AND ATTACHMENTS

Side Letters 1 through 3 Intentionally Excluded

Side Letter 4 Recruitment and Retention Differential

The Department of Mental Health may apply the provisions of section 11.3 to specific positions. Other departments may be afforded this provision by mutual agreement of the parties.

Side Letter 5 Activation of CTC's

Except as directed by the courts, the provisions of the CDCR-SEIU, Local 1000 agreement regarding activation of Correctional Treatment Centers (June 99) shall continue during the term of this agreement. CDCR and SEIU, Local 1000 shall, upon request of either party, meet and confer over the impact of court directives.

Correctional Treatment Center Activation Agreement

1. CDCR management agrees to provide training to CTC RNs who are involved in the Keyhea process and updates annually, if needed. It is understood that this training may be provided on an on-the-job basis.
2. CDCR management agrees to offer training in sexual assault to CTC RNs assigned to the Emergency Room. The training shall include the following:
 - Psychosocial Aspects
 - Physical Assessment Techniques
 - Legal Aspects
 - Evidence Collection

It is understood that this training may be provided on an on-the-job basis. SEIU Local 1000 will be provided a copy of the training program within thirty (30) days of completion.

3. CDCR management agrees to offer training in treatment of pepper spray patients to newly hired Registered Nurses who will respond to emergencies. It is understood that this training may be provided on an on-the-job basis.
4. Unit 17 nurses assigned to Standby Emergency Medical Services (SEMS) shall be given twenty-four (24) hours of on-the-job practical trauma training or Basic Trauma Life Support training based upon a nationally recognized curriculum. Training will be on State time and at State expense. An employee assigned to the first or third watch may have his/her shift adjusted to coincide with the time of the course.

The Health Care Services Division (HCS D) will endeavor to develop the training program within six (6) months. CDCR will attempt to implement the program within twelve (12) months. SEIU, Local 1000 shall be given a copy of the training program sixty (60) days prior to its implementation.

5. The State agrees that Advanced Cardiac Life Support (ACLS) is not required as a condition of employment for RNs working in the CTC. Should CDCR management determine that in the future, ACLS training will be required for RNs, the Union will be notified, and this provision shall be reopened at SEIU Local 1000's request to meet and confer over this provision.

Prior to requiring the performances of ACLS procedures, management agrees to provide standardized procedures and competency validation process.

6. Management will staff the CTCs in accordance with the guidelines found in Title 22.
7. Bargaining Unit 17 RNs shall not provide dietary services other than meal serving, patient feeding, and food tray pick-up unless an emergency condition exists, or as otherwise provided in the CTC policies and procedures. The following sections of the Correctional Treatment Centers (CTC) Policies and Procedures will be modified:

Restraint and Seclusion:

Page 17.1 – Will include requirement of a physician's order (change to psychiatrist).

Page 17.1 – Delete reference to Medial Technical Assistant placing patients in restraints.

Page 17.2 – Corrected.

Page 17.3 (8) – Delete: "And physician's order is received" changed July 15, 1997. Covered in 17.1.2. Standby Emergency Medical Services.

Page 22 – Bullets 9 and 10: change 60mg percent to 60mg per dcl.

Page 9.1 and 9.2 – Delete reference to completing SCIF Form 3301 and 3067.

Page 14.1 – Delete provision re: endotracheal intubation.

Central Supply section 38 Infection Control Manual

Page 38.3 (bullet 2) – Delete: "...and soaked in Cidex or equivalent for a minimum of ten minutes or steam sterilized..."

Page 38.4 (bullet 7) – Change to : Muslin wrap shall be used in sterilization or other commercial wrap that is available that is better and more cost effective.

The above sections of the Side Letter will expire upon providing the revised policies and procedures to SEIU Local 1000.

Side Letter 6 -- Organ Donation

Effective January 1, 2003, AB 1825 provides that employees who donate organs or bone marrow are eligible for paid leave. The following leave is extended to those employees who become an organ or bone marrow donor:

1. Employees who donate an organ(s) to another person shall be eligible for up to 30 workdays of paid leave (Donor Leave) in any one year period. Employees who donate bone marrow to another person shall be eligible for up to five work days of paid leave (Donor Leave) in any one year period.

2. The one-year period is the 12-month period measured forward from the date an employee's first leave begins.
3. The one-year period for an organ donor is separate from the one year period for bone marrow donation.
4. An employee must first exhaust all sick leave balance to qualify for Donor Leave.
5. Employees without a sick leave balance, including employees in the annual leave program, are immediately eligible for paid leave (Donor Leave).
6. Employees must provide written verification to the appointing power that a medical necessity exists for the donation.
7. Donor Leave taken for donations is not a break in continuous service, relative to salary adjustments, leave accrual, or seniority normally accrued on paid leave.
8. Employees wishing to become a donor may be required to undergo medical, psychological or other tests. Absences for such purposes must be requested in advance in the same manner as required to use sick or annual leave. The time an employee is approved to be absent for such purposes shall be deducted from the employee's accrued leave balance.
9. If the donor employee is temporarily unable to return to work after exhausting Donor Leave, the employee may, subject to medical verification, use any paid or unpaid leave available to the employee until able to return to work. Such leave may include, but is not limited to, sick, vacation, annual, personal, CTO, Family Medical, catastrophic, SDI, and medical leave.
10. If the donor employee is permanently unable to return to work following the donation, the employee will be separated and paid for any leave balances including but not limited to vacation, annual leave and/or CTO current balances. The payment for such balances shall be computed by projecting the accumulated time on a calendar basis as though the employee were taking time off. If during the period of projection, the employee is able to return to work, the employee will have a mandatory right to be reinstated to his/her former position.

Appendix 1 -- Departmental Approved Courses and Application Procedures for Educational Differential

CALIFORNIA DEPARTMENT OF CORRECTIONS AND REHABILITATION, DJJ

A. Programs

1. Courses included in programs leading to A.D.N., B.S., B.A., M.A., or Ph.D. in nursing, sociology, psychology, management or administration that are obtained after being employed as an RN II.
2. Courses included in programs leading to "Expanded Practice" credentials (see California Nurse Practice Act), such as
 - a. Nurse Practitioner
 - b. Certified Emergency Nurse
3. Teaching credential courses
4. University of California Human Services Certificate Programs

B. Specific Courses

Upper Division Physical or Behavioral Sciences

Technical Writing

Medical Records Documentation

Statistics

Computer Sciences

Time Management

Stress Management

Supervision/Management

Human Sexuality/Sex Education

Psychiatric Nursing

Rehabilitative Nursing

Neurological/Neurosurgical Nursing

Orthopedically Handicapped Nursing-directly related to activities of daily living

Abnormal Psychology

Psychiatric Treatment Modalities:

Behavior Modification

Reality Therapy

Transactional Analysis
Assertive Discipline
Pharmacology
Crisis Intervention
Group Dynamics
Family Therapy (child abuse, family in crisis, problem families)
Diabetic Care and Control
Substance Abuse
Patient Teaching
Ethnic/Cultural Sociology (including deaf/blind)
Legal Aspects of Nursing
Medical/Nursing Ethics
Interpreting Laboratory Reports
Growth and Development
Genetics
Physical Assessment
Psychological Assessment
Hearing and Speech Disorders
Screening Procedures (sickle cell, scoliosis, hypertension)
Audiology
Vision Testing
Sports Injuries
Nutrition
Respiratory Therapy
Infection Control
Leadership Training
Suicide Prevention
Neurosciences
Advanced Cardiac Life Support

Critical Care Core Curriculum

Burn Care

Emergency Room Nursing

Oncology Nursing

Second Language, e.g. Spanish, up to 6 units

The Criminal Justice System, up to 4 units

C. Courses must have been completed after September 1, 1984 to qualify.

State of California

Department of Corrections and Rehabilitation

Institution:

Address:

APPLICATION FOR EDUCATIONAL DIFFERENTIAL FOR REGISTERED NURSE II

Name:

Date:

Address:

Social Security #:

Work Phone:

Courses:

Units:*

Course Number	Course Title	School	Semester	Quarter	
1.					
2.					
3.					
4.					
5.					
6.					
* Each semester unit = 1 Each quarter unit = 2/3 of a semester Unit			Total Units	x1	x2/3

Official transcript must be sent from each college or university for which qualifying units are listed. The transcript must be mailed from school to the Chief of Nursing Service at the institution to attach to the application.

Signature of Applicant:

Date

Approval: Chief, Nursing Services

Date

Approval: Chief, Health Services

Date

PROCEDURE FOR APPLYING FOR RN II EDUCATIONAL DIFFERENTIAL

Provided for in section 11.57 of Bargaining Unit 17 MOU.

1. Submit completed application to Chief of Nursing Service at your institution making sure that courses listed meet the requirements stated for eligibility.
2. Chief of Nursing Service reviews with employee that eligibility requirements are met.
3. Applicant arranges for college or university to mail official transcript to the Chief of Nursing Service.
4. Official transcript is reviewed by Chief of Nursing Service to establish courses do meet criteria and applicant successfully completed course.
5. Chief of Nursing Service signs and dates approval and then sends application with attached official transcript to Chief, Health Services, Sacramento, California for final approval.
6. When final approval made, the signed application is returned to Chief of Nursing Services at local institution to submit to Personnel Office for the salary increase (\$50.00 per month).

State of California
Department of Corrections and Rehabilitation, DJJ

PERSONNEL UNIFORM PROCEDURES	Number DRAFT
Subject Education Differential Pay Unit 17 and Excluded Employees	Date Issued 6/12/03

EFFECTIVE: July 1, 1985

BACKGROUND

Pay Differential #43 provides for the payment of \$50.00 per pay period to employees in specified classifications meeting the listed criteria.

Courses established by the Department of Corrections and Rehabilitation, DJJ as meeting this criteria are:

- Courses included in programs leading to AND, Associate of Arts, Bachelor of Science, Bachelor of Arts, MA, MS or PHD in nursing, sociology, psychology, management or administration.
- Courses leading to "expanded practice" credentials, for example, Nurse Practitioner, school nursing and emergency nursing.
- Courses improving job-related skills such as:

Nursing care skills

Medical related technology

Health promotion and prevention of disease

Management, supervision, records and reporting

Therapies

Behavioral

Social

Psychological

Special patient situations

Language

Understanding criminal personality

Substance abuse

Electronic medical records skills training

PROCEDURES

- Employee: Submit copy of transcripts indicating completion of appropriate coursework to Chief Medical Officer.
- Chief Medical Officer: Review transcript for completion of appropriate coursework. If coursework complies with courses approved by the Department, approve transcript and forward to Personnel Office. If coursework does not comply with course approved by the Department, disapprove transcript and return to employee with cover memo explaining decision.
- Personnel Office: Upon receipt of approved transcript from Chief Medical Officer, review pay differential #43 and determine if employee's classification qualifies for payment. If appropriate, process request for payment using code 9N effective with the pay period in which the transcript was received in the Personnel Office from the Chief Medical Officer. Payment cannot be locked in on Personnel Action Request (PAR) therefore, payment must be requested each pay period.

DEPARTMENT OF CORRECTIONS AND REHABILITATION

A. Programs

1. Courses included in programs leading to A.D.N., B.S., B.A., M.A., or Ph.D. in nursing, sociology, psychology, management or administration that are obtained after being employed as an RN II.
2. Courses included in programs leading to "Expanded Practice" credentials (see California Nurse Practice Act), such as
 - a. Nurse Practitioner
 - b. Certified Emergency Nurse
3. Teaching credential courses
4. University of California Human Services Certificate Programs

B. Specific Courses

Upper Division Physical or Behavioral Sciences

Technical Writing

Medical Records Documentation

Statistics

Computer Sciences

Time Management

Stress Management

Supervision/Management
Human Sexuality/Sex Education
Psychiatric Nursing
Rehabilitative Nursing
Neurological/Neurosurgical Nursing
Orthopedically Handicapped Nursing-directly related to activities of daily living
Abnormal Psychology
Psychiatric Treatment Modalities:
 Behavior Modification
 Reality Therapy
 Transactional Analysis
 Assertive Discipline
Pharmacology
Crisis Intervention
Group Dynamics
Family Therapy (child abuse, family in crisis, problem families)
Diabetic Care and Control
Substance Abuse
Patient Teaching
Ethnic/Cultural Sociology (including deaf/blind)
Legal Aspects of Nursing
Medical/Nursing Ethics
Interpreting Laboratory Reports
Growth and Development
Genetics
Physical Assessment
Psychological Assessment
Hearing and Speech Disorders
Screening Procedures (sickle cell, scoliosis, hypertension)

- Audiology
- Vision Testing
- Sports Injuries
- Nutrition
- Respiratory Therapy
- Infection Control
- Leadership Training
- Suicide Prevention
- Neurosciences
- Advanced Cardiac Life Support
- Critical Care Core Curriculum
- Burn Care
- Emergency Room Nursing
- Oncology Nursing
- Second Language, e.g. Spanish, up to 6 units
- The Criminal Justice System, up to 4 units

A. Courses must have been completed after September 1, 1984 to qualify.

State of California
Youth and Adult Correctional Agency

Department of Corrections and Rehabilitation
Institution: _____
Address: _____

APPLICATION FOR EDUCATIONAL DIFFERENTIAL FOR REGISTERED NURSE II

Name: _____ Date: _____
Address: _____ Social Security #: _____
Work Phone: _____

<u>Courses.</u>		<u>Units:*</u>		
Course Number	Course Title	School	Semester	Quarter
1.				
2.				

3.				
4.				
5.				
6.				
* Each semester unit = 1 Each quarter unit = 2/3 of a semester Unit			Total Units	x1
				x2/3

Official transcript must be sent from each college or university for which qualifying units are listed. The transcript must be mailed from school to the Chief of Nursing Service at the institution to attach to the application.

Signature of Applicant:

Date

Approval: Chief, Nursing Services

Date

Approval: Chief, Health Services

Date

PROCEDURE FOR APPLYING FOR RN II EDUCATIONAL DIFFERENTIAL

Provided for in section 11.57 of Bargaining Unit 17 MOU.

1. Submit completed application to Chief of Nursing Service at your institution making sure that courses listed meet the requirements stated for eligibility.
2. Chief of Nursing Service reviews with employee that eligibility requirements are met.
3. Applicant arranges for college or university to mail official transcript to the Chief of Nursing Service.
4. Official transcript is reviewed by Chief of Nursing Service to establish courses do meet criteria and applicant successfully completed course.
5. Chief of Nursing Service signs and dates approval and then sends application with attached official transcript to Chief, Health Services, Sacramento, California for final approval.
6. When final approval made, the signed application is returned to Chief of Nursing Services at local institution to submit to Personnel Office for the salary increase (\$50.00 per month).

DEPARTMENT OF DEVELOPMENTAL SERVICES

Lanterman Developmental Center

Lanterman Developmental Center
Administrative Directive

Personnel – 346: Educational Differential for Registered Nurses

Approved: Originally Signed by

Lou Sarrao, Executive Director

June 15, 2001

THIS IS A NEW DIRECTIVE

POLICY

Educational Differential is provided to Registered Nurses Range B, and Health Services Specialists who successfully complete the equivalent of 15 qualifying semester units of collegiate level job-related courses in a college or university of recognized standing shall be given an educational differential of \$50.00 per month.

QUALIFICATION FOR EDUCATIONAL DIFFERENTIAL

- 2.1 Candidate must be in a permanent position to be eligible for Educational Differential.
- 2.2 Candidate must be at the level of Registered Nurse Range B or Health Service Specialist (HSS) to be eligible for Educational Differential.
- 2.3 Fifteen qualifying semester units or 23 qualifying quarter unit of job-related courses in an accredited college or university are required for Educational Differential.
 - 2.3.1 Only units completed within the previous five years shall qualify towards education differential.
 - 2.3.2 The candidate's transcripts must show a letter grade of "C" or better for each qualifying course, or a ranking of "Pass" in a Pass/Fail ranking.
 - 2.3.3 A current list of qualifying courses is available in the Training and Staff Development Office.

RESPONSIBILITY

- 3.1 **Applicant**
 - 3.1.1 Obtain the list of qualifying courses from the Training and Staff Development office.
 - 3.1.2 Arrange and pay charges for official transcripts of college credits to be mailed directly to the Director of Training and Staff Development.

- 3.2 Director of Training and Staff Development**
 - 3.2.1 Maintain a current list of approved college courses and subject areas.
 - 3.2.2 Discuss with applicant the college unit requirements and courses needed.
 - 3.2.3 Determine whether the applicant's college units qualify.
 - 3.2.4 Notify applicant if requirements are not met.
 - 3.2.5 Forward approved transcripts to Coordinator of Nursing Service for approval.
- 3.3 Coordinator of Nursing Services**
 - 3.3.1 Review and approve transcripts.
 - 3.3.2 Forward approved transcripts to Personnel Services for processing.

REFERENCES

Unit 17 Collective Bargaining Agreement

Agnews Developmental Center

BU 17 – Education Differential (Accepted courses)

1. Human Sexuality
2. Cardiac Nursing
3. Sex Education
4. Psychiatric Nursing
5. Crisis Intervention
6. Human Genetics
7. Growth and Development
8. Substance Abuse
9. Medical Electronics
10. Interpreting Laboratory Test Results
11. Respiratory Therapy
12. Pharmacology
13. Nursing and the Law -Legal Aspects and Legislation
14. Medical/Nursing Ethics
15. Gerontology
16. Physical Assessments
17. Upper Level Physical Behavioral Science Courses

18. Principals of Nursing Supervision and Management
19. Courses related to working with the Developmentally Disabled Client
20. Client Stress Management
21. Pediatrics Nursing
22. Communication skill courses for Client Care such as: Signing, Spanish
23. IV Therapy
24. E.R. Nursing
25. Terminally Ill Patient Care
26. Oncology Nursing
27. Statistics
28. Any nursing units required in the Nurse Practitioner Course Program
29. Any nursing units included in the ES, MS or Ph.D. Nursing Degree Program
30. Infection Control
31. Rehab Nursing – Ortho – PM/R
32. Technical Writing Care Plans, Medical Protocols and Procedures

Fairview Developmental Center

Education Differential (Accepted courses)

Registered Nurse, Range B
 Health Services Specialist
 Supervising Registered Nurse
 Unit Supervisor

1. Human Sexuality
2. Cardiac Nursing
3. Sex Education
4. Psychiatric Nursing
5. Crisis Intervention
6. Human Genetics
7. Growth and Development
8. Substance Abuse
9. Medical Electronics
10. Interpreting Laboratory Test Results

11. Respiratory Therapy
12. Pharmacology
13. Nursing and the Law-Legal Aspects and Legislation
14. Medical/Nursing Ethics
15. Gerontology
16. Physical Assessments
17. Upper Level Physical Behavioral Science Courses
18. Principals of Nursing Supervision and Management
19. Courses related to working with the Developmentally Disabled Client
20. Client Stress Management
21. Pediatrics Nursing
22. Communication skill courses for Client Care such as: Signing, Spanish
23. IV Therapy
24. E.R. Nursing
25. Terminally Ill Patient Care
26. Oncology Nursing
27. Statistics
28. Any nursing units required in the Nurse Practitioner Course Program
29. Any nursing units included in the BS, MS or Ph.D. Nursing Degree Program
30. Infection Control
31. Rehab Nursing – Ortho – PM/R
32. Technical Writing Care Plans, Medical Protocols and Procedures
33. Supervisory/Management Related Courses

Minimum 9 units required for RN III and Unit Supervisor as approved by the CNS or CD.

Sonoma Developmental Center

Education Differential (Accepted courses)

1. Upper division Nursing Courses, i.e., Cardiac, Psychiatric, Pediatric Nursing
2. Crisis Intervention
3. Human Genetics
4. Substance Abuse
5. Interpreting Laboratory Test Results
6. Pharmacology
7. Nursing and the Law-Legal Aspects and Legislation
8. Medical/Nursing Ethics

9. Gerontology
10. Physical Assessments
11. Upper Level Physical and Behavioral Science Courses
12. Principals of Nursing Supervisor and Management
13. Courses related to working with the D.D. Client
14. Statistics
15. Any nursing units required in the Nurse Practitioner Course/Program
16. Any nursing units included in the BS, MS or Ph.D. Nursing Program
17. Rehab Nursing – Ortho – PM/R
18. Technical Writing Care Plans, Medical Protocols and Procedures

Also courses that meet Supervision/Management requirements:

1. Supervisory/Management principles ad practices
2. Written communication in organizations
3. Oral communication in organizations
4. Effective meetings
5. Excellence I the workplace
6. The Hiring proces s
7. Organizational behavior
8. Supervisory management problems

Sonoma Developmental Center

APPLICATION FOR EDUCATIONAL DIFFERENTIAL

RN RANGE B, SUPERVISING RN (SRN), SURGICAL NURSE I, SURGICAL NURSE II, HEALTH SERVCIES SPECIALIST (HSS) UNIT SUPERVISOR

FIFTEEN (15) UNITS

COURSE WORK MUST BE COMPLETED WITHIN THE LAST 5 YEARS. COURSE WORK MUST BE UPPER DIVISION (4 YR. COLLEGE/UNIVERSITY).

UNIT SUPERVISOR: NINE (9) OF THE FIFTEEN (15) UNITS MUST BE COMPLETED IN SUPERVISION/MANAGEMENT RELATED COURSE WORK.

NAME: _____ **POSITION:** _____

NAME ON TRASCRIPT, IF DIFFERENT FROM ABOVE: _____

PRESENT ASSIGNMENT: **PROGRAM:** _____ **RESIDENCE:** _____

LIST COURSES THAT YOU FEEL MEET QUALIFICATIONS:

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____
7. _____
8. _____
9. _____

SIGNATURE:

DATE:

**PLEASE SEND OFFICIAL TRANSCRIPT TO:
SONOMA DEVELOPMENTAL CENTER
TRAINING OFFICE
P.O. BOX 1493
ELDRIDGE, CA 95431**

**IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT ARNOLD WILLIAMS RN, PNED
X6704**

BU – 17 – RANGE B & C PROGRAM

Approved Job-Related Courses. (Suggested course titles – others can be approved by QRP)

NURSING RELATED COURSES

Pathophysiology for Nurses

Concepts in Health Aging

Professional Transition

Professional Nursing Roles

Statistics

Health Assessment

Health Appraisal

Health Care Systems, Policy & Finance

Health Assessment in Advanced Nursing Practice
Health Care Finance and Quality Management
Organization Theory and Design
Human Resources Management
Management Skills
Population-Based Community Assessment, Planning and Partnership Development
Introduction to Epidemiology
Teaching Strategies for the Health Care Client
Concepts of Complex Clinical Nursing
Community Based Nursing
Concepts in Community Health and Home Health Nursing
Advanced Pharmacology
Pathophysiology Basis of Disease for Advanced Practice Nurses
Assessment and Management of Family Health Care
Advanced Pathophysiology
Theories Foundations of Nursing Practice
Leadership and Health Care Economics
Leadership and Clinical Management
Advanced Clinical Nursing for the Nurse Practitioner
Nurse Practitioner Role in Primary Prevention
Nurse Practitioner Role in Secondary Prevention
Roles in Advanced Practice Nursing
Secondary Prevention Pediatric Nurse Practitioner
Secondary Prevention Geriatric Nurse Practitioner
Nurse Practitioner Role in Tertiary Prevention
Nurse Practitioner Role in Tertiary Prevention – Pediatrics
Geriatric Nurse Practitioner Role in Tertiary Prevention
Human Diversity and Health Care
Health Teaching

Life Cycle
Biochemistry
Professional Collaboration Nursing Practice
Principles of Leadership/Management in Nursing

GERIATRIC COURSES

The Journey of Adulthood
Women and Aging
Images of Aging in Contemporary Society
Psychology of Aging
Heritage and Aging
Health Issues of Aging
Resource Management of Aging
Social Services for the Aging
Mental Health and Aging
Alzheimer's Disease
Caregiving/Home
Death and Dying
Aging in America: Politics and Change
Biophysical Aspects of Aging
Communication and Aging
Multiculture/Aging
Social Gerontology

PUBLIC HEALTH CARE & ADMINISTRATION

Environments of Public Administration
Analytical Methods in Administration
Program Evaluation
Contemporary Issues in Health Care Management

Managed Health Care
Public Health Administration
Non-Profit Management
Grantsmanship and Financial Development
Administrative Law
Administration in Multicultural Settings
The Disabled in America
Seminar in the Administration of Justice
Public Human Resources Administration and Labor Relations
Finance and Budgeting
Health Policy and Analysis
State, local and Intergovernmental Management
Graduate Survey of Public Policy and Administration Public Management and
Organizational Change
Legal and Ethical Issues in Health Care
Public Policy and Analysis

COLLEGE – CERTIFICATE COURSES FOR CAADAC AND CAAD*E*

Introduction to Human Services
Introduction to Alcoholism and Substance Abuse
Ethics and Human Service Worker
Basic Interviewing and Counseling Skills
Introduction to Counseling and Multicultural Population
Psychopharmacology and Alcohol and Drug Abuse and Psychotherapeutic
Medication
Understanding Psychopathology and Treating the Dual Diagnosis Person
Counseling Approaches and Techniques
Group Counseling Strategies
Group Counseling Process
Case Management

Internship for Mental Health /Substances Abuse Care

*California Association Alcohol Drug Abuse Counseling (CAADAC)

California Association Alcohol Drug Educator (CAADE)

DEPARTMENT OF EDUCATION

College Courses – Registered Nurse II Education Differential

1. Degrees – courses leading to AA, BS, MS Phd degrees in nursing.
2. Credentials – courses included in the following credential programs:

Nurse Practitioner

School Nursing

Emergency Nursing

3. Certificate program – courses offered by UC, leading to Human Services Certificate.

Courses offered in items 1, 2, and 3 cover a wide range of classes. Only job-related courses will be approved.

4. Specific subject area courses:

Abnormal Psychology

Audiology

Behavioral Disorders of Children

Computer Sciences

Crisis Intervention

Diabetic Care and Control

Ethnic/Cultural Sociology (including deaf/blind)

Family Therapy (child abuse, family in crisis, problem families)

Genetics

Group Dynamics

Growth and Development

Handicapped Child Care

Hearing and Speech Disorders

Human Sexuality/Sex Education

Infection Control
Interpreting Lab Reports
Leadership Training
Legal Aspects of Nursing-Legal responsibility
Medical Nursing Ethics
Medical Record Keeping
Neurological Nursing –Neurological Handicapped, care of Handicapped Child Care
Nutrition
Orthopedically Handicapped-course directly related to care and activities of daily living
Patient Teaching
Pediatric Nursing
Pharmacology
Physical Assessment
Play Therapy
Problems of Adolescence
Psychiatric Nursing Psychiatric Treatment Modalities:
e.g. Behavior Modification
Reality Therapy
Transactional Analysis
Assertive Discipline
Recent Advances in Pediatric Medicine
Rehabilitative Nursing
Respiratory Therapy
Screening Procedures – e.g., sickle cell scoliosis
Second Language – Spanish
Sign Language – beginning, intermediate, advanced interpretation
Sport Injuries
Statistics
Stress Management

Substance Abuse

Supervision

Technical writing – e.g., reports, protocols and procedures, care plans , grant applications

The Asthmatic Child

The Autistic Child

Time Management

Upper division Physical Behavioral Sciences

Vision Testing

DEPARTMENT OF EDUCATION
RN II EDUCATION DIFFERENTIAL

Name: _____

Class: Registered Nurse II

State Special School: _____

Course Title: _____

Units: _____

Course Content: _____

College or University: _____

Approval: _____

Immediate Supervisor

Approval: _____

Superintendent

Attachment: Copy of Transcript

cc: Personnel Assistant

DEPARTMENT OF MENTAL HEALTH

Atascadero State Hospital

RN EDUCATIONAL DIFFERENTIAL

BARGAINING UNIT 17

Registered Nurse Range B, Surgical Nurse I and II, and Health Services Specialists who successfully complete the equivalent of 15 qualifying semester units of collegiate level job-related courses in a college or university of recognized standing shall be given an educational differential of \$50.00 per month. Only courses on the lists established by each department for implementing this provision will qualify toward this differential.

Upon request of the employee, each department employing RN Range B, Surgical Nurse I and II, and Health Services Specialists shall make available to all current and new Unit 17 employees a copy of the lists of those courses which qualify for this differential.

Only courses completed within the previous five years shall qualify towards educational differential.

The educational differential shall not be considered as "compensation" for purposes of retirement contributions.

The State may add courses to the qualifying list at its discretion.

The 15 qualifying units must be taken from the following list:

1. Any required course which might lead to an AA, BA, BS, MA, MS, or Ph.D.
2. Human Services Certificate Program courses.
3. Courses in an expanded practice Act, in the following
 - a) Nurse Practitioner
 - b) Emergency Room Nursing
 - c) Public Health Nurse
4. Adult Education Teaching Credentials Program
5. Upper Division Physical Science

6. Upper Division Behavioral Science
7. Technical Report writing
8. Medical records keeping
9. Statistics
10. Computer Science
11. Stress management
12. Supervision
13. Management
14. Hospital management
15. Human sexuality
16. Sex education
17. Psychiatric nursing
18. Abnormal psychology
19. Gero psychiatric nursing
20. Gerontology nursing
21. Crisis intervention and theory
22. Substance abuse.
23. Grief and loss.
24. Strategies in psychosocial nursing
25. Family therapy.
26. Group dynamics.
27. Psychology of intervention techniques.
28. Ethnic/Cultural.
29. Sociology.
30. Legal aspects of nursing.
31. Forensics – criminal justice.
32. Medical ethics.
33. Pharmacology.
34. Interpreting lab results.

- 35. Growth and development.
- 36. Human Genetics
- 37. Physical assessment.
- 38. Cardiac care.
- 39. Rehab nursing.
- 40. Respiratory nursing.
- 41. Leadership training.
- 42. Spanish

Please submit a copy of your transcripts and request to:

Debbie Marks-Molfino
In-Service Training Center

If you have any questions, please call Debbie at (805) 468-2211.

Checklist for appointments

- _____ Complete all required items on ROSTER and POSITION CARDS (STD. 608, 611)
- _____ Leave Accounting System Information or Leave Record Card (if applicable)
- _____ Make Rolodex card (_____ Shift and RDO assignment sheet)
- _____ Enter on Form 672, Attendance Report
- _____ PAR and EAR, date keyed _____ date mailed _____
- _____ Label OPF, set up categories with clips and place with the active files
- _____ Licensed Employee? Make sure there is a copy of their license in the OPF.
- _____ Manager, Supervisor, Confidential or Excluded employee?
 - 1. Give employee "Compensation Plus" Packet (have them sign cover page, copy and put in OPP)
 - 2. Give employee Co-Ben information
- _____ Annual Leave (copy of letter to employee and file)
 - Optional for BU 7, 12, 13, 14, 16, 17, 18, 19, 20 and excluded
- _____ Retirement Info (copy of letter to employee and file)
 - If EE requests: give option booklet (PERS-PUB-52) to employee, have ee sign page 1, mail notice to PERS, and make copy for OPF
- _____ Eligible for Health, Dental, Vision (copy of letter to employee and file)
 - 1. Eligible if appointment exceeds 6 months & time base is ½ time or more.
 - 2. Memo to employee, if newly eligible.
 - 3. CoBen information to BU 7, 16, 18, & 19 (CoBen has no waiting period for Delta)
- _____ Additional Position?
 - Copy of approval letter or Work Assignment Form from current primary position supervisor to OPF.
- _____ Reduction of time base to less than ½ and enrolled in Medical Reimbursement Account?
 - Refer to Benefits Specialist for COBRA notification
- _____ Transfer?
 - 1. PROFS/FAX previous agency, if needed.
 - 2. Check file for any required health benefit documentation-obtain if necessary

PSS Name: _____ Work Area: _____
 Employee Name: _____ Position Number: _____

 Effective Date: _____ Classification: _____
 Salary Rate: _____ Range (if other than Range A): _____
 Certification No. (For A01 Appt): _____ List Type: _____
 Cert Clearance sent to SPB: _____ Fingerprints Cleared: _____

Tenure: _____ Timebase: _____ Attachments: _____
 Appointment Code: _____ Miscellaneous Change Code: _____
 Length of Probation: _____ MCR: _____ CBID: _____ WWG: _____

Alternate Range Criteria (Information from the Payscale)

540S# _____ 607# _____ (revised 3/2003)

Salary Determination Form

Name: _____ Work Area: _____
 _____ Effective Date: _____
 Current Classification: _____ # _____
 New Classification: _____ # _____

Type of Salary Determination and Salary Rule:

- _____ MSA/SISA 599 638 OR 599 585
- _____ Range Change See Alternate Range Criteria for Salary Rule
- _____ List Appointment 599 573 or 599 674 or 599 675 or 599 676
- _____ Transfer to Another Class 599 674 or 599 675 or 599 676
- _____ Reinstatement 599 677 or 599 678

Use the California Civil Service Payscales – Pages 6 0 – 6 7 & 10.0 – 10 2 to aid in computing the salary determination to be made (And whether special pays will be included in salary calculations)

Determine MSA/SISA

Current Salary _____ X 1.05 (5%) = New Salary _____

(Checkpoint Is this new Salary within the salary Range? If not, you may have to adjust the new salary lower to meet the max or higher to meet the minimum)

Determine Transferability Using Last A01 Appointment:

(From Max) _____ times 5% (Equals one step) _____ (times 5% again) _____ - \$1 _____ = _____ (New max may be no more than this amount.)

Determine Salary Differential: _____ Salary differential _____ = Salary Regulation to use: _____

To Maximum	+0.0% to +5.0%	=	599.674 (a)
From Maximum	+5.1% to +9.9%	=	599.674 (b)
= Difference	-0.1% to -9.9%	=	599.674 (c)
/ Lower Maximum	-10% or more lower	=	599.675
% (range difference)	+10% or more higher	=	599.676

Determine New Salary:

Current Salary	x 1.05	=	
	or	=	
Current Salary		=	

- () Less than 5%, employee may qualify for accelerated MSA
- () 5% or more, employee receives a new anniversary date.
- () HAM class - Hiring above minimum authorization for class or individual.
- () Recruitment and Retention-Item 351 on PAR. Earn, ID _____ Amt: _____
- () Plus salary-See Payscale section 5 for assistance in calculating adjustments.
- () Other special pays-See MOU and Payscale to determine eligibility , List: _____

PSS _____ Date Completed: _____

REQUEST FOR PERSONNEL ACTION

PERSONNEL TRANSITION REQUEST PROCESS

ORIGINATOR
COMPLETE A, B, CD, & D
FORWARD REQUEST TO PERSONNEL

THEN:

PERSONNEL
RETURNS PROCESSED REQUEST TO ORIGINATOR

THEN:

ORIGINATOR
COMPETES E, F (FIRST LEVEL)
RETURNS COMPETED HIRING PACKET TO PERSONNEL

THEN:

PERSONNEL
NOTIFY ORIGINATOR OF SELECTION APPROVAL

DISTRIBUTION

1. WHITE – POSITION CONTROL ANALYST, LOG SHEET.
2. GREEN – POSITION CONTRAL ANALYST, FILE COPY.
3. CANARY – EEO OFFICER
4. PINK – RETURN TO ORIGINATOR.
5. GOLDENROD – ORGINATOR RETAINS.

Napa State Hospital

Memorandum

To: Applicant for RN Educational Differential
From: Napa State Hospital
2100 Napa Vallejo Highway
Napa, California

Date: May, 2003
Telephone: (707) 253-5258

Subject: APPLICATION PROCEDURES

Attached you will find the following:

1. Guidelines Regarding Eligibility Requirements and Process for Requesting RN Educational Differential
2. List of Approved Subjects
3. Application for Educational Differential

If you are ready to submit your application, please refer to the attached guidelines and to Administrative Directive #353.

Please be sure that your application packet is complete, and contains accurate information. The Qualifications Review Panel will not correct or modify the application. It will be returned to you for correction and reviewed at the first scheduled panel meeting after receipt of the necessary information.

Janet Steel
Employee Relations Officer
Human Resources Department

APPLICATION FOR RN EDUCATION DIFFERENTIAL

NAPA STATE HOSPITAL

Applicant Information:

Name	Work Location	Shift	Work Phone	Home Phone

LIST BELOW THE COURSES YOU HAVE COMPETED WHICH YOU WISH TO USE TO QUALIFY FOR EDUCATIONAL DIFFERENTIAL:

Class	Quarter/Semester Taken	# of Units	Grade

LIST THE TRANSCRIPTS YOU HAVE HAD SENT TO THE LABOR RELATIONS OFFICE:

Dated:

Applicant Signature

APPLICATION FOR RN EDUCATIONAL DIFFERENTIAL

QRP DECISION:

_____ Approved _____ Not Approved Date: _____

(1) _____

(2) _____

(3) _____

(4) _____

(5) _____

_____ Approved _____ Not Approved Date: _____

(1) _____

(2) _____

(3) _____

(4) _____

(5) _____

APPROVED SUBJECTS FOR RN EDUCATIONAL DIFFERENTIAL

REQUIRED: Applicants must have completed fifteen (15) acceptable units. At least nine of the fifteen required college units must be in one or more of the following subjects. The remaining six credits may be in these subjects or may be selected from a list of "alternative subject areas" listed below.

Health Education	Nursing
Principles of nursing supervision and management	Humanities
Psychology	Life Science
Sociology	Forensics
Education	Philosophy/Ethics
Anthropology	English
Mathematics	Nutrition
Foreign Language	

ALTERNATIVE: Six of your fifteen credits may be from one or a combination of the following subject areas. The number in parentheses after each category is the maximum number of allowable credits in that category.

Recreation Therapies (3)	Computer (6)
Economics (3)	Political Science (3)
Performance Improvement (6)	Guidance/Self Development (6)
Physical Education (2)	Speech (6)
Supervision/Management (6)	

GUIDELINES REGARDING ELIGIBILITY REQUIREMENTS AND PROCESS FOR REQUESTING RN EDUCATION DIFFERENTIAL

The Executive Director will establish a Qualification Review Panel (QRP) which shall consist of the Employee Relations Officer and at least one registered nurse. The QRP will review the qualification of applicants for educational differential and approve or deny application.

1. Qualifications Review Panel:

The QRP will meet monthly or as required. Responsibilities include:

- a. Maintains current list of approved subject areas. (NOTE: this list, which is attached to this packet, does not list specific classes, as not all classes within a subject area may be considered job related. For example, a forensic class about introduction to penal code commitments may be acceptable, whereas a forensic class about arrest and firearms would not be.)
- b. Approves/disapproves courses for addition to list.
- c. verifies successful completion of required units from transcripts.
- d. may establish minimum requirements for specific courses and/or may set maximum credits allowed for given coursework.
- e. Monitors application and review process.

2. Candidates:

- a. Candidates must be employed at Napa State Hospital in the classification of RN B, surgical Nurse I or II, Health Services Specialist, or Supervising RN.

3. Unit Requirements:

- a. A list of approved job-related subjects is available through the Employee Relations office. Only approved courses shall qualify toward the differential.
- b. Credit given for courses taken to obtain RN licensure do not qualify toward the differential.
- c. Only courses with a grade of "C" or better, or the numerical equivalent of a "C" or better, are accepted in fulfillment of college unit requirements.
- d. Credit/no credit classes may be acceptable if verification of successful completion ("C/average" or better) is provided.
- e. Quarter units convert to semester units on a 3 for 2 basis.
- f. Qualifying courses must have been completed within the last five years (determined by semester/year: e.g., class taken any semester in 1990 is good through 12-31-95).

4. Application Procedures: a. Application packets are available in the Employee Relations Office and, after completion, are submitted to the Employee Relations Office.

- a. The applicant will have an official, sealed (unopened) transcript delivered to the Employee Relations Office.

5. Timetables:

- a. Timetable for application approval/disapproval process to begin after completed application packet (including transcripts) is received by Employee Relations Office. QRP will review applicant's packet at the first meeting of the panel after receipt of all required information.
- b. Alternate range to become effective on first day of the pay period following approval by QRP.

6. Appeal process:

- a. Written request for appeal will be addressed to the QRP (via Employee Relations Officer) within twenty (20) calendar days of notice of ineligibility.
- b. The appeal consists of a personal interview with the QRP and submission of any additional relevant information or material applicant wishes to offer.
- c. The QRP will make a decision on the appeal and notify the applicant in writing within ten (10) calendar days of the decision.
- d. Final appeal will be to the Executive Director and must be requested in writing within twenty (20) calendar days of date of notice of QRP's appeal decision.
- e. Applicants who are otherwise eligible and are not granted the educational differential because they have not met the course requirements may re-apply immediately upon completion of appropriate courses.

Patton State Hospital

Memorandum

To: Registered Nurses, Range B
Health Service Specialist
Supervising Registered Nurses
From: Blanche Sherer

Date: September 24, 2002

Telephone: 425-7541

Subject: Educational Differential (E.D.)

Consistent with the language of the current agreement between the State and the California State Employees Association, representing Bargaining Unit 17 (Ref: BU 17 Agreement, effective July 8, 2002 through July 2, 2003, Article 11 – Salaries, section 11.9 – Educational Differential and Department of Personnel Administration Pay Scale, section 14.43 – Pay Differential, revised 8/31/), Registered Nurses (Range B), Health Services Specialists, and Supervising Registered Nurses who within the past five (5) years have successfully completed the equivalent of 15 qualifying semester units of collegiate level, job related courses in a college or university of recognized standing, shall be given an educational differential of \$50.00 per month.

To receive the education differential eligible staff must:

Complete and return an application form to the Director of Human Resources. (Forms are available from the Program/Department office, CNS, or Human Resources).

Submit official transcript showing courses to be considered. The transcript must have an Official University Seal and that seal must not be broken. You may also have the college or University send a sealed transcript directly to the Human Resources Department. Only courses on the attached list qualify toward the 15 semester units for this differential. However, the State may add courses to the qualifying list at its' discretion. Only courses completed within the previous five years shall qualify towards the educational differential.

The application will then be reviewed and approved/disapproved by the Program Director and then by the Coordinator of CNS and the PNED. The educational differential (E.D.) will become effective with the first pay period following approval. It (E.D.) is not considered "compensation" for retirement purposes, however, it is considered when calculating overtime compensation.

Qualifying Courses

Human Services'Certificate Program Courses

Courses in an expanded practice credentials program as defined by the California Nursing Practices Act, in the following areas:

- (a) Nurse Practitioner
- (b) Emergency Room Nursing
- (c) Public Health Nurse

Abnormal Psychology
Adult Education Teaching Credentials Program
Cardiac Care
Computer Science
Crisis Intervention Theory
Ethnic/Cultural Sociology
Family Therapy
Forensics – Criminal Justice
Gero Psychiatric Nursing
Gerontology Nursing
Grief and Loss
Group Dynamics
Growth and Development
Hospital Management
Human Genetics
Human Sexuality
Interpreting Lab Results
Leadership Training
Legal Aspects of Nursing
Management
Medical Ethics
Medical Record Keeping
Pharmacology
Physical Assessment
Psychiatric Nursing
Psychology of Intervention Techniques
Rehab Nursing
Respiratory Nursing
Sex Education

Sociology

Spanish

Statistics

Strategies in Psychosocial Nursing

Stress management

Substance Abuse

Supervision

Technical Records Keeping

Upper Division Behavioral Science

APPLICATION FORM
RN EDUCATION DIFFERENTIAL

Employee Name: _____
 Classification: _____
 Program/Department: _____

List of courses to be considered below:

Course	Completion Date	Units Quarter/ Semester
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

 Employees Signature Date

PROGRAM DIRECTOR: I have received and approve this application for review:

Signed _____ Date _____

COORDINATOR OF NURSING SERVICES:

Approved for Differential: _____

Not Approved for
Differential: _____

Signature: _____ Date: _____

PNED:

Approved for Differential: _____

Not Approved for
Differential: _____

Signature: _____ Date: _____

Metropolitan State Hospital

APPLICATION – REGISTERED NURSE B AND HEALTH SERVICES SPECIALIST

Application for education differential on basis of 15 units of job-related college credits.

Name _____
(Last) (First) (MI)

Civil Service Classification _____

Program _____ Unit _____

Education: _____ High School _____ Years Graduated Yes No (Circle One)
College _____ Years Graduated Yes No (Circle One)
Other
(Describe) _____

Subject Matter Covered in Course	Length	Where Taken	Units
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

To the best of my knowledge, the foregoing statements are true and complete.

Signed _____ Date _____

Please complete form, attach sealed transcripts and mail to:
Pat LaMountain, PNED
Nursing Education

REGISTERED NURSE EDUCATIONAL DIFFERENTIAL PROCEDURE

In compliance with the Bargaining Unit 17 Contract, Registered Nurse Range B, and Health Services Specialist (HSS), will receive an educational differential based on the following criteria:

Successful completion of the equivalent of 15 qualifying semester units of collegiate level job-related courses in a college or university of recognized stand.

Only courses completed within the previous five years shall qualify towards the educational differential.

The 15 qualifying units must be taken from the following list:

1. Any required course which might lead to an AA, BA, BS, MA, MS or Ph.D.
2. Human Service Certificate Program courses.
3. Courses in an Expanded Practice Credentials Program as defined by the California Nursing Practice Act, in the following areas:
 - a. Nurse Practitioner
 - b. Emergency room Nursing
 - c. Public Health Nurse
4. Adult Education Teaching Credentials Program
5. Upper Division Physical Science
6. Upper Division Behavioral Science
7. Technical report writing
8. Medical record keeping
9. Statistics
10. Computer Science
11. Stress management
12. Supervision
13. Management
14. Hospital management
15. Human sexuality
16. Sex education
17. Psychiatric nursing
18. Abnormal Psychology
19. Geriatric Psychiatric nursing

20. Gerontology nursing
21. Crisis intervention and theory
22. Substance abuse
23. Grief and loss
24. Strategies in Psycho-social nursing
25. Family therapy
26. Group dynamics
27. Psychology of intervention techniques
28. Ethnic/cultural sociology
29. Sociology
30. Legal aspects of nursing
31. Forensics – criminal justice
32. Medial ethics
33. Pharmacology
34. Interpreting lab results

PAY STATUS

1. Educational differential will be made by the Personnel Office following written approval and recommendation from PNED. The increased salary rate will become effective on the first of the pay period after the employee meets all of the conditions and established criteria.
2. the educational differential will be included when computing overtime compensations.
3. The educational differential shall not be considered as "compensation" for purposes of retirement contributions.

DEPARTMENT OF VETERAN'S AFFAIRS

SUBJECT

Registered Nurse, Range B, Supervising Registered Nurse and Surgical Nurse I and II – Education Differential.

PURPOSE

To provide policy statement, guidelines and procedure for implementing Registered Nurse Range B, Supervising Registered Nurse and Surgical Nurse I and II of the Educational Differential Program as agreed to on July 1, 1995 between the State of California and SEIU Local 1000, Unit 17, section 11.57.

DEFINITION

During this year's Contract bargaining with SEIU, Unit 17, section 11.57, the State agrees to provide qualifying Registered Nurses, Range B, Supervising Registered Nurses and Surgical Nurse I's and II's with an educational differential of \$50.00 per month.

For purposes of overtime computation, this differential shall be considered as compensation.

A. The 15 qualifying units must be taken from the following list:

1. Any required course which might lead to a BA, BS, MA, MS or Ph.D. in Nursing or Health Care Administration.
2. University of California Human or Health Care Administrations Services Certificate Program courses.
3. Courses in an Expanded Practice Credentials program as defined by the California Nursing Practice Act in the following areas:
 - a. Nurse Practitioner
 - b. Public Health Nurse
4. Adult Education Teaching Credentials Program.
5. Upper Division Physical Science (Biochemistry, Pathophysiology).
6. Upper Division Behavioral Science.
7. Technical Report Writing (Management Reports).
8. Death and Dying (Terminally Ill)
9. Statistics
10. Computer Science
11. Stress and Time Management

12. Supervision
13. Management (*Principles of Nursing*)
14. Hospital Management
15. Human Sexuality
16. Research
17. Psychiatric Nursing
18. Abnormal Psychology
19. Gero Psychiatric Nursing
20. Gerontological Nursing
21. Crisis Intervention and Theory
22. Substance Abuse
23. Grief and Loss
24. Strategies in Psychosocial Nursing
25. Family Therapy
26. Group Dynamics
27. Psychology of Intervention Techniques
28. Ethnic/Cultural Sociology
29. Sociology
30. Legal Aspects of Nursing
31. Communication Skill Courses for Client (Signing, Audio/Visual)
32. Medical Ethics
33. Psychopharmacology
34. Leadership (Nursing)
35. Growth and Development
36. Human Genetics
37. Physical Assessment
38. Cardiac Care
39. Rehab Nursing
40. Respiratory Nursing

41. Leadership Training
42. Spanish
43. Public Speaking
44. Nutrition
45. Hospice
46. Community and Mental Health Concepts
47. Home Health Care
48. Performance Evaluation
49. Communication Skills
50. Change (Management of)

B. Courses granting continuing education units do not qualify.

Appendix 2 – Resource Contracts

This list of Resource Contracts shall be expanded to include Cathedra I City (DDS) and Salinas Valley (DMH).

Appendix D – Salary Schedule

Class Title	Schem Cd	Class Cd	ALT RG	MIN SAL	MAX SAL	WWG
HEALTH FACILITIES EVALUATOR NURSE	SZ95	8011		\$4,755.00	\$5,640.00	2
HEALTH SERVICES SPECIALIST	TI65	8160		\$4,754.00	\$5,640.00	2
HEALTH SERVICES SPECIALIST (SAFETY)	TI66	9699		\$4,754.00	\$5,640.00	2
INFECTION CONTROL SPECIALIST	TJ95	8201		\$5,612.00	\$6,580.00	2
MENTAL HEALTH NURSE I	TJ25	8199		\$4,503.00	\$5,425.00	2
NURSE CONSULTANT I	TJ35	8197		\$4,834.00	\$5,757.00	E
NURSE CONSULTANT II	TJ30	8195		\$5,276.00	\$6,289.00	E
NURSE CONSULTANT III (SPECIALIST)	TJ20	8181		\$5,757.00	\$6,877.00	E
NURSE EVALUATOR I, HEALTH SERVICES	TN95	8143		\$3,938.00	\$4,733.00	2
NURSE EVALUATOR II, HEALTH SERVICES	TN90	8144		\$4,755.00	\$5,640.00	2
NURSE INSTRUCTOR	TI55	8154	A	\$5,267.00	\$6,187.00	2
NURSE INSTRUCTOR	TI55	8154	B	\$5,728.00	\$6,745.00	2
NURSE INSTRUCTOR, CORRECTIONAL FACILITY	TI57	9353	A	\$5,264.00	\$6,187.00	2
NURSE INSTRUCTOR, CORRECTIONAL FACILITY	TI57	9353	B	\$5,731.00	\$6,745.00	2
NURSE PRACTITIONER	TJ91	8212	A	\$6,050.00	\$7,062.00	2
NURSE PRACTITIONER	TJ91	8212	B	\$6,556.00	\$7,671.00	2
NURSE PRACTITIONER (SAFETY)	TJ94	9700	A	\$6,050.00	\$7,062.00	2
NURSE PRACTITIONER (SAFETY)	TJ94	9700	B	\$6,556.00	\$7,671.00	2
NURSE PRACTITIONER, CORRECTIONAL FACILITY	TJ93	9278	A	\$6,050.00	\$7,062.00	2
NURSE PRACTITIONER, CORRECTIONAL FACILITY	TJ93	9278	B	\$6,556.00	\$7,671.00	2
NURSE PRACTITIONER, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TJ92	8227	A	\$6,050.00	\$7,062.00	2
NURSE PRACTITIONER, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TJ92	8227	B	\$6,556.00	\$7,671.00	2
NURSE-ANESTHETIST	TN60	8136	A	\$6,069.00	\$7,086.00	2
NURSE-ANESTHETIST	TN60	8136	B	\$6,576.00	\$7,696.00	2
NURSE-ANESTHETIST, CORRECTIONAL FACILITY	TN65	9273	A	\$6,069.00	\$7,086.00	2
NURSE-ANESTHETIST, CORRECTIONAL FACILITY	TN65	9273	B	\$6,576.00	\$7,696.00	2
NURSING TREATMENT SPECIALIST	TI45	8157		\$4,104.00	\$4,945.00	2
PRE-REGISTERED NURSE	TH50	8140		\$3,518.00	\$3,846.00	2
PRE-REGISTERED NURSE, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TH51	8170		\$3,518.00	\$3,846.00	2

Class Title

Class Title	Schem Cd	Class Cd	ALT RG	MIN SAL	MAX SAL	WWG
PUBLIC HEALTH NURSE I	TK20	8213	A	\$4,754.00	\$5,640.00	2
PUBLIC HEALTH NURSE I	TK20	8213	B	\$5,185.00	\$6,160.00	2
PUBLIC HEALTH NURSE I, CORRECTIONAL FACILITY	TK16	9274	A	\$4,754.00	\$5,640.00	2
PUBLIC HEALTH NURSE I, CORRECTIONAL FACILITY	TK16	9274	B	\$5,185.00	\$6,160.00	2
PUBLIC HEALTH NURSE I, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TK18	8297		\$4,754.00	\$5,640.00	2
PUBLIC HEALTH NURSE II	TK10	8210	A	\$5,175.00	\$6,151.00	2
PUBLIC HEALTH NURSE II	TK10	8210	B	\$5,648.00	\$6,722.00	2
PUBLIC HEALTH NURSE II, CORRECTIONAL FACILITY	TK13	9345	A	\$5,175.00	\$6,151.00	2
PUBLIC HEALTH NURSE II, CORRECTIONAL FACILITY	TK13	9345	B	\$5,648.00	\$6,722.00	2
REGISTERED NURSE	T190	8165	A	\$4,501.00	\$5,276.00	2
REGISTERED NURSE	T190	8165	B	\$4,770.00	\$5,613.00	2
REGISTERED NURSE	T190	8165	C	\$4,897.00	\$5,749.00	2
REGISTERED NURSE	T190	8165	D	\$5,191.00	\$6,121.00	2
REGISTERED NURSE	T190	8165	F	\$3,750.83	\$4,396.67	2
REGISTERED NURSE	T190	8165	G	\$3,975.00	\$4,677.50	2
REGISTERED NURSE (SAFETY)	TH55	8094	A	\$4,501.00	\$5,276.00	2
REGISTERED NURSE (SAFETY)	TH55	8094	B	\$4,770.00	\$5,613.00	2
REGISTERED NURSE (SAFETY)	TH55	8094	C	\$4,897.00	\$5,749.00	2
REGISTERED NURSE (SAFETY)	TH55	8094	D	\$5,191.00	\$6,121.00	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	A	\$4,501.00	\$5,276.00	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	B	\$4,770.00	\$5,613.00	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	C	\$4,897.00	\$5,749.00	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	D	\$5,191.00	\$6,121.00	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	F	\$3,750.83	\$4,396.67	2
REGISTERED NURSE, CORRECTIONAL FACILITY	T180	9275	G	\$3,975.00	\$4,677.50	2
SURGICAL NURSE I	TN50	8135	A	\$4,796.00	\$5,631.00	2
SURGICAL NURSE I	TN50	8135	B	\$5,223.00	\$6,142.00	2
SURGICAL NURSE I, CORRECTIONAL FACILITY	TN54	9277	A	\$4,796.00	\$5,631.00	2
SURGICAL NURSE I, CORRECTIONAL FACILITY	TN54	9277	B	\$5,223.00	\$6,142.00	2
SURGICAL NURSE I, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TN51	8130	A	\$4,796.00	\$5,631.00	2
SURGICAL NURSE I, DEPARTMENTS OF MENTAL HEALTH AND DEVELOPMENTAL SERVICES	TN51	8130	B	\$5,223.00	\$6,142.00	2

Class Title	Schem Cd	Class Cd	ALT RG	MIN SAL	MAX SAL	WWG
SURGICAL NURSE II	TN40	8134	A	\$5,191.00	\$6,121.00	2
SURGICAL NURSE II	TN40	8134	B	\$5,656.00	\$6,682.00	2
SURGICAL NURSE II, CORRECTIONAL FACILITY	TN52	9329	A	\$5,191.00	\$6,121.00	2
SURGICAL NURSE II, CORRECTIONAL FACILITY	TN52	9329	B	\$5,656.00	\$6,682.00	2

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BU 17
Final 04/02/07

Appendix 3 – FLSA Exempt Employee Differential

FLSA EXEMPT EMPLOYEE DIFFERENTIAL FOR EXTREMELY ARDUOUS WORK AND EMERGENCIES

Effective: 9/1/93

Revised: 7/1/99

CRITERIA

At the discretion of the appointing authority, excluded employees who are exempt from the Federal Fair Labor Standards Act (FLSA) shall be eligible to receive the differential when performing arduous work that exceeds the normal demands of State service employment. Excluded employees are eligible for this pay differential for up to four months per fiscal year (or per event for emergencies involving loss of life or property). **All of the following conditions must be met in order to apply this pay differential:**

Appropriate Duties

The duties and responsibilities may not include work that is covered by the provisions of FLSA.

Non-negotiable Deadline or Extreme Urgency

The work must have a deadline or completion date that cannot be controlled by the employee or his/her supervisor, or must constitute an extreme urgency. The deadline or extreme urgency must impose upon the employee an immediate and urgent demand for his/her work that cannot be avoided or mitigated by planning, rescheduling, postponement or rearrangement of work, or modification of deadline.

For example, preparing and presenting to the Governor's Office, Legislature, or Legislative Committees fiscal/line item analysis and budgetary information concerning the State Budget or departmental and line program budgets by a specific date, or testifying before the Legislature or Legislative Committees at their request, or responding to a declared emergency situation.

Work Exceeds Normal Work Hours and Normal Productivity

The work must be extraordinarily demanding and time consuming, and of a nature that it significantly exceeds the normal workweek and work productivity expectations of the employee's work assignment.

Employees who are excluded from FLSA are expected to work variable work schedules as necessary to meet the demands of the job. They may regularly be required to work more than 40 hours per week to complete their work. This pay differential is not intended for employees who regularly or occasionally work in excess of the normal workweek to meet normal workload demands. It is intended where in addition to working a significant number of hours in excess of the normal workweek, there is a demand for and achievement of greater productivity or result.

Work is Unavoidable

The work must be of a nature that it cannot be postponed, redistributed, modified, reassigned or otherwise changed in any way to provide relief.

Work Involves Extremely Heavy Workload

The work is of a nature that it cannot be organized or planned to enable time off in exchange for the extra hours worked. The absence from work would cause difficulty or hardship on others and would result in other critical work not being completed. Occasional heavy workload of less than 12 to 14 days in duration would not normally satisfy this requirement because time off can be arranged as compensation for this demand.

For example, in an emergency involving extreme health, safety and/or cost consequence, an employee may be required to work evenings and weekends for several weeks, averaging more hours of work than can be scheduled/arranged for time off.

No Other Compensation

The employee who is receiving this pay differential is not eligible for any other additional compensation for the type and nature of the above described work.

The Circumstances That Support This Pay Differential Must Be Documented

Departments must maintain records of the employees and amounts paid in each pay period, and a brief description of the circumstances for which the differential was provided.

Departments are delegated responsibility for the review and approval of payment. Their review should occur after the work is completed to ensure that all of the conditions that warrant the pay differential were present. Application of the pay differential provisions is subject to audit or review by the Department of Personnel Administration (DPA) as necessary.

RATE

\$300.00 per workweek, up to \$1200 total per pay period. Any workweek that overlaps months should be counted in the month that the workweek ends.

An employee may be paid: period	\$ 300
	\$ 600
	\$ 900 or
	\$ 1200 per pay

UNIT 17 SIGNATURE PAGE


FOR THE STATE OF CALIFORNIA




Dana Manning
Department of Education



Shawn Ramirez
Department of Developmental Services



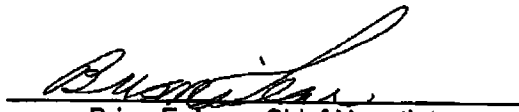
Kathy Howard
Department of Health Services



Candace Murch
Department of Mental Health



Angie Mejia
Department of Veterans Affairs



Brian E. Lear, Chief Negotiator
Department of Personnel Administration

SIGNATURE PAGE

Service Employees International Union, Local 1000

Unit 17-Registered Nurses

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Nancy Lyerla, Chair
CDCR, Division of Juvenile Justice

Kimberly Cowart

Kimberly Cowart, Vice Chair
Department of Mental Health

Diane Koehler

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Dianne Bradford

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Gloria Pacleb-Cadiz

Gloria Pacleb-Cadiz
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John Simmons

John Simmons
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